# Analysis of stability to cheaters in models of antibiotic degrading microbial communities

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#### Abstract

Antibiotic resistance carried out by antibiotic degradation has been suggested recently as a new mechanism to maintain coexistence of microbial species competing on a single limiting resource, even in well-mixed homogeneous environments. Species diversity and community stability, however, critically depend on resistance against social cheaters, mutants that do not invest in production, but still enjoy the benefits provided by others. Here we investigate how different mutant cheaters affect the stability of antibiotic producing and degrading microbial communities. We consider two cheater types, production and degradation cheaters. We generalize the mixed inhibition-zone and chemostat models introduced previously (Kelsic et al., 2015) to study the population dynamics of microbial communities in well-mixed environment, and analyze the invasion of different cheaters in these models. We show that production cheaters, mutants that cease producing antibiotics, always destroy coexistence whenever there is a cost of producing these antibiotics. Degradation cheaters, mutants that loose their function of producing extracellular antibiotic degrading molecules, induce community collapse only if the cost of producing the degradation factors is above

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a critical level. Our analytical studies, supported by numerical simulations, highlight the sensitivity of antibiotic producing and degrading communities to loss-of-function mutants.

Keywords: rock-paper-scissors, social parasite, evolutionary instability, antibiotic-mediated microbiome, degradation resistance

#### 1. Introduction

Unraveling mechanisms that maintain high genetic and functional diversity

of microbial communities has become one of the most challenging problems in

4 theoretical and evolutionary ecology (Costello et al., 2012; Morris et al., 2012;

5 Cordero and Polz, 2014). A great variety of bacteria form stable communi-

6 ties in relatively homogeneous environments, competing for only a few limiting

resources (Hibbing et al., 2010), seemingly contradicting with the competitive

exclusion principle, which states that the number of species cannot be higher

than the number of limiting resources (Gause, 1934).

In bacteria, the most common forms of interactions are carried out by molecules secreted into the extracellular environment, such as exoenzymes to 11 digest nutrients (Arnosti, 2011), iron scavenging siderophores (Ross-Gillespie 12 et al., 2009), signaling molecules (Miller and Bassler, 2001), virulence factors 13 (Hacker and Carniel, 2001), antibiotics (Bernier and Surette, 2013), or antibiotic degrading molecules (Wright, 2005). Via these molecules, microorganisms can 15 be in competitive, antagonistic, or cooperative relationships (West et al., 2001; 16 Coyte et al., 2015). Interestingly, these molecules are public goods, meaning 17 that not only the producers, but all nearby individuals can enjoy the benefits delivered by them (West et al., 2001). Cheaters, individuals that do not produce such molecules and hence pay no cost of production, can also enjoy these benefits. Thus cheaters have higher fitness and can outcompete producers, lead-21 ing to the loss of the diversity by ceasing the production of the public good (West et al., 2001). These antagonistic interactions carried out by the extracellular antibiotics make cyclic competition dominance possible, for example,

among antibiotic sensitive, producer, and resistant types. Since producing of an 25 antibiotic and being resistant to it are both costly, the resistant strain wins over 26 the producer, similarly the sensitive wins over the resistant, and the producer 27 can take over the sensitive population. This 'rock-paper-scissors' interaction cycle is the simplest example of cyclical competition dominance network, where 29 each species is superior to one, but inferior to another (Fig. 1.a). Coexis-30 tence of species in such cyclical interaction networks is documented in spatially 31 structured environments, in which interaction and dispersion are limited to the immediate neighbors of the focal individual (Kerr et al., 2002; Czárán et al., 33 2002; Károlyi et al., 2005; Müller and Gallas, 2010), but coexistence is much less prevalent in unstructured environments where individuals mix intensively (Kerr et al., 2002; Károlyi et al., 2005).

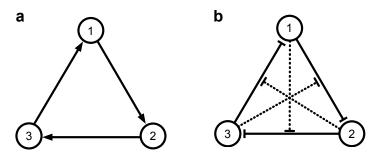


Figure 1: Cyclical competition dominance of three species. (a) Topology of a general 'rock-paper-scissors' type interaction. Here species 1 wins over species 2, species 2 wins over species 3, and species 3 wins over species 1, as indicated by the arrows. (b) The interaction topology where each species inhibits another by producing antibiotic (solid lines) and decomposes antibiotic produced by that species (dotted lines) according to a cyclical interaction topology.

Recently, Kelsic et al. (2015) (KEA) employed theoretical models to demonstrate that bacterial species with different antibiotic production, intrinsic resistance, and extracellular degradation factors can coexist even in well-mixed microbial communities competing for one common limiting factor. Including degradation resistance has a key role in their model, since excreting antibiotic degrading molecules can weaken the inhibitory interaction between other species thus balance the fitnesses through the community. Their study focuses mainly

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on three species systems, in which species produce one type of antibiotics and reduce the effect of another type via degrading molecules (Fig. 1.b). The authors showed that coexistence of species in this system is robust to variation of model parameters even in well-mixed environment. They further demonstrated that analogous systems with four or five species producing 4-6 different antibiotics and degradation factors can have coexistence, although robustness 49 is significantly less prevalent in these richer communities (Kelsic et al., 2015). However, the explanatory power and significance of degradation resistance in explaining microbial diversity largely depends on whether these communities 52 prove to be resistant to the invasion of mutants, mainly against the invasion of social cheaters. A community is defined to be resistant or robust to the invasion 54 of a mutant if its species composition does not change significantly after the invasion. That is, the mutant will be present in the community only transiently, and after its disappearance, the community returns to its pre-invasion state. 57 In the following, we study the generalized versions of KEA's so-called mixed inhibition-zone and chemostat models (Kelsic et al., 2015), and show analytically 59 that bacterial communities, independently of the interaction topology, are not robust against the invasion of social cheaters. More precisely, we show that mutant cheaters, loosing the costly function of antibiotic production, destroy any 62 diverse community either in one step, or following a cascade of invasion steps. 63 The other type of social cheaters considered in the model, the mutants loosing their functions of producing extracellular antibiotic degrading molecules have less dramatic effect on community stability, but species diversity still declines after the invasion of such mutants.

# 68 2. Model description

We assume that there are  $n_s$  phenotypically different species and  $n_a$  different antibiotics that can be produced by these species. A phenotype (or species) is defined by its relation to an antibiotic: it can produce, can be resistant to, or can be sensitive to the given antibiotic. Naturally, a species producing an antibiotic

is also resistant to it, where the resistance is carried out either by removing antibiotic molecules from the cell via efflux mechanisms, or by neutralizing these molecules within the cell (Kumar and Schweizer, 2005). Accordingly, a cell producing an antibiotic  $l(P_l)$  is also intrinsically resistant  $(R_l)$  to this antibiotic. Non-producing species can have two types of resistance: intrinsic resistance  $(R_l)$ 77 and degradation resistance  $(D_l)$ . Bacteria with degradation resistance produce 78 molecules and secrete to the extracellular matrix which diffuse and degrade the target antibiotic molecules in a given neighborhood of the cell (Wright, 2005; Bastos et al., 2015). Phenotypes which are not resistant to antibiotics l carried 81 out either by intrinsic or by degradation resistance, are considered sensitive  $(S_l)$  and the presence of this antibiotic in the locality reduces their fitnesses. 83 Thus, every species  $i = 1, 2, ... n_s$  is characterized by any of the four phenotypes  $P_l, R_l, D_l, S_l$  for each antibiotic  $l = 1, 2, ... n_a$ . Let  $x_i$  be the abundance of species i per unit area, and assume that cells are dispersed randomly on a two-dimensional surface. The fitness  $w_i$  of species i is determined by its intrinsic replication rate  $g_i$  and the fraction of area  $1 - A_i^{(kill)}$ 88 in which individuals of species i are not killed by antibiotics, that is

$$w_i = g_i(1 - A_i^{(kill)}). (1)$$

Antibiotic l is effective within area  $K_l^{(P)}$  around the cell producing it and, similarly, degrading molecules protect every sensitive cell within area  $K_l^{(D)}$  around a cell producing this degrading molecule. A sensitive cell is killed if there is at least one cell producing antibiotic l within its  $K_l^{(P)}$  neighborhood and there is no bacterium producing degrading molecules for antibiotic l within its  $K_l^{(D)}$  neighborhood. Since the aim of this model is to show that coexistence is possible in unstructured environment, it is assumed that bacteria are dispersed randomly, so the number of cells follows Poisson distribution within the defined areas. Thus, the probability that at least one antibiotic producer cell is in the  $K_l^{(P)}$  neighborhood of a cell is  $1-e^{-K_l^{(P)}x_p}$ , where  $x_p$  is the abundance of species producing antibiotic l. This value gives the fraction of area in which sensitive cells are killed except if they are protected by individuals producing degrading

molecules within area  $K_l^{(D)}$ . If the abundance of species producing degrading molecules is  $x_d$ , then the probability of having no cells in this area is  $e^{-K_l^{(D)}x_d}$ .

So, species i is killed by antibiotic l in the fraction of area is as follows

$$A_{i,l}(x_d, x_p) = e^{-K_l^{(D)} x_d} \left( 1 - e^{-K_l^{(P)} x_p} \right).$$
 (2)

Since not only one species can produce antibiotics l or molecules degrading it, the total area where at least one molecule of antibiotic l kills the sensitive species i is written as a product of the probabilities of all possible occurrences

$$A_{i,l}(x_1, x_2...x_{i-1}, x_{i+1}...x_{n_s}) = A_{i,l}(\mathbf{x} \setminus x_i) = \prod_{j=1}^{n_s} e^{-\delta_{jl} K_l^{(D)} x_j} \left( 1 - \prod_{j=1}^{n_s} e^{-\epsilon_{ijl} K_l^{(P)} x_j} \right),$$
(3)

where  $\delta_{jl}=1$  if the j-th species degrades antibiotic l, otherwise  $\delta_{jl}=0$ . Similarly,  $\epsilon_{ijl}=1$  if species i is sensitive to antibiotic l which is produced by species j, otherwise  $\epsilon_{ijl}=0$  (for P and D type cells). Consequently, the fraction of area where individuals of species i are not killed by any antibiotics of any other species is

$$1 - A_i^{(kill)}(\mathbf{x} \setminus x_i) = \prod_{l=1}^{n_a} (1 - A_{i,l}(\mathbf{x} \setminus x_i)).$$
 (4)

Thus, the fitness of species i will be

$$w_i = g_i \left( 1 - A_i^{(kill)}(\mathbf{x} \setminus x_i) \right), \tag{5}$$

and the average fitness is

$$\bar{w} = \sum_{i=1}^{n_s} w_i x_i. \tag{6}$$

By knowing fitness functions for every species, the population dynamics of the system can be described by the following discrete-time replication dynamics:

$$x_i(t+1) = \frac{c + w_i(t)}{c + \bar{w}(t)} x_i(t), \tag{7}$$

where the c>0 constant depends on the time unit (Weibull, 1997). For the continuous time counterpart of the dynamics, see Appendix A.

We note here that KEA have pointed out previously, that the three-species coexistence (see Fig 1.b) is robust if the areas of chemical activities ( $K_l^{(P)}$  and

 $K_l^{(D)}$  and replication rates  $(g_i)$  of all the three species are relatively similar. KEA have also shown that the same dynamics can be observed in the agent-based and the chemostat versions of the mixed inhibition-zone model (Kelsic et al., 2015). The detailed analyses of the generalized chemostat model can be found in Appendix C. They studied a system where  $K_l^{(P)} = K^{(P)}$  and  $K_l^{(D)} = K^{(D)}$  are constants for every antibiotic which assumption does not have to hold in our generalized model.

Besides the ecological stability of three species models, KEA investigated 128 the invasion of "production cheaters", that is, the mutants which do not produce antibiotics and "degradation cheaters" which do not produce degrading 130 molecules. Losing these functions results in fitness increase for mutants, which 131 is then translated into higher replication rates. Based on numerical simulations 132 including cheaters in the community, they concluded that "These interactions 133 enable coexistence that is robust to substantial differences in inherent growth 134 rates and to invasion by 'cheating' species that cease to produce or degrade 135 antibiotics." Our discussions with the authors clarified that they studied the 136 evolutionary stability of this system in the spatially extended agent-based ver-137 sion of the mixed inhibition zone model, and analyzed it numerically for 3- and 138 4-species networks (Kelsic et al., 2015, 2016). They found that networks are 139 resistant to both degradation and production parasites in these systems if the 140 colonization radius is small enough. In the following sections, we show that 141 cheater mutants crash such communities not only in the three-species 'rock-142 paper-scissors' interaction topology in the mixed inhibition model, but in the 143 generalized mixed inhibition model, and similarly in the chemostat model with 144 any interaction topology. In the discussion we explain briefly why the agent-145 based model with short range colonization behaves differently from the analyt-146 ical model studied here.

#### 3. Results

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3.1. Evolutionary instability in the mixed inhibition-zone model: introducing social cheaters

Species having resistance  $D_l$  protect not only themselves but any other 151 strains  $S_l$  in the neighborhood from the antibiotics, and similarly a strain  $P_l$ 152 producing antibiotic l generates empty space by killing sensitive individuals not 153 only for itself but for non-producing strains  $R_l$  as well. Therefore these de-154 grading molecules and antibiotics are public goods, so strains not producing the 155 costly degradation or antibiotic molecules have advantage over producers; thus 156 these are social cheaters (Hardin, 1968; Cordero et al., 2012b). We consider two 157 types of mutants, "production cheaters" that fail to produce antibiotics but re-158 tain intrinsic resistance to this antibiotic  $(P_l \to R_l)$ , and "degradation cheaters" 159 that lose their resistance through antibiotic degradation and become suscepti-160 ble to the antibiotics  $(D_l \to S_l)$ . The benefit of non-producing extracellular 161 materials results in higher replication rates for cheaters, that is the growth rate 162 of mutant increases with  $(1 + \alpha)$ , where  $\alpha$  is an arbitrary, but generally small, positive number. 164

## 3.1.1. Invasion of antibiotic production cheaters

Assume that an antibiotic production cheater evolves in a community in 166 which  $n_s$  species are in a stable coexistence. (According to KEA, any type 167 of species coexistence is possible from stable fixed points through limit cycles 168 to chaotic behaviors. Our analysis remains valid for every type of dynamical 169 coexistence.) Let us denote the mother species by m, and assume this species 170 produces antibiotic l. The mutant m' of the mother looses the costly production 171 of antibiotic l and consequently its replication rate increases as  $g_{m'} = g_m(1+\alpha)$ . 172 It follows from the definition of the model that the fitness function of species m173 depends only on the abundances of the two types of species affecting survival: 174 the species producing antibiotics for which the focal species is sensitive, and 175 the species producing the molecules degrading this particular antibiotic (see Eq. 3). Since m' remains sensitive to the same antibiotic as m, its replication rate increases, but its fitness function does not change. Thus, the dynamics of mother and mutant species are

$$x_m(t+1) = \frac{c + w_m(t)}{c + \overline{w}'(t)} x_m(t) \tag{8}$$

$$x_{m'}(t+1) = \frac{c + w_{m'}(t)}{c + \bar{w}'(t)} x_{m'}(t), \tag{9}$$

where  $\bar{w}'(t)$  is the average fitness in the population including the mutant. Dividing Eq. (8) by Eq. (9)

$$\frac{x_m(t+1)}{x_{m'}(t+1)} = \frac{c+w_m}{c+(1+\alpha)w_m} \frac{x_m(t)}{x_{m'}(t)}$$
(10)

182 that is

$$\frac{x_m(t+1)}{x_{m'}(t+1)} = \left[\frac{c+w_m(t)}{c+(1+\alpha)w_m(t)}\right]^t \frac{x_m(0)}{x_{m'}(0)}.$$
 (11)

Since  $0 < [c + w_m(t)]/[c + (1 + \alpha)w_m(t)] < 1$  for any  $c \ge 0$  then

lim<sub>t $\to \infty$ </sub>  $([c+w_m(t)]/[c+(1+\alpha)w_m(t)])^t=0$  and consequently

$$\lim_{t \to \infty} x_m(t)/x_{m'}(t) = 0. \tag{12}$$

According to (12) three scenarios are possible: (i) both m and m' are selected 185 against in the community, but species m goes extinct faster than species m'; (ii) 186 species m is selected against, and the invading mutant m' is getting fixed in the 187 community, but mutant m' triggers the loss of another species besides 188 the mother strain; (iii) species m is selected against, and species m' replaces 189 it in the community, so the number of coexisting species remains unchanged. In case of scenarios (i) and (ii), the number of coexisting species decreases after 191 the invasion of the mutant. In scenario (iii) a non-producing cheater merely 192 replaces a producer. 193 Let us assume a sequence of production cheaters invading according to (iii). 194 The number of coexisting species doesn't change in this scenario, however if there were l number of different antibiotics in the commu-196 nity then the number of antibiotics decreases to zero after the l number of 197 such a species replacements. As a result, neither of the coexisting species 198

produces antibiotics any more in this new community. However, survival of 199 more than one species becomes impossible in this situation, since the replication 200 rate will become  $w_i = g_i$  for every i as there are no more interactions between 201 the species, and thus only the species with the highest  $g_i$  will survive (survival) 202 of the fittest). Consequently, in any of the above mentioned possible scenarios, 203 species m (and consequently the community) is not resistant against the inva-204 sion of mutant m' that has any replication benefit  $(\alpha > 0)$  due to its loss of 205 antibiotic producing function. We show that continuous time replicator dynamics and the chemostat model lead to completely similar results (see Appendix 207 A and C for details). 208

## 209 3.1.2. Invasion of degradation cheaters

The other type of social cheater is the degradation cheater m', which ceases the production of degradation molecule synthesized by the mother species m against antibiotic l. By loosing this function, m' becomes sensitive to antibiotic l if it is present in the environment but its replication rate increases as  $g_m(1+\alpha)$  at the same time. Thus, the equations of the mother and the mutant species dynamics are

$$x_m(t+1) = \frac{c + w_m(t)}{c + \overline{w}'(t)} x_m(t) \tag{13}$$

$$x_{m'}(t+1) = \frac{c + (1+\alpha)(1 - A_{m',l}(\mathbf{x} \setminus x_{m'}))w_m(t)}{c + \bar{w}'(t)} x_{m'}(t).$$
 (14)

Dividing Eq. (13) by Eq. (14) we get

$$\frac{x_m(t+1)}{x_{m'}(t+1)} = \left[\frac{c + w_m(t)}{c + (1+\alpha)(1 - A_{m',l}(\mathbf{x} \setminus x_{m'}))w_m(t)}\right]^t \frac{x_m(0)}{x_{m'}(0)}$$
(15)

The fate of a mutant depends on the values of both  $\alpha$  and  $A_{m',l}(\mathbf{x} \setminus x_{m'})$ , thus the advantage of the invading mutant m' is insufficient yet. By defining  $A_{m',l}^{(max)} = \operatorname{Max}\{A_{m',l}(\mathbf{x} \setminus x_{m'}) \mid x_i \in [0,1], \sum_i x_i = 1\}$  a sufficient condition for the invasion of mutant m' can be set. For  $\lim_{t\to\infty} x_m(t)/x_{m'}(t) = 0$  to be valid, the expression in the square bracket on the right hand side of (15) must be in

the (0,1) interval which leads to the following sufficient condition:

$$\alpha > \frac{A_{m',l}^{(max)}}{1 - A_{m',l}^{(max)}}. (16)$$

Consequently, one of the above mentioned three possible scenarios describes 223 the fate of mutant m' in this case as well. However, besides the loss of species 224 diversity, according to the above described three invasion scenarios, it is possible 225 that the degradation-molecule producer and the sensitive mutant strains coexist. 226 To prove this we show that it is possible that m' invades the community where type m is resident, but m invades the community where m' is resident. Let us 228 assume first that m is resident in a stably coexisting community. For the sake of 229 simplicity, we assume that coexistence is characterized by a stable fixed point, 230 denoted by  $\hat{\mathbf{x}}^{(1)}$ . The mutant m' emerges in small abundance, that is  $x'_m \ll \hat{x}_i^{(1)}$ 23 for every  $i \neq m', \hat{x}_i^{(1)} > 0$ . Since  $x_i(t+1) = x_i(t)$  for every  $i, \hat{x}_i^{(1)} > 0$  at the 232 equilibrium the abundance of the rare mutant m' increases in the community if 233 (cf. Eq. (14)) 234

$$\frac{c + (1+\alpha)(1 - A_{m',l}(\hat{\mathbf{x}}^{(1)} \setminus x_{m'}))w_m(t)}{c + \bar{w}'(t)} > 1,$$
(17)

which leads to the condition

$$\alpha > \frac{A_{m',l}(\hat{\mathbf{x}}^{(1)} \setminus x_{m'})}{1 - A_{m',l}(\hat{\mathbf{x}}^{(1)} \setminus x_{m'})}.$$
(18)

Let us consider now m' as the resident species of **the same** community **but** m **is replaced by** m' **and thus** m is the rare mutant. Let  $\hat{\mathbf{x}}^{(2)}$  denote the
equilibrium abundances before invasion, so the rare mutant m spreads if

$$\frac{c + \frac{w_{m'}(t)}{(1+\alpha)(1-A_{m',l}(\hat{\mathbf{x}}^{(2)}\setminus x_{m'}))}}{c + \bar{w}'(t)} > 1, \tag{19}$$

(cf. Eq. (14) that is if

$$\alpha < \frac{A_{m',l}(\hat{\mathbf{x}}^{(2)} \setminus x_{m'})}{1 - A_{m',l}(\hat{\mathbf{x}}^{(2)} \setminus x_m)}.$$
(20)

Consequently, if  $A_{m',l}(\hat{\mathbf{x}}^{(2)} \setminus x_{m'}) < A_{m',l}(\hat{\mathbf{x}}^{(1)} \setminus x_{m'})$  then both (18) and (20)
can be satisfied simultaneously, thus the rare m and m' mutants mutually invade

the communities in which the other is resident, which guarantees the coexistence of these species. Naturally, this analysis assumes that beside species m and 243 m' there is at least one another species that produces an antibiotic lethal for species m'. Furthermore, it is assumed that residents m and m' are in 245 coexistence with the same species, but their densities can be different. 246 Identical conditions determine the invasion of mutants in a model based on 247 continuous replicator dynamics (see Appendix B for details). Thus, according to our analytical investigation, degradation cheaters can coexist within the resident community, and can degrade resident community only if their replication rate 250 is above a critical level. This level can be arbitrarily low or high depending on 251 the parameters. In the next section, we will test the generality of our results 252 using numerical investigations. 253

#### 254 3.2. Numerical studies

Next, we run numerical investigations to test the effect of social cheaters, and 255 for comparison we followed the methodology and parameters used by KEA in 256 their simulations. In the first series of experiments we generated a statistically representative sample of ecologically stable communities of 3-5 coexisting species 258 producing 2-5 different antibiotics, where the initially selected five species can 259 be any of the four phenotypes  $(S_l, D_l, R_l, P_l)$  for each antibiotic l = 1, 2, ..., 5260 and the intrinsic replication rate for species i is:  $g_i = 1 + (i-1) \cdot 0.005$ . The area of chemical activities were either  $K_l^{(P)}=K^{(P)}=10$  and  $K_l^{(D)}=K^{(D)}=3$  or  $K_l^{(P)}=K^{(P)}=30$  and  $K_l^{(D)}=K^{(D)}=10$ . We randomly assembled communities with five interacting species by assigning randomly selected phenotypes for 264 each antibiotic l to each of the species. The initial abundances were  $1/n_s$  for 265 each species. We repeated T = 10.000 update steps according to Eq. (7) with 266 c=0 and determined the number of coexisting species and the type of equilibrium at the end (fixed point, limit cycle or chaotic behavior). (We note that 268 c=0 is the standard parameter choice used by KEA as well, although c>0269 fits the mathematical deduction of the dynamics (Weibull, 1997). However, this 270 modification does not alter the qualitative behavior of the model.) A species was considered to be extinct if its frequency went below  $0.01/n_s$  (Kelsic et al., 2015).

In agreement with Kelsic et al. (2015, Extended data Figure 8), we experienced that only an extremely small fraction of possible interaction topologies 275 were suitable to maintain complex communities. While three species remain 276 in coexistence from the the initial five species networks in 1 out of  $10^2 - 10^3$ 277 randomly selected networks, five species could coexist only in 1 out of  $10^4 - 10^6$ 278 randomly selected networks on average (depending on the  $K^{(P)}$  and  $K^{(D)}$  pa-279 rameters). That is, in line with the Extended Data Figure 8 of Kelsic et al. 280 (2015), we found that the fraction of stable communities decreases dramatically 281 as the number of coexisting species increases. 282

After generating the sample of ecologically stable 3-5 species communities 283 we tested the resistance of these communities against the production and degradation cheaters but only one function and only in one species could be lost at 285 a time, thus either  $P \to R$  or  $D \to S$  mutants could emerge in the community 286 for each possible case. The mutants with fitness of  $(1 + \alpha)g_i$  were introduced 287 at the 10.000th time step with density of  $10^{-3}$ , and the density of the corre-288 sponding mother species was decreased by the same amount. After subsequent 10.000 update steps the coexistence was monitored again, and we recorded the 290 communities that could not resist invasion and hence diversity declined. We 291 declared communities not being resistant to the invasion of mutants if at least 292 one mutant type caused the number of coexisting species (with frequency higher than 0.01) to be smaller after T time steps compared to the number of species before the invasion. That is, we consider only the cases when the invasion of 295 mutants decreases the number of coexisting species within one step (scenarios 296 (i) and (ii)). 297

We tested the resistance of three, four, and five-species communities against the cheater mutants as the function of the  $\alpha$  growth-rate advantage of the mutants. There is a critical  $\alpha$  above which the fraction of unstable communities increases abruptly in a sigmoid manner (Fig. 2a). Species diversity declines dramatically in the majority of these communities even at as little as 0.1% rela-

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tive growth-rate advantage of mutants  $\alpha^* = \alpha/\bar{g}_i$  where  $\bar{g}_i$  is the average growth rate in the community. The rapid decline of diversity results in the exclusion of all but one species in most of the cases (around 70% of the outcomes in the case of five species communities in Fig 1a). Production cheaters are responsible for the decline of diversity in more than 99% of the cases.

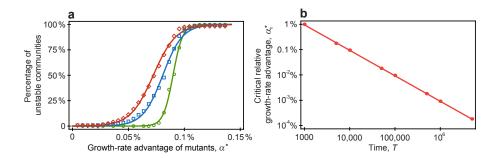


Figure 2: Measures of community instability fostered by cheater mutants. (a) The fraction of unstable communities increases in a sigmoid manner (depicted by colored lines) as the relative growth-rate advantage of cheater mutants increases. At 0.1% growth-rate advantage, the majority of the modeled communities become unstable. Statistics are based on  $10^3$  randomly selected communities composed of three (green circles), four (blue rectangles), and five (red diamonds) species. (b) The critical level of relative growth-rate advantage of mutants (where at least 99% of communities are not resistant to the invasion of at least one mutant type) decreases as the duration of simulations (T) increases for  $10^3$  randomly selected interaction network topologies composed of 5 species. Parameters are:  $g_i = 1 + (i-1) \cdot 0.05$ ,  $K_j^{(P)} = K^{(P)} = 30$ ,  $K_j^{(D)} = K^{(D)} = 10$ .

In our second analysis, we studied the dependence of community resistance on simulation time. According to Eq. (11), it is straightforward to assume that it takes more time to observe competitive exclusion if fitness differences are smaller. To test this hypothesis, we repeated the numerical experiments in five-species communities with parameters used in Figure 2a but for different simulation times (T), and measured the critical  $\alpha_c^*$ , that is the  $\alpha^*$  value for which at least 99% of the communities proved to be unstable. As Figure 2b demonstrates,  $\alpha_c^*$  decreases continuously as the duration of the simulations increases according to  $\alpha_c^* \propto T^{-1.05 \pm 0.01}$ . This relation is in concordance with

our analytical results, since the necessary condition to detect collapse of community is that  $x_m(t)/x_{m'}(t) \leq x_c$  where  $x_c$  is a critical frequency below which 318 the species is selected out by definition. It follows from Eq. (11) that

$$ln(x_c) = T ln\left(\frac{1}{1+\alpha}\right).$$
(21)

For  $\alpha \ll 1 \ln[1/(1+\alpha)] \approx -\alpha$ , consequently  $\alpha \propto 1/T$  determines the relationship 320 between these two variables in the extinction dynamics. 321

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To investigate the different invasion scenarios discussed previously, we nu-

merically analyzed the invasion dynamics of different production and degrada-323 tion cheaters in a community with the topology shown in Figure 3a. Note that 324 in this case antibiotic production—sensitivity combinations are not cyclic as in 325 Figure 1, but still each antibiotic is degraded by one of the species. This topol-326 ogy enables us to demonstrate all possible invasion events starting from the same community. We iterated the dynamics for 1000 time steps and then introduced 328 mutants into the system. The number of coexisting species was monitored until 329 = 2000 (except in Fig. 4d in which case due to slow invasion dynamics the 330 mutant was added at t = 2000 and the simulation was terminated at t = 4000). 331 Investigating the three invasion scenarios in the numerical model discussed 332 previously (see Eq. (12) and afterwards) confirms that the invasion of mutants 333 can (i) result in the extinction of both the mutant and the mother species (Fig. 334 3b); (ii) result in the exclusion of mother species leading to a decrease in species 335 diversity (Fig. 3c); and (iii) exclude the mother species but the mutant remains in coexistence with the other species (Fig. 3d).

Figure 3b shows the effect of the invasion of production cheater mutant for species 2 (mutant ceases producing the antibiotic that inhibits species 5). Although the invasion of this mutant is unsuccessful it triggers a community collapse and only one resident species (species 5 in this case) remains in the end. In Figure 3c the other possible production cheater mutant of species 2 (mutant ceases producing the antibiotic that inhibits species 4) invades the system and reduces the number of coexisting species (to an odd number smaller than the original number of species; in our case to one). Finally, in Figure 3d the same type of mutant with lower fitness advantage invades the community and replaces the mother species preserving the number of coexisting species but reducing the number of interactions by one. In accordance with Eq. (12) and discussions afterwards, these results suggest that the invasion of cheater mutants can result in the loss of species diversity, antibiotic diversity, or both.

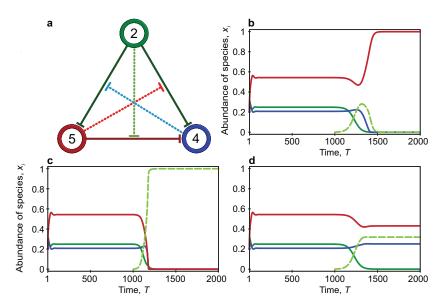


Figure 3: Invasion dynamics of different production cheaters in a model community. (a) The interaction topology of the model community. Each species produces different antibiotics, and species numbering represents the increments in reproduction rates as described in Methods. Species 2 is not affected by any antibiotic, species 5 is inhibited by antibiotic produced by species 2, and species 4 is inhibited by two different antibiotics produced by species 2 and 5. Three different scenarios of production cheater mutant invasions: (b) both the introduced mutant and the corresponding mother species go extinct after the invasion of production cheater mutant for species 2, (c) the invasion of production cheater mutant of species 2 that ceases producing the antibiotic that inhibits species 4 results in the exclusion of the mother type and triggers further species loss, and finally (d) the production cheater mutant of species 2 that ceases producing the antibiotic that inhibits species 4, similar as in the previous numerical experiment, but with lower fitness advantage, replaces the mother lineage. Parameters are the same as in Fig. 2,  $\alpha = 0.05$  for (b,d),  $\alpha = 0.1$  for (c). Red, green, blue solid lines correspond to species 5, 2, 4, respectively. Dashed line denotes the actual mutant.

In case of degradation cheater invasion experiments (in model community

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with the same topology as in Fig. 3a) we found the four different outcomes in line with expectations from Eq. (16) and the discussion afterwards. In contrast to production cheater mutants, degradation cheaters cannot always invade the system, thus the community structure can remain intact, or the mutants can coexist with the original coalition (Fig. 4). In line with the first scenario of the production mutants, the degradation cheater (mutant of species 5) can destroy the coexistence and one of the original species survives (Fig. 4c), or the cheater (mutant of species 2) survives only after the community collapses (Fig. 4d).

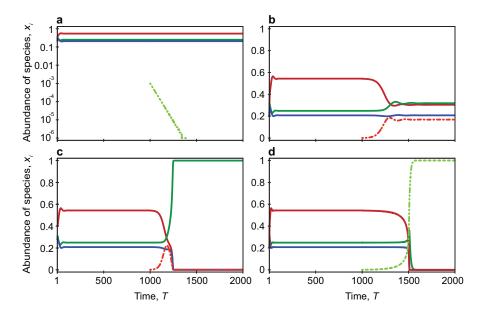


Figure 4: Four different scenarios for the invasion of degradation cheater mutants in model communities depicted by Figure 3a. (a) Unsuccessful invasion of the degradation mutant of species 2, where the resident community remains unchanged after the invasion attempt. (b) Successful invasion of degradation mutant of species 5 leading to the coexistence of all species, the residents and the mutant. (c) The invasion of degradation mutant of species 5 fails, but triggers species extinctions in the community, and one resident species survives in the end. (d) The mutant of species 2 successfully invades a stable community and excludes all other species. Parameters and color coding are the same as in Figure 3,  $\alpha = 0.05$  for a and b,  $\alpha = 0.08$  for c, and  $\alpha = 0.1$  for d.

#### 4. Discussion

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Our results imply that the counteraction of antibiotic production by ex-361 tracellular antibiotic degradation does not in itself guarantee high diversity in 362 antibiotic producing microbial communities. In particular, we pointed out that 363 production cheaters with increased reproduction rate demolish the coexistence of interacting species in well-mixed models. According to our studies, three 36 scenarios are possible: in two cases (scenarios (i) and (ii)) the invasion of pro-366 duction cheaters causes immediate decrease of the number of coexisting species. 367 In scenario (iii) it takes more than one invasion events to decrease the number 368 of coexisting species, but eventually a sequence of invasion events also leads to the decrease of species diversity. The intuitive explanation is that when 370 non-producing mutants invade no cell produces any antibiotics in the 371 end, and their competitive interactions are now driven only by their 372 reproduction rates. Unless these reproduction rates are identical, 373 eventually only one will survive (surivical of the fittest). These results are valid for the mixed inhibition-zone model and the chemostat model with 375 any interaction topology and even if the different antibiotics and degradation 376 molecules have different diffusion abilities (different  $K_l^{(D)}$  and  $K_l^{(P)}$  parame-377 ters). It follows that the invasion success of production cheaters is independent 378 of the model details. Our conclusions remain valid for any other systems where 379 the fitness of phenotype i is described by  $g_i f_i(x_1(t), x_2(t), x_{i-1}(t), x_{i+1}(t), ..)$ , 380 where  $f_i(\mathbf{x} \setminus x_i)$  is an arbitrary continuous function and the replicator dynamic 381 describes the selection among the different phenotypes (see Eqs. (9-12)). We 382 found that the emergence of degradation cheaters causes less dramatic changes in the community; they are able to invade a stable community only if their fit-384 ness benefit is above a critical level, and in some cases the coexistence of mutant 385 and resident types is possible after invasion. 386 387 Our numerical simulations show (in line with Kelsic et al. (2015) Extended

Data Figure 8.) that the proportion of ecologically stable communities among randomly selected interaction topologies becomes negligibly low as the number

of coexisting species increases to five or more. As in the current study the focus was on the evolutionary stability of microbial communities against invasion by cheaters, this aspect of ecological stability received less attention in our analyses. Similarly, in the study of KEA this behavior of the system did not receive sufficient attention. However, we would like to emphasize that it becomes increasingly unlikely that stable communities can emerge when the number of species increases. That is, besides the evolutionary instability, the robustness of ecological stability of these communities is also problematic in well-mixed models without additional mechanisms promoting diversity.

A more recent investigation by (Kelsic et al., 2016) pointed out that the spatially extended agent-based version of the mixed inhibition model exhibits resistance to invasion of cheaters. The crucial difference is that in this spatial extended model empty sites are colonized from a finite distance. A producer cell creates empty sites by killing sensitive cells in its neighborhood. Such cells have a greater chance for colonizing these empty sites than the non-producing cheaters being in the vicinity of the empty site. Thus producer cells have higher replication success than non-producers which can balance the higher per-capita replication rate of non-producer ones. The smaller the colonization distance the higher the benefit of producers compared to non-producers, and since the colonization distance tends to be infinite in the well-mixed models studied here this effect disappears.

We assumed in the analysis that the production of antibiotics and molecules degrading antibiotics is costly for the cells. In line with this assumption, there are numerous experiments demonstrating that the inactivation or loss of such genes have a significant positive effect on the fitness of such mutant types in a given environment (Lee and Marx, 2012; Koskiniemi et al., 2012; D'Souza et al., 2014). Moreover, other investigations reveal that such antibiotic resistance factors can be the by-products of the general metabolism and thus the production costs are practically negligible (Melnyk et al., 2014). In some cases, switching off such gene can even be beneficial for the cell due to pleiotropic effects of the regulating genes (Dandekar et al., 2012; Mitri and Foster, 2016). However, the

high population size which is typical in bacterial communities enhances selection
 and thus it can dominate over genetic drift even for small fitness differences.

The mixed inhibition-zone and chemostat models consider the dynamics of well-mixed individuals producing diffusive antibiotics and degrading molecules. 424 The assumptions behind these models enable us to handle the problem analyt-425 ically, however, these assumptions oversimplify some aspects of the dynamics. 426 First and foremost a more realistic diffusion dynamics and chemical interactions 427 among the dispersed molecules and cells are not taken into account. It is known 428 from other studies that even minor modifications in the dynamics describing 429 diffusion of public goods molecules, interaction of these molecules with cells, 430 the non-linear relation between the molecule concentration and the fitness, and 431 even timing of death and birth events in population dynamics can have signifi-432 cant effect on selection between producers and non-producers (Borenstein et al., 2013; Scheuring, 2014; Archetti, 2014). 434

Recent studies pointed out that the secreted extracellular molecules are not 435 completely mixing public goods, because due to the restricted motion of cells and 436 of molecules in real bacterial communities, only the immediate neighborhood of 437 the producer is able to enjoy the benefits (Morris, 2015). As the close neighbors 438 of the producer are most probably the clones of the producer, non-producers 439 further away from the source can benefit much less. According to the exper-440 iments, these definite spatial effects establish density-dependent and negative 441 frequency-dependent selection which stabilizes the coexistence of the producers and social cheaters (Kerr et al., 2002; Cordero et al., 2012a; Drescher et al., 2014; 443 Kümmerli et al., 2014; Morris, 2015). In addition, our results highlight that in-444 teractions of antibiotic production and attenuation are insufficient in effectively 445 stabilizing bacterial communities in well-mixed environments. Presumably mi-446 croscale spacial structure of the habitat, negative frequency-dependent selection, pleiotropy, auxotrophy, and top down control by phages play more significant role in maintaining microbiome diversity (Cordero and Polz, 2014; Morris et al., 449 2012, 2014; Morris, 2015; Koskiniemi et al., 2012; D'Souza et al., 2014; Velend, 450 2010; Ross-Gillespie et al., 2007, 2009; Dandekar et al., 2012; Mitri and Foster, <sup>452</sup> 2016; Kelsic et al., 2016).

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# Appendix A. Continuous replicator dynamics: invasion of production cheaters

The continuous replication dynamics of bacterial strains is generally written
as

$$\dot{x}_i(t) = (w_i(t) - \bar{w}(t))x_i(t),$$
 (A.1)

where  $w_i(t)$  and  $\bar{w}(t)$  are the fitness values of individuals and the population average as defined in the main text. Let us denote the mother and production cheater mutant with m and m', respectively. Thus, the dynamics of these two types are

$$\dot{x}_m(t) = (w_m(t) - \bar{w}'(t)) x_m(t) \tag{A.2}$$

$$\dot{x}_{m'}(t) = ((1+\alpha)w_m(t) - \bar{w}'(t))x_{m'}(t).$$
 (A.3)

Dividing the two equations by  $x_m(t)$  and  $x_{m'}(t)$ , respectively, and subtracting Eq. (A.3) from Eq. (A.2), after some rearrangement we get

$$\frac{\dot{x}_{m}(t)}{x_{m}(t)} - \frac{\dot{x}_{m'}(t)}{x_{m'}(t)} = -\alpha w_{m}(t), \tag{A.4}$$

which leads to

$$\frac{x_m(t)}{x_{m'}(t)} = e^{-\alpha \int_0^t w_m(\tau)d\tau}.$$
 (A.5)

Since  $w_m(t) > w_{min} > 0$ , where  $w_{min}$  is a constant, we have  $\lim_{t\to\infty} \int_0^t w_m(\tau) d\tau = \infty$ . Therefore, equation (12), and consequently the three scenarios described in the main text remain valid in continuous time dynamical systems as well.

Appendix B. Continuous replicator dynamics: invasion of degradation cheaters

In case of continuous replicator dynamics, the time evolution of m and m' species is

$$\dot{x}_m = (w_m(t) - \bar{w}(t)) x_m \tag{B.1}$$

$$\dot{x}_{m'} = ((1+\alpha)w_m(t)(1-A_{m',l}(\mathbf{x}\setminus x_{m'})) - \bar{w}'(t))x_{m'},$$
 (B.2)

where m' denotes the degradation cheater. Following the algebraic steps described in the previous subsection, we get

$$\frac{\dot{x}_m(t)}{x_m(t)} - \frac{\dot{x}_{m'}(t)}{x_{m'}(t)} = \left[1 - (1+\alpha)(1 - A_{m',l}(\mathbf{x} \setminus x_{m'}))\right] w_m(t).$$
 (B.3)

The sign of the right hand side of (B.3) depends on  $\alpha$  and  $A_{m',l}(\mathbf{x} \setminus x_{m'})$ . As be-

fore, a sufficient condition for the invasion of mutant m' can be determined with

the help of the maximum value of 
$$A_{m',l}(\mathbf{x}\setminus x_{m'})$$
: if  $\left[1-(1+\alpha)(1-A_{m',l}^{(max)})\right]<$ 

481 0, that is if

$$\alpha > \frac{A_{m',l}^{(max)}}{1 - A_{m',l}^{(max)}}.$$
 (B.4)

To determine the criterion of mutual invasibility, let us assume first that type m is the resident species and type m' invades the community. For sake of simplicity (as in the discrete model presented in the main text), we assume that the dynamics of the resident population is in fixed point, the abundances before invasion are denoted by  $\mathbf{x}^{(1)}$ . Mutant m' spreads if

$$\dot{x}_{m'}(t) = \left( (1+\alpha)(1 - A_{m',l}(\hat{\mathbf{x}}^{(1)} \setminus x_{m'})) w_m(t) - \bar{w}(t) \right) x_{m'}(t) > 0$$
 (B.5)

which leads to

$$\alpha > \frac{A_{m',l}(\hat{\mathbf{x}}^{(1)} \setminus x_{m'})}{1 - A_{m',l}(\hat{\mathbf{x}}^{(1)} \setminus x_{m'})}.$$
(B.6)

Let us consider now m' as the resident species in a community and m as the rare mutant. Let  $\hat{\mathbf{x}}^{(2)}$  denote the equilibrium abundances before invasion, so the rare mutant m spreads if

$$\dot{x}_m(t) = \left(\frac{w_{m'}(t)}{(1+\alpha)(1-A_{m',l}(\hat{\mathbf{x}}^{(2)} \setminus x_{m'}))} - \bar{w}'(t)\right) x_m(t) > 0,$$
 (B.7)

which leads to the condition

$$\alpha < \frac{A_{m',l}(\hat{\mathbf{x}}^{(2)} \setminus x_{m'})}{1 - A_{m',l}(\hat{\mathbf{x}}^{(2)} \setminus x_{m'})}.$$
(B.8)

Again, as in the discrete time dynamics, if  $A_{m',l}(\hat{\mathbf{x}}^{(2)} \setminus x_{m'}) < A_{m',l}(\hat{\mathbf{x}}^{(1)} \setminus x_{m'})$ then both (B.6) and (B.8) can be satisfied simultaneously, thus the rare mand m' mutants mutually invade each other which guarantees the coexistence of these species. (Naturally, this analysis assumes that beside species m and m' at least one similar a species is present in the community which produces antibiotic affecting species m'.)

# Appendix C. Invasion of production cheaters in the chemostat model

Here we review the chemostat model version of microbial community with interference competition. Following Kelsic et al. (2015), it is assumed that bacteria compete for a common limiting resource z and there is a constant dilution d from the chemostat. The dynamics of the resource is

$$\dot{z}(t) = (z_0 - z(t)) d - \frac{\sum_{i=1}^{n_s} w_i(t) x_i(t)}{\mu},$$
(C.1)

where  $z_0d$  is the constant inflow into the chemostat,  $w_i(t)$  is the actual growth rate of species i with concentration  $x_i$  and  $\mu$  is a conversion factor between resource and species concentration. The species concentrations change according to

$$\dot{x}_i(t) = (w_i(t) - d) x_i(t),$$
 (C.2)

507  $\,\mathrm{with}$ 

$$w_i(t) = g_i \frac{z(t)}{k_z + z(t)} \prod_{j=1}^{n_a} e^{-\sigma_{i,j} K_j^{(P)} c_j(t)},$$
 (C.3)

that is the growth rate  $w_i(t)$  is determined by the intrinsic growth rate  $g_i$ , the concentrations of the resource and the antibiotics z(t) and  $c_j(t)$ , respectively.

The effect of z is saturated in line with the standard Michaelis-Menten kinetics with half saturation constant  $k_z$  and the antibiotics cause exponential decay on total growth rate,  $\sigma_{i,j} = 1$  if species i is sensitive to antibiotic j otherwise  $\sigma_{i,j} = 1$ 

513 0. The concentration of the antibiotics changes because of the production, the
514 degradation, and the dilution of antibiotics, thus the dynamics can be written
515 as

$$\dot{c}_j(t) = \rho \sum_{i=1}^{n_s} \eta_{i,j} w_i(t) x_i(t) - K_j^{(D)} c_j(t) \sum_{i=1}^{n_s} \delta_{i,j} x_i(t) - dc_j(t), \tag{C.4}$$

where  $\rho$  is the amount of antibiotics produced by unit concentration of cells,  $\eta_{i,j} = 1$  if antibiotic j produced by species i, otherwise  $\eta_{i,j} = 0$ . Similarly  $\delta_{i,j} = 1$  if species i produces degradation molecules for antibiotic j, otherwise  $\delta_{i,j} = 0$ . It follows from (C.1) and (C.2) that

$$\frac{\mathrm{d}}{\mathrm{d}t} \left( \sum_{i=1}^{n_s} \frac{x_i(t)}{\mu} + z(t) - z_0 \right) = -d \left( \sum_{i=1}^{n_s} \frac{x_i(t)}{\mu} + z(t) - z_0 \right), \tag{C.5}$$

thus after a transient time

$$z(t) = z_0 - \sum_i \frac{x_i(t)}{\mu}.$$
 (C.6)

Therefore (C.1) can be eliminated when we study the stationary solutions of the system by substituting (C.6) into (C.3) (Kelsic et al., 2015).

Let us assume that dynamics of a bacterial community is described by (C.1C.4), and a species m is a member of a community ( $\bar{x}_m > 0$  in the stationary
state), and produces at least one type of antibiotic. The mutant m' species
looses the production of this antibiotic, thus it has an increased growth rate
( $g_{m'} = (1+\alpha)g_m, \alpha > 1$ ) as above. Thus, the difference of relative growth rates
of m and m' species is

$$\frac{\dot{x}_m(t)}{x_m(t)} - \frac{\dot{x}_{m'}(t)}{x_{m'}(t)} = w_m(t) - w_{m'}(t) = -\alpha \frac{z(t)}{k_z + z(t)} \prod_{j=1}^{n_a} e^{-\sigma_{m,j} K_j^{(P)} c_j(t)}.$$
 (C.7)

Our aim here is to show that  $z(t)/(k_z+z(t))\prod_j e^{-\sigma_{m,j}K_j^{(P)}c_j(t)}>W_0>0$  if  $t>t_c$  which guarantees that  $\lim_{t\to\infty}x_m(t)/x_{m'}(t)=0$ . It follows from (C.2) that  $x_i(t)\geq 0$  if  $x_i(0)>0$  and thus because of (C.6)  $z(t)\leq z_0$  and  $x_i<\mu z_0$  for every i. Therefore,  $w_i(t)< g_iz_0/(k_z+z_0)$  and the right hand side of (C.4) can be estimated above with

$$\dot{c}_j(t) < \rho \mu \frac{z_0^2}{k_z + z_0^2} n_s g_{\text{max}} - \left( K^{(D)} \mu z_0 n_s + d \right) c_j(t) = \alpha_1 - \alpha_2 c_j(t)$$
 (C.8)

where  $g_{\text{max}} = \max\{g_i, i = 1, ... n_s\}$ ,  $\sum_{i=1}^{n_s} \eta_{i,j}$  and  $\sum_{i=1}^{n_s} \eta_{i,j}$  can be estimated above by  $n_s$ . Here  $\alpha_1, \alpha_2$  are positive constants. By introducing function C(t)in such a way that its derivative estimates over  $\dot{c}(t)$ , we get

$$\dot{c}_i(t) < \dot{C}_i(t) = \alpha_1 - \alpha_2 C(t) \tag{C.9}$$

This estimation is valid as the ordering between derivatives guarantees C(t) > c(t) if  $t > t^*$ . It is easy to show that  $\lim_{t\to\infty} C_i(t) = C^*$  where C is a finite positive constant, thus  $\lim_{t\to\infty} c_i(t) \le C^*$  for every i. Similarly, knowing that  $\sum_{i=1}^{n_s} x_i/\mu \le z_0$  and using the estimation introduced above Eq. (C.1) can be estimated below with

$$\dot{z}(t) \ge \dot{Z}(t) = (z_0 - Z(t))d - g_{\text{max}} \frac{z_0}{\mu(k_z + z_0)} Z(t),$$
 (C.10)

$$\lim_{t \to \infty} x_m(t) / x_{m'}(t) = 0 \tag{C.11}$$

as in the mixed inhibition model. We note here that the calculation remains valid if we use any monotonously decreasing function to model the effect of the antibiotic.

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