

1 **Analyzing and modelling the effect of long-term fertilizer management on crop**  
2 **yield and soil organic carbon in China**

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25

## 26 **Abstract**

27 This study analyzes the influence of various fertilizer management practices on crop  
28 yield and soil organic carbon (SOC) based on the long-term field observations and  
29 modelling. Data covering 11 years from 8 long-term field trials were included,  
30 representing a range of typical soil, climate, and agro-ecosystems in China. The  
31 process-based model EPIC (Environmental Policy Integrated Climate model) was used  
32 to simulate the response of crop yield and SOC to various fertilization regimes. The  
33 results showed that the yield and SOC under additional manure application treatment  
34 were the highest while the yield under control treatment was the lowest (30%-50% of  
35 NPK yield) at all sites. The SOC in northern sites appeared more dynamic than that in  
36 southern sites. The variance partitioning analysis (VPA) showed more variance of crop  
37 yield could be explained by the fertilization factor (42%), including synthetic nitrogen  
38 (N), phosphorus (P), potassium (K) fertilizers, and fertilizer NPK combined with  
39 manure. The interactive influence of soil (total N, P, K, and available N, P, K) and  
40 climate factors (mean annual temperature and precipitation) determine the largest part  
41 of the SOC variance (32%). EPIC performs well in simulating both the dynamics of  
42 crop yield ( $NRMSE = 32\%$  and  $31\%$  for yield calibration and validation) and SOC  
43 ( $NRMSE = 13\%$  and  $19\%$  for SOC calibration and validation) under diverse fertilization  
44 practices in China. EPIC can assist in predicting the impacts of different fertilization  
45 regimes on crop growth and soil carbon dynamics, and contribute to the optimization  
46 of fertilizer management for different areas in China.

47

48 **Key words**

49 crop yield, soil organic carbon, long-term field experiments, EPIC model, fertilizer

50 management

51

## 52 **1. Introduction**

53 Global food demand is expected to increase rapidly in the coming decades due to  
54 population and economic growth, and food security is becoming an important issue  
55 (West et al., 2014; Godfray et al., 2010). Modern intensive agriculture relies heavily on  
56 fertilizer application, which is essential for providing crop nutrients and increasing  
57 global food production (Koning et al., 2008). Soil organic carbon (SOC) is an important  
58 factor in determining the potential productivity of agricultural soil and the arrangement  
59 of soil aggregates and their stability. Mineralization of SOC is an important source of  
60 soil nitrogen (N) and phosphorus (P). SOC content is directly affected by climate  
61 (precipitation and temperature), anthropogenic activities, and soil factors such as soil  
62 texture (Jiang et al., 2014). In addition, soil and crop management, including crop  
63 residue management and fertilization practices, especially the use of mineral fertilizers,  
64 and manure amendments, have a large influence on soil fertility and thus crop yields  
65 (Zhang et al., 2010). Therefore, assessing the effect of long-term fertilization on crop  
66 yields and SOC content is currently an important issue for soil fertility, crop production,  
67 and food security.

68

69 In China, a national network of long-term fertilizer experiments has been established  
70 since the early 1980s across highly diverse soil types, climatic zones and management  
71 practices ( National Soil Fertility and Fertilizer Effects Long-term Monitoring Network)  
72 (Zhao et al., 2010). Numerous datasets of soil physical and chemical properties, nutrient  
73 content, climate records and agricultural management have been collected annually,

74 which enable researchers to explore the relationship between fertilization and multiple  
75 factors across a wide range of spatiotemporal scales. However, previous studies in  
76 China focused on the changes in crop yields or SOC content based on a few  
77 experimental sites (Zhang et al., 2008), while long-term comparative studies on a large  
78 scale are lacking. Also, studies in China that combine long-term field experiments and  
79 model simulations of both crop yield and SOC content, enabling extrapolation to other  
80 regions, are not available.

81

82 Process-based models are useful tools for describing and predicting the consequences  
83 of long-term fertilizer management. The Environmental Policy Integrated Climate  
84 model (EPIC, Williams et al., 1989) is a field-scale, process-based model that can  
85 simulate plant growth and crop yield, soil erosion, soil nutrient cycling and the effects  
86 of crop management on plants, water, and soil (Gaiser et al., 2010). It has been  
87 successfully employed worldwide to study crop yield and yield gaps (Schierhorn et al.,  
88 2014;Lu and Fan, 2013), climate change impacts on crop yield (Niu et al., 2009;Xiong  
89 et al., 2016), environmental impacts (Liu et al., 2010;Liu et al., 2016b), soil erosion and  
90 nutrient leaching (Bouraoui and Grizzetti, 2008), and crop management operations  
91 (Thomson et al., 2006). However, it has rarely been validated against long-term  
92 experimental field data to study the influence of various long-term fertilization on crop  
93 yield and SOC dynamics across broad environmental conditions and in wide  
94 spatiotemporal scales.

95

96 This study focuses on the effects of different fertilization regimes on crop yield and  
97 SOC content by analyzing data from long-term field trials in China, using the EPIC  
98 model, and the variance partitioning analysis (VPA) approach. The data includes eight  
99 long-term field experiments with four fertilizer treatments collected across China (from  
100 1990 to 2000), covering all experimental sites in the China National Soil Fertility and  
101 Fertilizer Effects Long-term Monitoring Network comprising a wide range of climate  
102 and soil conditions. We quantify how different soil and climate factors, and fertilization  
103 practices affect the variations in crop yield and SOC.

104

## 105 **2. Materials and Methods**

### 106 **2.1 Long-term experimental data**

107 The China National Soil Fertility and Fertilizer Effects Long-term Monitoring Network  
108 was established in 1989 in nine typical agricultural areas (site Guangzhou no longer  
109 exists due to urbanization so only eight were left) to investigate the effects of long-term  
110 inorganic and organic fertilizers on crop yield, soil fertility and environmental impacts  
111 all over China. In our study, the data from eight long-term experimental sites were  
112 obtained, with consistent information on soil types, climate conditions, cropping  
113 systems and field management practices in distinct climatic zones (Figure 1 and Table  
114 1), namely Gongzhuling (GZL), Changping (CP), Urumqi (Urum), Yangling (YL),  
115 Zhengzhou (ZZ), Hangzhou (HZ), Beibei (BB) and Qiyang (QY). These long-term  
116 experiments with consistent fertilizer and manure comparative trials represent the most  
117 important agro-ecosystems, crop species, and agricultural practices in China (Tang et

118 al., 2008).

119

120 The fertilizer and manure treatments in this study include (1) control with no fertilizer  
121 or manure application (CK), (2) chemical N, P and potassium (K) fertilizers (NPK), (3)  
122 chemical N and K (NK), and (4) NPK with animal manure (NPKM). Data on location,  
123 climate, crop rotation, and crop species for each site are listed in Table 1. The types and  
124 application rates of N, P, K chemical fertilizer and manure are listed in Table 2.

125

126 Other agricultural management practices also vary across sites. Soil tillage is conducted  
127 once or twice a year (YL, once before wheat planting; GZL and QY, once shortly after  
128 crop harvest) (Liang et al., 2016). The depth of tillage is 15-20 cm in all sites, except  
129 for ZZ where the soil is tilled to a depth of 30 cm. Irrigation is by flooding, while the  
130 amount of irrigation water differs by site and crop. Rice is transplanted in site HZ and  
131 BB, which is a common technique in China, whereby seedlings are raised in nursery  
132 beds and transplanted to the field after 1 to 2 months. A wheat-maize intercropping  
133 system is used in QY. Winter wheat is planted between 5<sup>th</sup> and 11<sup>th</sup> of November and  
134 harvested between 11<sup>th</sup> and 22<sup>nd</sup> of May, and maize is planted on 7<sup>th</sup> April and harvested  
135 on 20<sup>th</sup> of July (one month of overlap). Details on the crop rotations are provided in  
136 Table 3.

137

138 Soil samples are randomly taken from the topsoil (0-20 cm depth) from each plot in  
139 each site after harvest but before tillage (e.g. September-October). Five to ten core

140 samples with 5 cm diameter are taken in each plot and mixed thoroughly, air-dried and  
141 sieved (< 2mm) for soil pH analysis (1:1 v/v water) and further ground (< 0.25 mm) for  
142 other physiochemical analysis. Classical analytical methods are used to measure SOC  
143 (Walkley and Black, 1934), total nutrient (N, P, K) concentrations (Black et al.,  
144 1965;Murphy and Riley, 1962;Knudsen et al., 1982), available N and K (Lu, 2000) and  
145 available P (Olsen, 1954). The particle-size distribution and bulk density are also  
146 measured every year by classical analytical methods. Soil types at each site are  
147 classified based on United Nations Food Agriculture Organization (FAO) soil  
148 taxonomy system (FAO-Unesco, 1974).

149

## 150 **2.2 Description of EPIC**

### 151 **General**

152 EPIC is a process-based agro-ecosystem model providing tools for simulating crop  
153 growth and SOC dynamics with a daily time step. It includes modules representing crop  
154 growth, weather, soil hydrology, soil temperature, nutrient and C cycling as well as crop  
155 management practices, including tillage, fertilization, and irrigation (Figure 2). It was  
156 developed by the USDA to assess the influence of agricultural activities on US soil and  
157 water resources (Sharpley and Williams, 1990) and has been continuously improved  
158 into the present comprehensive agro-ecosystem model. Here we use the version  
159 EPIC0810.

160

### 161 **Crop yield simulation**

162 The EPIC model uses one crop growth routine and a unified approach to simulate a

163 wide range of crops, which facilitates a consistent calibration procedure (Xiong et al.,  
164 2014). In the crop growth routine, potential daily crop growth is calculated based on  
165 the interception of photosynthetically active solar radiation, radiation-use efficiency  
166 and multiple crop parameters, such as leaf area index. The potential daily increase in  
167 biomass estimated by the approach presented by Monteith et al. (1977) is corrected for  
168 water stress, N and P availability, temperature, soil aeration, and salinity and aluminum  
169 stresses to arrive at actual daily yield. At maturity, crop dry-matter yield is calculated  
170 from the above-ground biomass and the crop specific harvest index (Williams et al.,  
171 1989). Fresh matter is calculated by using a moisture content of 14% (Bessembinder et  
172 al., 2005).

173

#### 174 **SOC simulation**

175 EPIC provides a comprehensive module to simulate dynamics of soil organic C and N,  
176 interacting with soil moisture, temperature, tillage, soil density, erosion, and leaching  
177 (Izaurrealde et al., 2006). Carbon from aboveground crop residues, root material, and  
178 organic amendments is added to the soil surface or belowground, and transformed into  
179 soil organic C and N compartments based on lignin and N contents. Soil organic C and  
180 N are allocated to three pools as in the Century model (Parton et al., 1983), i.e. microbial  
181 biomass, slow humus, and passive humus with different turnover times (days or weeks  
182 for microbial biomass to hundreds of years for passive organic matter) (Izaurrealde et al.,  
183 2006).

184

## 185 **2.3 Input data**

186 Weather data required by EPIC was obtained from the China Meteorological Data  
187 Sharing Service System (<http://cdc.cma.gov.cn/home.do>) from 1990 to 2000. For each  
188 site, daily solar radiation ( $\text{MJ m}^{-2}$ ), maximum and minimum air temperature ( $^{\circ}\text{C}$ ) and  
189 precipitation (mm) were collected from the nearest meteorological station. Potential  
190 heat units (PHU) required by crops to reach maturity were calculated based on the  
191 planting and harvest dates and the weather data during the growing period.

192

193 When the long-term field trials started, the soil profile was described at each site and  
194 soil samples were taken from each horizon. The number of horizons varied from 3 to 7  
195 depending on the site. Basic physical soil properties, including horizon thickness,  
196 topsoil clay content, bulk density, soil water content at field capacity, saturated  
197 hydraulic conductivity, and soil texture were measured, as well as basic chemical soil  
198 properties for all soil horizons, including initial soil organic matter content, total N, P  
199 and K content, alkali-hydrolyzable N, available P and K, pH, and cation exchange  
200 capacity (CEC). The initial soil profile data (Table 4) was used as inputs for EPIC.  
201 Besides, topsoil samples (0-20 cm depth) were collected to analyze SOC, plant nutrients  
202 (total N, P, K, alkali-hydrolyze N, and available P and K), pH, and soil physical  
203 properties (field capacity, soil porosity, and bulk density) every year after harvest, but  
204 before tillage (Ma et al., 2009; Zhang et al., 2010).

205

206 Annual grain yield and shoot biomass were also recorded, as well as management

207 practices including tillage, fertilization, sowing, irrigation, and harvesting. According  
208 to the standard management plan from 1989, the same management practices (with only  
209 minor changes according to the local weather) were performed every year, so the time  
210 series represent the long-term effects of every single variable. Based on the  
211 experimental management records, the corresponding crop operation schedules were  
212 designed in EPIC for each treatment and site, including sowing and planting, tillage,  
213 fertilizing, irrigation and harvesting operations.

214

## 215 **2.4 Model calibration, validation, and evaluation**

### 216 **Model calibration and validation**

217 Model simulations were set-up based on the historical crop rotations and farm practices'  
218 investigation from the monitoring sites. For each site, crop yield and SOC of individual  
219 treatments for the period 1990-1996 and 1997-2000 were used to calibrate and validate  
220 the model, respectively. The eight monitoring sites represent different cropping systems  
221 including different species (maize, winter wheat, spring wheat, barley, early rice and  
222 late rice) and crop rotation. Minor adjustments to the default crop parameters provided  
223 by EPIC developers were made to describe local crop cultivars more appropriately  
224 (Table 5). The optimal temperature for crop growth, harvest index (HI), maximum crop  
225 height and PHU were modified according to local crop species information. The PHU  
226 values were estimated by fitting the heat unit index (HUI) to reach ~100%, assuming  
227 that crops were harvested at maturity, and taking a post-maturity drying on the field into  
228 account. HUI is defined as a fraction of PHU when operations occur during the growing

229 season, and it ranges from 0 at sowing or planting to 100% at maturity (Wang et al.,  
230 2012). For crop varieties, such as early rice, late rice and barley in Southern China, the  
231 HI and the energy conversion ratio (WA) were adjusted (Table 5). We used the  
232 Hargreaves method to calculate potential evapotranspiration, with small adjustments to  
233 its default parameterization in order to match the observations in different climatic  
234 regions (Liu et al., 2016a). The original parameterization of organic C and N routine as  
235 proposed by Izaurralde et al. (2006) was used, with small parameter adjustments. The  
236 adjustments were summarized in Table 5.

237

### 238 **Model statistical evaluation**

239 The agreement between modeled and measured data was evaluated by the normalized  
240 root mean square error (*NRMSE*), which represents the (normalized) relative size of the  
241 average difference between observations and model (Equation 1) (Willmott, 1982). The  
242 *NRMSE* values  $\leq 50\%$  indicate acceptable model performance (Beusen et al., 2015).

$$243 \quad NRMSE = \frac{100}{\overline{M}} \sqrt{\frac{\sum_{i=1}^n (M_i - S_i)^2}{n}} \quad (1)$$

244 Where  $S_i$  and  $M_i$  are simulated and measured values in the  $i$ -th realization,  
245 respectively.  $n$  is the number of values and  $\overline{M}$  is the average value of measurements.

246

### 247 **Variance partitioning analysis**

248 The variance partitioning analysis (VPA) is a common method in ecology used to  
249 determine how independent factors explain the variance in a dependent variable. In this  
250 study, we used VPA to study the contribution of soil (S), climatic (C), and fertilization

251 (F) factors and their interactions to crop yield and SOC variance. Soil factors considered  
252 are total nitrogen, phosphorus, potassium (TN, TP and TK respectively, all in  $\text{g kg}^{-1}$   
253 soil), available N, P, K (AN, AP and AK respectively, in  $\text{g kg}^{-1}$  soil), pH and soil bulk  
254 density (BD in  $\text{g cm}^{-3}$ ) from 1990 to 2000 for all treatments (NPK, CK, NK and NPKM)  
255 and all eight sites. Climate factors include mean annual temperature (MAT, in  $^{\circ}\text{C}$ ) and  
256 mean annual precipitation (MAP, in cm) from 1990 to 2000 for each treatment and site.  
257 Fertilization factors are fertilizer N, P and K (in  $\text{kg ha}^{-1}$ ) together with manure N and P  
258 (MN and MP) inputs from 1990 to 2000 for each treatment and site. The soil, climatic  
259 and fertilization factors are the independent factors, while crop yield together with SOC  
260 is the dependent factors in this analysis. All statistical analyses were carried out using  
261 R version 3.2.2 (R Core Team, 2014). The VPA analysis was calculated using the Vegan  
262 package in R (Legendre and Legendre, 2012). The significant level is set at  $P < 0.05$   
263 throughout the study.

264

## 265 **3. Results**

### 266 **3.1 Effect of long-term fertilization on crop yield**

267 For both single- and double-cropping systems, the annual crop yields in plots with  
268 fertilizer application exceed those in the treatments without fertilizers. Among all sites,  
269 the lowest average annual yields are measured in control plots (CK,  $3.0 \text{ t ha}^{-1}$  for maize,  
270  $1.3 \text{ t ha}^{-1}$  for wheat,  $2.39 \text{ t ha}^{-1}$  for barley and  $3.7 \text{ t ha}^{-1}$  for rice), while the highest yields  
271 are observed under NPKM treatments ( $6.6 \text{ t ha}^{-1}$  for maize,  $4.3 \text{ t ha}^{-1}$  for wheat,  $3.9 \text{ t ha}^{-1}$   
272  $^1$  for barley and  $5.4 \text{ t ha}^{-1}$  for rice) (Figure 3). The annual average crop yield under NPK

273 is the second highest, with 6.4 t ha<sup>-1</sup> for maize, 4.2 t ha<sup>-1</sup> for wheat, 3.4 t ha<sup>-1</sup> for barley  
274 and 5.2 t ha<sup>-1</sup> for rice, while the yield under the NK treatment is 5.2 t ha<sup>-1</sup> for maize, 2.0  
275 t ha<sup>-1</sup> for wheat, 3.2 t ha<sup>-1</sup> for barley and 4.9 t ha<sup>-1</sup> for rice (Figure 3). P fertilizer can  
276 help to improve the crop yield at all sites and wheat is more sensitive to P fertilizer  
277 application among all the crops.

278

279 There is large inter-annual variability under the same treatment, which is mainly caused  
280 by precipitation during the growing season (Figure 4). For some sites, yield and  
281 precipitation are not correlated, mainly due to irrigation (e.g. site Urum). For the same  
282 crop, there is also a large spatial heterogeneity among different sites. For example, the  
283 yield of maize in GZL (annual average of 8.9 t ha<sup>-1</sup> for NPK, 4.0 t ha<sup>-1</sup> for CK, 8.4 t ha<sup>-1</sup>  
284 t ha<sup>-1</sup> for NK and 8.4 t ha<sup>-1</sup> for NPKM) is significantly higher than in other sites, while QY  
285 (annual average of 4.0 t ha<sup>-1</sup> for NPK, 0.4 t ha<sup>-1</sup> for CK, 1.7 t ha<sup>-1</sup> for NK and 4.6 t ha<sup>-1</sup>  
286 for NPKM) has the lowest yield due to the low soil pH (Table 1; Figure 3).

287

### 288 **3.2 Effect of long-term fertilization on SOC**

289 Manure application leads to significant increases of SOC. The average the SOC content  
290 from 1990 to 2000 for all sites under four treatments under NPKM is 31 t C ha<sup>-1</sup>, 27 t  
291 C ha<sup>-1</sup> for NPK, 26 t C ha<sup>-1</sup> for NK, and 25 t C ha<sup>-1</sup> for CK (Figure 5). The SOC content  
292 under manure treatment is the highest, and the plots with inorganic fertilizers have  
293 higher SOC than the control plots. In addition, SOC under NPKM treatment  
294 demonstrates the largest increase (27 to 36 t C ha<sup>-1</sup> from 1990 to 2000). Under NPK

295 treatment, SOC increases from 26 t C ha<sup>-1</sup> in 1990 to 30 t C ha<sup>-1</sup> in 2000, while the SOC  
296 increase under NK is small (increase from 27 t C ha<sup>-1</sup> to 29 t C ha<sup>-1</sup> during 1990-2000).  
297 SOC remains relatively stable under CK (increase from 25 to 26 t C ha<sup>-1</sup>). The SOC in  
298 northern sites (GZL, CP, Urum, YL, and ZZ) appeared more variable than that in the  
299 southern sites(HZ, BB, and QY). SOC increases under all fertilization treatments during  
300 the entire period at GZL, CP, YL, and BB, while it decreases at Urum under NPK, CK,  
301 and NK treatments. SOC in QY is relatively stable under NPK, CK and NK. The values  
302 of SOC observed in HZ and ZZ demonstrate large variation among different treatments.

303

### 304 **3.3 Modelling Crop yield and SOC**

305 EPIC adequately simulates crop yields under all treatments. The modeled and measured  
306 crop yields show a good agreement with *NRMSE* equals 32% and 31% for calibration  
307 and validation subsets, respectively (Figure 3 and Figure 6). A detailed statistical  
308 evaluation shows that the modeled crop yields agree satisfactorily with the observations  
309 for all treatments and sites (Figures 3 and Figure 6). For QY, soil pH was 5.7 in 1990  
310 and it decreased significantly in the following years. After 11 years, the pH values under  
311 NPK, CK, and NK are 4.7, 5.6 and 4.7, respectively (Cai et al., 2011). The decline of  
312 soil pH leads to the overall yield decline of wheat and maize (Cai et al., 2011).

313

314 The EPIC model properly simulates the SOC dynamics in all treatments (Figure 5 and  
315 Figure 7). For all sites, the *NRMSE* between measured and modeled SOC is 13% for  
316 the calibration subset, and 19% in the validation subset. The modeled SOC values

317 demonstrate lower variation compared to the observed values (Figure 5). Both modeled  
318 and measured SOC show a slight increase in plots with organic and inorganic fertilizer  
319 and a declining trend in most plots under the CK treatment.

320

### 321 **3.4 The proportional contributions to crop yield and SOC variations**

322 Among all fertilization treatments and experimental sites, 80% of the total variability  
323 in crop yield can be explained by soil, climate and fertilization factors and their  
324 interactions ( $P < 0.05$ ). The three individual factors alone explain 10%, 10%, and 42%  
325 respectively (Figure 8a). The fertilization factor has the largest contribution (42%). The  
326 interactions between soil, climate and fertilization factors explain 2%, 5%, and 2% of  
327 the crop yield variability. The overall interactive contribution of all three factors  
328 together is 9% (Figure 8a).

329

330 Almost 89% of the total variance in SOC can be explained by soil, climate and  
331 fertilization factors and their interactions (Figure 8b). In contrast to the significant  
332 contribution of fertilization to the crop yield variance, the SOC variability caused by  
333 fertilization alone (1%) is substantially smaller than that explained by the soil (8%) and  
334 climate factors (9%). The overall interactive influence of the three factors together  
335 shows the largest contribution to the variance in SOC (32%), followed by the interactive  
336 contribution between soil and climate factors (30%). The total variance explained by  
337 the interactions between soil and fertilization factors is 6% (Figure 8b).

## 338 **4. Discussion**

### 339 **4.1 Influence of fertilization on crop yield and SOC**

340 Application of mineral fertilizers and manure can lead to increasing SOC and crop yield.  
341 Our results show that the yield and SOC under NPKM management are the highest,  
342 followed by NPK, NK, and CK. Soil carbon sequestration is a homeostasis process  
343 related with SOC decomposition and carbon input from crop roots, straw, and manure.  
344 Manure application leads to significant enhancement of SOC, which confirms other  
345 field experiments and studies (Zhang et al., 2015;Jiang et al., 2014;Hua et al.,  
346 2016;Zhang et al., 2016b).The massive C inputs from manure can contribute greatly to  
347 SOC. Furthermore, manure application is an important source of soil N and P which  
348 can reduce the N and P constrains on crop growth and SOC build-up (Stewart et al.,  
349 2009;Zhang et al., 2009). During the past decades inorganic fertilizers have been used  
350 to enhance crop yields in China. While crop yields increased largely over this period,  
351 SOC stocks changed slightly. There is no obvious increase in SOC under CK and NK  
352 treatments, which is consistent with other research (Goyal et al., 1992;Su et al.,  
353 2006;Liu et al., 2013;Zhang et al., 2010). Under non-fertilization and unbalanced  
354 fertilization, the soil nutrient availability is generally low and limiting to crop growth,  
355 leading to low productivity and carbon input from roots (Su et al., 2006;Jagadamma et  
356 al., 2008). SOC may even decrease when carbon input is less than SOC loss. In addition,  
357 under CK, SOC is depleted due to nutrient withdrawal during continuous cropping  
358 (Manna et al., 2007). In contrast, manure applications combined with inorganic  
359 fertilizers can lead to SOC increase by 30% to 40% while still stimulating crop yields

360 (Jiang G, 2017).

361

362 N and P are the major limiting nutrients in crop production. The yield under NPK is  
363 comparable to that from NPKM because nutrients are readily released from mineral  
364 fertilizer to stimulate crop growth. Without P application, the yield of some sites (e.g.  
365 YL and ZZ) decreased rapidly while yields remained relatively stable in some other  
366 sites (GZL, Urum, and HZ), which is probably related to P limitation (Syers et al., 2008).  
367 For the CK treatment, there is no fertilizer input and nutrients supply depends solely on  
368 basic soil fertility. Although manure addition and chemical fertilizers can lead to an  
369 increase of crop yield and SOC stock in the soil, the application rate and management  
370 of organic and chemical fertilizer still need to be optimized to reduce environmental  
371 cost, especially for the manure management in China (Ju et al., 2009).

372

#### 373 **4.2 Performance of the EPIC model**

374 The EPIC model can accurately simulate crop yield and soil C dynamics in cropland of  
375 China. Wang et al. (2010) applied the EPIC model to study the upland soils in the Loess  
376 Plateau of China and reported that the crop yield simulation agreed well with the  
377 measured experimental data. Liu et al. (2007) used the EPIC model to study the  
378 irrigation effect on winter wheat yield and crop water productivity in China. EPIC was  
379 also used to explain historical changes in soil organic carbon stocks in the Roige  
380 wetland of China by Ma et al. (2016).

381

382 The complex crop management in China imposes additional requirements for EPIC.

383 There are several reasons for disagreement between model and observations. Firstly,  
384 the simulated SOC represents the modeled SOC content at the end of the year, while  
385 observations refer to a specific sampling date. Secondly, rice transplanting is a common  
386 practice in China. However, the EPIC model does not include this practice and it  
387 simulates crop growth from sowing, which leads to a delayed biomass accumulation by  
388 one to two months compared to transplanting, leading to underestimation of rice yields  
389 by EPIC. Thirdly, soil acidification is one of the most important factors limiting nutrient  
390 uptake and crop yields (Zhang et al., 2008). For site Qiyang (QY), the pH of the local  
391 red soil has significantly decreased after long-term fertilization. In 1990, the pH was  
392 5.7 while a significant decrease of pH can be detected among treatments with inorganic  
393 fertilizer after three years. After long-term fertilization, in 2000, the pH of NPK, CK,  
394 and NK were only 4.7, 5.6 and 4.7 (Cai et al., 2011; Qu et al., 2014). Soil pH would  
395 completely inhibit wheat and corn growth if the value declined to less than pH 4.2  
396 (Zhang et al., 2008). Currently, the significant crop yield reduction caused by soil  
397 acidification within the observed range of pH is not adequately modeled by EPIC,  
398 which explains why modeled yields exceed observations (site QY, see Figure 3).  
399 Further model development remains desirable to incorporate the complex effects of pH  
400 on crop yield and soil nutrient availability.

401

402 Other discrepancies between observations and model simulation may be related to the  
403 impact of crop diseases, insect outbreaks and hail, which are not considered and  
404 modeled by the EPIC model. Currently, only water, soil nutrients, temperature, soil

405 aeration, salinity and aluminum stresses are included.

406

### 407 **4.3 The relationship between crop yield and SOC**

408 Crop yields show a good correlation with SOC, especially under the CK treatment. In  
409 this case, the crop yield mainly depends on soil fertility to supply the required mineral  
410 nutrients (Zhang et al., 2016a; Yan and Wei, 2010). However, crop yields show larger  
411 variation than SOC, mainly arising from seasonal variation and agronomic practices.  
412 SOC varies mainly by simultaneously changing the balance between organic matter  
413 addition and SOC decomposition (Li et al., 2003; Wang et al., 2010). Both processes are  
414 regulated by the primary drivers, i.e., climate, soil properties, crop type, and farming  
415 practices, including tillage and crop rotation systems and inputs from crop residue  
416 incorporation and manure application (Hernanz et al., 2002; West and Post, 2002; Fei et  
417 al., 2009).

## 418 **5. Conclusion**

419 This study analyzes the effects of diverse fertilization practices on crop yield and SOC  
420 in China based on long-term field experiments, modelling with the EPIC model, and  
421 VPA analysis. The highest and lowest (30%-50% of NPK yield) crop yield and SOC  
422 content were found under the NPKM and CK treatment, respectively. The SOC showed  
423 a large spatial variability across eight experimental sites in China and that in Northern  
424 sites appeared more dynamic than in southern sites. SOC content increased at  
425 Gongzhuling (GZL), Changping (CP), Yangling (YL), and Beibei (BB) under all  
426 fertilization treatments while it decreased at Urumqi (Urum) under NPK, CK and NK

427 treatments. The fertilization factor explains most of the crop yield variability (42%)  
428 while the SOC variance was largely determined by the interaction of soil and climate  
429 factors (32%).

430

431 EPIC simulations adequately describe crop yields and SOC dynamics under a range of  
432 long-term fertilizer management across different regions, cropping systems and  
433 weather conditions of China. Improving EPIC model to accurately simulate rice-  
434 transplanting and soil acidification would lead to a closer agreement between model  
435 and observed changes.

436

437 A close coupling of long-term field experiments with bio-physical process modelling is  
438 a useful approach to summarize experimental data, improve our understanding of the  
439 influence of fertilization on soil properties such as SOC and crop production, optimize  
440 fertilizer application rates and maintain soil fertility, and extrapolate the results to  
441 regions where experimental farms are lacking.

442

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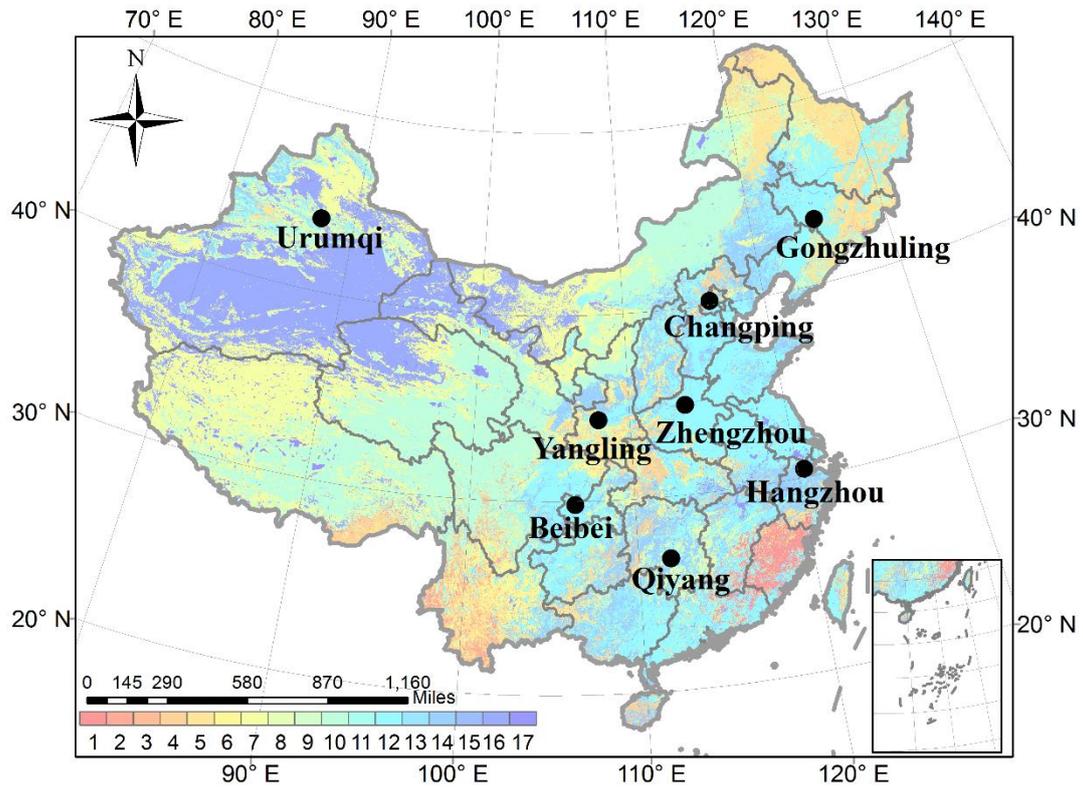


Figure 1. The eight experimental sites of the National Soil Fertility and Fertilizer Effects Long-term Monitoring Network, including Gongzhuling (GZL) in Jilin Province, Changping (CP) in the Beijing City area, Urumqi (Urum) in Xinjiang Province, Yangling (YL) in Shaanxi Providence, Zhengzhou (ZZ) in Henan Province, Hangzhou (HZ) in Zhejiang Province, Beibei (BB) in the Chongqing City area, and Qiyang (QY) in Hunan Province. The background map is the 1 km resolution MODIS land cover data with the IGBP classification scheme.

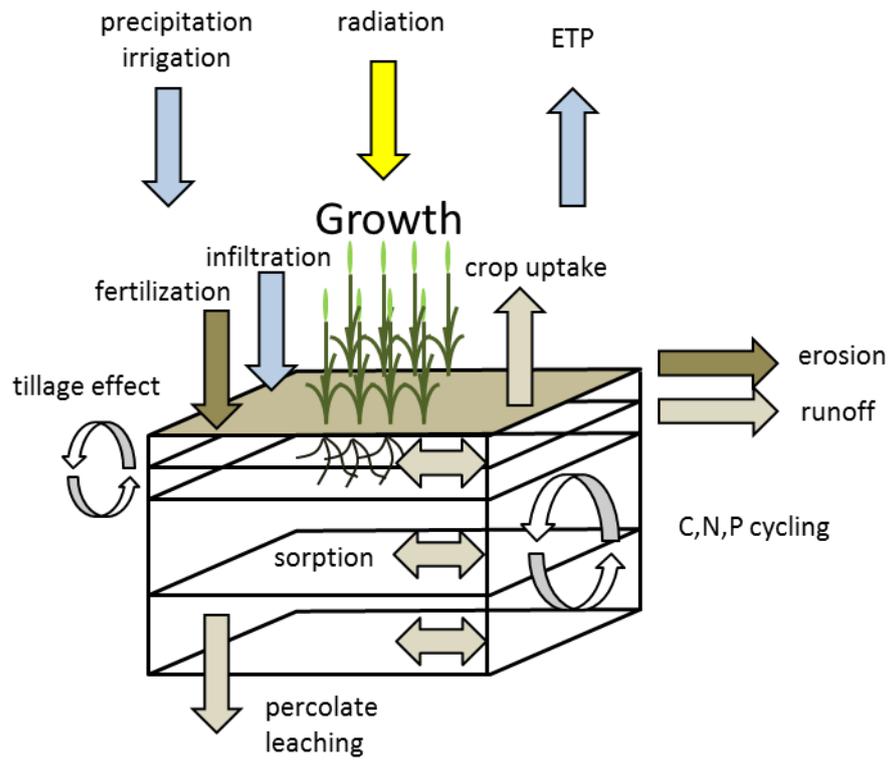


Figure 2. Schematic representation of the EPIC model (based on Williams et al. (1989)).

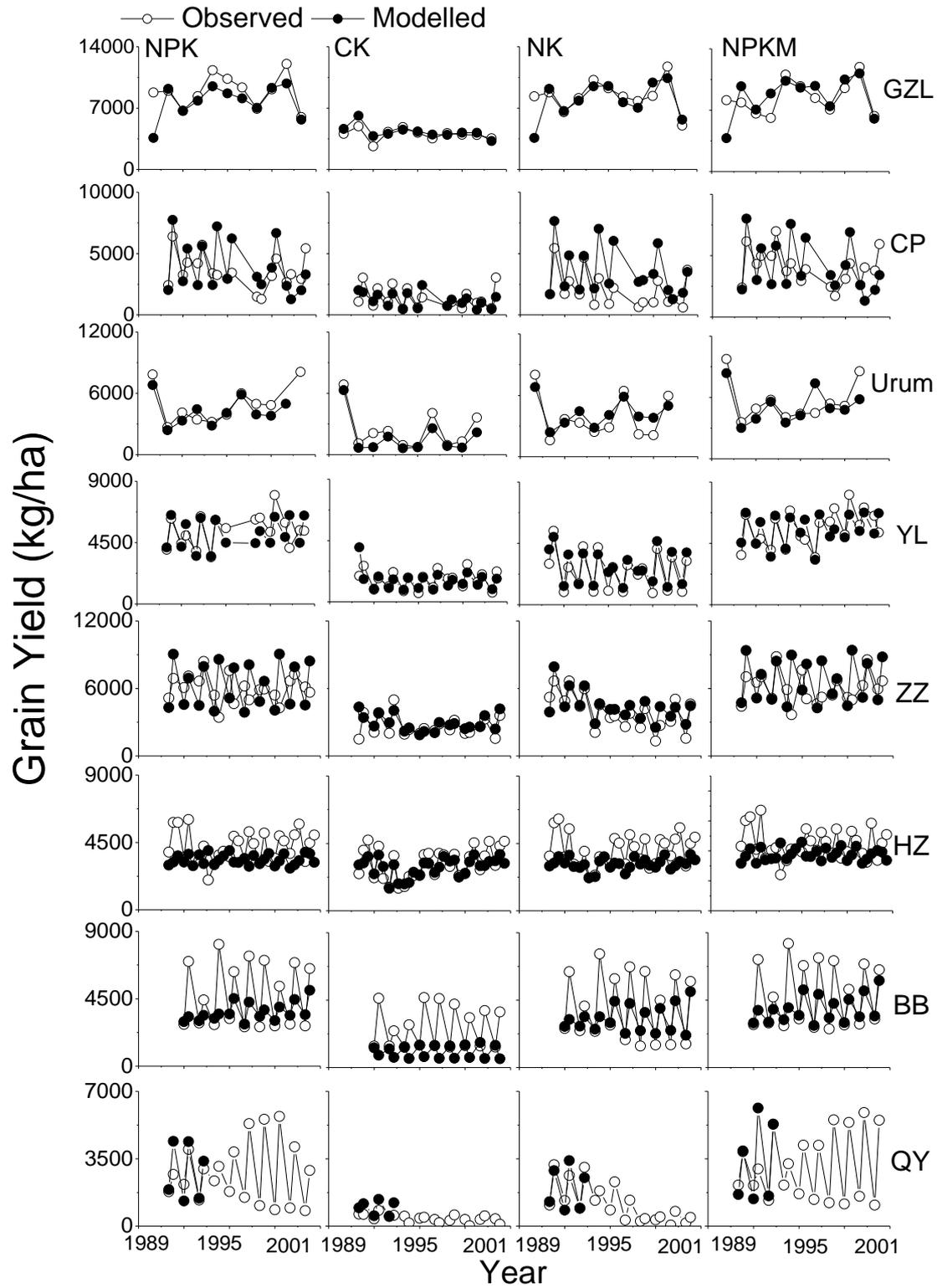


Figure 3. Observed and simulated crop yield for the eight experimental sites (see Figure 1 and Table 1) for the period 1990 to 2000. Sites may have mono- (e.g. GZL), double (e.g. YL) or triple cropping (Urum). Each dot represents one crop.

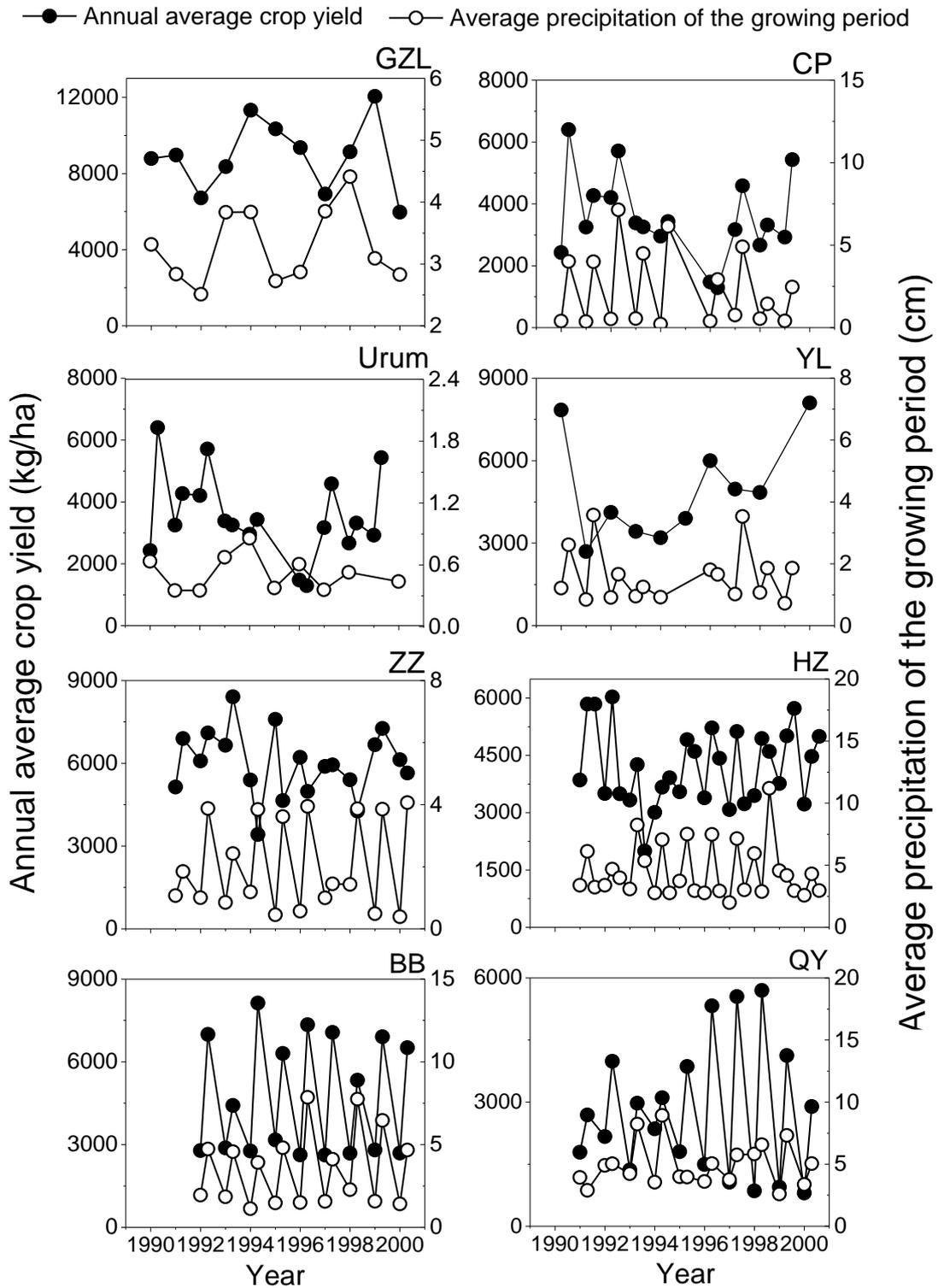


Figure 4. Annual crop yield (left) and average precipitation during the growing period (right) for the NPK treatment for the 8 experimental sites during the period 1990 to 2000.

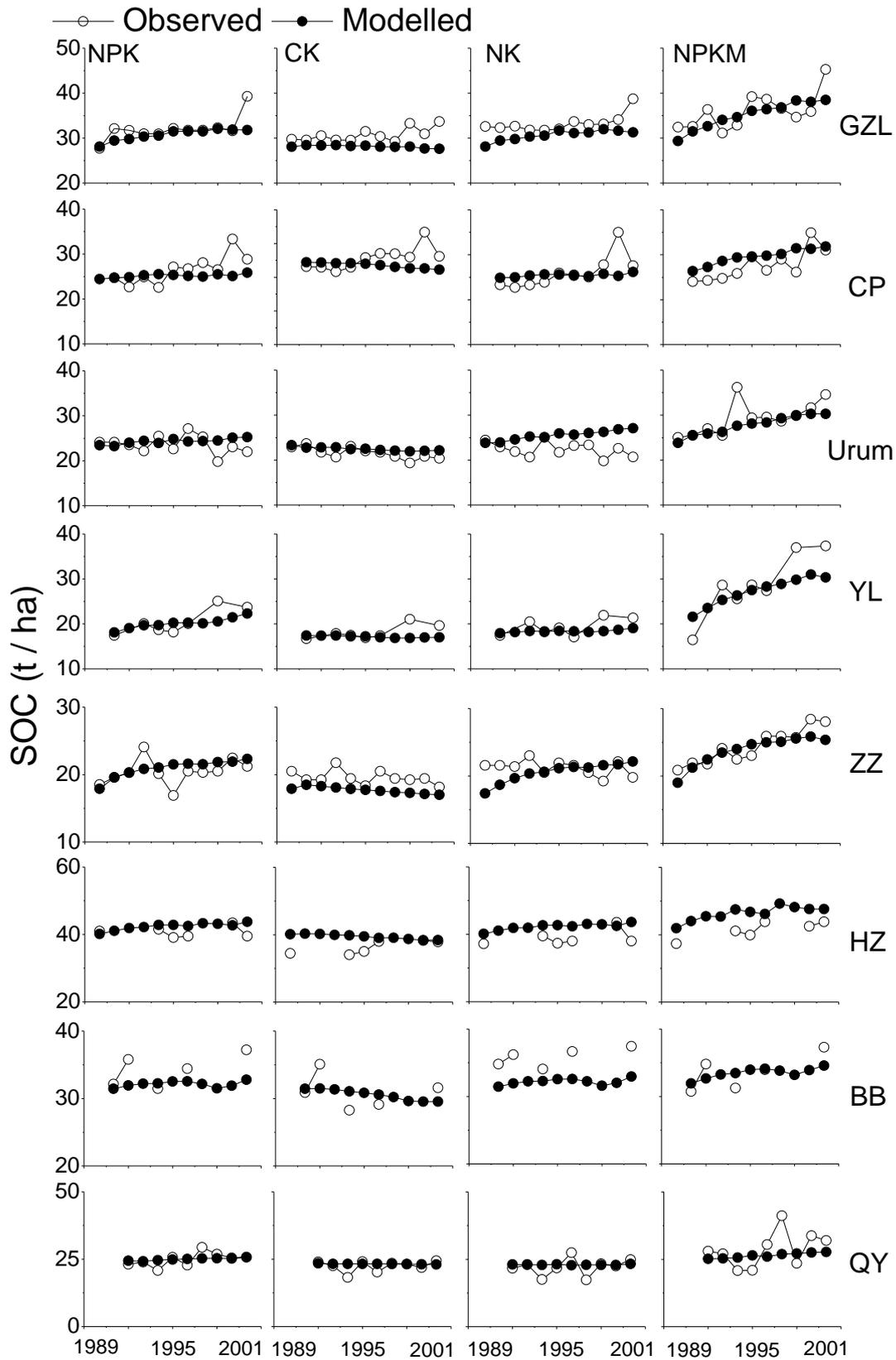


Figure 5. Observed and simulated SOC for the 8 experimental sites (see Figure 1 and Table 1) for the period 1990 to 2000. Sites may have mono- (e.g. GZL), double (e.g. YL) or triple cropping (e.g. Urum). Each dot represents one crop.

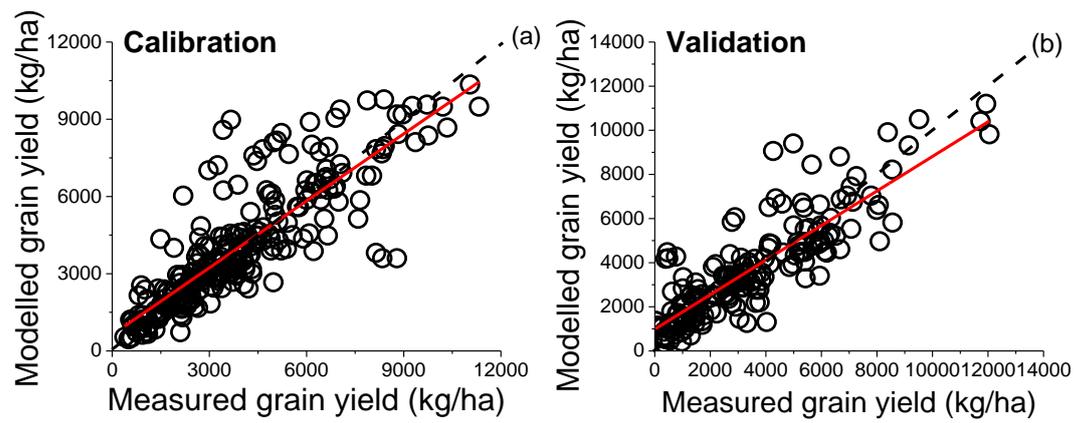


Figure 6. Observed and simulated crop yield for the 8 experimental sites and all treatments (a) results of the calibration period (1990-1996) and (b) validation period (1997-2000).

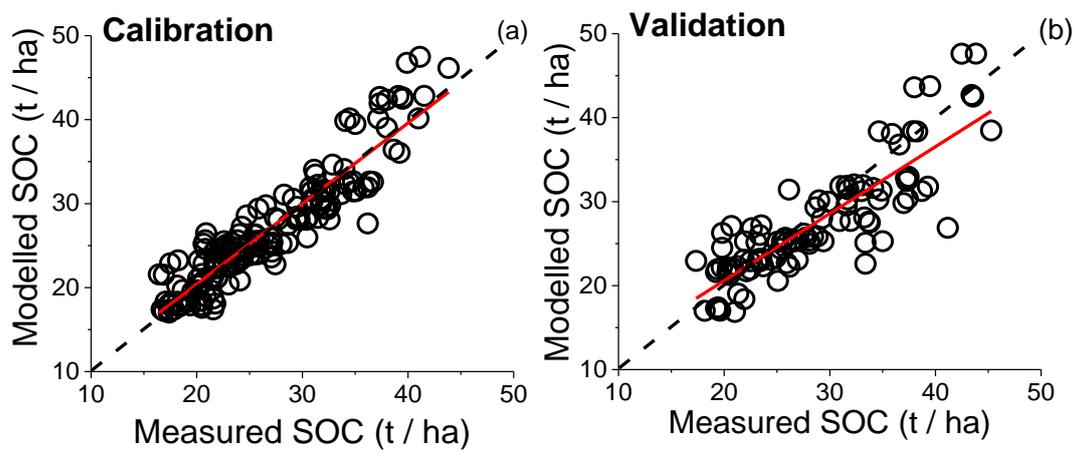


Figure 7. Observed and simulated SOC for the 8 experimental sites and all treatments. (a) results of the calibration period (1990-1996) and (b) validation period (1997-2000).

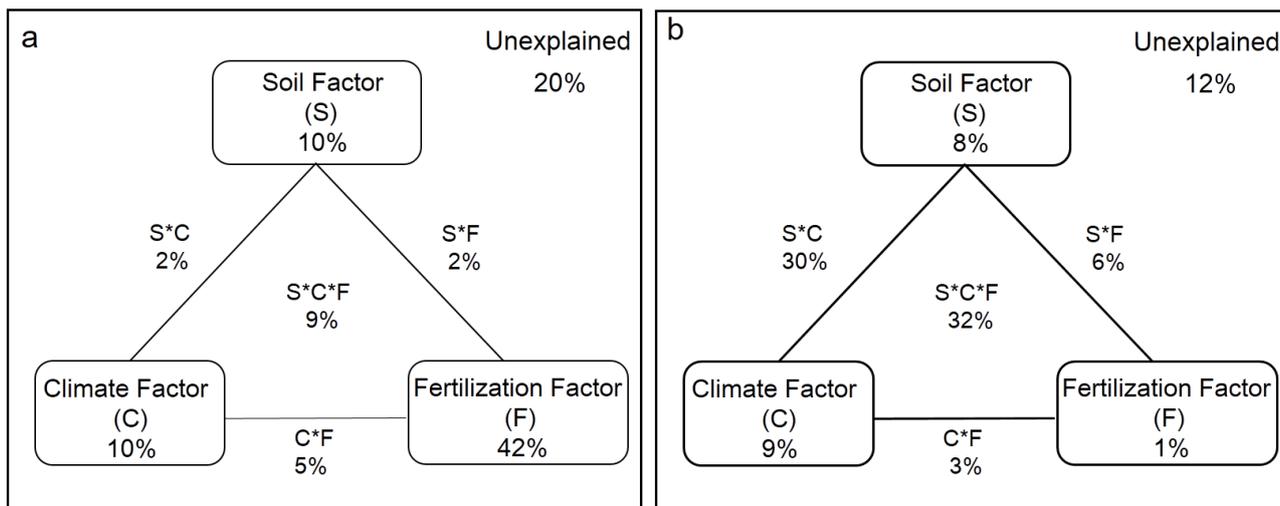


Figure 8. The contribution (%) of the independent factors soil , climate, and fertilization and their interaction on the variability of (a) crop yield and (b) SOC at the eight long-term field experiments in China, based on the variance partitioning analysis (VPA) conducted among four fertilization treatments (NPK, NK, CK and NPKM) from 1990 to 2000. S\*F indicates the interactive contribution of soil factor (S) and climate factor (C) and S\*C\*F mean the overall interactive contribution of the three factors.

Table 1. Location, climate, crop rotation, and crop species of the 8 long-term fertilization experimental sites in China.

Name <sup>a</sup>	Location	Climate <sup>b</sup>	Mean annual Precipitation (mm)	Mean annual Temperature (°C)	Crop Rotation <sup>c</sup>	Crop Species
GZL	43.51° N, 124.81° E	MT-SH	550	5	M	Danyu 13, Jidan 222, Jidan 209
CP	40.21° N, 116.2° E	WT-SH	529.7	13	WM	Tangkang 5(M); 8693(WW) SC-0704(M);
Urum	43.94° N, 87.47° E	MT-SA	241.7	7.6	MWW	Xinchun 2(SW); Xindong 17(WW) Shandan 9, Shan 902(M); Xiaoyan 6, Shan 229, Shan 253(WW)
YL	34.3° N, 108.01° E	WT-SA	525	13.8	WM	Zhengdan 8(M); Linfen 7203, Zhengzhou 941, Yumai 47 (WW) Zhenong 3(B) Fuxuan 6(ER) Yuanjing 4(LR) Shanyou 63, Eryou
ZZ	34.78° N, 113.66° E	WT-SH	645.6	14.8	WM	6078(R); Xinongmai 1(W)
HZ	30.43° N, 120.42° E	SN-ST	1550	16	BRR <sup>d</sup>	Yedan 13(M) Xiangmai 1(WW)
BB	29.81° N, 106.41° E	SN-ST	1544.8	18.3	WR <sup>d</sup>	
QY	26.75° N, 111.88° E	SN-ST	1407.5	18.1	WM <sup>d</sup>	

<sup>a</sup> Locations are indicated in Figure 1. GZL: Gongzhuling in Jilin province; CP: Changping in Beijing city; Urum: Urumqi in Xinjiang province; YL: Yangling in

Shaanxi province; ZZ: Zhengzhou in Henan province; HZ: Hangzhou in Zhejiang province; BB: Beibei in Chongqing city; QY: Qiyang in Hunan province.

<sup>b</sup> MT = mild temperate; SH = semi-humid; SA = semi-arid; A = arid; WT = warm temperate; SN = subnormal; ST = subtropical

<sup>c</sup> M: single-cropping, maize; WM: double-cropping, winter wheat/maize annually; MWW: triple cropping, maize/spring wheat/winter wheat annually; BRR: triple cropping, barley/early rice/late rice annually; WR: double-cropping, winter wheat/rice annually.

<sup>d</sup> Rice transplanting is the dominant practice in Hangzhou (HZ) and Beibei (BB). Intercropping is dominant in Qiyang (QY).

Table 2. The types and application rates of chemical fertilizer and manure of the long-term fertilization experiments at the eight experimental sites<sup>a</sup>.

Site	N fertilizer		P fertilizer		K fertilizer		Manure			
	Type	N (kg/ha)	Type	P (kg/ha)	Type	K (kg/ha)	Type	N (g/kg)	P (g/kg)	K (g/kg)
GZL	Urea	165(maize)	superphosphate	36.02	K <sub>2</sub> SO <sub>4</sub>	68.48	Pig manure	5.0	1.8	4.1
CP	Urea	150(winter wheat)	superphosphate	32.75	KCl	37.35	Pig manure	8.0	1.0	2.5
Urum	Urea	150(maize) 241(maize) 241(spring wheat)	superphosphate	32.75	K <sub>2</sub> SO <sub>4</sub>	37.35	Sheep manure	3.1	1.1	2.7
YL	Urea	165(winter wheat) 187.5(maize)	superphosphate	60.25	K <sub>2</sub> SO <sub>4</sub>	48.14	Cattle manure	11.5	5.7	9.0
ZZ	Urea	99+66(winter wheat) 112.5+75(maize) 75(barley)	superphosphate	60.25	K <sub>2</sub> SO <sub>4</sub>	48.14	Horse manure	11.5	5.7	9.0
HZ	Urea	150(early rice) 150(late rice)	superphosphate	57.63	KCl	68.48	Pig manure	5.5	2.5	2.9
BB	Urea	90+60(wheat) 90+60(rice)	superphosphate	65.49	K <sub>2</sub> SO <sub>4</sub>	77.85	Farmyard manure	2.0	0.7	1.8
QY	Urea	90(winter wheat) 210(rice)	superphosphate	36.02	KCl	68.48	Pig manure	5.5	2.5	2.9

<sup>a</sup> For the location and names of sites see Figure 1 and Table 1.

Table 3. Crop sequence<sup>a</sup> recorded at the eight experimental sites during the period 1990-2000

Site <sup>b</sup>	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000
1. GZL	M	M	M	M	M	M	M	M	M	M	M
2. CP		WM									
3. Urum	M	SW	WW	M	SW	WW	M	SW	WW	M	M
4. YL		WM	WM	WM	WM	W		WM	WM	WM	WM
5. ZZ		WM									
6. HZ (Transplanting)		BRR									
7. BB (Transplanting)			WR								
8. QY (Interplanting)		WM									

<sup>a</sup> M: single-cropping, maize; WM: double-cropping, winter wheat/maize annually; SW: spring wheat; WW: winter wheat; BRR: triple cropping, barley/early rice/late rice annually; WR: double-cropping, winter wheat/rice annually. Missing data were inserted by extending the sequence from previous and following years.

<sup>b</sup> For the location and names of sites see Figure 1 and Table 1.

Table 4. Initial soil physical and chemical properties for the topsoil (0-20cm) at the 8 experimental sites during the first survey.

Site <sup>a</sup>	Soil Classification in FAO	pH	Clay (%)	Bulk Density (g cm <sup>-3</sup> )	Soil Porosity (%)	Soil Organic Matter (g kg <sup>-1</sup> )	Total N (g kg <sup>-1</sup> )	Total P (g kg <sup>-1</sup> )
GZL	Luvic Phaeozems	7.6	31.1	1.19	53.39	22.8	1.34	0.546
CP	Haplic Luvisol	8.6	50	1.58	40.37	11.37	0.649	0.694
Urum	Haplic Calcisol	7.9	87	1.1	54.3	17.4	1.03	0.361
YL	Calcaric Regosol	8.9	51.6	1.35	49.62	10.8	0.897	0.637
ZZ	Calcaric Cambisol	8.3	10	1.55	42.1	11.26	0.699	0.733
HZ	Hydragric Anthrosol	6.0	20	0.67	nd <sup>b</sup>	27.4	0.419	0.31
BB	Calcaric Regosols	8.7	34	1.21	nd <sup>b</sup>	16.57	0.757	0.546
QY	Eutric Cambisol	5.7	61.4	1.27	52.1	15.88	1.07	0.52

<sup>a</sup> For the location and names of sites see Figure 1 and Table 1.

<sup>b</sup>nd = no data

Table 5 . Key parameters of EPIC to simulate crop yield and SOC.

Parameter	Description	Range	1	2	3	4	5	6	7	8
PSP	Phosphorus sorption ratio	0.05-0.75	0.15	0.1	0.1	0.1	0.1	0.1	0.2	0.5
PARM (20)	Microbial decay rate coefficient	0.1-1.5	0.5	0.1	0.1	0.1	0.1	0.1	0.1	0.1
PARM (77)	Coefficient regulating p flux between labile and active pool	0.01-0.6	0.5	0.6	0.6	0.6	0.6	0.6	0.6	0.6
PARM (13)	Hargreaves PET equation coefficient	0.0023 - 0.0032	0.0023	0.0032	0.0021	0.0023	0.0023	0.0021	0.0022	0.0032
HI <sup>a</sup>	Harvest Index. The ratio of grain yield to the total biomass	0-1	M:0.5	M:0.5; WW 0.45	WW:0.45	M: 0.5; WW: 0.45	B,R: 0.45	WW: 0.45; R:0.5	WW: 0.45; R: 0.5	WW:0.45; M:0.5
TOPC <sup>a</sup>	Optimal temperature for plant growth (°C)		M:25	M:25; WW:15	M:22.5;S W:20;W W:15	M:25; WW:2 5	M:25; WW:1 5	B:15;R :25	WW:1 5;R:25	M:25;W W:15
HMX <sup>a</sup>	Maximum crop height (m)		M:2.8	M:2;W W:0.67 9	M:2;SW:0 .9;WW:1. 1	M:2.45	M:2;W W:0.82 5	B:0.90 5;R:1.0 6	WW:0. 86;R:1. 06	M:2.25; WW:0.8 5;

<sup>a</sup> M : maize; SW : spring wheat; WW : winter wheat; R: rice; ER: Early rice; LR : Late rice; B : barley.