1	Energy requirements for decent living in India, Brazil and South Africa
2	Narasimha D. Rao ^{1,2} , Jihoon Min ² , Alessio Mastrucci ²
3	1. Yale University, School of Forestry and Environmental Studies, 195 Prospect St, New Haven, CT
4	06511, USA
5	2. International Institute for Applied Systems Analysis, Schlossplatz 1, Laxenburg, 2361 Austria
6	Abstract

7 For over thirty years researchers have tried to estimate how much energy societies require to 8 provide for everyone's basic needs. This question gains importance with climate change, because 9 global scenarios of climate stabilization assume strong reductions in energy demand growth in 10 developing countries. Here, we estimate bottom-up the energy embodied in the material 11 underpinnings of decent living standards for India, Brazil and South Africa. We find that our 12 estimates fall within these countries' energy demand projections in global scenarios of climate 13 stabilization at 2°C, but to different extents. Further, national policies that encourage public 14 transportation and sustainable housing construction will be critical to reduce these energy needs. 15 These results offer one benchmark to compare countries' mitigation efforts and technology 16 transfer arrangements to assess the extent to which they address development priorities in an 17 equitable manner.

Published version on Nature Energy can be found at: <u>https://www.nature.com/articles/s41560-</u>
<u>019-0497-9</u>

21 **Main**

22 How much energy do societies require in order to meet everyone's basic needs? This question 23 first emerged after the oil crises of the seventies¹, and still continues to beg a satisfactory answer. 24 With the threat of climate change, the question assumes greater urgency. Global scenarios of 25 climate mitigation indicate that meeting the Paris Agreement goals will likely require rapid, 26 transformative changes in global energy supply, land use and potentially negative emissions, 27 among many other changes². Notably, these scenarios also assume that energy demand will grow 28 more slowly than economic growth.³ The implications of drastic reductions in energy demand 29 growth for developing countries has received limited attention⁴. If meeting even basic living 30 standards requires higher energy demand than projected in these scenarios, then the scale of the 31 energy supply transition required in developing countries would be even more daunting than is 32 currently expected. The promulgation of the Sustainable Development Goals (SDG) has 33 accelerated efforts to eradicate poverty and improve basic living standards. Their impact on 34 energy demand is a critical, but poorly understood, link to the climate challenge. This study starts 35 to fill this gap by calculating bottom-up the energy demand required to meet decent living 36 standards (DLS) in three exemplary countries, India, Brazil and South Africa.

Global scenarios from Integrated assessment models (IAM) estimate that average energy demand growth in developing regions, typically modeled as Asia, Latin America and Middle East/Africa, will rise to less than double present levels by 2050 in a 2°C world (*Supplementary Note 2*), while GDP can more than quadruple. This is also the case for India, Brazil and South Africa in the few scenarios where these countries are modeled individually. At the most aggregate level, average energy demand in non-OECD countries would, starting from an average of 38 GJ/cap today, grow up to at most 60 GJ per capita⁵. These scenarios encapsulate a wide range of

44 socioeconomic futures and mitigation measures. At the upper end, significant negative emissions 45 would be required to decarbonize high demand growth in a fossil-dominant world, while with 46 stronger demand-side measures, lower energy demand growth would reduce the reliance on 47 negative emissions. However, these scenarios provide very limited basis, if any, to assess the 48 adequacy of their energy demand estimates to sustain basic human needs. Energy is an 49 unavoidable input into the built environment that supports human life. Given that over three 50 billion people lack adequate access to clean cooking or electricity, and over a billion lack clean 51 water and sanitation,⁶ among other essential services, it is important to know whether these 52 scenarios are compatible with support for a decent life for all and under what technological conditions. 53

54 Numerous studies have attempted to quantify an energy threshold for human wellbeing, whose results span an order of magnitude- from 10 GJ per capita to over 100 GJ per capita⁷⁻¹⁴. This 55 56 range is not informative, however, not only because of the high implied uncertainty, but also 57 because of its weak footing. Past studies aren't rigorous about establishing energy needs in the 58 first place. Most studies derive their estimates from an association between countries' energy use 59 and various aggregate 'outcome' indicators of human progress, such as the human development 60 index (HDI) or life expectancy. With few exceptions, these studies use cross-national or panel 61 data to estimate a relationship between countries' energy use and their chosen indicator, thereby 62 implicitly assuming the dominance of such a global order over other drivers of energy use. 63 However, these studies often do not control for income or country-specific drivers, such as 64 climate, neither do they explain the large variance observed around these estimated relationships¹⁵. As such, these studies may be picking up energy use associated with affluence, 65

and ignoring legitimate differences in energy needs across countries. The evidence, therefore, is
indicative of a dependence on energy, but insufficient to establish its primacy.

A few bottom-up studies do build up energy demand from specific energy uses^{1,13,14}. Among the first of these, back in 1985, suggested the possibility of a 'one kilowatt per capita' (32 GJ) society that could meet human needs and more¹. However, indirect energy used to manufacture products was assumed, rather than calculated. Furthermore, none of these studies are based on comprehensive formulations of human needs. In summary, after thirty years, the question of how much energy is necessary to meet human needs still remains unanswered.

74 We derive the energy needs for basic human wellbeing from its material prerequisites, or decent living standards ("DLS"), whose derivation and justification can be found in previous work¹⁶. In 75 76 contrast to 'outcome' indicators of well-being, the DLS define the physical 'means' that enable, 77 but do not define, wellbeing. The DLS approach is in line with the broadening trend in 78 development indicators of representing the multiple non-income dimensions of poverty, starting with the HDI, and culminating in the Multidimensional Poverty Index (MPI)¹⁷. DLS includes 79 80 not just requirements for physical wellbeing but also the means for social affiliation and political participation in society¹⁸. We chose conservative threshold quantities that correspond to a basic 81 82 minimum for a decent life (Supplementary Table 10). For instance, cooling homes to a 83 comfortable temperature and humidity to avoid heat stress may require air conditioning (AC). We calculate energy needs for building a minimally sized home ($10m^2$ per capita) and cooling 84 just the bedrooms at night, to a conservative temperature threshold for comfort (26°C) rather than 85 to the level used in most studies $(18^{\circ}C)^{19}$. We estimate, bottom-up, the energy embodied in the 86 87 relevant materials and in the infrastructure to manufacture, deliver and provide these goods and 88 services using standard tools of industrial ecology (See Supplementary Figure 1 for the

conceptual framework and *Supplementary Methods* for details). The three chosen economies India, Brazil and South Africa - represent a broad range of economic, climatic and cultural
conditions in non-OECD countries. Due to this heterogeneity, a universal living standard gives
rise to different energy requirements for decent living in each country – an important departure
from previous approaches.

94 **Decent living standards**

95 We choose the DLS to represent a comprehensive, but minimum set of material requirements, so 96 as to estimate a lower bound on the energy needs. The DLS consumption basket includes 97 adequate nutrition, safe shelter with minimum space and thermal comfort, sufficient and in-house 98 water for drinking and basic ablutions, improved sanitation, lighting, clean cooking fuels, cold 99 storage, access to the Internet and broadcast media, and the use of motorized transport, including 100 public transit. In addition, it includes at the national level the provision of health care services 101 and education facilities to support both physical and social wellbeing. We refer to these aspects 102 as DLS dimensions. Threshold quantities for these dimensions are derived from international and national standards and literature on basic needs (Supplementary Table 10)¹⁶. For different DLS 103 104 dimensions, universal requirements translate to country-specific materials and energy needs, 105 when operationalized in different contexts. For instance, a universal standard for adequate floor 106 space, durable housing and thermal comfort translates to different construction materials and 107 space heating and cooling requirements. Providing and maintaining these living standards to all 108 would in turn give rise to investments in and construction of infrastructure, such as public 109 transportation, water and sanitation, roads, housing, health and education facilities. Our analysis 110 aims to gain insights on the relative energy demand for hypothetically providing the same DLS 111 in different countries. We do not consider implementation challenges (See Supplementary Note

112 3). We also provide sufficient information to assess the energy needs using different threshold

113 quantities (See Supplementary Tables 14-18).

114 **Figure 1: Gaps in decent living.**

- 115 Gaps in decent living (percent of population lacking in each dimension) in India, Brazil and
- 116 South Africa, in 2015 (blue) and in 2030 (red, extrapolation of recent trends), compared to World
- 117 Bank's International Poverty Line (green, WB \$1.90/day). NA: Not available.



118

119 The DLS reveals the multidimensionality of poverty and its extent. More people lack DLS than the number of income poor, as defined by the World Bank's International Poverty Line (IPL) of 120 (1.90) (Figure 1)²⁰. In India, 15-93 percent of the population lack various elements of DLS, 121 122 which far exceed the IPL headcount of 20 percent. One dimension of particular importance to 123 public health and climate change is the need for space cooling to avoid heat stress-induced health effects, which affects up to 3.4 billion worldwide²¹, including over 93 percent (over a billion) of 124 125 Indians¹⁹. We estimate that about 45 percent of Brazilians and 20 percent of South Africans also lack access to air conditioning (AC) to provide adequate thermal comfort. Otherwise, the DLS 126 127 deficits in Brazil and South Africa are largely in access to mobility and sanitation. There is no easy way to predict how these gaps will evolve. If current trends continue, deficits in 128

129 India would persist in some measures beyond 2030. The slowest progress is in gaining access to

improved sanitation, clean cooking, minimum mobility services, and AC for thermal comfort. In
Brazil, gaps in access to improved sanitation would persist beyond 2030, while in South Africa
mobility and housings gaps would also exist past 2030. If population growth were to exceed, and
income growth were to fall below, current trends, gaps in most of these dimensions would
increase, but the demand for basic mobility could reduce if this shift were accompanied by less
urbanization.

136 As an illustrative exercise, we create three principal scenarios for how DLS gaps may evolve 137 over time. The first is an extrapolation of historical trends (DLS_BAU). We create two other 138 scenarios of full achievement of DLS by 2030, consistent with the SDGs: the first, 139 DLS_ACCEL; and a variation (DLS_ACCEL_LCT) that incorporates development strategies 140 that improve DLS while also reducing energy demand growth. These include public transit to support future mobility in cities²², energy efficiency measures in industry and buildings²³ and 141 diet diversification²⁴ (Supplementary Table 11-13). These measures illustrate, rather than 142 143 encompass, the potential for lowering the energy intensity of providing DLS from 'no regrets' 144 measures. We do not include drastic technological advances such as deep electrification of 145 transport, which in any case are modeled in traditional IAM scenarios. Together, these scenarios 146 provide a range of energy demand estimates under different rates of progress in DLS in different 147 contexts and under different types of development policies. We also capture the combined effect 148 of uncertainty in the DLS gaps and key material requirements in DLS HIGH and DLS LOW 149 sensitivity scenarios, which capture the high and low bounds of this uncertainty, respectively 150 (See Supplementary Note 1, Supplementary Figure 7).

Below, we present the results and their implications for energy demand, energy policy andclimate change mitigation. The last section presents the methods behind these estimates.

153 The energy demands of basic human needs

154 Below we first describe the aggregate energy needs, and the contribution of DLS dimensions. 155 We compare their composition across countries with respect to new construction requirements 156 and operating energy. We then discuss the implications of these findings for national policy, 157 climate equity, and future energy research. Note that construction energy includes the energy to 158 build out and turnover of new capital, including vehicles, appliances, housing and infrastructure. 159 As capital outlays are front-loaded, and reduce to capital turnover in later years, we present the 160 construction energy investment as an average per capita energy requirement per year over the 161 time period 2015-2050. Operating energy includes the economy-side energy required to deliver 162 DLS to all, expressed in GJ per capita per year. We focus on the operating energy post 2030, 163 when DLS is hypothetically achieved.

164 We find, somewhat surprisingly, that operating energy dominates total energy needs, despite the 165 large infrastructure gaps, particularly in India (Figure 2). Between the DLS_ACCEL_LCT and 166 DLS_ACCEL scenarios, total annual operating energy is 10-11 GJ per cap in India, 14-16 GJ per 167 cap in South Africa, and 19-21 GJ per cap in Brazil, in final energy terms, once the infrastructure 168 to provide DLS has been built out (that is, post 2030). In addition, the construction energy over 169 the 2015-2050 period lies between 1.4-2.3 GJ per cap in India, 2.1-3.2 GJ per cap in South 170 Africa, and 1.9-2.9 GJ per cap in Brazil. In the case of India, which has the largest gaps, meeting 171 DLS for all by 2030 (the target for achieving SDGs) would require 23 percent greater capital 172 (and related energy) infusion compared to BAU trends. Notably, these energy requirements are a 173 likely lower bound, as they are based on conservative thresholds.

175 Figure 2: Energy requirements for providing decent living standards.

- 176 Energy (final) requirements per capita for providing decent living standards (DLS) in Brazil
- 177 (BRA), India (IND) and South Africa (ZAF) in three scenarios of progress: left, business as
- usual, where full access is not achieved by 2030 (DLS_BAU); middle, full achievement by 2030
- 179 (DLS_ACCEL); right, full achievement by 2030 with climate-friendly strategies
- 180 (DLS_ACCEL_LCT). Values include construction energy (CON, red) to build out and maintain
- 181 infrastructure; and economy-wide energy demand to support DLS (OP, blue).



182

Taking construction and operation together, total DLS energy needs (averaged over the period 2015-2050) lie at the lower end of the 10-100 GJ per capita range in literature, but significantly dependent on context. This is not surprising, considering that most studies estimate global relationships between energy and outcome-based indicators, which may not isolate energy that supports only basic living standards. In comparison to previous bottom-up studies, this range falls between them (10 and 32 GJ final energy per cap per year). The limitations of these have already been mentioned.

190 The DLS dimensions that dominate total energy needs are mobility (51%-60%), food for

- 191 production and cooking (21%-27%), and housing (5%-12%), including thermal comfort. Health
- 192 care provision, clothing, water and sanitation (together), and the remaining social wellbeing

requirements (basic education and ICT) are of comparable magnitude, at 2-3 percent of the totaleach.

195 The construction energy requirements per capita are similar across the three countries, even 196 though the gaps in decent living differ so widely between them. This is due to different 197 circumstances related to mobility in each country, which dominates construction energy (45-66 198 percent, across scenarios and countries) (Figure 3). In Brazil, which is reliant on road transport, 199 just the replacement of retiring stock of private vehicles dominates this investment. In India, the 200 overall stock of transport infrastructure has to grow more than in Brazil, but with a higher share 201 of public transit, which is less energy intensive to build. In South Africa, paving unpaved roads 202 in rural areas dominates its construction energy.

203 It is also noteworthy that with sustainable development policies, the construction energy to 204 provide DLS for all can be reduced by over 34 percent for all countries (DLS ACCEL LCT 205 scenario vs DLS_ACCEL). In the case of India, such a sustainable path would entail less energy 206 demand than that associated with DLS_BAU — a slower expansion of DLS access and less 207 efficient technology choices. Most of this potential is in transport, and to a lesser extent in 208 housing. In particular, the construction energy for mobility for all countries can be reduced by 209 36-48 percent (the latter in India, where growth is highest) if incremental demand in cities is met 210 by public transportation alone. This would reflect an increase in the share of public transport, rail 211 or bus, in 2050 from 20 to 80 percent in South Africa, from 2 to 26 percent in Brazil, and from 212 63 percent to 78 percent in India. Replacing slums and overcrowded homes with multi-storey 213 housing, and over 30 million sub-standard homes in rural India, and a million in rural South 214 Africa with durable alternatives would require (~0.6-0.9 GJ/cap, 2010-2050), depending on the 215 construction practices deployed.

216 Figure 3: Construction energy requirements for providing decent living standards.

- 217 Construction energy per capita (including infrastructure and product manufacturing) breakdown
- 218 by sector for providing decent living standards to all in Brazil (BRA), India (IND) and South
- 219 Africa (ZAF) in three scenarios of progress: left, business as usual, where full access is not
- achieved by 2030 (DLS_BAU); middle, full achievement by 2030 (DLS_ACCEL); right, full
- achievement by 2030 with climate-friendly strategies (DLS_ACCEL_LCT).



222

223 Supporting mobility on an ongoing basis dominates DLS operating energy as well (Figure 4). 224 The energy requirements for mobility depend significantly on existing mode shares, because 225 different transport modes have very different energy intensities. Despite high growth in private 226 vehicles in India, over two-thirds of the population that use motorized transport still rely on 227 public bus and rail. Between meeting all future urban demand with public transport (in the 228 DLS_ACCEL_LCT scenario) and keeping the mode shares the same as today (DLS_ACCEL 229 scenario), the annual mobility energy requirements in India would vary between 4.7-6.0 230 GJ/cap/yr. after 2030. If future urbanites purchase an increasing share of cars over two-wheelers, 231 from the current share of three-quarters to half by 2030, the operating energy for mobility would 232 increase by about 9 percent. In Brazil, due to the present dominance of road transport and 233 passenger vehicles, its mobility operation energy needs alone (~10-12 GJ/cap/yr.) would be

comparable to India's entire DLS energy needs, even if public buses serve the bulk of future

demand growth.

Figure 4: Operational energy requirements for providing decent living standards.

237 Operating energy per capita for delivering decent living standards to all in Brazil (BRA), India

(IND) and South Africa (ZAF) in three scenarios of progress: left, business as usual, where full

access is not achieved by 2030 (DLS_BAU); middle, full achievement by 2030 (DLS_ACCEL);

right, full achievement by 2030 with climate-friendly strategies (DLS_ACCEL_LCT).



241

242 After mobility, the production and preparation of food comprises the largest share of annual 243 energy needs, albeit to different extents in the three countries. Food production contributes 1.1 244 GJ/cap/yr., 2.1 GJ/cap/yr. and 3.2 GJ/cap/yr. in India, South Africa and Brazil respectively to energy demand. This is explained in large part by the extent of meat consumption,²⁵ which 245 246 contributed 12, 35 and 51 grams of protein per day to an average person in the three countries respectively in 2012-13²⁶. Actual food-related energy demand in India would likely grow, as 247 248 meat consumption is on the rise, but previous work shows that nutritional requirements can be met with modest diet changes that reduce energy use.²⁴ In keeping with our approach to 249 250 calculating minimum energy needs, we do not count this growth in the DLS energy needs.

251 Energy demand for cooking (including stove and fuel production) would decrease with DLS to 252 ~2 GJ/cap/yr. for all three countries, due to the replacement of inefficient and toxic solid fuel 253 combustion with cleaner and more efficient stoves and fuels such as liquid petroleum gas 254 $(LPG)^{27}$. The energy for conditioning a minimal amount of residential `space at night to a 255 comfortable range of temperature and humidity amounts up to only 0.5 GJ in India per person 256 per year.

257 **Implications for sustainable development policy**

258 The lifestyles people adopt as they rise out of poverty will influence their wellbeing and, through 259 their material content, energy demand growth.²⁸ This study helps relate energy demand growth to 260 aspects of lifestyles associated with basic needs and affluence. Compared to the modest energy 261 needs required to avoid heat stress in homes, more luxurious use of AC can entail energy 262 demand of five times this minimum level²⁹. Means of social affiliation, including basic 263 education, and access to broadcast and social media, require just a few gigajoules of energy per 264 capita. In contrast, electronics are a growing and non-trivial share of household energy use in affluent countries³⁰. 265

For India in particular, the findings reveal the extent to which national energy demand mirrors 266 267 inequities in living standards. Current final energy use was 17.5 GJ per cap in 2015, of which, 268 given the large gaps in DLS, about 7 GJ/cap of current demand likely serves basic needs. 269 Further, only 12-15 GJ per cap per year would be required to meet DLS for all, and only a small 270 fraction of that to build out the necessary infrastructure. Although this is not a comprehensive 271 estimate of the energy use needed to support an economy, it reveals that the scale of the energy 272

gap to eradicate poverty is comparable to current energy use.

273 These insights also help define policy choices that can support climate mitigation and enhance 274 wellbeing. Over a third of construction energy can be avoided if slums and poor quality rural 275 homes were upgraded with energy-saving housing construction practices,²³ and if public 276 transportation were scaled up to serve future urban mobility demand. Targeting future energy 277 infrastructure expansion and improvement towards newly emerging urban areas, particularly in 278 support of such improved housing and public transit, can be an effective way to dovetail energy-279 efficient and equitable growth. Expanding access to clean cooking and encouraging healthy diets 280 are already well-known strategies to improve wellbeing and reduce energy demand³¹.

The analytical framework can also provide stakeholders with insights into the sensitivity of the DLS energy needs to different threshold values of the DLS dimensions, since the final results are a linear combination of these inputs and their respective embodied energy intensities (*Supplementary Tables* 15-18). For instance, one can compare the impact on energy needs of changing the minimum standard of floor space in public housing to that of changing minimum mobility requirements.

287 Implications for climate equity

Climate agreements have for long expressed in their call to action an intent to protect development rights^{32,33}. However, without a concrete articulation, the compatibility of such an entitlement with meeting ambitious climate mitigation has eluded policy debates. The DLS can be a basis to characterize such an entitlement. Its related energy demand is one critical link to the many societal transformations required for climate mitigation. This study shows that the energy demand in global scenarios of 2°C can support DLS in the three chosen countries. However, the extent of this compatibility differs across the countries. 295 The gap between countries' DLS energy requirements and IAMs' projected energy demand

296 pathways in a 2°C world (Figure 5) reflects the energy demand associated with affluence, above a

297 DLS. India, as the poorest country with the largest DLS gap (Figure 1), has the least 'headroom'

298 under the IAM trajectories, despite already having lower average demand of DLS. This implies

- that India's future affluence from income growth would have to be achieved with relatively less
- 300 growth in energy demand or have to bear a higher carbon price tag than elsewhere. International
- 301 cooperation on technology transfer and diffusion in future negotiations have to address such
- 302 inequities if countries like India are expected to pursue ambitious mitigation. These questions
- 303 require exploration in the broader context of greenhouse gas emissions and not just energy, but
- 304 the DLS framework offers a common foundation for such a discussion.

Figure 5: Comparison of energy demand scenarios for decent living standards and national projections.

- 307 Comparison of energy demand scenarios for decent living standards (DLS_ACCEL,
- 308 DLS_ACCEL_LCT) to national projections from two IAMs (IMAGE, GCAM) under available
- 309 socioeconomic futures (Shared Socioeconomic Pathways SSPs 1, 2, 4) that all achieve climate
- 310 stabilization at 2.6W/m² (2°C) (SSP-2.6). Variability of DLS pathways to socioeconomic futures
- 311 is relatively small. See *Supplementary Note 1*. Note: DLS for all achieved only in 2030.



313 Questions about fair efforts and technology transfer have immediate practical significance for 314 countries' Nationally Determined Contributions (NDCs) under the Paris Agreement. Current 315 pledges fall well short of what is required to meet the targets. Many stakeholders believe that equitable efforts-sharing is central to ratcheting up ambition³⁴⁻³⁶. The global stock stake, starting 316 317 in 2023, obligates parties to develop more ambitious plans for mitigation, adaptation and means 318 of implementation, which include technology transfer and climate finance. The energy needs and 319 climate impacts of providing DLS can be one lens through which subsequent NDC pledges are 320 viewed. Its underlying components provide a concrete basis to debate comparability of countries' 321 demand-side climate mitigation efforts. For instance, India's significantly lower energy demand 322 for the same DLS compared to Brazil raises questions about convergence. What factors justify 323 these differences? How should path dependence and the maturity of Brazil's transport 324 infrastructure be considered against its higher income and transport energy intensity? Similar 325 questions can be asked of food and culture, or housing characteristics and climate, among others.

326

327 Implications for future research

The analytical approach pursued here builds on a new direction of research that bridges between IAM and industrial ecology research. This link has been recognized as a way to formally assess climate policy alongside other sustainability impacts of a changing industrial system^{37,38}. This study extends this link to consumption and its contribution to wellbeing. Future sustainability research can assess trade-offs and impacts between policies that influence consumption, materials and energy system changes against environmental and social objectives. We create a common point of comparison through energy demand, which we relate to consumption through 335 IE and to climate impacts through IAM. Future work can derive other sustainability impacts of336 DLS from IE and relate these to climate mitigation goals.

337 Methods

338 This study utilizes a service-driven energy accounting model (SEAM) to map countries' progress 339 in living standards to their energy use (Supplementary Figure 1). SEAM builds on a foundation of previous studies, particularly for the definition and justification of DLS¹⁶ and the simulation 340 of building construction and operation²⁹. SEAM calculates embodied energy intensities of DLS 341 dimensions using standard methods in industrial ecology³⁹, including multi-regional input-output 342 343 (using the EXIOBASE MRIO⁴⁰) and life cycle assessment $(LCA)^{41,42}$. The MRIO is linked to 344 household consumption and expenditure surveys for the three countries so that embodied energy 345 intensities can be calculated for all household consumption categories⁴³. We use this household 346 footprinting approach for calculating, by country, the embodied energy for food, clothing, health 347 and education. The LCA tools are used primarily to calculate the embodied energy of 348 construction and manufacturing, for appliances, buildings and infrastructure. In both methods, 349 we track final energy use (instead of primary energy) through the supply chain, in order to 350 capture actual energy demand, so as to remove the dependence of the results on the fuel mix of 351 the respective countries and thereby enable legitimate comparisons of energy needs across 352 countries. The quantification process involves several similar steps for each DLS dimension. 353 First, based on normative thresholds quantities for DLS dimensions, supported by academic literature¹⁶ and prevailing regulations and standards, estimate shortfalls in DLS from household 354 355 survey and other national data (Supplementary Methods, Supplementary Note 4). Second, using 356 statistical or simulation techniques, where needed, to determine the material satisfiers that best 357 relate to the chosen DLS dimension. For instance, for cold storage and adequate shelter the

358 material satisfiers are straightforward – refrigerators and buildings. The chosen material basis for 359 health and education standards - national expenditure - is less obvious but was found to best 360 correlate to outcomes compared to other physical indicators, such as hospitals or schools. Third, 361 we use MRIO and LCA, as appropriate, to estimate the embodied energy intensity of the chosen 362 material satisfiers. MRIO was used for food, health, education and clothing, while LCA was used 363 for buildings, appliances, vehicles and infrastructure. The choice was based on the specificity of 364 material requirements and their alignment with sector or product definitions in each method. 365 Fourth, we estimate pathways of progress for the DLS dimensions under the chosen scenarios of 366 development and climate policies. In the DLS_BAU scenarios we extrapolate historical rates of 367 progress/growth in each DLS dimension; in DLS_ACCEL we accelerate growth to fill all gaps in 368 DLS by 2030, in line with the SDGs. In the DLS ACCEL LCT scenario, different measures are 369 adopted in each dimension, which are described later. We operationalize these choices in a 370 simple capital stock and flow model to represent the material stock that deliver DLS and their 371 operational characteristics. Capital is replaced in accordance with the assumed lifetimes of 372 durables.

The rest of this section first briefly summarizes the principles underlying the estimation approach, and then presents how the above steps were operationalized for each dimension. The full details of the material stocks, operating assumptions and the resulting energy demands can be found in the *Supplementary Tables 14-18*.

With foundations in theories of basic capabilities and basic needs, the DLS identifies a common universal set of material prerequisites, or 'satisfiers', for attaining physical and social wellbeing in modern society¹⁶. Everyone ought to have adequate nutrition, shelter, health care and education, decent living conditions, and the opportunity to participate in society. The DLS

381 operationalizes these universal satisfiers into context-dependent material and energy 382 requirements. The use of industrial ecology tools allows us to comprehensively capture the 383 hierarchy of material dependence, and therefore, the full extent of the built environment, needed 384 to provide DLS. The threshold quantities of individual satisfiers, where relevant (e.g. daily 385 allowance for micronutrients, or floor space), are based on prevailing international and national 386 standards or global trends and translated to actual material consumption based on local 387 conditions. For instance, in all countries a universal floor space threshold (10m² per person) and 388 range of thermal comfort is used, but country-specific building types (e.g. urban multi-storey vs 389 rural single-storey), local construction materials and prevailing efficiency standards, determine 390 the housing and space conditioning energy requirements. Adequate nutrition translates to 391 different daily calorie and micronutrient requirements based on the bioavailability of available 392 foods, which in turn translate to different foods based on prevailing diet choices.

The results obviously depend on the chosen thresholds, whose determination involves some level of subjectivity, and ought to, in principle, be driven by policy. We have selected values with the intent of capturing a basic minimum, and provide results that scale proportionately with alternative threshold values. We describe each dimension and its related content next.

Food requirements and nourishment are conventionally characterized as average calories per day, which masks and understates the extent of malnourishment in the form of deficiencies in micronutrients, such as iron, zinc and vitamins⁴⁴. We estimate these deficiencies with reference to national standards for nutritional adequacy. The diet composition for the countries are based on weighted averages from national (representative) household surveys for Brazil and South Africa in all scenarios. In previous work, we use optimization methods to find regional diets in India that meet these nutritional constraints and energy use while minimizing deviations from existing

diets²⁴. The DLS ACCEL and DLS ACCEL LCT scenarios for India adopt this nutritionally 404 405 optimal (low-rice) diet. In the cases of Brazil and South Africa, the same granularity on 406 household diets and their nutritional content was not available. We instead assess DLS in terms 407 of total calorie requirements. We calculate the embodied energy of DLS diets using the MRIO-408 based household footprinting tool described above (Supplementary Methods for further details). 409 Food preparation inside homes has a significant effect on well-being due to emission of harmful 410 pollutants from solid and liquid fuel based cook stoves. Thus, DLS require that households have 411 gas or electric stoves, which do not emit these pollutants. We calculate the embodied energy in 412 manufacturing and using stoves based on typical usage in middle-income households in India. We find support for a minimum space requirement of 10 m² per person, above a minimum home 413 size of 30 m^2 (for up to three persons), in several national standards for public housing. For 414 415 instance, populated regions such as Hong Kong and Taiwan, have regulations for minimum living space between 8-13 m² per capita¹⁶. To avoid heat stress, maximum indoor temperature is 416 restricted to 26°C and 60 percent humidity^{19,29}. The material requirements, the embodied energy 417 418 intensities of construction and the cooling energy are calculated using a building simulation 419 model developed elsewhere that uses multi-storey and single-storey building archetypes for urban and rural areas respectively²⁹. This model uses the *EnergyPlus* software to simulate space 420 421 conditioning and an LCA engine to calculate the embodied energy in materials. For the 422 DLS_ACCEL_LCT scenario, we deploy aerated earth blocks for construction and energy 423 efficient materials and cooling equipment, which previous work shows reduces both construction energy and cooling energy requirements relative to conventional masonry²³. 424 425 For clothing, we find a robust relationship, using linear regression, between quantity of clothing

426 (from household surveys) and climate. We accordingly determine minimum clothing

requirements for the three countries using population-weighted climate index (a variation of
Heating Degree-Days HDD, see *Supplementary Methods*), and combining with their respective
embodied energy intensities from the MRIO. We keep the clothing requirements fixed over time
and across scenarios.

431 Decent standards for water supply and sanitation entail in-house access to a minimum quantity of
432 clean water per person per day to support drinking needs, basic ablutions and in-house toilets.
433 Energy for water use in agriculture and industry is accounted for in the indirect energy
434 accounting for other DLS dimensions. The embodied energy for constructing the infrastructure
435 and supplying water are drawn from the LCA literature. Both quantities and intensities are
436 invariant in all scenarios.

437 Households are equipped with basic appliances to meet the needs for cold storage and

438 connectivity to society, including a television, based on the most widely prevalent technology

439 and size options in each respective country⁴⁵. In the DLS_ACCEL_LCT scenario, we assume

440 full penetration of the best available technologies, as modeled in previous work.

441 The provision of health care and education is through shared facilities (e.g. hospitals and

442 schools). The literature reveals that indicators of good health (life expectancy and infant

443 mortality) and education completion correlate well with national per capita health and education

444 expenditures respectively, and stronger than other physical indicators such as the number of

445 hospitals or schools^{16,46}. These minimum expenditures were combined with embodied energy

446 intensities from MRIO to yield energy requirements for health and education.

447 Uncertainty analysis

We characterize uncertainty in our results following the types of uncertainty identified by the IPCC⁴⁷: unpredictability in behavior related to society and institutions; value uncertainty, related to data inputs; and structural uncertainty in models related to the underlying energy intensity calculations. Note that we already illustrate policy uncertainty through the scenario design. We describe how we represent each type of uncertainty below.

453 We represent two types of societal uncertainty: socioeconomic futures, and institutional 454 conditions. We select key influential variables in each, and combine them, for ease of 455 presentation, to show the outer bounds of energy needs, using two scenarios, DLS_HIGH and 456 DLS LOW. The socioeconomic uncertainty influence primarily the DLS gap, and the latter the 457 characteristics of new capital required to fill this gap. We use population, income and 458 urbanization projections from available socioeconomic futures from the climate literature 459 (Shared Socioeconomic Pathways, or SSPs)⁴⁸. Population influences the overall gap; income 460 influences the secular uptake of appliances (TV, cell phone and AC); and urbanization influences 461 the share of urban and rural housing requirements, which have different archetypes, and 462 therefore different energy use for the same comfort thresholds. in the DLS gaps. We use the DLS 463 gap most closely related to current trends (SSP2) for the main results (DLS ACCEL and 464 DLS ACCES LCT scenarios), and use SSP1 and SSP3 for the DLS LOW and DLS HIGH 465 variations respectively, because they yield the most contrasting values for the DLS gaps. 466 The lifetime of capital, particularly housing, vehicles and roads, and the share of two-wheelers 467 and four-wheelers in India, constitute the most influential institutional uncertainties. In addition, 468 we incorporate uncertainty in the health care sector expenditures that would be required to 469 achieve DLS.

470 Regarding value uncertainty, several data inputs go into the embodied energy intensity 471 calculations. As described below, the literature does not typically offer a logical basis to estimate 472 ranges for these inputs. Instead, we present a sensitivity analysis of the main results to key input 473 parameters. The input data fall into two categories: threshold material requirements in DLS; and 474 the technical parameters in the energy intensity calculations. The threshold quantities of DLS 475 dimensions are a normative input representing consumption levels, which, as mentioned earlier, 476 have been chosen conservatively to develop a lower bound on energy needs for DLS. As the 477 final result is a linear combination of these quantities and their respective embodied energy 478 intensities, the individual components scale proportionately with different threshold values. Regarding energy intensities, aside from our simulations, we have drawn many estimates of 479 480 embodied energy intensity of products from the LCA and IO literature, for which authors 481 typically do not provide sensitivities. For convenience, we have tabulated the sensitivity of the 482 overall result to a 10 percent change in every threshold value and input parameter that influence 483 these embodied energy intensities (Supplementary Figure 7).

The primary structural uncertainties lie in the LCA and IO inventories and databases that we rely on in our calculations. However, these uncertainties are only known generally in the field, not for the specific studies and databases from which we draw. Nevertheless, we quantify the extent of this uncertainty (See *Supplementary Note 1*). A comparison of the main results to the uncertainty scenarios can be found in *Supplementary Table 25*.

489 Data Availability Statement

490 The data that support the plots within this paper and other findings of this study are available

- 491 from the corresponding author upon reasonable request. Publicly available data used in the
- 492 analysis include nationally representative household consumption expenditure surveys in India⁴⁹,

- 493 Brazil⁵⁰ and South Africa⁵¹, and the Ecoinvent 3⁵² and EXIOBASE 3⁴⁰ databases. Further details
- 494 available in *Supplementary Note 4*.

495 **Code Availability Statement**

- 496 The code used to manipulate the data and generate the results are available from the
- 497 corresponding author upon reasonable request.

498 Acknowledgements

499 The authors were supported by the European Research Council Starting Grant 637462.

500 **Contributions**

501 NDR designed the study; NDR, JM and AM conducted the analysis and wrote the manuscript.

502 **Financial and non-financial competing interests**

- 503 The authors declare no competing interests.
- 504

505 References

- 506 1 Goldemberg, J., Johansson, T. B., Reddy, A. N. & Williams, R. H. Basic needs and much more with 507 one kilowatt per capita. *Ambio* **14**, 190-200 (1985).
- 5082Rogelj, J. *et al.* Energy system transformations for limiting end-of-century warming to below 1.5509°C. Nature Climate Change 5, 519, doi:10.1038/nclimate2572 (2015).
- 5103Riahi, K. *et al.* The Shared Socioeconomic Pathways and their energy, land use, and greenhouse511gas emissions implications: An overview. *Global Environmental Change* 42, 153-168,512doi:10.1016/j.gloenvcha.2016.05.009 (2017).
- 513 4 Grubler, A. *et al.* A low energy demand scenario for meeting the 1.5 °C target and sustainable 514 development goals without negative emission technologies. *Nature Energy* **3**, 515-527, 515 doi:10.1038/s41560-018-0172-6 (2018).
- 516 5 Kriegler, E. *et al.* Fossil-fueled development (SSP5): An energy and resource intensive scenario 517 for the 21st century. *Global Environmental Change* **42**, 297-315, 518 dei:10.1016/j.cloamythe.2016.05.015 (2017)
- 518 doi:10.1016/j.gloenvcha.2016.05.015 (2017).
- 5196Rao, N. D. & Pachauri, S. Energy access and living standards: some observations on recent520trends. Environmental Research Letters 12, 025011, doi:10.1088/1748-9326/aa5b0d (2017).
- 521 7 Steckel, J. C., Brecha, R. J., Jakob, M., Strefler, J. & Luderer, G. Development without energy?
 522 Assessing future scenarios of energy consumption in developing countries. *Ecological Economics*523 90, 53-67, doi:10.1016/j.ecolecon.2013.02.006 (2013).
- 5248Steinberger, J. K. & Roberts, J. T. From constraint to sufficiency: The decoupling of energy and525carbon from human needs, 1975–2005. Ecological Economics 70, 425-433,526doi:10.1016/j.ecolecon.2010.09.014 (2010).
- Lamb, W. F. & Rao, N. D. Human development in a climate-constrained world: What the past
 says about the future. *Global Environmental Change* 33, 14-22,
 doi:10.1016/j.gloenvcha.2015.03.010 (2015).
- 530 10 Smil, V. Energy Transitions. (2010).
- 53111Ribas, A., Lucena, A. F. P. & Schaeffer, R. Bridging the energy divide and securing higher532collective well-being in a climate-constrained world. *Energy Policy* **108**, 435-450,533doi:10.1016/j.enpol.2017.06.017 (2017).
- 53412Arto, I., Capellán-Pérez, I., Lago, R., Bueno, G. & Bermejo, R. The energy requirements of a535developed world. *Energy for Sustainable Development* **33**, 1-13, doi:10.1016/j.esd.2016.04.001536(2016).
- 53713AGECC, U. Energy for a sustainable future. (The Secretary General's Advisory Group on Energy538and Climate Change (AGECC), New York, 2010).
- 53914Chakravarty, S. & Tavoni, M. Energy poverty alleviation and climate change mitigation: Is there a540trade off? *Energy Economics* **40**, S67-S73, doi:10.1016/j.eneco.2013.09.022 (2013).
- 54115Rao, N. D. & Min, J. Less global inequality can improve climate outcomes. Wiley Interdisciplinary542Reviews: Climate Change 9, e513, doi:10.1002/wcc.513 (2018).
- 54316Rao, N. D. & Min, J. Decent Living Standards: Material Prerequisites for Human Wellbeing. Soc544Indic Res 138, 225-244, doi:10.1007/s11205-017-1650-0 (2018).
- 54517Alkire, S. & Santos, M. E. Measuring Acute Poverty in the Developing World: Robustness and546Scope of the Multidimensional Poverty Index. World Development 59, 251-274,547doi:10.1016/j.worlddev.2014.01.026 (2014).
- 548 18 Doyal, L. & Gough, I. *Theory of human need*. (Macmillan, 1991).

549	19	Mastrucci, A., Byers, E., Pachauri, S. & Rao, N. D. Improving the SDG energy poverty targets:
550		residential cooling needs in the Global South. Energy and Buildings,
551		doi:h <u>ttps://doi.org/10.1016/j.enbuild.2019.01.015</u> (2019).
552	20	Bank, W. Poverty: Overview, <http: en="" overview#2="" poverty="" topic="" www.worldbank.org=""></http:>
553		(2018).
554	21	UN, S. Chilling prospects: providing sustainable cooling for all. (UN Sustainable Energy for All,
555		Vienna, Austria, 2018).
556	22	Ahmad, S., Pachauri, S. & Creutzig, F. Synergies and trade-offs between energy-efficient
557		urbanization and health. Environmental Research Letters 12, 114017, doi:10.1088/1748-
558		9326/aa9281 (2017).
559	23	Mastrucci, A. & Rao, N. D. Bridging India's housing gap: lowering costs and CO2 emissions.
560		Building Research & Information, 1-16, doi:10.1080/09613218.2018.1483634 (2018).
561	24	Rao, N. D. et al. Healthy, affordable and climate-friendly diets in India. Global Environmental
562		Change 49, 154-165, doi:https://doi.org/10.1016/j.gloenvcha.2018.02.013 (2018).
563	25	McMichael, A. J., Powles, J. W., Butler, C. D. & Uauy, R. Food, livestock production, energy,
564		climate change, and health. <i>Lancet</i> 370 , 1253-1263 (2007).
565	26	FAO. (ed Food and Agriculture Organization of the United Nations) (Rome, Italy).
566	27	Cameron, C. et al. Policy trade-offs between climate mitigation and clean cook-stove access in
567		South Asia. <i>Nature Energy</i> 1 , 15010, doi:10.1038/nenergy.2015.10 (2016).
568	28	Creutzig, F. et al. Towards demand-side solutions for mitigating climate change. Nature Climate
569		<i>Change</i> 8 , 260-263, doi:10.1038/s41558-018-0121-1 (2018).
570	29	Mastrucci, A. & Rao, N. D. Decent housing in the developing world: Reducing life-cycle energy
571		requirements. Energy and Buildings 152, 629-642, doi:10.1016/j.enbuild.2017.07.072 (2017).
572	30	Ryen, E. G., Babbitt, C. W. & Williams, E. Consumption-Weighted Life Cycle Assessment of a
573		Consumer Electronic Product Community. Environmental Science & Technology 49, 2549-2559,
574		doi:10.1021/es505121p (2015).
575	31	Creutzig, F. et al. Beyond Technology: Demand-Side Solutions for Climate Change Mitigation.
576		Annual Review of Environment and Resources 41, 173-198, doi:10.1146/annurev-environ-
577		110615-085428 (2016).
578	32	UNFCCC. Paris Agreement, <https: default="" english_paris_agreement.pdf="" files="" sites="" unfccc.int=""></https:>
579		(2015).
580	33	UNFCCC. (Durban, 2011).
581	34	Klinsky, S. et al. Why equity is fundamental in climate change policy research. Global
582		Environmental Change 44, 170-173, doi:10.1016/j.gloenvcha.2016.08.002 (2017).
583	35	Civil Society Equity Review. After Paris: Inequality, fair shares and the climate emergency.
584		(2017).
585	36	Zimm, C. & Nakicenovic, N. What are the implications of the Paris Agreement for inequality?
586		Climate Policy, 1-10, doi:10.1080/14693062.2019.1581048 (2019).
587	37	Pauliuk, S., Arvesen, A., Stadler, K. & Hertwich, E. G. Industrial ecology in integrated assessment
588		models. Nature Climate Change 7, 13-20, doi:10.1038/nclimate3148 (2017).
589	38	Volkart, K., Mutel, C. L. & Panos, E. Integrating life cycle assessment and energy system
590		modelling: Methodology and application to the world energy scenarios. Sustainable Production
591		and Consumption 16 , 121-133, doi:10.1016/j.spc.2018.07.001 (2018).
592	39	Miller, R. E. & Blair, P. D. Intput-Output Analysis: Foundations and Extensions. (Cambridge
593		University Press, 2009).
594	40	Stadler, K. et al. EXIOBASE 3: Developing a Time Series of Detailed Environmentally Extended
595		Multi-Regional Input-Output Tables. Journal of Industrial Ecology 22, 502-515,
596		doi:10.1111/jiec.12715 (2018).

597	41	Finnveden, G. et al. Recent developments in Life Cycle Assessment. J Environ Manage 91, 1-21,
598		doi:10.1016/j.jenvman.2009.06.018 (2009).
599	42	Islam, S., Ponnambalam, S. G. & Lam, H. L. Review on life cycle inventory: methods, examples
600		and applications. <i>Journal of Cleaner Production</i> 136 , 266-278, doi:10.1016/j.jclepro.2016.05.144
601		(2016).
602	43	Min, J. & Rao, N. D. Estimating Uncertainty in Household Energy Footprints. Journal of Industrial
603		<i>Ecology</i> 22 , 1307-1317, doi:10.1111/jiec.12670 (2018).
604	44	Muthayya, S. et al. The global hidden hunger indices and maps: an advocacy tool for action. PLoS
605		<i>One</i> 8 , e67860, doi:10.1371/journal.pone.0067860 (2013).
606	45	Rao, N. D. & Ummel, K. White goods for white people? Drivers of electric appliance growth in
607		emerging economies. Energy Research & Social Science, 106-116,
608		doi: <u>http://dx.doi.org/10.1016/j.erss.2017.03.005</u> (2017).
609	46	McCollum, D. L. et al. Energy investment needs for fulfilling the Paris Agreement and achieving
610		the Sustainable Development Goals. Nature Energy, doi:10.1038/s41560-018-0179-z (2018).
611	47	IPCC. Guidance Notes for Lead Authors of the IPCC Fourth Assessment Report on Addressing
612		Uncertainties. (2005).
613	48	O'Neill, B. C. et al. A new scenario framework for climate change research: the concept of
614		shared socioeconomic pathways. Climatic Change 122, 387-400, doi:10.1007/s10584-013-0905-
615		2 (2013).
616	49	Ministry of Statistics and Programme Implementation. in Household Consumption Expenditure
617		Rd 68, 2011-12 (ed National Sample Survey Office) (2012).
618	50	Instituto Brasilieiro de Geografia e Estatistica. in Consumer Expenditure Survey - POF 2008-09
619		(2009).
620	51	Statistics South Africa. in Income and Expenditure Survey 2010-11 (2011).
621	52	Wernet, G. et al. The ecoinvent database version 3 (part I): overview and methodology.
622		International Journal of Life Cycle Assessment 21 , 1218-1230, doi:10.1007/s11367-016-1087-8
623		(2016).