1	Threshold Effects of Air Pollution and Climate Change on Understory Plant
2	Communities at Forested Sites in the Eastern United States
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22 Abstract

Forest understory plant communities in the eastern United States are often diverse and are 23 potentially sensitive to changes in climate and atmospheric inputs of nitrogen caused by air 24 pollution. In recent years, empirical and processed-based mathematical models have been 25 developed to investigate such changes in plant communities. In the study reported here, a robust 26 27 set of understory vegetation response functions (expressed as version 2 of the Probability of Occurrence of Plant Species model for the United States [US-PROPS v2]) was developed based 28 on observations of forest understory and grassland plant species presence/absence and associated 29 30 abiotic characteristics derived from spatial datasets. Improvements to the US-PROPS model, relative to version 1, were mostly focused on inclusion of additional input data, development of 31 custom species-level input datasets, and implementation of methods to address uncertainty. We 32 investigated the application of US-PROPS v2 to evaluate the potential impacts of atmospheric 33 nitrogen (N) and sulfur (S) deposition, and climate change on forest ecosystems at three forested 34 35 sites located in New Hampshire, Virginia, and Tennessee in the eastern United States. Specieslevel N and S critical loads (CLs) were determined under ambient deposition at all three modeled 36 sites. The lowest species-level CLs of N deposition at each site were between 2 and 11 kg 37 38 N/ha/yr. Similarly, the lowest CLs of S deposition, based on the predicted soil pH response, were less than 2 kg S/ha/yr among the three sites. Critical load exceedance was found at all three 39 40 model sites. The New Hampshire site included the largest percentage of species in exceedance. 41 Simulated warming air temperature typically resulted in lower maximum occurrence probability, which contributed to lower CLs of N and S deposition. The US-PROPS v2 model, together with 42 43 the PROPS-CLF model to derive CL functions, can be used to develop site-specific CLs for 44 understory plants within broad regions of the United States. This study demonstrates that

45	species-level CLs of N and S deposition are spatially variable according to the climate, light
46	availability, and soil characteristics at a given location. Although the species niche models
47	generally performed well in predicting occurrence probability, there remains uncertainty with
48	respect to the accuracy of reported CLs. As such, the specific CLs reported here should be
49	considered as preliminary estimates.
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51	Keywords: Forest understory; biodiversity; nitrogen; climate change; critical load
52	
53	Capsule: Critical loads of atmospheric nitrogen and sulfur deposition were determined for
54	maintaining understory vegetation diversity. Critical load exceedance was found at all model
55	application sites.
56 57	INTRODUCTION
58	Changes in climate and atmospheric nitrogen (N) and sulfur (S) deposition in the eastern
59	United States have resulted in pronounced changes in soil condition and habitat suitability for
60	many plant species (U.S. EPA 2008, U.S. EPA 2009). Such changes in soil and habitat
61	conditions are expected to continue in the future with further changing air temperature and
62	precipitation that may interact with effects of N deposition. At some locations, recovery from
63	earlier soil acidification, predominantly caused by S deposition, continues to play a major role as
64	a driver of vegetation response (Zarfos et al. 2019).
65	The Millennium Ecosystem Assessment (MEA 2005) concluded that climatic factors and
66	N availability were among the most influential stressors affecting forest understory plant
67	biodiversity. Emissions of N have altered competitive interactions among plant species to favor
68	nitrophilous species (Bobbink et al. 2010, McDonnell et al. 2018, Clark et al. 2019). Herbaceous

plant species that are well-adapted to nutrient-poor conditions can be out-competed by other
species that are better adapted to high N supply (Hautier et al. 2009, de Vries et al. 2010, Payne
et al. 2013), with potential effects on forest plant diversity (Gilliam 2007, van Dobben and de
Vries 2017, Zarfos et al. 2019). The former are often native and relatively rare; the latter are
often non-native and invasive (Gilliam 2007).

Greenhouse gas emissions have increased temperature and altered precipitation patterns,
including in the eastern United States (IPCC 2013, U.S. Global Change Research Program
2017). Such fundamental changes may affect forest understory plant communities and should be
considered in conjunction with atmospheric N and S deposition. Even with substantial reductions
in N and S emissions and deposition throughout the eastern United States since the 1980s
(Sullivan et al. 2018), atmospheric concentrations and deposition of N are higher than
preindustrial conditions (Galloway et al. 2008, Sullivan 2017).

The ability of eastern forest vegetation communities to recover from relatively high past 81 N inputs is unclear, as is the influence of climate change on such recovery (McDonnell et al. 82 2014, Phelan et al. 2016, Stevens 2016, McDonnell et al. 2018). Climate affects virtually all 83 aspects of N cycling, mainly through changes in soil microbial activity and tree uptake (Suddick 84 85 et al. 2013). Temperature and precipitation patterns have changed during recent decades and are expected to change further in the coming decades (IPCC 2013). Increasing temperature and 86 precipitation may increase plant growth, making plant communities more sensitive to changes in 87 88 N availability. However, increasing temperature and precipitation may also increase decomposition of soil organic matter and N availability, making plant communities less 89 90 dependent on external sources of N such as atmospheric deposition (Clark et al. 2019).

The majority of forest plant species biodiversity is found in the understory community
(Gilliam 2007). The herb layer tends to respond clearly and quickly to disturbance across broad
spatial scales and often partly reflects historical patterns of disturbance and successional stage
(Gilliam 2007). Varying levels of N input have been associated with decreases in species
richness in plot experiments (Clark et al. 2007, Clark and Tilman 2008, Bowman et al. 2012) and
regional studies across N deposition gradients (Stevens et al. 2010b, Simkin et al. 2016).

The total number of species present at a given site, termed species richness, is commonly
used as a metric to express biodiversity. Addition of N to vegetation communities can increase,
decrease, or have no effect on richness, depending on many other stressors (Simkin et al. 2016).
Key processes include release of opportunistic species from N-limitation (Bobbink and Hicks
2014), competitive exclusion (Hautier et al. 2009), soil acidification (Stevens et al. 2010a),
environmental filtering (Kraft et al. 2015), base cation depletion (Zarfos et al. 2019), and nutrient
imbalances (Chen et al. 2013).

104 Critical loads (CLs) have been used extensively to inform environmental policy relating 105 to emissions standards (U.S. EPA 2009). The CL is the deposition load (usually of N and/or S) 106 below which harmful effects on ecosystems are not expected to occur according to present 107 knowledge (Nilsson and Grennfelt 1988). CLs can be used to protect or restore either terrestrial 108 or aquatic receptors (Sullivan 2012). Critical loads to protect biodiversity at individual sites or at 109 regional scales can be used to evaluate the potential effects of emissions, which are important to 110 land managers, especially those responsible for managing wilderness and national parks.

Models have been developed to estimate the response of forest understory plant
communities to anthropogenic N and S input (de Vries et al. 2010). Coupled biogeochemicalvegetation models have been used to simulate the interactive effects caused by climate warming,

increases or decreases in precipitation, and changes in N and S deposition inputs (Slootweg et al. 114 2015, Hettelingh et al. 2017). The PROPS model (Wamelink et al. 2011, Reinds et al. 2014) is a 115 statistically-based vegetation niche model. It uses existing species distributions to derive niche 116 information, which is then used to predict changes in plant abundance. The methodology was 117 initially developed for use in European natural and semi-natural vegetation systems. An initial 118 119 application of PROPS in the United States used PROPS linked with the Very Simple Dynamic model with carbon (C) and nitrogen (N) cycling (VSD+; Bonten et al. 2016) to investigate 120 potential long-term impacts of acidic and nutrient-rich atmospheric deposition on hardwood 121 122 forest ecosystems in the context of changing climatic conditions (McDonnell et al. 2018). Simulation results suggested that the site suitability for the continued presence of characteristic 123 understory plant species might decline during this century. However, low data availability for 124 defining niches (i.e., vegetation response functions modeled by PROPS) at the high and low 125 extremes of N deposition introduced uncertainty. Recently, vegetation observations in the United 126 States that had been aggregated by Simkin et al. (2016) were merged with PROPS to develop a 127 set of species niche models for ecosystems in the United States (McDonnell et al. 2018). In 128 addition to the VSD+ model, the PROPS model can be linked with the Critical Load Function 129 130 (CLF) methodology (Posch et al. 2015b, Posch 2017) to estimate CLs of atmospheric N and S deposition to protect biodiversity under steady-state conditions. Uncertainties and other 131 132 limitations were identified in the application of the initial version of the United States' PROPS 133 models (McDonnell et al. 2018).

The goals of the research reported here were to improve on the first iteration of the USPROPS model described by McDonnell et al. (2018) to produce US-PROPS (v2) and present

136	initial CL estimates generated by the US-PROPS-CLF model chain at three forested study sites						
137	located in New Hampshire, Virginia, and Tennessee, with a focus on:						
138	1) Including additional model input data.						
139	2) Developing custom species-level input data sets based on only the vegetation survey						
140		within and near to the known geographic extent of occurrence for a given species.					
141	3)	Including additional candidate predictor variables to describe light availability, soil					
142		conditions, and cumulative N deposition.					
143	4)	Expressing goodness-of-fit for each species model.					
144	5)	Providing a basis for quantifying uncertainty with respect to extrapolation beyond the					
145		range of abiotic conditions used for US-PROPS model development.					
146	6)	Determining CLs of N and S deposition for plant species.					
147							
148	METHO	DS					
149	Study Site	es					
150	Th	e three sites modeled by McDonnell et al. (2018) were used here to test the application					
151	of selected species niche models derived from nationally available data. The three sites consisted						
152	of a 1) northern hardwood forest (Hubbard Brook; HB) located in the White Mountains National						
153	Forest at the HB Experimental Forest (HBEF) Long Term Ecological Research Station in New						
154	Hampshire; 2) mixed oak forest (Piney River; PR) in Shenandoah National Park (NP), Virginia;						
155	and 3) sugar maple-mixed oak forest (Cosby Creek; CC) in Great Smoky Mountains NP,						
156	Tennessee						

158 Species Model (US-PROPS v2) Development

159 Species Occurrence Data

Vegetation survey data used in this study were taken mostly from the compilation of 160 Simkin et al. (2016). The initial version of the US-PROPS database described by McDonnell et 161 al. (2018) was based on only the portion of the Simkin et al. (2016) plots (n = 1,214) that were 162 163 attributed with soil C/N ratio. The full database of Simkin et al. (2016) was developed by compiling vegetation surveys with known geographic coordinates. The version used herein 164 included 20,857 plots and consisted of 5,238 unique species, of which 1,555 occurred on at least 165 166 50 plots. The Simkin et al. (2016) database was augmented with vegetation survey data from Lawrence et al. (2015). Each vegetation survey consisted of a complete inventory of vascular 167 plants found on a plot. Tree species were included only if they were found in the ground-layer 168 169 strata. The five main datasets that comprised the vast majority (93%) of the vegetation survey data used in this study were provided by: Ecological Society of America (VegBank; 170 171 http://vegbank.org), Virginia Department of Conservation (https://www.dcr.virginia.gov), Minnesota Department of Natural Resources (https://www.dnr.state.mn.us), West Virginia 172 Natural Heritage Program (Vanderhorst et al. 2012), and the USFS Forest Inventory and 173 174 Analysis (FIA) database (Schulz and Dobelbower 2012). Additional details regarding vegetation input data can be found in Supplemental Material 1. 175

176

177 Defining Species Range for Custom Species-Level Input Datasets

Based on the full compiled set of vegetation survey plots (n = 20,806; Figure 1), a
unique set of input data was used for individual species model development. For each species, a
subset of vegetation surveys were selected based on a general representation of the species

181 geographic range according to available species occurrence maps. The USDA PLANTS state-182 level species occurrence maps (https://plants.usda.gov) were used to define the geographic range 183 for each species. These maps represent states in which the occurrence of a given species has been 184 recorded based on botanical surveys, herbaria samples, and other empirical studies. Vegetation 185 survey plots included within the geographic range for a given species were used, in conjunction 186 with plot-level predictor variables, for model development.

187

188 *Predictor Variables*

189 Nine candidate predictor variables provided the basis for species model development. This set of predictors was based on an initial set of climate (mean annual temperature, [TANN]; 190 total annual precipitation, [PPTANN]), and soil pH (SOILPH), as used in McDonnell et al. 191 (2018), along with additional variables related to long-term average N deposition (NDEP30) 192 light availability (incoming solar radiation, [SOLMJ]; canopy cover, [CC]), soil texture (soil 193 percent clay, [SOILCLAY]), soil moisture (available water storage, [AWS]), and soil rooting 194 depth (ROOTDEPTH; Supplemental Material 2). In addition to the precipitation amount, 195 available water storage serves as a proxy for water availability (Webb et al. 1993) and 196 197 contributes to species occurrence. This is done, in part, by representing the extent to which dry 198 periods can be survived, and it is partly related to the soil type and the percentage of clay in the 199 soil. Root depth partially determines a plant species ability to extract soil water, an important 200 consideration given potential effects of future climate change on soil moisture availability (Bréda et al. 2006). Light availability, represented by canopy cover and solar radiation, is a key factor 201 202 for plant growth and contributes to species occurrence (Austin and Van Niel 2011). Including 203 canopy cover as a candidate predictor variable also accounts for differences in vegetation type

(e.g., forest versus meadow). Although some spatial autocorrelation may be occurring, most of
 the predictors were developed at a relatively fine scale (30 m), which helps to avoid pseudo replication among observations.

207

208 Statistical Modeling Approach

Logistic regression techniques were used to model the probability (π) that a species occurs as a function of the nine predictor variables. Predictors NDEP30, PPTANN, SOILCLAY, ROOTDEPTH and AWS were log transformed, and all (transformed) predictors were normalized to have mean = 0 and standard deviation = 1. The logistic regression model employed was quadratic in each of the predictors:

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$$\operatorname{logit}(\pi) = \log\left(\frac{\pi}{1-\pi}\right) = \alpha + \sum_{i=1}^{9} \left(\beta_i x_i + \gamma_i x_i^2\right) \tag{1}$$

where x_i represents the (transformed/normalized) predictor variables and α , β_i and γ_i are parameters which were estimated from the presence/absence data for the species within the empirical range defined by the USDA PLANTS state-level distribution. The parameters γ_i for the quadratic terms were forced to be negative ('hump-shaped' relationship) or zero (linear relationship on the transformed scale). This restriction prevents a 'U' shaped relationship between the probability π and a predictor x_i .

Statistical analyses were conducted with GENSTAT (Payne 2009). From the set of
candidate predictor variables (n=9; Supplemental Material 2) a custom procedure
(PROPSEARCH) was developed for model selection based on RSEARCH

224 (<u>https://genstat.kb.vsni.co.uk/knowledge-base/rsearch/</u>). The PROPSEARCH procedure is a

regression selection process, which first selects significant quadratic terms conditional on the

presence of all the accompanying linear terms, and then selects significant linear terms which do

not have an accompanying quadratic term. Positive quadratic terms were removed in the first
step to avoid a 'U' shaped relationship. In both selection steps the selected model was the one
with the smallest mean deviance for which all terms were significant at the 1% significance
level.

231

232 Assessing Model Fit

The model fit for each species was based on how well the model represented observed 233 occurrence probabilities across all plots in the species' range. For a given species, the selected 234 235 model was applied to each plot included within the general geographic range for that species. Plot-level estimates of the predictor variables were used as inputs. For each variable, the range 236 between low and high values among plots was split into 20 equal intervals. For example, the pH 237 238 range of 4-8 was divided into 20 intervals of 0.2 pH units. For each interval, the average of the predicted occurrence probabilities was calculated. This was compared with the probability 239 derived from the observed data (i.e., the number of occurrences of the species in the interval 240 divided by the number of plots in the interval). 241

The Hosmer-Lemeshow (H-L) test (Hosmer and Lemeshow 2000) was applied as a goodness of fit statistic for the logistic regression model. The H-L test is almost always significant when the number of observations is large, as is the case with most of the niche models reported here. Therefore, a graphical qualitative version of the H-L test was used to evaluate goodness of fit. This employs a line-plot of cumulative sorted predicted probabilities versus cumulative observed presence/absence values which are sorted in the same way. Large discrepancies between the plotted line and the line Y=X are indicative of a lack of fit.

Derivation of Site-Level Critical Loads with PROPS-CLF

251 The PROPS-CLF model (Posch 2017) can be used to generate CLs of N and S deposition 252 from the species models that include at least soil pH as a predictor variable. Acidifying effects of N and S deposition are evaluated in PROPS-CLF using the Simple Mass Balance model (SMB; 253 254 Posch et al. 2015a). If soil pH is included as a predictor, but N deposition is not included, then 255 the resultant CLs only represent acidification effects from deposition. The PROPS-CLF model was used here to develop CLs for indicator understory plants species for the three model 256 257 application sites (Figure 1). A set of positive indicator plant species considered characteristic of 258 the vegetation association of each site was selected by local botanists (Supplemental Material 3) as described by McDonnell et al. (2018). Critical loads were estimated for specific threshold 259 levels of occurrence probability (i.e., 95%, 75%, and 50% relative to the maximal probability; 260 denoted as CL95, CL75, and CL50 respectively) for each indicator species (i.e., species level) 261 and for the average occurrence probability among all indicator species (i.e., community level) at 262 263 a given site. Additional details regarding the derivation of CLs using PROPS-CLF can be found in Supplemental Material 4. 264

The values of N and S deposition needed to define the CLF (CLN_{max}, CLN_{min}, CLS_{max}, 265 CLS_{min}; Supplemental Material 5) were based on the three occurrence probabilities described 266 above. The CLFs were used to determine CLs of N deposition under average annual ambient 267 268 (2014 – 2016) S deposition and CLs of S deposition under average annual ambient N deposition 269 (http://nadp.slh.wisc.edu/committees/tdep/tdepmaps/). Exceedance of these CLs represent estimates of the extent to which reductions in deposition are needed to protect species diversity. 270 Additionally, CLs were determined under assumed future changes in air temperature of 271 +1.5 and +3.0 °C, which are within the range of expected future conditions (IPCC 2013). The 272 273 precipitation regime was not modified because the expected change in future precipitation in the eastern United States is much more uncertain in magnitude and direction than the change in
temperature (USGCRP 2017).

276

277 Extrapolation Uncertainty

The version of the US-PROPS model reported here calculated leverage scores to use as a 278 279 metric to describe extrapolation. Leverage scores were used to determine the extent to which the predictor variables associated with a given site were similar to the predictor variable data 280 associated with the set of vegetation survey plots used to develop the response model for a given 281 282 species. Leverage scores can be used to determine if the derived species model is appropriate for application at a given location. Prior to derivation of CLs for positive indicator species at the HB, 283 PR and CC sites, leverage ratios were determined for each species and site to ensure that sites 284 were characterized by abiotic conditions that are relevant for application of these species niche 285 models (Supplemental Material 3). Low ratios of L_{site}/L_{av} (e.g. < 2) indicate that conditions 286 between the model application site and the calibration dataset are similar. 287

288

289 **RESULTS**

290 Niche Model Development

Species niche models were developed for 1503 plant species that had at least 50 occurrences. The fitting procedure selected variables that had either 1) both a significant linear and a significant quadratic term for the predictor variable or 2) a significant linear term only (**Table** 1). Because the predictor selection was done separately for each species, not all variables were included in each species model. For example, N deposition (NDEP30) was selected as a

linear term in 1073 of the 1503 models. This predictor variable was also included as a quadratic
term in 646 of these 1073 models.

Nitrogen deposition, soil pH, canopy cover, temperature, and precipitation were most 298 commonly selected. Soil conditions such as clay content and available water content were 299 selected for about half of the species. Rooting depth was a significant variable for less than half 300 301 of the species. Bell shaped curves (i.e., where the quadratic term, in addition to the linear term, is significant) were most common for N deposition, precipitation, temperature, solar radiation, 302 canopy cover, soil clay, and soil pH. Available water storage and rooting depth were mostly 303 304 found to be positively linear related. An example of our assessment of the model fit is shown for Trillium undulatum in Figure 2, where the fitted probabilities are compared with the observed 305 responses for 20 intervals of each predictor variable. This reveals that there is generally close 306 agreement between the average predicted and observed occurrence probability, particularly 307 where more plots are included in the interval (see Supplemental Material 7 for results for the 308 309 other indicator species). Additionally, continuous H-L test results generally showed good agreement between predicted and observed probability for the selected indicator species, with the 310 exception of *Hydrophyllum virginianum* (species number = 32010; Supplemental Material 8). 311 312 This species was retained in the model applications, although results for this species should be considered more uncertain relative to other indicator species. 313

- 314
- 315 Critical Loads
- 316 Species-Level CLs

The lowest species-level CL95 of N among indicator species at each site was 18, 74, and 61 meq/m²/yr (2.5, 10.3, and 8.5 kg N/ha/yr) at HB (*T. undulatum*), PR (*Carya ovata*), and CC

(Acer saccharum); respectively. Lowest CL95s of S were 4, 9, and 7 meg/m²/yr (0.6, 1.4, and 1.1) 319 kg S/ha/yr) at HB (Maianthemum racemosum), PR (H. virginianum), and CC (Ageratina 320 altissima); respectively. CL95s of S deposition were generally lower than CL95s of N 321 deposition. All three sites included two species with CL95 of S deposition less than 18.75 322 $meq/m^2/yr$ (3.0 kg S/ha/yr; Table 2). 323 324 The majority of the CL95s of N deposition for individual species under ambient climate conditions were less than 100 meq/m²/yr (14 kg N/ha/yr; Table 2). Some species, including 325 Picea rubens, Dryopteris intermedia, T. undulatum, M. racemosum, and A. saccharum showed 326 particularly low ($< 51 \text{ meq/m}^2/\text{yr}; < 7 \text{ kg N/ha/yr}$) CL95s of N deposition. The species found to 327 be most insensitive to N deposition included Fagus grandifolia, Fraxinus americana, 328 329 Dennstaedtia punctilobula, Oxalis montana, and Quercus rubra. More species showed moderately low (< 100 meq/m²/yr; 16 kg S/ha/yr) CL95s of S 330 deposition relative to CL95s of N (Table 2). Low CL95s of S deposition (< 51 meg/m²/yr; 8.1 kg 331 S/ha/yr) were found for Acer pensylvanicum, A. saccharum, A. altissima, C. ovata, F. americana, 332 H. virginianum, Laportea canadensis, M. racemosum, Medeola virginiana, Prunus virginiana, 333 and *Ouercus alba*. In general, indicator species tended to show different levels of sensitivity to S 334 335 deposition relative to N deposition. The extent to which a given CL occurred within or outside the range of N deposition and 336

soil pH that was used to develop the species models was often dependent on the specified
percentage of maximum occurrence probability for which the CL was determined. For example,
the CL75 for *T. undulatum* was within the bounds of model input data, whereas the CL95 to was
outside these bounds (Figure 3; see Supplemental Material 9 for analogous CLF plots for all

341	indicator species). Species-level CL75s and CL50s were considerably higher than CL95s
342	(Supplemental Material 10).
343	
344	Community Level CLs

The CL95 across all indicator species was lowest at HB (60 meq/m²/yr; 8.5 kg N/ha/yr; **Supplemental Material** 11). Critical loads of S deposition for all indicator species combined were generally lower than CLs of N deposition.

348

349 Effects of Increased Temperature on CLs

Scenarios of increased temperature (+1.5 °C and +3 °C) had variable effects on the 350 species-level CL95s determined under ambient temperature conditions (Table 2). CL95s of N 351 352 deposition at HB generally decreased under both temperature scenarios and these deviations were almost always less than 10 meq/m²/yr (1.4 kg N/ha/yr). Differences in CL95s of N 353 deposition at PR were almost always +/- 6 meq/m²/yr (0.8 kg N/ha/yr). A. pensylvanicum at CC 354 showed decreases of 28 meq/m²/yr (3.9 kg N/ha/yr) and 38 meq/m²/yr (5.3 kg N/ha/yr) under the 355 two warming scenarios. CL95s of N were not attainable for four species under a warming 356 scenario of +3 °C. CL95s of S deposition under scenarios of increased air temperature followed 357 similar patterns to those of N deposition. 358

359

360 Exceedances

The CLs reported in our study represent estimates of the deposition load expected to result in a specific occurrence probability under steady-state conditions. Exceedance of the CL indicates that species occurrence is vulnerable to effects from N and/or S deposition. With

364 ambient N deposition equal to 36, 65, and 54 meg/m²/yr at HB, PR, and CC; respectively, community level CL95s of N deposition were not exceeded under ambient deposition conditions 365 (Supplemental Material 11). However, individual species CL95s of N were in exceedance at 366 HB (Table 2). Ambient S deposition at HB, PR and CC was 17, 20, and 19 meg/m²/yr at HB, 367 PR, and CC, respectively. CL95s of S deposition for all indicator species were only exceeded at 368 369 CC (Supplemental Material 11). At least one species at all three model sites received S deposition that was in exceedance of its CL95 (Table 2). Exceedance of CLs of S effectively 370 indicates that no additional acidifying N deposition is allowable if the goal is to provide resource 371 372 protection.

Although a 1.5 °C increase in future air temperature is expected to generally result in 373 lower CL95s of N and S deposition (Table 2 and Supplemental Material 11), these lower CL 374 375 values typically remained sufficiently high to avoid exceedance. The specified occurrence probability for L. canadensis under ambient climate was not possible to attain with a 1.5 °C 376 increase in air temperature at the CC site, regardless of the level of N or S deposition at that site. 377 An increase in air temperature of 3.0 °C caused a decrease in the maximum occurrence 378 probability to such an extent that it would no longer be possible to attain the specified level of 379 occurrence under ambient climate for the combined set of indicator species at HB and CC and 380 also for several individual species among all model application sites, regardless of the level of N 381 382 or S deposition. Although there were no additional species in exceedance of the CL95 of N at 383 any of the sites, there were three additional exceedances of CL95s of S at PR (C. ovata, P. virginiana, Q. alba) and two additional ones at CC (A. saccharum and M. racemosum) under a 384 warming scenario of 3.0 °C. 385

386

387 DISCUSSION

Forest understory plant communities are sensitive to N and S input and other drivers of 388 ecological change, but the response can be highly variable within and among species and sites. 389 We found that some species have relatively high CLs (insensitive to N and/or S deposition) 390 whereas others have low CLs, suggesting high sensitivity to N and/or S deposition. Furthermore, 391 392 species-level CLs were dependent on site conditions. A. pensylvanicum was selected as an indicator species at all three model sites. Critical loads of N and S deposition for A. 393 pensylvanicum were substantially lower at HB relative to PR and CC. Indicator species A. 394 395 saccharum and M. racemosum occurred at both HB and CC and the CLs for these two species were also lower at HB. Site conditions at PR led to lower CLs for *F. americana* relative to HB. 396 397 These differences in species-level CLs among sites were attributed, in part, to considerably lower 398 rates of base cation inputs to buffer acidifying N and S deposition at HB, in conjunction with species niche preferences, which illustrates the importance of including site characteristics other 399 than N and S deposition in CL determination for understory species (cf., Clark et al. 2019). This 400 site dependency of CL values provides a greater level of specificity in the spatial context of 401 species-level CLs relative to other empirical approaches (Horn et al. 2018) and is an important 402 403 consideration with respect to natural resource management.

Perring et al. (2018) characterized the dependencies of N response on ecosystem
characteristics as driven by the amount and form of available N, cumulative N input over many
decades, role(s) of the overstory, and seed or propagule availability. They noted that N input can
also affect impacts attributable to surrounding landscape conditions such as animal browsing and
various aspects of site management (e.g., logging, soil compaction, herbicide use, etc.). Such
additional factors (not included in our analysis) can complicate efforts to predict the response to

N deposition of understory plant communities and how best to conserve understory plant
biodiversity. Nevertheless, our approach addresses many of the primary drivers of plant
occurrence through the use of nine predictor variables representing aspects of climate, light
availability, soil nutrient availability, moisture, and depth. Inclusion of light availability is
particularly noteworthy given its importance to species occurrence.

415 Targeted field studies designed to evaluate effects of N and S deposition on the sensitive species identified in this study would contribute to model validation. Furthermore, CLs were 416 determined from the soil pH response in conjunction with a mass balance model (i.e., SMB) to 417 418 derive the sustained rate of deposition expected to result in a given soil pH. Uncertainty in the steady state pH computed by the SMB model is driven by uncertainties in the input data, which 419 may be quantifiable with a Monte Carlo style analysis in a future study. As such, the specific 420 CLs reported here should be considered as preliminary estimates of the CL and not the precise 421 level of deposition that corresponds with the specified occurrence probability for a given species. 422 Critical loads of S deposition for some species were close to estimates of background S 423 deposition (1 to 3 kg S/ha/yr; Husar et al. 1991). The values of CL95 of S were determined based 424 on the critical load functions according to the ambient rate of N deposition (2014 - 2016)425 426 average; **Supplemental Material 10**). Under the steady-state conditions assumed by the PROPS-CLF model, incoming N deposition affects soil pH and associated species occurrence probability 427 428 on balance with N removals and the net input of base cations (Table SM4-1). As such, the 429 acidifying effect of N deposition under steady-state conditions influences the CL95 of S and in some cases results in low (i.e., near background) values of CL95 of S for acid-sensitive species 430 431 at the two relatively poorly buffered sites (HB and CC).

Initial comparisons of our results with a nationwide assessment that used a different but 432 related methodology (Clark et al. 2019) suggest some agreement. Clark et al. (2019) used GLM 433 logistic regression for a subset of 348 herbaceous species, but did not constrain the quadratic N 434 relationships to be negative. Of the 18 unique indicator species in our study, 11 were in common 435 across studies. This was because tree species, as seedlings in the understory, were included as 436 437 indicator species in our study, whereas Clark et al. (2019) focused on herbaceous species. Of the 11 herbaceous species that overlapped, only two were highlighted in Clark et al. (2019) as 438 having "robust" relationships (i.e., $R^2 > 0.1$, Area Under the ROC curve > 0.7; H. virginianum 439 440 and T. borealis). The CLs for H. virginianum were comparable (i.e., CL of 20.4 and 1.4 kg ha⁻¹ yr⁻¹ for N and S, respectively, in this study compared with CL > 18.9 and < 0.4 ha⁻¹ yr⁻¹ for N and 441 S, respectively, in Clark et al. (2019). The CLs for T. borealis were somewhat lower in Clark et 442 al. (2019) for N and similar for S (i.e., CL of 8.4 kg N ha⁻¹ yr⁻¹ in this study versus 4.8-7.2 kg N 443 ha⁻¹ yr⁻¹ in Clark et al. (2019); and > 48 kg S ha⁻¹ yr⁻¹ in this study and > \sim 39 S ha⁻¹ yr⁻¹ in Clark 444 et al. (2019). The nine other species were not highlighted in Clark et al. (2019) because of either 445 non-robust models (one species) or U-shaped relationships (eight species). Although U-shaped 446 relationships were relatively uncommon in Clark et al. (2019; ~18% of species), it can be 447 448 important to constrain relationships to those that are ecologically realistic.

According to the CLs reported here, the species most sensitive to N deposition are *T. undulatum*, *P. rubens* and *D. intermedia*. All three are insensitive to S deposition. Insensitivity to S deposition is expected for *T. undulatum* and *D. intermedia* since these species are typically associated with acidic soils (eFloras 2019, Zarfos et al. 2019). Although *P. rubens* is known to be sensitive to elevated S deposition (U.S. EPA 2008), steady-state conditions at these sites are favorable for *P. rubens* seedlings even with relatively high S deposition. All three species grow

455	on relatively nutrient-poor soils, which is in agreement with their low CL of N as determined by					
456	PROPS-CLF. The five species with relatively high CLs of N tend to be associated with					
457	disturbance or mature forests. High CLs of S for O. montana and F. grandifolia are expected					
458	given the preference these species have for acidic soils (eFloras 2019).					
459	Maximum occurrence probabilities for many individual indicator species often occurred					
460	at or near zero S deposition, particularly at the CC site. This suggests that these species are					
461	sensitive to any amount of acidification. These species generally prefer higher soil pH conditions					
462	than are found at these sites and they are in exceedance of the CL to attain relative plant					
463	occurrence probabilities $> 95\%$. Decreases in S deposition beyond the ambient (2014 – 2016					
464	average) level of S deposition would likely benefit their long-term occurrence probability at					
465	these sites.					
466	The approach used here for niche model development included several improvements for					
467	addressing uncertainty in CL results:					
468	1) constraining input data for model development to only those vegetation plots					
469	contained within the known geographic range for each species,					
470	2) generating Hosmer-Lemeshow test results for checking goodness of fit,					
471	3) developing graphical depictions of one-dimensional model fits based on modeled vs.					
472	observed occurrence probability, and					
473	4) determining the leverage ratio to characterize the difference between the abiotic					
474	conditions used for niche model development and those that occur at a given PROPS-					
475	CLF model application site.					
476	These steps to address model uncertainty represent significant advancements over					
477	McDonnell et al. (2018) that are important for establishing management and policy relevant CL					

478 results. Improvement 1 allows for more confidence in CL results that are outside the bounds of niche model input data. This is because the multi-dimensional response surface extends the 479 trajectory that occurs at the edge of the available input data, rather than being forced to zero due 480 to "pseudo-absences" as was the case with the previous version of these niche models 481 (McDonnell et al. 2018). Nevertheless, predicted CLs beyond the bounds of observed N 482 483 deposition and soil pH ranges should be considered more uncertain that those that are found within these bounds. Improvements 2 and 3 provide information on how well the model is able 484 to reproduce the occurrence probability derived from the observed data set. Improvement 4 485 486 provides a mechanism to ensure that the niche models are appropriately used for CL development at a given site. Future iterations of these niche models should focus on additional 487 model confirmation steps, including comparisons of predicted and observed occurrence 488 489 probabilities at plots not used for model development. Model results shown here also provide a basis for understanding which species are expected to be most susceptible to increases in N and S 490 deposition. These results can be used as guidance for establishing targeted field-based studies of 491 N and S deposition effects on individual species. 492

There is a strong potential for the modeling approach described here to be developed for 493 evaluating individual or synergistic effects of future scenarios related to changes in air 494 temperature, precipitation, atmospheric N and/or S deposition, tree harvesting regime or other 495 496 potential forest disturbance agents (e.g., pests, windthrow, fire, drought). Future work may 497 include incorporation of seasonality in climate metrics, which may be particularly important for western United States species that occur in areas with relatively high amounts of annual 498 499 precipitation, but experience drought conditions in the summer. For these species, the length of 500 summer drought may be a more important driver of plant response than total annual

501 precipitation. This would also provide the ability to simulate impacts of future climate based on seasonal (rather than annual) changes, which is relevant given that future climate is not expected 502 to change uniformly across all seasons (IPCC 2013). Future work may also evaluate various 503 approaches to estimating species-level CLs (e.g., TITAN as in Payne et al. (2013), partial 504 derivatives as in Clark et al. (2019), and PROPS-CLF as shown here). Such a multi-model study 505 506 implemented at a regional scale could provide an opportunity for estimating uncertainty in the CL estimates for a given species or vegetation association. The CLs that align more closely 507 among the approaches may have higher certainty relative to CLs that diverge. Furthermore, it 508 509 may be possible to identify opportunities for synergy in such a study. The logistic species model used here employed linear and quadratic effects for several predictor variables. Alternative 510 models might include interactions between predictor variables or employ more flexible 511 512 smoothing splines instead of quadratic models.

513

514 CONCLUSIONS

Significant advancements towards development of management and policy relevant 515 biodiversity-based CLs have been made. The revised species niche models presented in this 516 517 study expand on previous research by increasing the number of species, incorporating additional explanatory variables, and addressing goodness of fit and uncertainty. The site-level applications 518 of PROPS-CLF demonstrate the use of these revised niche models for addressing effects of 519 520 atmospheric N and S deposition at the local scale. The modeling approaches described here can also be used at a regional scale to evaluate individual or synergistic effects of multiple 521 522 disturbance types on species occurrence probability and for understanding spatial patterns in air 523 pollution effects thresholds.

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Figure 1. Location of vegetation survey plots used as the basis for deriving species niche models. For map display purposes, the USDA Forest Service's Forest Inventory and Analysis (FIA) plots were based on perturbed and swapped (i.e., publicly available) coordinates.



Figure 2. Example one-dimensional model fits for the indicator species *Trillium undulatum*. Each predictor variable was divided into 20 equal intervals. The average observed occurrence (blue line) and average modeled occurrence probability (red line) within each interval are shown. These lines represent linear interpolations between average (point) values for each interval. The numbers written vertically above each plot indicate the total number of vegetation surveys included in each column shown on the plot. Hosmer-Lemeshow test results for this species are shown in Supplemental Material 8.



Figure 3. Critical load functions (CLFs) to attain occurrence probability of a) 75% (solid white line) and b) 95% (dashed white line) of the maximum occurrence probability for *Trillium undulatum* at Hubbard Brook (HB). The red dashed lines indicate the bounds of data used for developing the niche model for *T. undulatum*.

1,1400114				
Variable ID	Negative Linear (%)	Positive Linear (%)	Quadratic (%)	Total (%)
Average annual air temperature	180 (12)	193 (13)	931 (62)	1304 (87)
Annual precipitation total	262 (17)	133 (9)	757 (50)	1152 (76)
Average 30- year annual N deposition	163 (11)	264 (18)	646 (43)	1073 (72)
Soil pH	128 (9)	286 (19)	624 (42)	1038 (70)
Canopy cover	297 (20)	315 (21)	417 (28)	1029 (69)
Incoming solar radiation during May – July.	245 (16)	203 (14)	450 (30)	898 (60)
Available water storage	258 (17)	367 (24)	245 (16)	870 (57)
Soil percent clay	157 (10)	329 (22)	373 (25)	859 (57)
Soil rooting depth	267 (18)	296 (20)	182 (12)	745 (50)

Table 1.Number (and percent among all 1503 models) of species that included each
predictor variable as a negative linear term only, positive linear term only, and
quadratic term. Full models for each species are included in Supplemental
Material 6.

Table 2.Estimated critical loads of N and S deposition to attain 95% of the maximum occurrence probability (CL95) in units of meq/m²/yr (and
kg/ha/yr) for individual indicator species at Hubbard Brook (HB), Piney River (PR), and Cosby Creek (CC). Highlighted grey cells indicate
CL95 exceedance; "NA" indicates that the specified occurrence probability was not attainable at this site. Average annual ambient (2014 –
2016) N deposition for HB, PR, and CC was: 36 meq/m²/yr, 65 meq/m²/yr, and 54 meq/m²/yr, respectively. Average annual ambient (2014 –
2016) S deposition for HB, PR, and CC was: 17 meq/m²/yr, 20 meq/m²/yr, and 19 meq/m²/yr, respectively.

			Ambient Temp.		+1.5 °C		+3 °C	
	Species		CL95 of N (at	CL95 of S (at	CL95 of N (at	CL95 of S (at	CL95 of N (at	CL95 of S (at
Site	Number	Species Name	Ambient S Dep)	Ambient N Dep)	Ambient S Dep)	Ambient N Dep)	Ambient S Dep)	Ambient N Dep)
HB	10020	Acer pensylvanicum ¹	65 (9.1)	44 (7)	67 (9.4)	46 (7.4)	61 (8.5)	40 (6.4)
HB	10024	Acer saccharum	51 (7.1)	7 (1.1)	48 (6.7)	1 (0.2)	NA	NA
HB	10120	Fagus grandifolia	> 300 (>42)	> 300 (>48)	> 300 (>42)	> 300 (>48)	> 300 (>42)	> 300 (>48)
HB	10125	Fraxinus americana	> 300 (>42)	51 (8.2)	> 300 (>42)	190 (30.4)	> 300 >(42)	> 300 (>48)
HB	10201	Picea rubens	22 (3.1)	> 300 (>48)	20 (2.8)	> 300 (>48)	15 (2.1)	> 300 (>48)
HB	31274	Dennstaedtia punctilobula	> 300 (>42)	88 (14.1)	> 300 (>42)	148 (23.7)	> 300 (>42)	105 (16.8)
HB	31401	Dryopteris intermedia	26 (3.6)	> 300 (>48)	21 (2.9)	> 300 (>48)	17 (2.4)	> 300 (>48)
HB	32426	Maianthemum racemosum	39 (5.5)	4 (0.6)	39 (5.5)	8 (1.3)	39 (5.5)	10 (1.6)
HB	32442	Medeola virginiana ¹	62 (8.7)	41 (6.6)	64 (9)	43 (6.9)	65 (9.1)	44 (7)
HB	32692	Oxalis montana ¹	> 300 (>42)	> 300 (>48)	> 300 (>42)	> 300 (>48)	> 300 (>42)	> 300 (>48)
HB	33750	Trientalis borealis	60 (8.4)	> 300 (>48)	59 (8.3)	> 300 (>48)	57 (8)	> 300 (>48)
HB	33786	Trillium undulatum	18 (2.5)	> 300 (>48)	17 (2.4)	> 300 (>48)	15 (2.1)	> 300 (>48)
PR	10020	Acer pensylvanicum ¹	210 (29.4)	158 (25.3)	203 (28.4)	151 (24.2)	199 (27.8)	148 (23.7)
PR	10070	Carya ovata	74 (10.3)	34 (5.4)	78 (10.9)	46 (7.4)	76 (10.6)	41 (6.6)
PR	10125	Fraxinus americana	152 (21.3)	18 (2.9)	152 (21.3)	17 (2.7)	155 (21.7)	15 (2.4)
PR	10241	Prunus virginiana	86 (12)	30 (4.8)	86 (12)	30 (4.8)	87 (12.2)	31 (5)
PR	10248	Quercus alba	158 (22.1)	51 (8.2)	159 (22.2)	53 (8.5)	160 (22.4)	55 (8.8)
PR	30035	Actaea racemosa ¹	153 (21.4)	104 (16.6)	154 (21.5)	105 (16.8)	154 (21.5)	104 (16.6)
PR	32010	Hydrophyllum virginianum	146 (20.4)	9 (1.4)	147 (20.6)	3 (0.5)	NA	NA
CC	10020	Acer pensylvanicum ¹	192 (26.9)	150 (24)	164 (22.9)	123 (19.7)	154 (21.5)	114 (18.2)
CC	10024	Acer saccharum	61 (8.5)	27 (4.3)	59 (8.3)	22 (3.5)	57 (8)	19 (3)
CC	10275	Quercus rubra	> 300 (>42)	60 (9.6)	> 300 (>42)	20 (3.2)	NA	NA
CC	30052	Ageratina altissima	102 (14.3)	7 (1.1)	102 (14.3)	6(1)	102 (14.3)	5 (0.8)
CC	32142	Laportea canadensis	75 (10.5)	9 (1.4)	NA	NA	NA	NA
CC	32426	Maianthemum racemosum	77 (10.8)	21 (3.4)	76 (10.6)	20 (3.2)	76 (10.6)	18 (2.9)

¹Critical loads for these species only represent acidifying effects from N and S

Declaration of interests

¹ The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

□The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Author Statement

T.C. McDonnell – Funding acquisition, Methodology, Formal analysis, Writing - Original Draft and Editing

G.J. Reinds - Methodology, Software, Formal analysis, Writing - Review and Editing

G.W.W. Wamelink – Methodology, Formal analysis, Writing - Review and Editing

P.W. Goedhart - Methodology, Software, Formal analysis, Writing - Review and Editing

M. Posch - Methodology, Software, Writing - Review and Editing

T.J. Sullivan – Supervision, Funding acquisition, Writing - Original Draft and Editing

C.M. Clark – Conceptualization, Project administration, Methodology, Writing - Review and Editing

SUPPLEMENTAL MATERIAL
Supplemental Material 1.

Table SM1-1. Summary of vegetation data sources for species niche modeling.				
Data Name	Number of Survey Plots	Location	Reference	
PNW	6838	Pacific Northwest of US	 Peet, R.K, Lee, M.T., Jennings, M.D., Faber-Langendoen, D. Long database report: VegBank—A permanent, open-access archive for vegetation-plot data. Pages 233–241 in Dengler, J. et al. 2012. Vegetation Databases for the 21st Century. Biodiversity & Ecology 4. Downloaded from VegBank. 	
VA	4513	Southeastern US	Provided by the Virginia Department of Conservation and Recreation, Division of Natural Heritage, VA Plots, the DCR-DNH Vegetation Plots Database. Data exported on March 8, 2013. Now available in VegBank.	
MN_Releve	4071	Upper Midwest US	Provided by Minnesota Biological Survey. Copyright 2013 State of Minnesota, Department of Natural Resources.	
WV	1921	Southeastern US	 Vanderhorst, J.P., Byers, E.A., Streets, B.P. Short database report: Natural Heritage Vegetation Database for West Virginia. Page 440 in Dengler, J. et al. 2012. Vegetation Databases for the 21st Century. Biodiversity & Ecology 4. Provided by the West Virginia Natural Heritage Program. Now available in VegBank. 	
FIA	1919	Contiguous US	 Schulz, B.K. and Dobelbower, K. Short database report: FIADB vegetation diversity and structure indicator (VEG). Page 436 in Dengler, J. et al. 2012. Vegetation Databases for the 21st Century. Biodiversity & Ecology 4. Provided by B. K. Schulz. Available from apps.fs.fed.us/fiadb-downloads/datamart.html. 	
Mojave_Thomas	313	Western US	Thomas, K.A., T. Keeler-Wolf, J. Franklin, and P. Stine. 2004. Mojave Desert Ecosystem Program: Central Mojave vegetation database. <u>http://pubs.er.usgs.gov/publication/70200877</u>	
Knutson	287	Intermountain West of US	Knutson, K.C., D.A. Pyke, T.A. Wirth, R.S. Arkle, D.S. Pilliod, M.L. Brooks, J.C. Chambers, and J.B. Grace. 2014. Long-term effects of seeding after wildfire on vegetation in Great Basin shrubland	

			ecosystems. J. Appl. Ecol. 51(5):1414-1424 and sagemap.wr.usgs.gov/ESR_Chrono.aspx
NY_NHP	250	Northeastern US (NY Natural Heritage Program)	Peet, R.K, Lee, M.T., Jennings, M.D., Faber-Langendoen, D. Long database report: VegBank—A permanent, open-access archive for vegetation-plot data. Pages 233–241 in Dengler, J. et al. 2012. Vegetation Databases for the 21 st Century. Biodiversity & Ecology 4. Downloaded from VegBank.
Cogbill	183	Northeastern US	Provided by Charles Cogbill (cogbill@sover.net)
CA_Suding	117	Western US	Provided by Katharine Suding (suding@colorado.edu)
SCPN	102	Western US	DeCoster, J.K., C.L. Lauver, J.R. Norris, A.E.C. Snyder, M.C. Swan, and L.P. Thomas. 2012. Integrated Upland Monitoring Protocol for the Southern Colorado Plateau. Natural Resource Report NPS/SCPN/NRR–2012/577. National Park Service, Fort Collins, CO.
CO_Pawnee	70	Western plains of US (Pawnee Nat. Grassland)	Peet RK, Lee MT, Jennings MD, Faber-Langendoen D. Long Database Report: VegBank—A permanent, open-access archive for vegetation-plot data. Pages 233–241 in Dengler, J. et al. 2012. Vegetation Databases for the 21 st Century. Biodiversity & Ecology 4. Downloaded from VegBank.
Mojave_Brooks	64	Western US	Provided by Matthew Brooks (mlbrooks@usgs.gov)
WI_Waller	60	Upper Midwest US	Waller, D.M., Amatangelo, K.L., Johnson, S., Rogers, D.A. Long database report: Wisconsin Vegetation Database—Plant community survey and resurvey data from the Wisconsin Plant Ecology Laboratory. Pages 255–264 in Dengler, J. et al. 2012. Vegetation Databases for the 21 st Century. Biodiversity & Ecology 4. Provided by D. M. Waller.
Alvar	39	Northeastern US	Peet, R.K, Lee, M.T., Jennings, M.D., Faber-Langendoen, D. Long database report: VegBank—A permanent, open-access archive for vegetation-plot data. Pages 233–241 in Dengler, J. et al. 2012. Vegetation Databases for the 21 st Century. Biodiversity & Ecology 4. Downloaded from VegBank.
AT	30	Eastern US	Lawrence, G.B., T.J. Sullivan, D.A. Burns, S.A. Bailey, B.J. Cosby, M. Dovciak, H.A. Ewing, T.C. McDonnell, R. Minocha, J. Quant, K.C. Rice, J. Siemion, and K. Weathers. 2015. Acidic Deposition along the Appalachian Trail Corridor and its Effects on Acid-Sensitive Terrestrial and Aquatic Resources. Results of the Appalachian Trail

			MEGA-Transect Atmospheric Deposition Effects Study. Natural Resource Report NPS/NRSS/ARD/NRR—2015/996. National Park Service, Fort Collins, CO.
PJ	9	Western US	Pinyon juniper data collected by Samuel Simkin (samuel.simkin@colorado.edu) and the William Bowman lab
CA_Allen_JOTR	7	Western US	DePrey, P. and E. B. Allen. 2011. Critical Levels of Nitrogen for Growth, Litter Persistence, and Germination of Invasive and Native Plants at Joshua Tree National Park. Final Report.
CA_Bartolome	7	Western US	Provided by Katharine Suding (suding@colorado.edu) and James Bartolome
CA_Allen_CSS	6	Western US	California coastal sage scrub dataset collected by Edith Allen (edith.allen@ucr.edu)

Supplemental Material 2.

Table SM2-1. Candidate predictor variables for species niche model development						
		Variable				
Туре	Variable ID	Name	Variable Description	Units	Resolution	Source
Climate	PPTANN	Annual precipitation total	PRISM 30-year normal (1981 – 2010) annual precipitation total	m	800 m	http://www.prism.oregonstat e.edu/normals/
	TANN	Average annual air temperature	PRISM 30-year normal (1981 – 2010) average annual temperature	degree C	800 m	http://www.prism.oregonstat e.edu/normals/
Deposition	NDEP30	Average 30- year annual N deposition	Nitrogen (N) supply based on average total N deposition of 30- years leading up to and including the year of vegetation sampling	kg/ha/yr	~2 km to ~4 km	Gronberg et al. (2014); http://nadp.sws.uiuc.edu/ntn/ annualmapsByYear.aspx; http://nadp.sws.uiuc.edu/co mmittees/tdep/
Soil physio- chemical	SOILPH	Soil pH	Indicator of soil acidity as reflected by pH measurements in 1:1 deionized water represented in SSURGO/STATSGO2	N/A	30 m	https://www.nrcs.usda.gov/w ps/portal/nrcs/main/soils/sur vey/ N. Bliss, personal communication, April 2017
	SOILCLAY ¹	Soil percent clay	Aspect of soil texture and related to cation exchange capacity represented in SSURGO/STATSGO2	%	30 m	https://www.nrcs.usda.gov/w ps/portal/nrcs/main/soils/sur vey/ N. Bliss, personal communication, April 2017
AWS ¹ Available A water storage s r r		Available soil water storage as a proxy for soil moisture represented in SSURGO/STATSGO2	mm	30 m	https://www.nrcs.usda.gov/w ps/portal/nrcs/main/soils/sur vey/ N. Bliss, personal communication, April 2017	
	ROOTDEPT H ¹	Soil rooting depth	Depth of soil to hardpan/bedrock or chemically prohibitive environment for root growth represented in SSURGO/STATSGO2	cm	30 m	https://www.nrcs.usda.gov/w ps/portal/nrcs/main/soils/sur vey/ N. Bliss, personal communication, April 2017
Light availability	CC1	Canopy cover	Percent forest canopy cover. Data were available for years 2001, 2008, 2010, 2012, and 2014. The year nearest to the year of vegetation survey was used.	%	30 m	http://www.landfire.gov/veg etation.php
	SOLMJ	Incoming solar radiation during May – July.	Total incoming solar radiation during the months of May, June, and July at 200 m resolution.	Wh/m ²	200 m	Fu and Rich (2002)

¹ Additional variable not included in McDonnell et al. (2018)

Supplemental Material 3. Use of leverage scores to quantity extrapolation uncertainty.

The version of the US-PROPS model reported here included an uncertainty metric to describe unbounded extrapolation. Leverage scores were used to determine the extent to which the predictor variables associated with a given model application site were similar to the predictor variable data associated with the set of survey sites used to develop the response model for a given species. Leverage score considers not only the center of mass of the regressors in the calibration set, but also the shape of the distribution of these data (Cook and Weisberg 1982). The average leverage (L_{av}) associated with the dataset used to derive a response model for a given species equals p/n where p is the number of parameters in the regression model (including the constant) and n is the number of sites in the calibration set. Leverage is also calculated for a given model application site (L_{site}). High ratios of L_{site}/L_{av} indicate that the site has conditions that strongly deviate from the conditions (i.e., values for the predictor variables such as soil and climate variables) of the calibration dataset for a given species. Low ratios of L_{site}/L_{av} (e.g. < 2) indicate that conditions between the model application site and the calibration dataset are similar. The ratio of leverages can thus be used to determine if the derived species model is appropriate for application at a given location. Note that leverage is closely related to the Mahalanobis distance (Mahalanobis 1936), which is a multi-dimensional generalization of measuring how many standard deviations a point is from the mean of a distribution.

Prior to derivation of CLs for positive indicator species at the HB, PR and CC sites, leverage ratios were determined for each species to ensure that model application sites were characterized by abiotic conditions that are relevant for application of these species niche models. All leverage ratios were less than 2 (**Table SM3-1**), indicating that the niche models for this set of indicator species were suitable for application because the abiotic conditions at the model application sites were similar to the data used for niche model development for these

species.

	Species		
Site	Number	Species	Leverage Ratio
HB	10020	Acer pensylvanicum	1.48
HB	10024	Acer saccharum	1.49
HB	10120	Fagus grandifolia	1.08
HB	10125	Fraxinus americana	1.26
HB	10201	Picea rubens	1.07
HB	31274	Dennstaedtia punctilobula	1.24
HB	31401	Dryopteris intermedia	1.50
HB	32426	Maianthemum racemosum	0.66
HB	32442	Medeola virginiana	1.50
HB	32692	Oxalis montana	1.48
HB	33750	Trientalis borealis	0.63
HB	33786	Trillium undulatum	1.07
PR	10020	Acer pensylvanicum	1.26
PR	10070	Carya ovata	1.25
PR	10125	Fraxinus americana	1.25
PR	10241	Prunus virginiana	0.57
PR	10248	Quercus alba	1.25
PR	30035	Actaea racemosa	1.05
PR	32010	Hydrophyllum virginianum	1.28
CC	10020	Acer pensylvanicum	1.11
CC	10024	Acer saccharum	1.11
CC	10275	Quercus rubra	1.03
CC	30052	Ageratina altissima	1.03
CC	32142	Laportea canadensis	1.03
CC	32426	Maianthemum racemosum	0.43

Table SM3-1. List of positive indicator species and the leverage ratio between data used for US-PROPS model development and site conditions at HB, PR, and CC.

Supplemental Material 4. Derivation of critical load functions (CLFs) using the PROPS-CLF model.

Application of the PROPS-CLF model requires input data related to site-specific soil and climatic conditions, net input of base cations to the soil, net soil N sinks, and denitrification (**Table** SM4-1; Posch 2017). By applying the combined SMB (Posch et al. 2015a) and US-PROPS v2 model (within PROPS-CLF) various combinations of N and S deposition (N_{dep} , S_{dep}) using a regular grid of 100 × 100 points, a computed probability of occurrence for a species (or set of species) can be obtained for each point. This computed probability is a function of the values for the predictor variables of the species niche models: seven of these are fixed for the site, but pH and N_{dep} vary with deposition. To derive CLFs, the regular grid of computed occurrence probabilities needs to be expressed based on N_{dep} and S_{dep} . Since N_{dep} is a predictor variable in US-PROPS (v2), no conversions are needed. To obtain the link between soil pH and S_{dep} , we note that N_{dep} also influences soil pH. Thus, we first compute the soil solution N concentration, [N], from Ndep via the steady-state mass balance for N, i.e.

(A) $[N] = (N_{dep} - N_i - N_u)(1 - f_{de})/Q$

where N_i and N_u are the long-term average immobilization and net uptake (removal) of N, f_{de} is the denitrification fraction, and Q is the runoff (percolation flux). The corresponding S_{dep} , is then obtained by using [H+] (from pH) to compute the ANC leaching, ANC_{le} , and from the charge balance we obtain:

(B)
$$S_{dep} = BC_{le} - Cl_{le} - ANC_{le} - Q[N]$$

where the subscript *le* denotes the leaching of base cations (BC), chloride (Cl) and ANC, with $[ANC] = ANC_{le}/Q$ defined as -[H] - [Al] + [HCO3] + [Org]; for more details, see Chapter 6 of De Vries et al. (2015) and (Posch et al. 2014).

Using the regular grid of computed probabilities, isolines of equal Habitat Suitability Index (HSI) can be constructed in the two-dimensional N_{dep} - S_{dep} plane (**Figure** SM4-1). The HSI is computed as the average relative probability occurrence over all considered species, where the relative probability occurrence is computed by dividing the computed probability occurrence by the maximum probability occurrence of the species at the site:

$$HSI = \frac{1}{n} \sum_{k=1}^{n} \frac{prob_k}{prob_{k,\max}}$$
(SM4-1)

where *n* is the total number of indicator species, $prob_k$ is the occurrence probability of species *k*, and $prob_{k,max}$ is the maximum occurrence probability of species *k*.

Two approaches for determining the CLF from isolines of occurrence probability can be used:

- 1. Compute the HSI-isoline defined by the desired occurrence probability and determine the point with the highest N-dep value (P1 in **Figure** SM4-1) and the highest S-dep value (P2); and these two points define a CLF (Posch et al. 2014, Posch 2017).
- 2. Determine the location of the maximum HSI (point M in **Figure** SM4-1) and go 'eastwards' until reaching the value of the desired probability (point Q1) and 'northwards' till reaching Q2; and these two points define a CLF (Posch et al. 2015b, Posch 2017).



Figure SM4-1. Depiction of steps involved with derivation of the critical load function (CLF) with PROPS-CLF (see text for further description; adapted from Posch 2017).

PROPS-CLF combines both methods to compute the CLs because, depending on the shape of the curve, one method may be more appropriate than the other. PROPS-CLF computes the CLF by combining the two approaches, described as:

- 1. the N-dep value of P1 and the S-dep value of P2 define CLN_{max} and CLS_{max} , respectively;
- 2. intersect the straight line defined by Q1 and Q2 (diagonal dashed line in **Figure** SM4-1) with the values from step 1 to generate the points R1 and R2;
- 3. CLN_{min} is the greater of the N-dep values at P2 and R2, and CLS_{min} the greater of the S-dep values at P1 and R1.

Thus, the CLF is defined by the points R2 and P1 in the example shown in **Figure** SM4-1. CLN_{max} and CLS_{max} represent the maximum amount of N and S deposition, respectively, that is expected to attain the specified level of occurrence probability. CLN_{min} and CLS_{min} define the minimum amount of N and S deposition, respectively, needed to attain the specified occurrence probability (**Supplemental Material** 5).

Table SM4-1. Site characteristics used by the PROPS-CLF model to derive critical load functions at the					
three model application sites.					
Site Characteristic	Hubbard Brook (HB)	Piney River (PR)	Cosby Creek (CC)	Source	
Soil rooting depth (cm)	124.0	117.0	38.0	https://www.nrcs.usda.gov/wps/portal/nrcs/main/soils/ /survey/ N. Bliss, personal communication, April 2017	
Available water storage (mm)	128.0	96.8	58.6	https://www.nrcs.usda.gov/wps/portal/nrcs/main/soils/ /survey/ N. Bliss, personal communication, April 2017	
Soil percent clay (%)	5	25	14	https://www.nrcs.usda.gov/wps/portal/nrcs/main/soils/ /survey/ N. Bliss, personal communication, April 2017	
Annual precipitation total (mm)	1358.1	1410.5	1683.6	http://www.prism.oregonstate.edu/normals/	
Average annual air temperature (deg C)	5.0	9.4	10.7	http://www.prism.oregonstate.edu/normals/	
Incoming solar radiation during May – July (MWh/m ²)	0.5	0.7	0.5	Fu and Rich (2002)	
Canopy cover (%)	85.0	85.0	85.0	http://www.landfire.gov/vegetation.php	
Runoff (m)	0.649	0.679	0.984	McDonnell et al. (2018)	
Net input of base cations (eq/m ²)	0.0392	0.1843	0.1068	McDonnell et al. (2018)	
Net sink of nitrogen (eq/m ²)	0	0	0	https://www.umweltbundesamt.de/en/manual-for- modelling-mapping-critical-loads-levels	
Denitrification fraction	0.05	0.05	0.05	https://www.umweltbundesamt.de/en/manual-for- modelling-mapping-critical-loads-levels	

Supplemental Material 5. Derivation of conditional critical loads of N and S based on critical load functions.

The non-uniqueness of the critical loads of S and N, makes their communication to decision makers more difficult. However, if one is interested in reductions of only one of the two pollutants, a unique critical load can be derived (see Chapter 3 in Posch et al. 1995, for the original derivation) from a critical load function (CLF, see **Figure SM5-1**) defined by the quantities *CLN_{max}*, *CLS_{max}*, *CLN_{min}*, and *CLS_{min}*.

If emission reductions deal with nitrogen only, a unique critical load of N for a *fixed* sulphur deposition S_{dep} can be derived from the critical load function. Calling it the **conditional critical** load of nitrogen, $CL(N|S_{dep})$, it is computed as:

$$CL(N|S_{dep}) = \begin{cases} CLN_{max} & \text{if } S_{dep} \leq CLS_{min} \\ (CLS_{max} - S_{dep})/\alpha & \text{if } CLS_{min} < S_{dep} < CLS_{max} \\ CLN_{min} & \text{if } S_{dep} \geq CLS_{max} \end{cases}$$
(SM5-1)

with the slope

$$\alpha = \frac{CLS_{max} - CLS_{min}}{CLN_{max} - CLN_{min}}$$
(SM5-2)

In Figure SM5-1a the calculation of $CL(N|S_{dep})$ is depicted graphically.



Figure SM5-1. Examples of computing (a) conditional critical loads of N for different S deposition values S_1 - S_3 , and (b) conditional critical loads of S for different N deposition values N_1 - N_3 , from a given critical load function defined by CLN_{max} , CLS_{max} , CLN_{min} , and CLS_{min} .

In an analogous manner a **conditional critical load of sulphur**, $CL(S|N_{dep})$, for a *fixed* nitrogen deposition N_{dep} is computed as:

$$CL(S|N_{dep}) = \begin{cases} CLS_{max} & \text{if } N_{dep} \leq CLN_{min} \\ CLS_{max} - \alpha(N_{dep} - CLN_{min}) & \text{if } CLN_{min} < N_{dep} < CLN_{max} \\ CLS_{min} & \text{if } N_{dep} \geq CLN_{max} \end{cases}$$
(SM5-3)

where α is given by eq.SM5-2; and in **Figure SM5**-1b the calculation of $CL(S|N_{dep})$ is depicted graphically.

When using conditional critical loads, the following caveats should be kept in mind:

- (a) A conditional critical load can be considered a true critical load only when the chosen deposition of the other pollutant is kept constant.
- (b) If $S_{dep} > CLS_{max}$ or $N_{dep} > CLN_{max}$, depositions have to be reduced at least to their respective maximum critical load values to achieve overall non-exceedance.
- (c) If the conditional critical loads of both pollutants are considered simultaneously, care has to be exercised. It is *not* necessary to reduce the exceedances of both, but only one of them to reach non-exceedance for both pollutants; recalculating the conditional critical load of the other pollutant results (in general) in non-exceedance.

Supplemental Material 7. Visualization of US-PROPS model application results at the vegetation survey sites used for model development (red lines) and observed probability of occurrence among intervals of abiotic predictor variables at the same sites (blue lines) for the selected indicator species.









































Supplemental Material 8. Hosmer-Lemeshow (H-L) test results of US-PROPS v2 models for indicator species at HB, PR, and CC. Plots show summed predicted (y-axis) and observed (x-axis) probabilities, grouped (n = 20) from smallest to largest observed probability, among the vegetation survey sites used for US-PROPS v2 model development. For a perfect fit, the black line should coincide with the red y=x line. The title of each plot provides the chi-squared value (Pear) and its p-value.

The test was typically highly significant, which is mostly due to the relatively large number of sites used for model development. This is not a particular feature of the selected US-PROPS v2 models, but will always be the case when the number of sites is sufficiently large. Therefore, a continuous version of the H-L test was also used to evaluate model fit. Results generally showed good agreement, with the exception of 32010 where probabilities were underpredicted at low values and overpredicted at large values.








Supplemental Material 9. Critical load functions (CLFs) to attain occurrence probability of 75% (solid white line) and 95% (dashed white line) of the maximum occurrence probability for indicator species at Hubbard Brook (HB), Piney River (PR), and Cosby Creek (CC). The red dashed lines are shown to indicate the extent to which the CLF occurs within the bounds of data used for developing the species niche model. For some species, the CLF extends beyond 3,000 eq/ha/yr (300 meq/m²/yr; 42 kg N/ha/yr; 48 kg S/ha/yr) and does not appear on the plot.





Acer saccharum – 75% (left) and 95% (right) of maximum occurrence probability.







Fraxinus Americana – 75% (left) and 95% (right) of maximum occurrence probability.







Dennstaedtia punctilobula – 75% (left) and 95% (right) of maximum occurrence probability.







Maianthemum racemosum – 75% (left) and 95% (right) of maximum occurrence probability.







Oxalis montana – 75% (left) and 95% (right) of maximum occurrence probability.







Trillium undulatum- 75% (left) and 95% (right) of maximum occurrence probability.







Carya ovata – 75% (left) and 95% (right) of maximum occurrence probability.





Fraxinus Americana – 75% (left) and 95% (right) of maximum occurrence probability.

Prunus virginiana – 75% (left) and 95% (right) of maximum occurrence probability.







Actaea racemosa- 75% (left) and 95% (right) of maximum occurrence probability.





Hydrophyllum virginianum – 75% (left) and 95% (right) of maximum occurrence probability.





Acer saccharum – 75% (left) and 95% (right) of maximum occurrence probability.





Quercus rubra – 75% (left) and 95% (right) of maximum occurrence probability.

Ageratina altissima – 75% (left) and 95% (right) of maximum occurrence probability.





Laportea canadensis – 75% (left) and 95% (right) of maximum occurrence probability.

Maianthemum racemosum – 75% (left) and 95% (right) of maximum occurrence probability.



Supplemental Material 11.

Table SM11-1. Estimated critical loads of N and S deposition to attain 95% of the maximum occurrence probability (CL95) in units of meq/m²/yr (and kg/ha/yr) across all indicator species at Hubbard Brook (HB), Piney River (PR), and Cosby Creek (CC). The cells highlighted grey indicate exceedance of the CL for S. "NA" indicates that the specified occurrence probability was not attainable. Average annual ambient (2014 – 2016) N deposition for HB, PR, and CC was: 36 meq/m²/yr, 65 meq/m²/yr, and 54 meq/m²/yr, respectively. Average annual ambient (2014 – 2016) S deposition for HB, PR, and CC was: 17 meq/m²/yr, 20 meq/m²/yr, and 19 meq/m²/yr, respectively.

		Ambient Temp.		+1.5 °C		+3 °C	
	Number of Indicator	CL95 of N (at Ambient	CL95 of S (at Ambient	CL95 of N (at Ambient	CL95 of S (at Ambient	CL95 of N (at Ambient	CL95 of S (at Ambient
Site	Species	S Dep)	N Dep)	S Dep)	N Dep)	S Dep)	N Dep)
HB	12	60 (8.4)	52 (8.3)	53 (7.4)	30 (4.8)	NA	NA
PR	7	139 (19.4)	62 (9.9)	134 (18.7)	58 (9.3)	123 (17.2)	47 (7.5)
CC	6	84 (11.7)	17 (2.7)	89 (12.4)	6 (1)	NA	NA

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