

1     **Reducing ammonia emissions from dairy cattle production**  
2     **via cost-effective manure management techniques in China**

3     Nannan Zhang<sup>1,2,†</sup>, Zhaohai Bai<sup>1,†</sup>, Wilfried Winiwarter<sup>3,4</sup>, Stewart Ledgard<sup>5</sup>, Jiafa Luo<sup>5</sup>,  
4     Juan Liu<sup>1,2</sup>, Yongqing Guo<sup>1,6</sup>, Lin Ma<sup>1,\*</sup>

5  
6     <sup>1</sup>Key Laboratory of Agricultural Water Resource, Hebei Key Laboratory of Soil Ecology,  
7     Center for Agricultural Resources Research, Institute of Genetic and Developmental  
8     Biology, Chinese Academy of Sciences, 286 Huaizhong Road, Shijiazhuang 050021,  
9     Hebei, China;

10    <sup>2</sup>University of Chinese Academy of Sciences, 19 A Yuquan Road, Shijingshan District,  
11    Beijing 100049, China

12    <sup>3</sup>International Institute for Applied Systems Analysis (IIASA), Schlossplatz 1, A-2361  
13    Laxenburg, Austria

14    <sup>4</sup>The institute of Environmental Engineering, University of Zielona Gora, Licealna 9, 65-  
15    417 Zielona Gora, Poland

16    <sup>5</sup>AgResearch Limited, Ruakura Research Centre, Private Bag 3123, Hamilton 3240, New  
17    Zealand

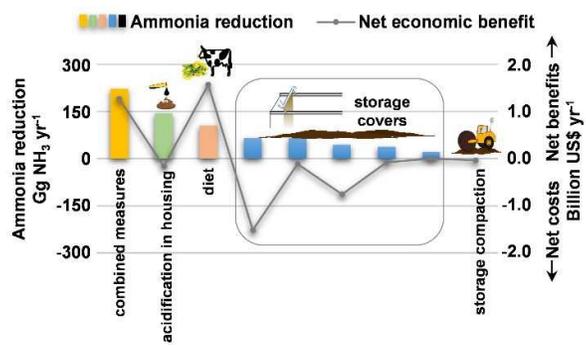
18    <sup>6</sup>College of Animal Science, South China Agricultural University, Guangzhou 510642,  
19    China

20    † These authors contributed to this paper equally.

21 \* Corresponding author: email, malin1979@sjziam.ac.cn, phone +8618503216030

22

23 **Abstract:** This study analyzed ammonia reduction potential and related costs and benefits  
24 of several ammonia emission reduction technologies applicable for dairy production from  
25 cattle in China. Specifically, these included diet manipulation, manure acidification,  
26 manure/slurry covers and solid manure compaction. Ammonia emissions for China were  
27 estimated using the GAINS and NUFER models, while mitigation potential of  
28 technologies was determined from laboratory studies. Ammonia reduction potentials  
29 from dairy production in China, ranged from 0.8 to 222 Gg NH<sub>3</sub> yr<sup>-1</sup> for the selected  
30 technologies. Implementation costs ranged from a saving of 15 US\$ kg<sup>-1</sup> NH<sub>3</sub> abated to  
31 expenditure of 45 US\$ kg<sup>-1</sup> NH<sub>3</sub> abated, while the total implementation costs varied from  
32 saving of 1.5 billion US\$ in 2015 to expenditure of a similar size. Best NH<sub>3</sub> reduction  
33 technology was manure acidification, while the most cost-effective option was diet  
34 optimization with lower crude protein input. For most abatement options, material costs  
35 were the critical element of overall costs. The fertilizer value of manure could partly offset  
36 the implementation cost of the options tested. Furthermore, benefits due to avoided health  
37 damage, as a result of reducing NH<sub>3</sub> emissions, could turn all abatement options (except  
38 for manure compaction) to being profitable on the scale of a national economy.  
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## 42 **1. Introduction**

43 Over 50% of ammonia (NH<sub>3</sub>) emission in China was caused by livestock manure during  
44 2000-2008,<sup>1</sup> which was about 4.1-5.1 Tg N yr<sup>-1</sup> and much higher than that for the United  
45 States or the European Union (1.7-3.2 Tg N·yr<sup>-1</sup> during 2000 to 2008).<sup>2-4</sup> An important  
46 source of NH<sub>3</sub> emissions in China is dairy production from cattle at nearly 8.9% of the  
47 total in 2009.<sup>5</sup> Based on predicted changes, the contribution of dairy production to the  
48 total NH<sub>3</sub> emission in China will increase to 15% by 2030.<sup>5</sup> Ammonia in the atmosphere  
49 is not only a pollutant itself, but it also contributes to many other environment problems.  
50 As a precursor compound to inorganic aerosols, atmospheric NH<sub>3</sub> contributes to the  
51 formation of particulate matter with diameter less than 2.5 μm (PM<sub>2.5</sub>) due to its reaction  
52 with nitric and sulfuric acids, causing severe haze pollution and adverse effects on human  
53 health in China.<sup>6-11</sup> Furthermore, NH<sub>3</sub> deposition to soil and water and subsequent  
54 conversions contribute to acidification of lakes, eutrophication of natural ecosystems and  
55 formation of the greenhouse gas N<sub>2</sub>O.<sup>9, 12-14</sup> Because of the high emission rate and  
56 negative effects on the environment, NH<sub>3</sub> emission mitigation is urgently required in  
57 China. At the same time, emissions of NH<sub>3</sub> represent the loss of a valuable resource of  
58 nitrogen (N) for agriculture.

59

60 In manure, hydrolysis of urea or decomposition of organic N produces NH<sub>3</sub>, which  
61 diffuses to the surface and is released to ambient air. This process of NH<sub>3</sub> emission is

62 influenced by many factors, including the equilibrium between  $\text{NH}_4^+$  and  $\text{NH}_3$  in aqueous  
63 environments, pH, temperature, wind speed, and turbulence over the manure surface.<sup>15</sup>  
64 Hou et al.<sup>16</sup> summarized previous studies exploring  $\text{NH}_3$  emission abatement options,  
65 including dietary manipulation, reducing volatile  $\text{NH}_3$  in manure, urine-faeces  
66 segregation and binding ammonium-N with chemical additives. The most effective  $\text{NH}_3$   
67 emission reduction options were reducing N excretion, addition of acids to manure or  
68 covering manure during storage. However, most of the underlying studies were conducted  
69 in Europe, and there is a large difference between manure management systems in dairy  
70 farms in China and Europe, including in dairy housing, manure collection and storage  
71 practices.<sup>17-20</sup> Moreover, the cost of the mitigation options could limit their  
72 implementation and application in dairy farms. However, current practices of manure  
73 treatment in China are inefficient and offer many opportunities for greater recycling of  
74 manure and nutrient utilization.<sup>17, 21</sup> This illustrates that it is important to get local data  
75 both on the  $\text{NH}_3$  reduction potential from relevant mitigation options for dairy production  
76 in China and on their related costs or benefits. In this study, we aimed to 1) assess the  
77  $\text{NH}_3$  reduction potential of several abatement options from dairy production in China, 2)  
78 explore implementation of the abatement measures and estimate the related economic  
79 costs, and 3) discuss future pathways for  $\text{NH}_3$  emission abatement from dairy production  
80 in China.

81

## 82 **2. Materials and methods**

83 Ammonia reduction potential of several selected ammonia abatement techniques and their  
 84 costs and benefits were analysed using the GAINS (Greenhouse gas - Air pollution  
 85 interactions and synergies) model<sup>22, 23</sup> coupled with parameters derived from the  
 86 NUFER<sup>24</sup> model and laboratory trials. Based on the data for individual measures, the  
 87 implications for cost-effective ammonia emission mitigation exploration in the future  
 88 were determined.

89

### 90 **2.1 Estimation of ammonia emission and reduction from dairy production based on**

#### 91 **GAINS model**

92 In the present study, estimation of NH<sub>3</sub> emissions and reduction potential from dairy  
 93 production in China were considered along the whole manure management chain  
 94 including grazing, housing, storage, treatment and application. Total NH<sub>3</sub> emissions from  
 95 dairy production were the sum of NH<sub>3</sub> emissions from all stages of the manure  
 96 management chain, coupled with NH<sub>3</sub> reduction efficiencies of the abatement options  
 97 under different mitigation scenarios, using the GAINS model.<sup>22,23</sup> The calculations used  
 98 the following equation:<sup>25</sup>

$$99 \quad E_{NH_3} = \sum_r L_{r,y} \sum_m \sum_{s=1}^5 [ef_{y,r,s} (1 - \eta_{r,m,s,y})] \quad (1)$$

100 Where  $E_{NH_3}$  represents the total ammonia emission from dairy production; r is specific  
 101 province; y is specific year; m is mitigation technique; s is emission stage (five stages

102 including grazing, housing, storage, treatment and application);  $L$  is animal population;  
103  $ef$  is ammonia emission factor;  $\eta$  is reduction efficiency of specific mitigation technique.  
104 Ammonia emission factors were calculated using N excretion and volatilization rates at  
105 distinct stages, accounting for N-losses involving  $\text{NH}_3$ ,  $\text{N}_2\text{O}$ ,  $\text{N}_2$  and  $\text{NO}_3^-$  emissions at  
106 previous stages. Provincial data for N excretion and volatilization rates were derived from  
107 the GAINS<sup>22, 23</sup> and NUFER<sup>24</sup> models, while  $\text{NH}_3$  reduction efficiency was derived from  
108 the laboratory trials described in the Supporting Information (optimized reduction  
109 potential are shown in Table S1), which is considered to be a reliable source of  
110 information about reduction efficiencies for application to Chinese dairy production  
111 systems as lack of data from previous studies.<sup>16</sup>

112

## 113 **2.2 $\text{NH}_3$ mitigation scenarios**

114 As there was a shortage of local research on  $\text{NH}_3$  emission mitigation from dairy  
115 production in China, 12 scenarios of mitigation measures for manure management in a  
116 dairy farm were considered, including current practice (control), low protein feed,  
117 acidification, cover and acid (slurry), cover (slurry), manure cover and acid (liquid), cover  
118 (liquid), plastic film cover, manure compaction, compaction & cover (liquid), manure  
119 compaction & cover and acid (liquid), based on surveys and experts' knowledge. "Slurry"  
120 was a mixture of urine and faeces without bedding materials. "Liquid" and "solid" were  
121 liquid and solid fractions separated from slurry using a screw-press separator. The details

122 of the scenarios are shown below.

123 **Scenario 0: control.** This scenario assumes a dairy production system in China with no  
124 mitigation technique implemented.  $\text{NH}_3$  emission under this scenario was used as a  
125 baseline value.

126 **Scenario 1: low protein feed.** In order to achieve higher milk production with better  
127 quality, dairy cows are fed with extra crude protein (CP) resulting in increased N  
128 excretion and posing a high risk for  $\text{NH}_3$  emission. Common practice is for 17% of the  
129 cow's diet to be crude protein, based on experts' knowledge. For this low protein feed  
130 scenario, it was assumed the diet was reduced to 15% crude protein and this reduction in  
131 feed protein would not affect milk production. As no extra equipment was needed to use  
132 lower protein diets, there was no extra cost considered for its implementation.

133 **Scenario 2: acidification.** Acidification is an effective measure to reduce  $\text{NH}_3$  emission  
134 from manure management systems. For this scenario it was assumed that the dairy  
135 building was equipped with a slatted floor and diluted sulfuric acid ( $\text{H}_2\text{SO}_4$  1:100) was  
136 sprayed to form a 3 mm layer on top of the manure surface under the slatted floor. To  
137 estimate the cost involved in acidification, the following general assumptions were made  
138 about dairy houses, acidification systems and application based on experts' knowledge:  
139 1) each dairy building was equipped with two stirring systems, which were used to dilute  
140 the  $\text{H}_2\text{SO}_4$ , and four sprinkler systems, which were used to apply the diluted  $\text{H}_2\text{SO}_4$  to the  
141 surface of the slurry under the slatted floor; 2) each sprinkler system included 50 nozzles,

142 110 m of pipe and one pump; and 3) 3 L of tap water per square meter of manure was  
143 used to clean the spraying system after each operation (see Figure 1a). Acidification of  
144 the manure surface was expected to have little influence on the quality of the slurry and  
145 bio-availability of N in the manure as the amount of acid was small (3 mm surface layer)  
146 compared to the slurry volume.

147 **Scenario 3: cover (slurry).** During storage, slurry was covered with a vermiculite cover  
148 to a depth of 6 cm. It was assumed that a system would consist of a U-spiral conveyor  
149 with mesh on the bottom to be moved on tracks installed on the edge of the lagoon.  
150 Moving the conveyor along the tracks at a certain speed would distribute covering  
151 materials through the mesh. As the size of the tank influenced the costs of the equipment  
152 and operation, it was assumed that the lagoon used for storage was 10 m wide with a  
153 maximum depth for stored slurry and liquid manure of 4.5 m, based on general dairy farm  
154 practice (Figure 1b).

155 **Scenario 4: cover and acid (slurry).** During storage, slurry was covered with a 6-cm-  
156 thick mixture of vermiculite and lactic acid at a volume ratio of 1:5. The equipment used  
157 for this measure was the same as for scenario 3, plus a mixer for mixing lactic acid with  
158 vermiculite.

159 **Scenario 5: cover (liquid).** This scenario was similar to scenario 3 replacing slurry in  
160 scenario 3 with liquid manure.

161 **Scenario 6: cover and acid (liquid).** This scenario was similar to scenario 4 replacing

162 slurry in scenario 4 with liquid manure.

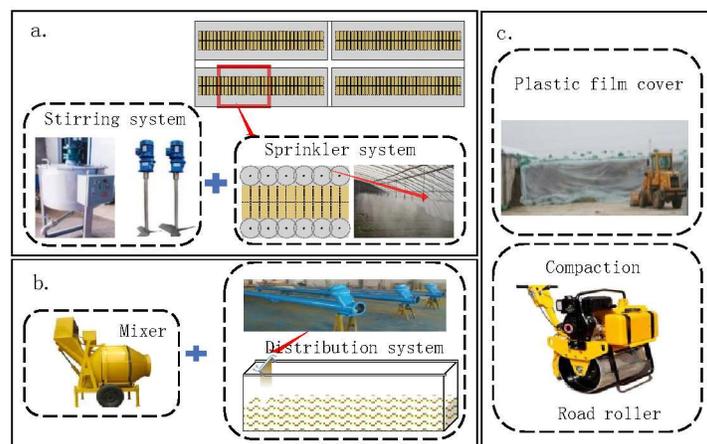
163 **Scenario 7: plastic film cover.** Solid manure was covered with plastic film during  
164 storage, and the implementation of the plastic film cover was mainly through manual  
165 operation (Figure 1c). The lifetime of the plastic film was assumed to be 1 year.

166 **Scenario 8: manure compaction.** This scenario assumed that solid manure was  
167 compacted until the volume of the manure halved. Implementation was via a road roller.  
168 To estimate the cost of technical implementation, we assumed that the depth of stored  
169 solid manure was 1.5 m, with 0.15 m solid manure being added per day.

170 **Scenario 9: manure compaction & cover (liquid).** In order to account for additional  
171 emissions of compaction due to leaking liquid manure, this scenario was a combined  
172 measure with manure compaction and cover (liquid).

173 **Scenario 10: manure compaction & cover and acid (liquid).** This scenario was the  
174 same as Scenario 9 but combined with application of acid (liquid).

175 **Scenario 11: combined measures.** Considering the mitigation options from the  
176 perspective of the manure management chain, this scenario was a combination of the diet  
177 manipulation, acidification and cover (slurry) scenarios.



178

179 **Figure 1.** Schematic diagram of technical implementation of the acidification system in  
 180 housing (a), the covered system over the surface of manure (b), and the plastic film cover  
 181 and compaction system (c).

182

### 183 2.3. Cost estimation for technical implementation of abatement options

184 Cost for the implementation of abatement options was divided into investment cost, fixed  
 185 operation cost and variable operation cost.<sup>26</sup> The investment cost estimation for technical  
 186 implementation was based on the price of the equipment and installation costs for the  
 187 abatement options, considering the lifetime of the equipment and an interest rate of 4%.  
 188 Estimation of the fixed operation cost was based on the fixed operation cost at rate of 4%  
 189 of the total investment. The fixed operation cost reflected the cost of maintenance,  
 190 insurance, and administrative overhead. Variable operation costs covered costs of labor,  
 191 energy and materials used for the abatement options, considering the usage amount and  
 192 price of the materials. As the investment cost varied with herd size, the calculation was  
 193 based on the assumption that a dairy farm had 500 cows, a representative herd size for a  
 194 dairy farm in China. The parameters used in the calculation can be seen in Tables S2 and

195 S3.

196

## 197 **2.4 Benefit estimation**

### 198 **2.4.1 Benefit from mineral fertilizer saving**

199 In addition to the cost of technical implementation of these abatement options, we also  
200 estimated the costs saved when manure (and N retained) was used as fertilizer. Cost  
201 saving from N abated from selected measures was calculated from the price of mineral  
202 fertilizer, the amount of N retained in manure and a use factor to describe the potential  
203 efficiency of manure N as a substitute for mineral fertilizer, which was assumed to be  
204 75%.

205

### 206 **2.4.2 Benefit from reduced health damage and mortality**

207 Quantification of health-related costs and attributing such costs to a single cause (air  
208 pollution) is inherently difficult. Hence, data are sparse and very uncertain. With  
209 increased mortality being the most significant impact, it seems useful to integrate a value  
210 judgement of human life. For Europe, Desaignes et al.<sup>27</sup> have provided a framework, from  
211 Willingness-To-Pay studies but have also taken national GDP and life expectancy as well  
212 as information from medical practice into consideration. They have developed the  
213 concept of “Value of a life year” (VOLY), which, for Europe, is calculated at 40000 EUR  
214 (25000 EUR to 100000 EUR). The application to air pollution and related premature

215 deaths was the explicit aim of the study. The value of 40000 EUR has been further used  
 216 in the European Nitrogen Assessment<sup>28</sup> (and also related to other relevant parameters,  
 217 like the Value of a Statistical Life) for a cost benefit analysis. Using the relationship  
 218 between emissions and atmospheric PM concentrations on the one hand, and population  
 219 density on the other hand, these authors quantify the resulting benefit in health-related  
 220 costs of reducing one mass unit of reactive nitrogen in countries of the European Union  
 221 (EU27).

222

223 Here we assume that the relationship between emissions and impacts (in terms of PM  
 224 formation as well as impact of incremental PM on health) also hold for the conditions in  
 225 China, except that the observed concentrations need to be weighted by population density.  
 226 In order to account for a possibly different perception of VOLY, an approach was  
 227 followed that had been used for China previously, allowing for a VOLY of 10% as  
 228 “decreased health damage costs”.<sup>3</sup> Calculation of health damage then can be performed  
 229 according to Eq (2):<sup>3, 28, 29</sup>

$$230 \quad Cost_{HD} = \frac{VOLY_{China}}{VOLY_{EU27}} \times \sum_{i=1}^{31} Emission_i \times f_{EU27}(PD_i) \quad (2)$$

231 Where,  $Cost_{HD}$  is the health damage cost of life year loss in US\$ million yr<sup>-1</sup>;  $VOLY_{China}$   
 232 is the value of a life year of air pollution mortality in China;  $VOLY_{EU27}$  is the value of a  
 233 life year of air pollution mortality in EU27;  $\frac{VOLY_{China}}{VOLY_{EU27}}$  is an adjustment factor for the  
 234 VOLY of 100% (using European health data cost set)<sup>3, 28, 29</sup> and 10% (decreased health

235 damage cost);<sup>3, 29</sup>  $i$  is a province in mainland China;  $Emission_i$  is the total  $NH_3$  emission  
236 from the respective province in  $Gg\ yr^{-1}$ ;  $f_{EU27}(PD_i)$  is the equation for health damage  
237 cost per reactive nitrogen emission related to population density in Europe in  $US\$\ kg^{-1}$   
238  $NH_3-N$ ; <sup>28, 29</sup>  $PD_i$  is the population density of the respective province in  $capita\ km^{-2}$ .  
239 Population density was estimated from population and land area.<sup>30, 31</sup>

240

## 241 **2.5. Uncertainty and sensitivity analysis**

242 To estimate the uncertainty of  $NH_3$  mitigation potential and economic benefits of the  
243 options tested, we performed Monte Carlo simulations using @RISK software (Palisade  
244 Corporation) by varying the parameters for  $NH_3$  emission estimation and cost-benefit  
245 analysis. Data for the variability of the input parameters were obtained from this study,  
246 literature review and a survey, and are presented in Tables S4. Careful differentiation was  
247 done between statistically dependent and independent elements, due to potential differing  
248 impacts on resulting probability parameters. Individual parameters that were derived  
249 separately were regarded as statistically independent, whereas if an identical parameter  
250 was applied to different statistical data, it was considered as statistically dependent, e.g.,  
251 each element of Table S4 was considered statistically independent. We ran 1000 iterations  
252 to find the probability distributions of the baseline  $NH_3$  emissions in 2015, predicting  
253  $NH_3$  reduction and net economic benefit from the selected mitigation measures in the  
254 present study. Output distributions of 1000 simulated data of each Monte-Carlo result

255 were approximated using the software's built-in functionality to apply the Akaike  
256 information criterion for an idealized representation. The resulting distribution is termed  
257 the "best fit" distribution. This approach also allows derivation of the standard deviation  
258 of such idealized output distributions. Results are presented as +/- two standard deviations,  
259 with the uncertainty range covering 95% of the statistical outcomes. In addition, we  
260 analyzed the sensitivity of net economic benefit to the variation of health damage cost  
261 saving, total technical implementation cost and mineral fertilizer saving using @RISK  
262 software. The Monte-Carlo simulations did not account for the variation in accounting of  
263 health damage – instead the two discrete values developed above were maintained.

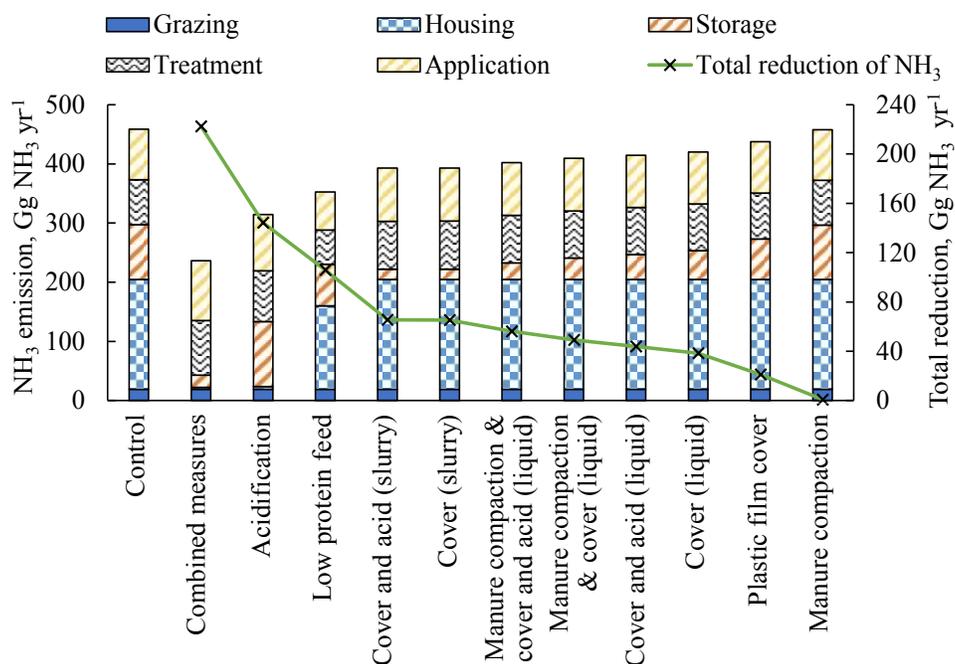
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### 265 **3. Results and discussion**

#### 266 **3.1.1. Ammonia emission from dairy production under abatement options in China**

267 In the present study, it was assumed that selected options were fully adopted (100%) to  
268 the respective stage of all dairy systems in China which obviously was an optimal  
269 assumption to achievable NH<sub>3</sub> reductions. Unabated NH<sub>3</sub> emissions ("Control") were  
270 derived from data from dairy production systems in China to estimate total emission of  
271 458 Gg NH<sub>3</sub> in 2015, of which 186, 93, 76, 85 and 19 Gg were from housing, storage,  
272 treatment, application and grazing, respectively (Figure 2). The annual NH<sub>3</sub> emission  
273 estimated in the present study was lower than the 578 Gg NH<sub>3</sub> in 2010 estimated by Zhang  
274 et al.<sup>21</sup> The difference might be due to the different system boundaries of the two studies

275 and data from different years, and Zhang et al.<sup>21</sup> also accounted for NH<sub>3</sub> emission from  
 276 animal feed production. Results from all the scenarios, excluding compaction, showed a  
 277 reduction efficiency in the range of 4-49% from the whole chain of dairy production in  
 278 China (Figure 2 and S1). A Monte Carlo simulation with the uncertainty of input  
 279 parameters showed that the baseline NH<sub>3</sub> emission in 2015 was in the range of 375-680  
 280 Gg NH<sub>3</sub> (95% Confidence Interval) with a standard deviation of 84 Gg NH<sub>3</sub> (Figure 3).  
 281 The potential distribution of the simulated results of baseline NH<sub>3</sub> emission (“control”)  
 282 in 2015 based on Monte Carlo analysis is presented in Figure S2.



283  
 284 **Figure 2.** Ammonia emission from selected abatement options for dairy production in  
 285 China in 2015. The respective scenarios are described in Section 2.2.

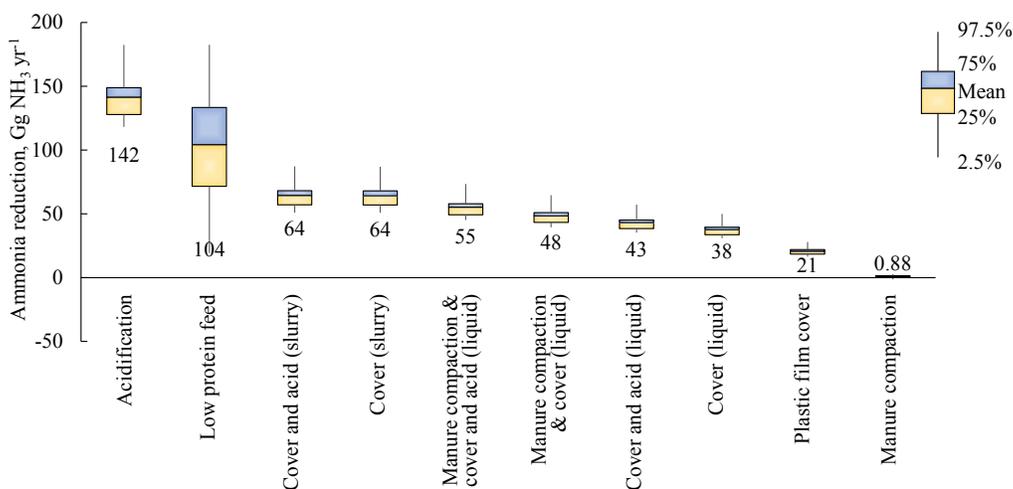
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287 Taking diet manipulation, acidification during housing, vermiculite cover on slurry and  
 288 combined measures as examples, hotspots (Hebei, Henan, Shandong, Heilongjiang,

289 Inner-Mongolia, Xinjiang) of  $\text{NH}_3$  emission have been identified, which provide the  
290 greatest mitigation potentials (Figure S3). Uncertainty analysis, using the Monte Carlo  
291 simulation of the variation of input parameters, showed no large variation in reduction of  
292  $\text{NH}_3$  emission from the tested options, excluding low protein feed. Details of the  
293 uncertainty range for  $\text{NH}_3$  emission reduction are presented in Figures 3 and S2.

294

295 In practice, not all of the abatement options will be operating at full scale all the time. In  
296 order to account for possible malfunctions of equipment, inadequate upscaling from lab  
297 to farm scale, or specific situations where a given technology is just not applicable, we  
298 ran a sensitivity case with 80% of implementation achieved.<sup>32</sup> Results of this sensitivity  
299 case are presented in the Supporting Information (Figure S4). This showed that annual  
300  $\text{NH}_3$  reduction potentials under selected options ranged from -2-115 Gg  $\text{NH}_3$  in 2015,  
301 which was equivalent to -0.10-8.79 kg  $\text{NH}_3$  cow<sup>-1</sup> yr<sup>-1</sup> (Figure S4), and the combined  
302 measure with diet manipulation, acidification of manure under slatted floors and  
303 vermiculite cover on slurry during storage could remove 182 Gg of  $\text{NH}_3$  emission from  
304 dairy production in the case year.



305

306 **Figure 3.** Uncertainty of NH<sub>3</sub> reduction potential of emission abatement options. The  
 307 respective measures are described in more detail in Section 2.2.

308

309 The present study on the estimation of NH<sub>3</sub> emission from the following manure  
 310 management stages only accounted for the influence on N retained in manure and not the  
 311 potential effect of changes in physical and chemical characteristics of manure.<sup>40</sup>

312 Consideration of effects of physical and chemical properties on manure and emission  
 313 factors for abatement options is necessary in future.

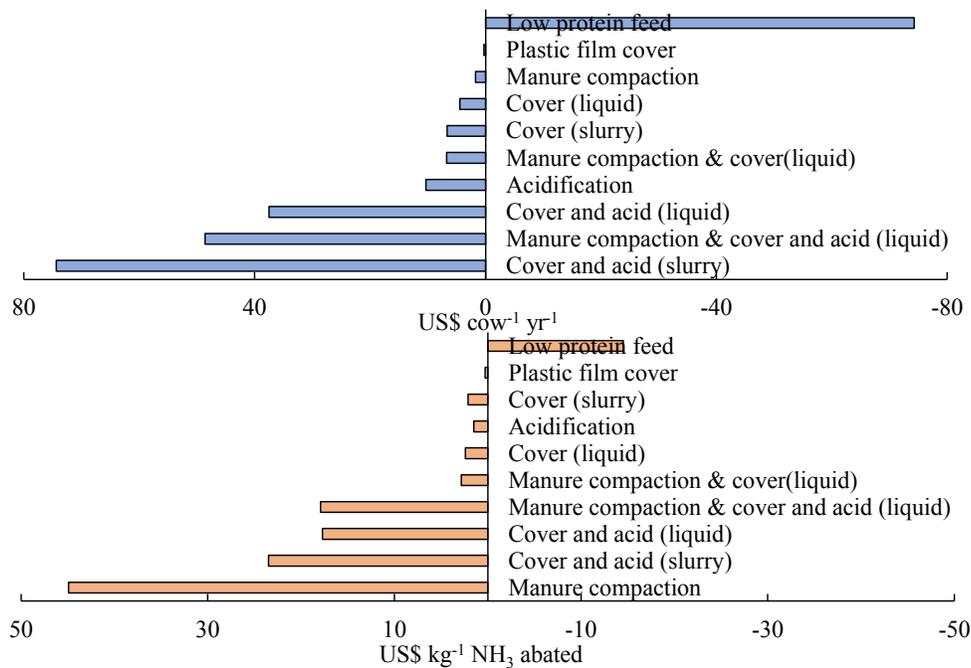
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### 315 **3.2. Cost of the abatement options**

#### 316 **3.2.1. Technical implementation of the abatement options**

317 Based on the technical implementation assumption and results of any economic data  
 318 survey in the present study, the cost of the selected NH<sub>3</sub> emission abatement options  
 319 ranged from a saving of 15 US\$ kg<sup>-1</sup> NH<sub>3</sub> abated to a cost of 45 US\$ kg<sup>-1</sup> NH<sub>3</sub> abated,  
 320 which was equivalent to a saving of 74 US\$ cow<sup>-1</sup> yr<sup>-1</sup> to a cost of 74 US\$ cow<sup>-1</sup> yr<sup>-1</sup>

321 (Figure 4). The different ratios on kg NH<sub>3</sub> abated and an animal basis were due to the  
 322 difference of reduction potential per animal under the selected options.



323  
 324 **Figure 4.** Cost of technical implementation of NH<sub>3</sub> emission abatement options. Blue and  
 325 orange bars are the cost values “on an individual cow basis” and “on a kilogram NH<sub>3</sub>  
 326 abated basis”, respectively. The respective measures are described in more detail in  
 327 Section 2.2. A negative cost value refers to cost saving from the selected abatement  
 328 measures.

329

330 For the diet manipulation option, adjusting diet protein would not need any extra technical  
 331 equipment, labor or energy input. Therefore, there was no additional implementation cost  
 332 for the diet manipulation option. However, a lower crude protein diet may change the cost  
 333 of feed due to different ingredients. According to the diets used in the present study, a  
 334 lower crude protein diet would be cheaper and the net economic benefit estimated from  
 335 the diet manipulation was calculated at 15 US\$ kg<sup>-1</sup> NH<sub>3</sub> abated, equivalent to 74

336 US\$ cow<sup>-1</sup> yr<sup>-1</sup> (Figure 4), which is similar to the cost saving estimated in a study by  
337 VanderZaag et al.<sup>34</sup> The cost of diet manipulation was determined by the composition of  
338 the feed, price of the ingredients, and the variability of feed costs based on market  
339 fluctuations rather than change of local conditions.<sup>26</sup> Cost saving from lower crude  
340 protein in the diet is due to a greater choice of low-protein ingredients with lower prices.  
341 Moreover, the feeding experiment used to provide manure for testing effects of the lower  
342 CP feed also showed milk production of cows with the low protein diet and standard diet  
343 were similar, with both approximately 30 kg day<sup>-1</sup>, and no significant differences in the  
344 protein content and milk yield between the two diet treatments.<sup>35</sup> However, future studies  
345 of long-term effects on milk yield and the related indirect impacts on farm benefits are  
346 still needed to be confirmed.

347

348 Acidification of the manure surface under the housing was a highly efficient measure for  
349 NH<sub>3</sub> abatement, with a cost of 1.5 US\$ kg<sup>-1</sup> NH<sub>3</sub> abated, equivalent to 10 US\$ cow<sup>-1</sup> yr<sup>-1</sup>  
350 (Figure 4). The difference in the cost of abating the same amount of NH<sub>3</sub>, using the  
351 vermiculite or acidified vermiculite cover options between slurry and liquid manure  
352 storage, was due to differences in both the total amounts of slurry and liquid manure  
353 produced and in NH<sub>3</sub> reduction efficiencies for stored slurry and liquid manure. A  
354 previous study summarized annual costs for a number of cover types, including natural  
355 crust, straw, floating permeable coverage (e.g., hexacover), floating impermeable

356 coverage such as clay balls, wood, a tent, concrete and a storage bag,<sup>34</sup> and the results  
357 showed a range in costs from 2.2 to 9.8 US\$ kg<sup>-1</sup> NH<sub>3</sub> abated. The price of the cover  
358 materials and the amount of coverage used were the main reasons for the difference in  
359 costs.

360

361 The plastic film cover was the cheapest NH<sub>3</sub> abatement option, at only 0.3 US\$ kg<sup>-1</sup> NH<sub>3</sub>  
362 abated due to the low price of plastic film, low labor requirement and little investment  
363 input. The abatement cost of compaction of solid manure was highest among the options  
364 investigated at 45 US\$ kg<sup>-1</sup> NH<sub>3</sub> abated. The costs per kg NH<sub>3</sub> abated for compaction with  
365 the vermiculite cover or acidified vermiculite cover were much lower.

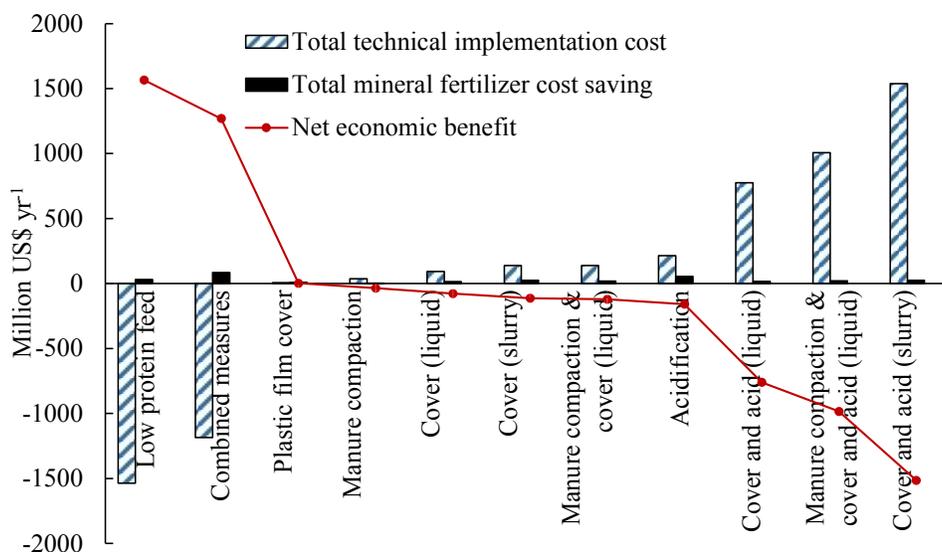
366

367 The highest cost of the selected NH<sub>3</sub> abatement options was 74 US\$ cow<sup>-1</sup> yr<sup>-1</sup> for the  
368 acidified vermiculite cover for the slurry store, which corresponded to about 16% of the  
369 profit for dairy production in China.<sup>21, 36</sup> In the present study, the total cost for technical  
370 implementation of the NH<sub>3</sub> abatement options was divided into three parts including  
371 investment, fixed operation and variable operation costs. The variable operation cost of  
372 the selected NH<sub>3</sub> abatement options accounted for the largest share of the total cost,  
373 ranging from 46 to 100% (Figure S5). In absolute number, the variable operation costs  
374 ranged from 0.3 to 72 US\$ cow<sup>-1</sup> yr<sup>-1</sup>. The investment cost for the acidification option in  
375 animal housing was very high at 4.8 US\$ cow<sup>-1</sup> yr<sup>-1</sup>, equivalent to 47% of the total cost.

376 Also the variable operation cost, including materials, labor and energy were high for the  
 377 acidification option. Replacement of materials with similar chemical and physical  
 378 properties with lower prices (e.g. using  $\text{H}_2\text{SO}_4$  instead of lactic acid for acidifying the  
 379 vermiculite cover) could be an option for consideration.

380

381 Costs for technical implementation of the selected mitigation options were based on  
 382 assumptions about the technical implementation and related economic parameters. As the  
 383 equipment and materials were available locally or could be bought online at similar prices  
 384 varying only slightly in shipping costs, variation in the cost for technical implementation  
 385 on an animal basis could be negligible. For 2015 in China, the technical implementation  
 386 of diet manipulation was estimated to directly save 1536 Million US\$, while the cost  
 387 under other scenarios ranged from 6 to 1538 Million US\$ (Figure 5).



388

389 **Figure 5.** Costs and benefits of  $\text{NH}_3$  emission abatement options for dairy production in  
 390 China in 2015. The respective measures are described in more detail in Section 2.2. A

391 negative value for “Total technical implementation cost” refers to cost saving from the  
392 implementation. A positive value for “Net economic benefit” refers to net benefit from  
393 the combined costs for technical implementation and cost saving from replacement of  
394 mineral fertilizer, while a negative value refers to a net cost from the cost for technical  
395 implementation and cost saving from replacement of mineral fertilizer.

396

### 397 **3.2.2. Benefit from ammonia emission abatement options**

398 Ammonia abatement options help retain more N in manure, and N retained in manure  
399 could replace mineral N fertilizers applied to crop systems. Considering cost saving as a  
400 result of reduction in mineral N fertilizers by using manure, the NH<sub>3</sub> abatement options  
401 could potentially generate a profit. Cost saving from fertilizer benefit derived from the  
402 use of extra N retained in manure was estimated at US\$0.3-54 million in 2015 in China  
403 (Figure 5). The largest fertilizer cost saving for a single mitigation was with acidification  
404 in housing and the least cost saving was with manure compaction. The large variance in  
405 the cost saving from mineral fertilizer was a direct result of the NH<sub>3</sub> mitigation potential  
406 of the different reduction options.

407

408 In addition to the costs and benefits of implementing the selected mitigation options, we  
409 also analyzed the balance. Based on technical implementation costs and total mineral  
410 fertilizer cost saving for the manure management options, only the cost for plastic film  
411 cover on solid manure during storage was so low that the saving in mineral fertilizer cost  
412 would produce an economic benefit (US\$ 2.0 Million yr<sup>-1</sup>) (Figure 5). Using the Monte  
413 Carlo simulation, uncertainties for net economic benefit of the selected abatement options

414 were assessed and are shown in Figure S6 in Supporting Information (SI). Taking diet  
415 manipulation, acidification during housing, vermiculite cover on slurry and combined  
416 measures as examples, the balance between technical implementation and total mineral  
417 fertilizer cost saving showed a large regional variation across China (Figure S7). Under  
418 the diet manipulation scenario, the net economic benefit was higher in the hotspots of  
419  $\text{NH}_3$  emission (e.g. Hebei, Henan, Shandong, Heilongjiang, Inner-Mongolia, Xinjiang;  
420 Figure S3 and S7). The “combined measures” scenario showed the same pattern as diet  
421 manipulation, because cost saving from diet manipulation dominated the balance.  
422 Nevertheless, acidification of manure under slatted floors in housing and vermiculite  
423 cover on slurry during storage showed an opposite pattern with considerable net costs for  
424 the balance.

425

426 Quantification of benefits due to avoided health damage costs has been dealt with  
427 separately and has not been analyzed in the uncertainty assessment, as critical additional  
428 assumptions need to be considered. Specifically, the “adjustment factor” describing  
429 potential different perceptions of human life values (expressed as VOLY) is critical.  
430 Using an adjustment factor of 10%, avoided damage costs ranged from 0.4 to 27 US\$  $\text{kg}^{-1}$   
431  $\text{NH}_3$  emission in the different provinces (Figure S8), with an average of 3.5 US\$  $\text{kg}^{-1}$   $\text{NH}_3$   
432 emission for all of China in 2015. Using the same cost set as used for Europe,<sup>28, 29</sup> the  
433 health damage costs would be much higher at 3.9 to 268 US\$  $\text{kg}^{-1}$   $\text{NH}_3$  emission in the

434 different provinces. Taking diet manipulation, acidification during housing, vermiculite  
435 cover on slurry and combined measures as examples, higher health damage costs occurred  
436 in eastern China, which coincided with higher population densities, reflecting a larger  
437 population exposure and a more developed economy with a potentially greater  
438 willingness to pay for health.<sup>37</sup>

439

440 Taking health damage cost (VOLY from the European dataset) into consideration, all the  
441 abatement options investigated in this study, except for solid manure compaction, would  
442 be profitable (Table S5). However, large regional variation in the balance between  
443 technical implementation cost, mineral fertilizer cost saving and health damage cost  
444 saving can be seen in Figures S9 and S10. Except for Inner-Mongolia and Tibet, the  
445 balance for all regions showed a net economic benefit, which was highest in regions with  
446 greatest population and large NH<sub>3</sub> reduction potential. With health damage costs reduced  
447 to 10%, rewards were much smaller and only some of the emission abatement options  
448 resulted in net economic benefit (Table S5 and Figure S10), i.e. the cover for liquid  
449 manure or slurry, plastic film cover, acidification and low protein feed. Acidified  
450 coverage of stored slurry and liquid manure showed no net economic benefit due to the  
451 high implementation costs of these options.

452

453 Potential economic benefits of the abatement options presented in this study depend on

454 many factors that are also uncertain. Specifically, the costs of the options, animal numbers,  
455 NH<sub>3</sub> emission rate and parameters determining that emission rate affect the overall  
456 economic valuation. Hence, the underlying uncertainties also affect the net economic  
457 benefit of the respective mitigation options. The Monte Carlo simulation and associated  
458 sensitivity tests, which are detailed in SI, help to understand these effects. It becomes  
459 evident that the conclusions remain robust under most conditions. Their impact is small  
460 compared to the assumptions about health damage cost saving based on NH<sub>3</sub> reduction.  
461 As already noted, choosing the more health-conscious cost range with the European  
462 dataset may shift the overall cost balance toward selecting almost any of the mitigation  
463 options.

464

### 465 **3.3 Implications**

466 Dairy production is projected to contribute 15% of total NH<sub>3</sub> emission in China by 2030,<sup>5</sup>  
467 which might lead to a great environmental and health risk. Previous studies have been  
468 conducted in Europe, mainly focusing on NH<sub>3</sub> reduction, related cost and benefit for dairy  
469 production.<sup>16, 24, 28, 29</sup> In this study we have made a comprehensive analysis of ammonia  
470 mitigation potentials, technical implementation costs of the selected technologies, and  
471 their related potential benefits based on the models of NUFER and GAINS and the data  
472 from experimental trials and local surveys. This is the first study for this kind of  
473 comprehensive analysis for dairy cattle production in China, which provides consolidate

474 support for controlling the air pollution from dairy farm manure management practices  
475 and contributes important knowledge for further developing cost-effective mitigation  
476 measures for NH<sub>3</sub> emissions. Our results showed that diet manipulation, acidification of  
477 the manure surface and vermiculite coverage on slurry during storage could reduce 144,  
478 106 and 65 Gg NH<sub>3</sub>, respectively, with economic benefits of 4.4, 1.1 and 0.6 billion US\$,  
479 respectively, from Chinese dairy production in 2015. However, our estimation of the  
480 benefits remains conservative and incomplete.

481

482 Atmospheric NH<sub>3</sub> is not only a precursor to particulate matter (PM), which negatively  
483 affects human health – an issue that has been considered in this analysis. It is also a  
484 precursor for tropospheric ozone, and can decrease plant productivity.<sup>38</sup> Nitrous oxide  
485 (N<sub>2</sub>O), the third most important greenhouse gas, can be produced as a result of NH<sub>3</sub> being  
486 deposited on soils.<sup>39</sup> Also global warming effects on ecosystems are contributed to by  
487 ammonia emissions.<sup>39</sup> These effects and the potential benefits of avoiding them have not  
488 been integrated in this study. Reducing protein levels in animal diets could directly lower  
489 the cost of animal production, while a change in diet ingredients also poses an opportunity  
490 to reduce potential environmental damage from the fodder production, processing and  
491 transportation sectors.<sup>40, 41</sup> Considering all the elements mentioned above, benefits further  
492 increase over costs, consequently the mitigation measures might be even more profitable  
493 (population benefit rather than profit to farmers). Moreover, a regional analysis pointed

494 out that hotspots of NH<sub>3</sub> reduction potential coincided with a higher net benefit, implying  
495 that mitigation of NH<sub>3</sub> emission via most of the selected measures is cost-effective and  
496 needs urgent attention, especially in the more developed regions of China with large  
497 population densities. Based on the above, more effort to promote NH<sub>3</sub> reduction from  
498 dairy production is needed and is also economically beneficial, even if only on a national  
499 scale rather than for an individual farm. It is likely that this statement holds true for  
500 livestock production in general.

501

502 Uncertainties in our analysis are mainly related to the input values and parameters used  
503 in the GAINS and NUFER models used for emission calculations. As shown above,  
504 results are robust beyond these uncertainties. The translation of reduction efficiencies for  
505 the mitigation measures from lab scale to farm and regional scale is provided with  
506 uncertainty estimates in this study. Some studies on reduction efficiencies have been  
507 performed,<sup>16</sup> but only few of them for Chinese conditions. Hence there is insufficient data  
508 at farm scale to provide accurate estimates for different regions of China. Therefore, the  
509 results from the laboratory trials were considered to be a reliable source of information  
510 about reduction efficiencies for application in Chinese dairy production systems, and the  
511 present study with uncertainty analysis provides an important contribution to close this  
512 knowledge gap. Based on the methods of technical implementation used in this study, all  
513 the selected measures should be able to be applied to all regions in China. For these

514 reasons the results from the present study could represent an optimized reduction potential  
515 for dairy production in China.

516

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530

### 531 **Supporting Information**

532 The Supporting Information is available free of charge on the ACS Publications website.

533 Ammonia measurements for abatement options, reduction efficiency and

534            uncertainties

535

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