

1 **Title:** Fruit trees and herbaceous plants increase functional and phylogenetic diversity of birds in
2 smallholder rubber plantations

3

4 **Abstract**

5 Rubber plantations are widespread in mainland South-east Asia. Intensive monocultural rubber
6 cultivation practices predominate, which negatively impact biodiversity. Some plantations are
7 managed as high-yielding agroforests, where the integration of fruit trees and other plant species
8 marginally enhances crop diversity relative to monocultures, providing benefits for species richness
9 of some taxa without compromising yields. A key question is whether these high-yielding
10 agroforestry systems also support enhanced functional and phylogenetic diversity relative to
11 monoculture. Focusing on birds in rubber monocultures and agroforests in two provinces of
12 Southern Thailand, we study plantation habitat structure and wider landscape characteristics to
13 identify effects on functional and phylogenetic diversity metrics. Functional diversity, phylogenetic
14 diversity and evolutionary distinctiveness of birds were comparable between rubber monocultures
15 and intensive agroforests. The density of fruit stems and taller herbaceous plants within agroforests
16 positively influenced functional and phylogenetic diversity, and evolutionary distinctiveness.
17 Functional and phylogenetic diversity was higher in landscapes with a greater proportion of fruit
18 orchards, but was lower in landscapes with a greater proportion of degraded natural forest patches.
19 Our study suggests that the integration of fruit trees and maintaining taller herbaceous plants within
20 rubber plantations could help support bird diversity at evolutionary and functional levels. Small
21 patches of degraded forest in areas dominated by agriculture may need time to generate positive
22 spillover effects on the functional and phylogenetic diversity of birds within rubber plantations.
23 Better management of existing rubber plantations could sustain higher diversity, whilst offering food
24 security and alternative revenue streams.

25

26 **Keywords**

27 Rubber agroforestry, functional diversity, phylogenetic diversity, bird diversity

28

29

30 Introduction

31

32 The fate of biodiversity is becoming increasingly dependent on the state of farming landscapes
33 (Frishkoff et al. 2014). *Hevea brasiliensis* rubber plantations threaten natural ecosystems (Warren-
34 Thomas et al. 2015) and have expanded rapidly even into non-traditional growing areas (Ahrends et
35 al. 2015), reaching a total area of 10.4 million hectares in 2017 in Asia (Lang et al. 2019). The
36 expansion of rubber has occurred at the expense of forests in mainland Southeast Asia (Aratrakorn
37 et al. 2006) and China (Sarithchandra et al. 2018), including within protected areas in Cambodia
38 (Grogan et al. 2019), driving substantial biodiversity losses (Warren-Thomas et al. 2015; Wang et al.
39 2020).

40 Compared to traditional low-yielding rubber agroforestry systems with heterogeneous microhabitats
41 that can support some forest-dependent biodiversity (Beukema et al. 2007), intensive rubber
42 monocultures, which include the majority of rubber plantations globally (Warren-Thomas et al.
43 2019), substantially reduce the species richness and composition of faunal assemblages relative to
44 forest (Aratrakorn et al. 2006; Liu et al. 2016; Prabowo et al. 2016; Sreekar et al. 2016). The
45 integration of 'wildlife-friendly' habitat characteristics within farmlands, or the land-sharing
46 approach (Cannon et al. 2019), is applicable in some countries (Murdiyarsa et al. 2002; Grau et al.
47 2013). For instance, Sumatran jungle rubber better supported forest-dependent and frugivorous
48 birds than did rubber monoculture (Prabowo et al. 2016), but yields in these systems are well below
49 average (Villamor et al. 2014). Hence the debate on land-sharing versus land-sparing (where
50 plantations are intensified for higher yields 'sparing' land elsewhere for nature (Cannon et al. 2019))
51 still continues (Luskin et al. 2018). However, intensive agroforestry systems, in which additional crop
52 plant species are grown alongside normal densities of modern clonal rubber varieties, have
53 comparable rubber yields to monocultures while supporting higher species richness of butterflies
54 (but not birds or reptiles; (Warren-Thomas et al. 2019)), offering an option for agroecological
55 intensification (Tscharrntke et al. 2012). Furthermore, a greater proportion of natural forest in
56 surrounding landscapes tends to increase diversity of butterflies and birds within plantations, while
57 taller herbaceous plants and a greater density of non-rubber trees in plantations positively
58 influences the species richness and alters the composition of birds (Sekercioglu 2012; Azhar et al.
59 2013; Warren-Thomas et al. 2019).

60 Although the relationship between rubber production systems and species diversity is starting to be
61 elucidated, a key remaining question is how functional diversity, phylogenetic diversity and
62 evolutionary distinctiveness of species communities are impacted by rubber management.
63 Functional diversity represents differences in functional traits among species in a community,
64 capturing the extent of complementarity between species trait values (Petchey and Gaston 2002). It
65 is a strong predictor of the productivity and vulnerability of an ecosystem (Schleuter et al. 2010), and
66 an ecosystem is expected to be more stable and efficient when more functional traits are present
67 (Cardinale et al. 2012). Functional diversity includes three different components: functional richness
68 (the amount of niche space occupied by the species), functional evenness (whether there is a regular
69 distribution of species traits) and functional divergence (variation of functions and positions of
70 species clusters in trait space) (Schleuter et al. 2010). Measurements of functional diversity should
71 ideally encompass these different aspects.

72 Phylogenetic diversity represents the patterns of genetic variation in a community and provides
73 insights into evolutionary relationships between species (Faith and Baker 2007). Higher phylogenetic
74 diversity leads to the preservation of a greater proportion of evolutionary history (Frishkoff et al.
75 2014; Jetz et al. 2014). By conserving phylogenetic diversity, the potential to lose unique ecological
76 and phenotypical traits in a community decreases (Matos et al. 2017), while phylogenetically diverse

77 communities are more stable and productive (Frishkoff et al. 2014) contributing to ecosystem
78 function and stability (Matos et al. 2017). Phylogenetic richness (total evolutionary history of
79 maximally distinct species lineages), divergence (phylogenetic distances among species pairs) and
80 structure (whether taxa are phylogenetically clustered) characterise the components of phylogenetic
81 diversity and are represented by different indices (Morante-Filho et al. 2018). Evolutionary
82 distinctiveness, which indicates the extent to which species are closely related to other extant
83 species, further supports conservation planning (Prescott et al. 2016a) and the conservation of a
84 greater proportion of evolutionarily distinct species ensures the protection of unique ecological and
85 phenotypic traits (Jetz et al. 2014).

86 In other tropical agricultural systems, such as oil palm and cattle pasture, forest conversion to
87 cropland reduces functional diversity of birds and dung beetles (Edwards et al. 2013; Edwards et al.
88 2014; Cannon et al. 2019), but less so when more forest was retained nearby (Prescott et al. 2016b;
89 Cannon et al. 2019). Similarly, avian phylogenetic diversity was lower in monoculture than in
90 diversified agricultural systems in Costa Rica (Frishkoff et al. 2014) and higher in cattle pasturelands
91 containing more wildlife-friendly features (Edwards et al. 2015). These studies also revealed that
92 agriculture-affiliated species tend to be less phylogenetically diverse and less evolutionarily distinct
93 than forest-affiliated species (Frishkoff et al. 2014), pointing to the importance of understanding
94 how crop diversity, local habitat structure within plantations, and wider-scale landscape composition
95 practices impact functional and phylogenetic diversity, and evolutionary distinctiveness.

96 In this study, we focus on Thailand, the biggest producer of natural rubber globally (Simien and
97 Penot 2011), and on birds, which are a functionally diverse group that plays important ecological
98 roles such as pollination, predation and seed dispersal (Sekercioglu 2012), and for which a complete
99 phylogeny of all extant species is available (Jetz et al. 2012). Our three core objectives are to: (1)
100 compare avian functional diversity, phylogenetic diversity, and evolutionary distinctiveness between
101 rubber monocultures and agroforests; (2) identify how habitat structure within rubber plantations
102 impacts functional diversity, phylogenetic diversity and evolutionary distinctiveness; and (3)
103 understand how the surrounding landscape composition affects functional diversity, phylogenetic
104 diversity and evolutionary distinctiveness.

105

106 **Materials and Methods**

107

108 *Site description*

109 In Thailand, the area occupied by rubber plantations is similar to the area occupied by forests
110 (Phommexay et al. 2011). The majority (95%) of Thai rubber area is maintained by smallholder
111 farmers, of which more than 90% is monoculture and the remaining is agroforestry, which may
112 include jungle rubber systems or intensive agroforestry systems where rubber is intercropped with
113 other crops such as fruits and vegetables (Simien and Penot 2011; Warren-Thomas et al. 2019).

114 The study area included two provinces in Southern Thailand (Figure S1-a), Songkhla and Phatthalung
115 (Figure S1-b), where landscapes are mainly dominated by rubber plantations (Somboonsuke 2001;
116 Stroesser et al. 2016). In addition, smaller areas of oil palm plantations, fruit orchards, rice fields and
117 forest patches were also present. Forests closer to plantations were mostly fragmented and
118 degraded secondary forests (largest fragmented forest patch was around 400 ha) while in upland
119 areas three protected forests covered larger extents. The area has frequent rain in May-December
120 and a dry season in January-March (Phommexay et al. 2011). In the plantations surveyed, rubber
121 trees were planted with distances of three meters between trees and seven meters between rows.

122 Plantations categorised as ‘agroforest’ plots contained additional crops planted between the rows of
123 rubber trees or multiple naturally occurring non-rubber plant species (Figure S2-a).

124 *Sampling design*

125 Bird diversity data collection was conducted in March-June 2016. Twenty-three sampling blocks
126 (Figure S1-c) were demarcated in the study area with each block containing two or three sampling
127 plots (64 plots in total) with plot central points 200-400 m apart (see supplementary methods;
128 further methods detailed in (Warren-Thomas et al. 2019)). The plots (Figure S1-d) consisted of
129 management units containing rubber trees with a uniform age distribution. Each plot was
130 categorised as either monoculture (n=25) or agroforest (n=39). Except for five blocks with only
131 agroforestry plots, the rest of the blocks contained both agroforestry and monoculture plots. The
132 mean elevation of the plots was 82.6 m asl (35.0 - 137.1 m asl range). The minimum area of plots
133 was ≥ 1 ha (100 m x 100 m).

134 Habitat structure of each sampling plot was recorded within subplots of 5-10 m radius (see
135 supplementary methods). Measurements included height of herbaceous vegetation in centimetres
136 referred to as herb height (Hrb_h), percentage canopy cover (Can_Cov), small stem density
137 (Sml_stha), total density of planted agroforestry species and naturally regenerated trees;
138 (Total_AF_Nat_st_ha), fruit tree stem density (including palms fruiting palms; Fru_stha) and the
139 number of agroforestry species (counted across the entire plantation, not solely within subplots;
140 n_AF_spp).

141 Land use for the 23 sampling blocks were recorded under 14 categories (see supplementary
142 methods) for 39 points within and along the perimeter at 100 m intervals. These were further
143 summarised into explanatory variables at the block level as: percentage of points that were
144 agroforestry (AF_prop), percentage points in natural forest (NF_prop), percentage of points in
145 immature rubber (IM_prop), percentage of points in fruit orchards (Fruit_prop) and the Shannon-
146 Weiner diversity index of land uses (Lduse_Shannon). In addition, the distance from the centre of
147 each point count location to the nearest contiguous forest area (to one of the protected forests),
148 (For_dist), was measured using Google Earth Pro 7.1.5.1557.

149

150 *Bird sampling*

151 Bird sampling was performed according to a fixed-radius (50 m) point count method by a single
152 experienced observer (LN), with point counts located at the centre of each plot (Warren-Thomas et
153 al. 2019). Sampling plots from two or three blocks were surveyed for 10 minutes each on three
154 consecutive days between 0600 and 0930, identifying birds to species level using both sight and
155 sound, and recording the abundance of each species. All point counts were digitally recorded with
156 an Olympus LS-11 Linear Recorder to verify unidentified vocalisations of birds using an online
157 reference (www.xeno-canto.org). Individuals flying overhead or through the point count stations
158 were excluded, while migratory and resident species were included in analyses.

159

160 *Functional, phylogenetic, and evolutionary distinctiveness metrics*

161 Functional traits of bird species were gathered using the *Handbook of the Birds of the World Alive*
162 (del Hoyo et al. 2017). The functional traits considered were: mass (grams, largest reported); dietary
163 guild (nectarivore, frugivore, insectivore, scavenger, granivore, predator, piscivore); foraging strata
164 (open areas, forest terrestrial, forest understorey, forest midstrata, forest canopy, aquatic); and
165 foraging substrate (soil/leaf litter, trunk/branch, foliage, aerial, sub-water-surface) (Prescott et al.
166 2016b; Cosset and Edwards 2017). These traits reflect the resource-use requirements of individuals

167 (Flynn et al. 2009). Apart from mass, all traits were categorical response variables. The trait matrix
168 was used to calculate functional diversity metrics (Table 1).

169 We calculated five metrics relating to different aspects of functional diversity (Schleuter et al. 2010)
170 to obtain a holistic understanding of the bird community. Functional diversity (FD) represents
171 functional richness. Since FD is sensitive to species richness, the standard effect size of functional
172 diversity (sesFD) was calculated to correct this (Table 1). The regularity of species traits is calculated
173 by functional evenness (FEve). Functional divergence is represented by Functional dispersion (FDis;
174 variability of traits) and Rao's quadratic entropy (Rao's Q) which calculates the abundance weighted
175 variance of species pairs (see Table 1 for definitions of each metric). We calculated the FD metrics
176 based on a distance matrix generated using extended Gower distance that could handle both
177 continuous and binary variables. The functional dendrogram was built using the unweighted pair-
178 group method with averaging (UPGMA) for hierarchical clustering which gave the highest co-
179 phenetic correlation coefficient (Swenson, 2014). Since FD does not account for species abundances,
180 we repeated the FD analysis omitting rare species (singletons and doubletons). We used the "trial-
181 swap" method in the ses.pd function to calculate sesFD comparing observed FD to 999 scenarios
182 where the number of species is held constant. For calculating the other metrics, FEve, FDis and Rao's
183 Q, we used the dbFD function (Laliberté et al. 2014).

184 For calculating phylogenetic diversity, 500 phylogenetic trees based on the Hackett backbone
185 (Hackett et al. 2008) were downloaded from <http://birdtree.org/> (Jetz et al. 2012). Each tree
186 represents a different hypothesis of bird species evolutionary relationships. Mean values at each
187 sample point were used to calculate phylogenetic and evolutionary distinctiveness metrics (Table 1).
188 For phylogenetic diversity aspects (PD), we calculated six metrics. For richness we calculated the
189 phylogenetic diversity index (PD) and the standard effect size of phylogenetic diversity (sesPD). For
190 phylogenetic divergence, the mean pairwise distance (MPD) and mean nearest taxon distance
191 (MNTD) were used (Prescott et al. 2016a; Morante-Filho et al. 2018). Phylogenetic structure was
192 represented by the standard effect size of MPD (sesMPD) and the standard effect size of MNTD
193 (sesMNTD) (Morante-Filho et al. 2018). In addition, we obtained data for evolutionary
194 distinctiveness (ED) and evolutionary distinctiveness rarity (EDR) (Prescott et al. 2016a). The
195 definition for each metric is in Table 1.

196 For PD metrics, we used null communities generated using null models dependent on the
197 "independent swap" algorithm. For sesPD, sesMPD and sesMNTD the observed community was
198 compared to 999 null communities. This was performed for the 500 phylogenetic trees. ED and EDR
199 values were obtained from a global phylogeny (Jetz et al. 2014), since it is more relevant to
200 conservation, and the mean across all species was calculated for the community at each plot. All
201 calculations for functional, phylogenetic and evolutionary distinctiveness metrics were performed in
202 R version 3.6 using packages picante and FD (Kembel, 2010; Laliberté and Legendre, 2010; Laliberté,
203 Legendre and Shipley, 2014; R Core Team 2019).

204

205 *Data analyses*

206 1. Effect of agroforest and monoculture on functional, phylogenetic and evolutionary distinctiveness
207 metrics.

208 We compared all metrics between agroforestry and monoculture at the plot level. We constructed
209 null models (with Block as a random effect) and habitat models (using only agroforest/monoculture
210 as a fixed effect) for all dependent variables (Table S2) to test if functional and phylogenetic diversity
211 at the plot level was explained by habitat management type (agroforest or monoculture). We used
212 linear mixed-effects models and generalized linear mixed-effects models to identify the relationship
213 between all FD and PD metrics and habitat management type (agroforest/monoculture). The lmer

214 and glmer functions of the lme4 package (Bates et al. 2015) were used. FD and Rao's Q were
215 compared between agroforestry and monoculture plots using sample-based rarefaction, using the R
216 packages BAT (Cardoso et al. 2015) and iNEXT (Chao et al. 2014) since the sample sizes were
217 different.

218

219 2. Effect of habitat structure on functional, phylogenetic and evolutionary distinctiveness metrics

220 We used linear mixed-effects models and generalized linear mixed-effects models to identify the
221 relationships between FD, PD and ED metrics with habitat structure variables. For the dependent
222 variables FD, sesFD and FEve, we used linear mixed-effects models considering the six habitat
223 structure variables as explanatory variables. For FDis and Rao's Q, generalized linear mixed-effects
224 models were used with a Gamma distribution and log-link function. For analyses where all PD and ED
225 metrics were dependent variables, we used linear mixed-effects models. To account for the nested
226 sampling design, we used 'Block' as a random intercept in all habitat structure models. We used an
227 information theoretic approach to test our hypotheses and constructed a set of 45 models (Table
228 S3). All explanatory variables were standardised and centered to make effect sizes comparable. We
229 used the variance inflation factor (VIF) to test for multicollinearity between variables. A Monte Carlo
230 permutation test for Moran's I was conducted with 1000 iterations to test for spatial
231 autocorrelation. The models were tested for all dependent variables (FD, PD and ED metrics) and the
232 best models for each were selected using the Akaike information criterion for small samples sizes
233 (AICc). Averaged models were built using model sets that confirmed 95% confidence levels (Grueber
234 et al. 2011).

235 To determine the impacts of habitat structure variables behaving differently in agroforestry and
236 monoculture, we ran additional models in which we considered agroforestry and monoculture plots
237 separately. We used a similar information theoretic approach using linear mixed-effects models and
238 generalized linear mixed-effects models. In addition to the previous model set, we built a new set of
239 23 models containing only selected variables that were applicable to monoculture (Table S4). We
240 used FD, PD and ED metrics separately as dependent variables and averaged the models that gave
241 95% confidence for each metric.

242 3. Effect of landscape composition on functional, phylogenetic and evolutionary distinctiveness 243 metrics

244 We used a slightly different approach to test the effects of landscape composition. Since five of the
245 landscape composition variables (except for distance to nearest contiguous forest) were measured
246 at the Block level (23 blocks), we did not use 'Block' as a random effect as it creates confounding
247 effects in the models with the landscape composition variables. Instead, we used linear models
248 without any random effects (for FD, sesFD and FEve) and generalized linear models (for FDis and
249 Rao's Q). Linear models were used for all PD and ED metrics as well. We used an information
250 theoretic approach to test our hypotheses and built a set of 47 models (Table S5). A Monte Carlo
251 permutation for Moran's I with 1000 iterations was carried out, which did not yield any evidence for
252 the presence of spatial autocorrelation in model residuals. From the set of models, the best models
253 were selected using AICc and averaged models were again built to yield 95% confidence levels.

254

255 **Results**

256

257 Sixty-nine species of birds spanning thirty-two families (within eight orders) were observed in total
258 across all rubber plots, of which 64 and 49 species were recorded in agroforest and monoculture
259 plots, respectively (Figure 1). Of the 22 rare species (singletons and doubletons), 15 were unique to

260 agroforestry plots and five to monoculture plots (Figure 1, Table S1). Two of the rare species found
261 in agroforests (*Eurylaimus ochromalus* and *Megalaima mystacophanos*) are Near Threatened
262 according to the IUCN (2020). Twenty species were unique to agroforests, while five were unique to
263 monoculture habitats. Species unique to agroforests or monocultures did not show strong clustering
264 on the functional diversity dendrogram or phylogenetic tree (Figure 1). The majority of species
265 recorded were insectivorous (N=64), of which 21 were obligate insectivores, with only three obligate
266 nectarivores or frugivores. The avian community in both rubber monoculture and agroforestry
267 plantations was dominated by passerines (46 species), with 13 non-passerines found only in
268 agroforestry, and only one in monoculture. The families that dominated the phylogenetic tree were
269 Cuculidae (N=7), Nectariniidae (N=7) and Pycnonotidae (N=6).

270

271 *Effect of agroforests and monocultures on functional, phylogenetic and evolutionary distinctiveness*
272 *metrics.*

273 Functional diversity did not vary between agroforests and monocultures. However, sesFD was
274 negative in agroforestry and positive in monoculture, indicating that observed FD is lower than
275 expected in agroforestry and higher than expected in monoculture given the species richness (Table
276 1, Figure S3). FEve, FDis and Rao's Q did not significantly differ between habitats (Table 1, Figure S3).
277 When rare species were omitted, the same pattern was observed between all FD metrics (Table 1);
278 the full species list was therefore used for further analyses.

279 Phylogenetic diversity metrics did not vary between agroforest and monoculture, although sesMPD
280 and sesMNTD were negative for agroforestry plots indicating that the observed values were lower
281 than expected values (Table 1, Figure S4). Evolutionary distinctiveness did not differ between the
282 two habitats (Table 1, Figure S4).

283 For each of the functional diversity metrics, including habitat management type as a fixed effect
284 (agroforest or monoculture) in linear models did not improve model fit and null models were
285 selected over habitat models in all cases (Table S2). Habitat models for phylogenetic diversity gave
286 similar results (Table S2). This confirmed that the habitat management type could not explain the
287 variation in functional and phylogenetic diversity between the different sampled plantations.

288

289 *Effect of habitat structure on functional, phylogenetic and evolutionary distinctiveness metrics*

290 Functional diversity metrics were significantly influenced by some habitat structure variables. The
291 averaged model indicated a positive response of FD to increasing fruit tree stem density and herb
292 height (Figure 2a and Table S6). There were no significant associations between sesFD, FEve, FDis
293 and Rao's Q with habitat structure variables as main effects (Figures 2b-e). FDis and Rao's Q were
294 however negatively related to the interaction effects between herb height and total agroforestry
295 and natural stem density, and between herb height and number of agroforestry species (Figures 2d-
296 e, figure S5, Table S6). Further, FDis was negatively associated to the interaction effect between
297 canopy cover and small stem density (Figure 2d, Figure S5, Table S6).

298 When agroforestry plots were considered separately, fruit stem density positively influenced FD
299 while small stem density and herb height negatively influenced FDis and Rao's Q (Figure S6, Table
300 S7). FD, sesFD, FDis and Rao's Q were positively influenced by natural stem density when only
301 monoculture plots were considered (Figure S7, Table S7). Here, only the natural stem density has
302 effect in monoculture plots (in the variable 'total agroforestry and natural stem density' in the
303 models). Herb height positively influenced sesFD, but negatively influenced FDis and RaoQ. Further,
304 small stem density was negatively associated with sesFD in monoculture plots (Table S7).

305 Phylogenetic diversity metrics were significantly influenced by some habitat structure variables. The
306 averaged models indicated that fruit tree stem density and herb height had a positive influence on
307 PD (Figure 3a), while herb height had a negative influence on sesPD, sesMPD and MNTD (Figures 3b-
308 e respectively, Table S6). Small stem density had a negative effect on sesPD, MPD, sesMPD, MNTD
309 and sesMNTD (Table S6). Fruit stem density and herb height had a positive influence on ED and EDR
310 (Figures 3g-h; Table S6). The total agroforestry and natural stems also seemed to have a positive
311 influence on PD and ED (Figures 3a, g).

312 We did not identify major differences in the responses of PD metrics when agroforestry plots were
313 modelled separately (Figure S8, Table S7). In monoculture plots, the natural stem density (the
314 variable 'total agroforestry and natural stem density' in the models) was positively influencing all PD
315 metrics (Figure S9). In addition, the small stem density positively influenced EDR. Overall, more
316 complex vegetation resulted in higher phylogenetic diversity and evolutionary distinctiveness of
317 birds in the rubber plantations studied.

318

319 *Effect of landscape composition on functional, phylogenetic and evolutionary distinctiveness metrics*

320 The averaged models revealed that the proportion of fruit orchards positively influenced FD, FDis
321 and Rao's Q (Figure 4a,d,e; Table S8), while proportion of degraded natural forest negatively
322 influenced sesFD, FDis and Rao's Q (Figure 4b,d,e; Table S8). FEve was positively associated with the
323 interaction effect between proportion of fruits and proportion of natural forest (Table S8).

324 The averaged models for phylogenetic diversity showed that the proportion of fruit orchards had a
325 positive effect on PD, sesPD, MNTD and sesMNTD (Figures 5a, b, e, f; Table S8). The proportion of
326 degraded natural forest had a significant negative effect on sesPD, MPD, sesMPD, MNTD and
327 sesMNTD (Figures 5b-f; Table S8). MNTD and sesMNTD had a negative association with the distance
328 to nearest contiguous forest (Figures 5e, f; Table S8). In contrast, the proportion of degraded natural
329 forest had a positive relationship with ED and EDR (Figures 5g, h; Table S8). Overall, phylogenetic
330 diversity was positively influenced by fruit orchards and negatively influenced by degraded natural
331 forests in the landscape.

332

333 **Discussion**

334

335 Although overall functional and phylogenetic diversity metrics were comparable between intensive
336 agroforestry and monoculture rubber systems, functional and phylogenetic diversity of birds was
337 greater in plantations with greater densities of fruit tree stems and increased height of herbaceous
338 plants. This suggests that management could enhance the habitat structure of rubber plantations to
339 sustain more biodiversity at ecosystem functional and evolutionary scales. Since both intensive
340 agroforest and monoculture plantation systems offer comparable rubber yields (Warren-Thomas et
341 al. 2019), the presence of economically important fruits and herbs could also provide socio-
342 economic benefits (Stroesser et al. 2018) in addition to biodiversity benefits.

343 We observed a strong clustering of functional traits on the functional dendrogram (Figure 1) due to
344 the dominance of small-medium-sized insectivores. Insectivores dominate some agricultural habitats
345 in the region (Azhar et al. 2013) although their presence is much lower in agriculture compared to
346 forest habitats (Nájera and Simonetti 2010; Maas et al. 2016). The plantations we observed did not
347 support a higher abundance of frugivores or nectarivores. This is similar to Sumatra where frugivores
348 were absent in monoculture rubber but more abundant in traditional jungle rubber (Prabowo et al.
349 2016), which is more forest-like and not comparable to intensive agroforestry in Thailand.
350 Nevertheless, we expected higher functional diversity in agroforests given their undergrowth, higher

351 canopy cover and multiple plant species making them structurally more comparable to forests than
352 monoculture rubber. However, negative sesFD in agroforestry plots indicated that the observed
353 functional diversity in agroforestry was lower than expected for the species pool. This could be a
354 consequence of the presence of functionally unique species in monoculture that prefer open
355 foraging habitats, e.g. *Todiramphus chloris* and *Corvus macrorhynchos*.

356 Similarity in the functional roles played increases with species richness (Cooke et al. 2019), leading to
357 higher functional redundancy (Flynn et al. 2009). Rubber agroforestry plantations had a higher
358 species richness than monoculture (although not statistically significant) and, in turn, a higher
359 functional redundancy, which may explain lower sesFD. For instance, in the Colombian Llanos, sesFD
360 of species-rich remnant forests was lower than that of species-poor oil palm plantations or pasture
361 (Prescott et al. 2016b). The results were comparable for functional divergence too, indicated by FDis
362 and Rao's Q.

363 Phylogenetic diversity was comparable between intensive rubber agroforests and monocultures,
364 supporting findings from diversified agriculture and intensive monocultures of different crops in
365 Costa Rica (Frishkoff et al. 2014). When compared to natural forests, phylogenetic clustering is
366 higher in agricultural habitats (Edwards et al. 2017) while phylogenetic diversity is much lower
367 (Frishkoff et al. 2014), likely reflecting that sensitive forest species have been extirpated due to the
368 initial forest loss (Prescott et al. 2016a). In the current study, species in agroforests appeared to be
369 distributed among clades with more recent ancestors and with co-occurrence of more closely
370 related individuals, as indicated by negative sesMPD and sesMNTD in agroforestry. This indicates
371 that the agroforest bird community is more phylogenetically clustered than the monoculture
372 community in the intra-familial or intra-generic levels (Prescott et al. 2016a).

373 Novel bird communities in diversified agriculture or monocultures contain bird communities with
374 lower evolutionary distinctiveness compared to forest (Frishkoff et al. 2014). As agricultural habitats
375 undergo multiple and frequent disturbances, they favour adaptable species. Previous research
376 shows that monocultures contain younger species with rapid diversification rates (Frishkoff et al.
377 2014). In our study, the bird community in intense rubber agroforestry did not conserve a
378 considerably greater evolutionary distinctiveness than monoculture habitats. This means that,
379 compared to forests, facilitative interactions between bird species in these communities are less
380 frequent, and that both these habitats are poor at providing a buffer against stressful environmental
381 changes (Cadotte et al. 2012).

382 We identified that habitat structure in rubber plantations was associated with the functional and
383 phylogenetic diversity of birds. Herbaceous plants influence the structural complexity of plantation
384 habitats benefitting avifauna (Nájera and Simonetti 2010; Sheldon et al. 2010). Other studies in oil
385 palm landscapes show that vegetation cover has a positive influence on bird foraging guilds (Azhar et
386 al. 2013), while the presence of weed plants increases butterfly species richness (Koh 2008).
387 However, functional divergence was positively correlated to the interaction effects between high
388 herb height and low number of agroforestry species and low natural stem density. A similar
389 relationship was observed with low canopy cover and high stem density suggesting that functional
390 variations and resource differentiation between species is affected by these complex interactions.
391 Another interesting finding was that functional, phylogenetic and evolutionary distinctiveness
392 metrics were greater when the density of fruit tree stems increased. The presence and density of
393 understory plant stems positively influences insectivorous birds in forests (Castaño-Villa et al. 2014)
394 and bird abundance in Acacia plantations (Sompud et al. 2016). In monoculture, density of natural
395 stems supported functional and phylogenetic diversity while small stems extracted a positive
396 response in evolutionary distinctiveness rarity. The habitat complexity in monoculture rubber,
397 explained by the presence of plant stems, supports biodiversity to some extent (Nájera and
398 Simonetti 2010).

399 Functional and phylogenetic diversity metrics had a negative relationship with the proportion of
400 surrounding natural forest. This could be because the natural forests patches in our study were
401 fragmented, degraded, and located in an area dominated by rubber plantations (Prescott et al.
402 2016a; Ulrich et al. 2016). Further, phylogenetic richness of non-forest bird species could be
403 negatively related to forest cover, indicating the importance of compensatory dynamics between
404 forest and non-forest birds in agricultural habitats (Morante-Filho et al. 2018). By contrast, previous
405 studies have found that avian phylogenetic diversity is positively related to natural forest area in
406 agricultural habitats (Prescott et al. 2016a; Zhang et al. 2017). However, there are instances where
407 phylogenetic metrics such as MNTD have decreased with increasing forest proportion (Prescott et al.
408 2016a) suggesting that interactions between species and landscapes are complicated. Further,
409 MNTD and sesMNTD decreased with the distance to contiguous forest suggesting that the presence
410 of nearby natural forest could support more distantly related bird species. Although our bird
411 community only included a few frugivore species, the availability of fruits positively influenced bird
412 diversity in our rubber plantations, similar to previous studies (Cosset and Edwards 2017).

413 The agroforest plantations we studied in Southern Thailand are high-yielding, intensive rubber
414 agroforestry systems. These are not comparable to highly biodiverse jungle rubber systems present
415 in countries like Indonesia, which are already de-facto land-sharing systems for biodiversity, at risk of
416 intensification (Clough et al. 2016) without the protection of high-quality habitat that would form a
417 land-sparing approach. In Thailand, intensively managed rubber plantations are already dominant in
418 the landscape, and farmer livelihoods are strongly dependent on rubber yields, so it could be
419 considered that a land-sparing approach has already been established (for example via protection of
420 remaining forest fragments). However, diversifying these plantations to enhance habitat
421 heterogeneity could deliver biodiversity benefits and increase connectivity between remaining
422 forest fragments in surrounding landscapes (Swallow et al. 2006). Beyond the land-sparing -sharing
423 debate, improving the hospitability of the cultivated matrix between patches of high-quality habitat
424 is essential for biodiversity persistence in the long term (Grass et al. 2019).

425 The land-use history of these habitats could also play an important role as open habitat-derived
426 agroforestry harbours less biodiversity compared to forest-derived agroforestry (Martin et al. 2020).
427 The bird species assemblages of the Sundaic region, where this study took place, may have fewer
428 species able to colonise simplified environments, such as plantations (Lambert and Collar 2002),
429 than other regions with naturally open habitats, such as further north in Thailand. It would be
430 interesting to consider effects of rubber agroforestry techniques closer to a deforestation frontier,
431 to understand how the functional diversity, phylogenetic diversity, and evolutionary distinctiveness
432 are shaped in these habitats.

433 **Conclusions**

434 Both agroforestry and monoculture rubber plantations can be managed to positively influence bird
435 functional and phylogenetic diversity, as the height of herbaceous vegetation and the density of fruit
436 tree stems have potential to enhance these metrics. Although a clear difference in overall functional
437 diversity, phylogenetic diversity and evolutionary distinctiveness was not identified between
438 monocultures and agroforests in Thailand, integration of fruits and herbs within rubber plantation
439 habitats would benefit bird communities at ecosystem functioning and evolutionary scales. There is
440 evidence that high-yielding agroforestry plantations provide additional socio-economic benefits to
441 farmers, in particular, diversified income streams and food security. In the context of wider
442 landscape management, better conservation and restoration of natural forest patches in the region
443 could sustain more biodiversity, whilst offering enhanced spillover of species into rubber
444 plantations. Agricultural policies should thus focus on the creative management and diversification
445 of existing intensive rubber plantation systems, whilst maintaining yields. Such ecological
446 intensification can support functionally and phylogenetically diverse bird communities, enhancing

447 the landscape connectivity that is vital for movement of biodiversity under climate change (Senior et
448 al. 2019).
449

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Table 1: Measures of functional diversity, phylogenetic diversity and evolutionary distinctiveness used for the analysis.

Diversity Metrics	Description
Functional Diversity (FD)	A tree-based metric, calculated by using a distance matrix of functional traits to create a functional dendrogram of the entire species pool across all samples, and then calculating the total branch lengths for samples of interest (Petchey and Gaston 2002).
Standard effect size of FD (sesFD)	FD is sensitive to species richness, so the standard effect size of FD (sesFD) was also calculated by randomizing species identities (across the entire species pool). Here, observed FD is compared to null communities of similar species richness with random species drawn from the species pool. More diverse communities would have positive values of sesFD (Prescott et al. 2016b).
Functional evenness (FEve)	A measure of the regularity of species abundances in functional space, calculated as the shortest minimum spanning tree that links all species within a community, and which can be interpreted as the degree of occupation of niches (Villéger et al. 2008).
Functional dispersion (FDis)	FDis is a measure of variability in functional traits in a community (Laliberté and Legendre 2010).
Rao's quadratic entropy (Rao's Q)	Rao's Q calculates the abundance-weighted variance between of species pairs (Schleuter et al. 2010) and is closely related to FDis.
Phylogenetic diversity (PD)	PD represents the total sum of evolutionary history in a community and measures the phylogenetic richness (Prescott et al. 2016a).
Standard effect size of phylogenetic diversity (sesPD)	PD is positively correlated with species richness (Swenson 2014). SesPD is calculated by comparing the PD of null communities of equal species richness, which are created by drawing species at random from the regional species pool. Positive values of sesPD suggest that communities have higher PD values than expected for that given species richness and the opposite is true for negative values.
Mean pairwise distance (MPD)	The average phylogenetic distance between individuals in a community. Higher values suggest that species are distributed across a wide range of clades and low values suggest phylogenetic clustering (Prescott et al. 2016a). MPD is a measure of phylogenetic divergence (Morante-Filho et al. 2018).

Standard effect size for MPD (sesMPD)	MPD corrected for species richness. Positive values of sesMPD are found in communities with greater MPD than expected given the species richness, and vice versa for negative values. sesMPD is a measure of phylogenetic structure (Morante-Filho et al. 2018).
Mean nearest taxon distance (MNTD)	The average phylogenetic distance between an individual and the most closely related (non-conspecific) individual (Morante-Filho et al. 2018). High levels of MNTD suggest that closely related individuals do not co-occur in the community, and low levels suggest they do.
Standard effect size of mean nearest taxon distance (sesMNTD)	MNTD adjusted for species richness. Positive values of sesMNTD are found in communities with greater MNTD than expected given the species richness, and negative values for less than expected.
Evolutionary Distinctiveness (ED)	Measures the amount of unique evolutionary history contributed by a species to a phylogenetic tree. High values of ED are found in species that have no close extant relatives, and species with low values have closely related extant species. Thus, communities with high ED have more evolutionarily unique species (Prescott et al. 2016a).
Evolutionary Distinctiveness Rarity (EDR)	ED adjusted for species rarity. Range size was used as a measure of rarity, meaning species with high EDR has a high importance for the conservation of evolutionary diversity and an elevated extinction risk due to a small range size.

Table 2: FD, PD and ED metrics and their standard error values calculated for Agroforestry and Monoculture.

	Metrics	Agroforestry	Monoculture
Functional Diversity	FD	1.37 ± 0.04	1.37 ± 0.06
	sesFD	-0.04 ± 0.04	0.06 ± 0.05
	FEve	0.78 ± 0.01	0.81 ± 0.01
	FDis	3.32 ± 0.05	3.36 ± 0.07
	RaoQ	0.21 ± 0.01	0.22 ± 0.01
Functional Diversity metrics (excluding rarities)	FD	1.32 ± 0.04	1.33 ± 0.06
	sesFD	-0.07 ± 0.05	0.09 ± 0.07
	FEve	0.77 ± 0.01	0.80 ± 0.01
	FDis	3.45 ± 0.06	3.46 ± 0.08
	RaoQ	0.32 ± 0.01	0.32 ± 0.02
Phylogenetic Diversity	PD	537.37 ± 86.05	520.78 ± 104.16
	SESPD	0.04 ± 0.01	0.34 ± 0.07
	MPD	87.10 ± 13.95	88.00 ± 17.60
	SESMPD	-0.08 ± -0.01	0.16 ± 0.03
	MNTD	59.35 ± 9.50	63.21 ± 12.64
	SESMNTD	-0.10 ± -0.02	0.25 ± 0.05
Evolutionary Distinctiveness	ED	1.43 ± 0.23	1.34 ± 0.27
	EDR	0.003 ± 0.001	0.003 ± 0.001

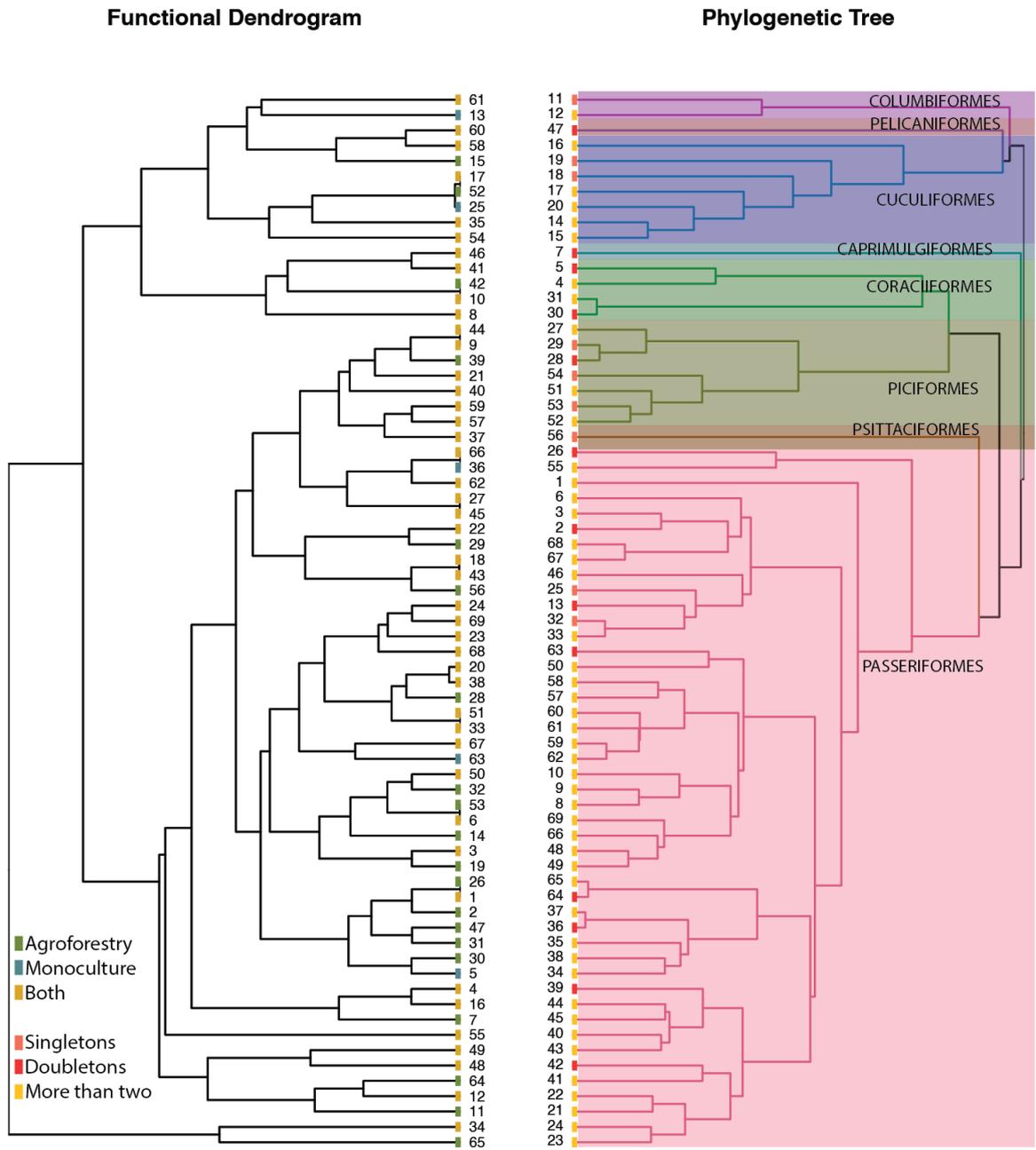


Figure 1: Functional dendrogram and phylogenetic tree for the 69 species observed during the study. The numbers relate to species names given in Table S1.

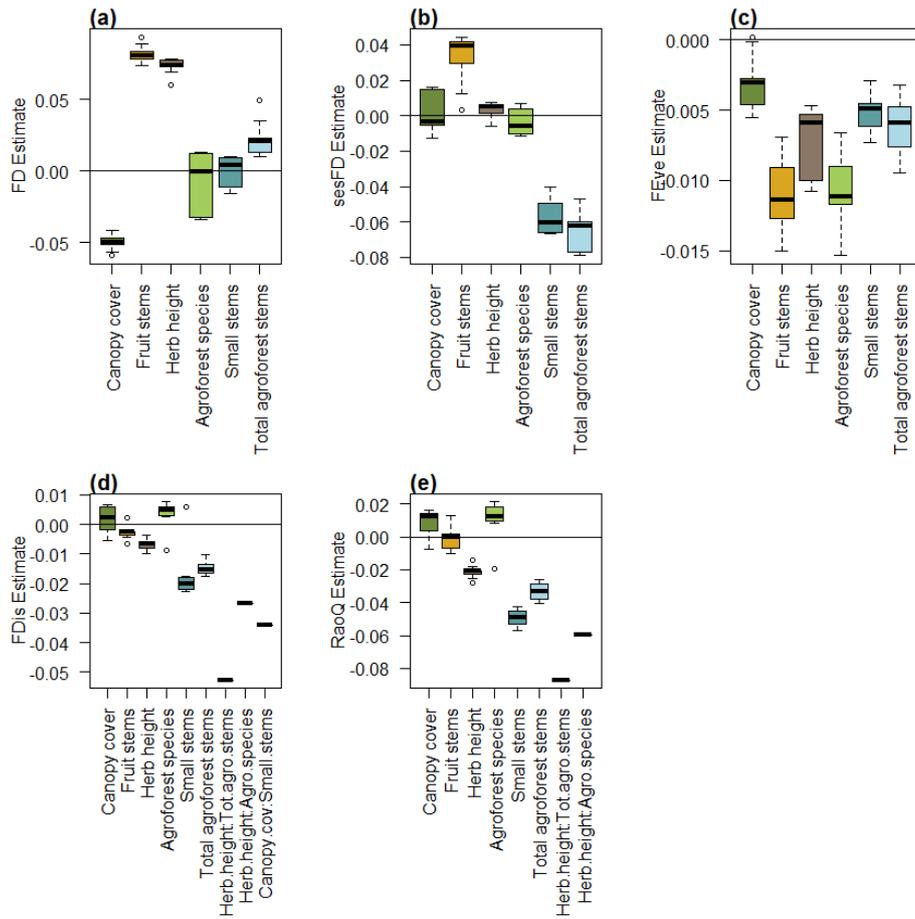


Figure 2: Estimates for FD metrics resulting from the averaged habitat structure models with above 95% cumulative confidence. The bold bars represent median; box bounds, the interquartile range and whiskers extend to 1.5*interquartile range. Outliers are represented by dots.

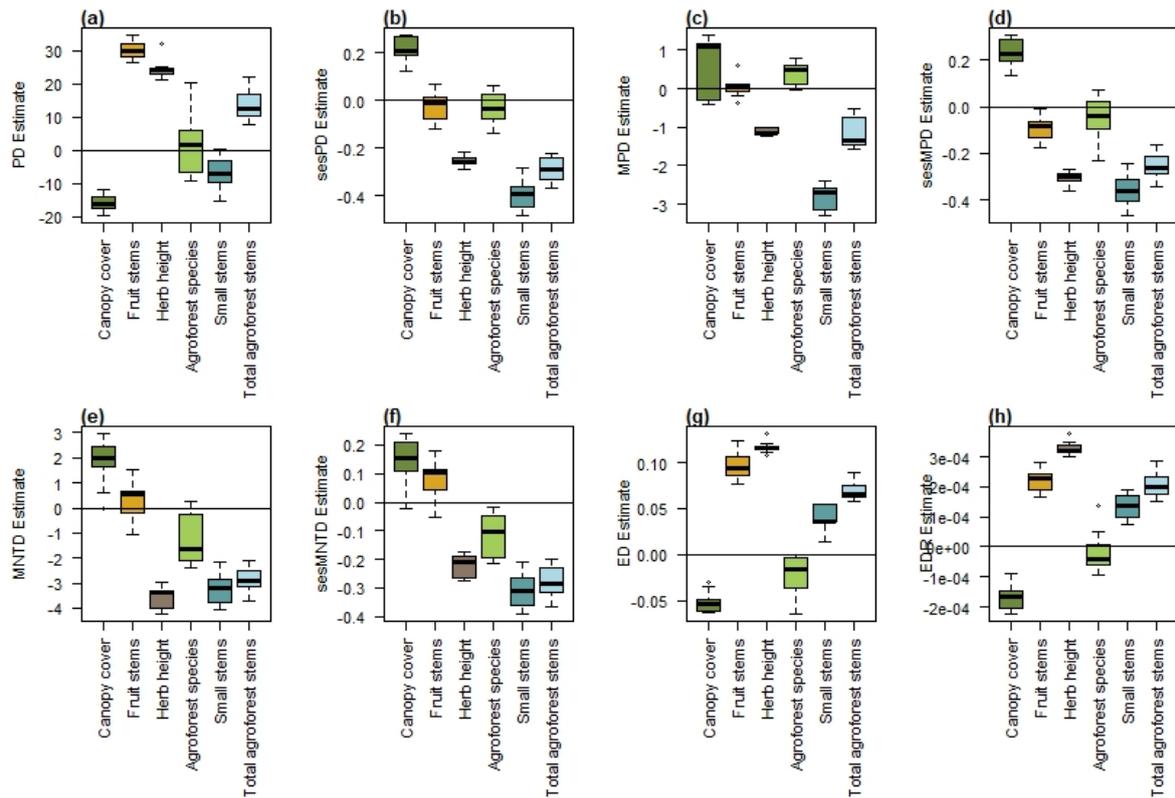


Figure 3: Estimates for the main PD and ED metrics resulting from the averaged habitat structure models with above 95% cumulative confidence. The bold bars represent median; box bounds, the interquartile range and whiskers extend to 1.5*interquartile range. Outliers are represented by dots.

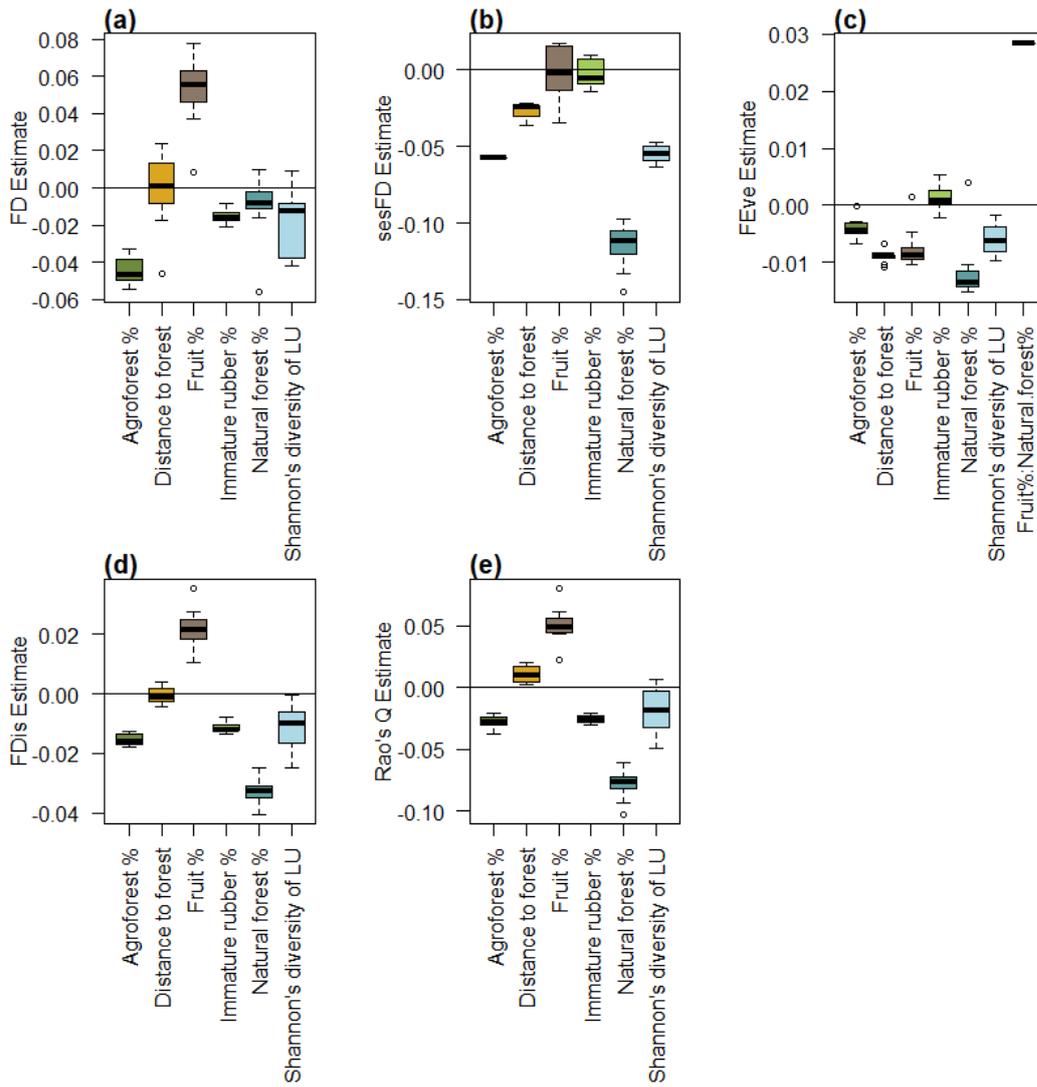


Figure 4: Estimates for the main FD metrics resulting from the averaged landscape composition models. The bold bars represent median; box bounds, the interquartile range and whiskers extend to 1.5*interquartile range. Outliers are represented by dots.

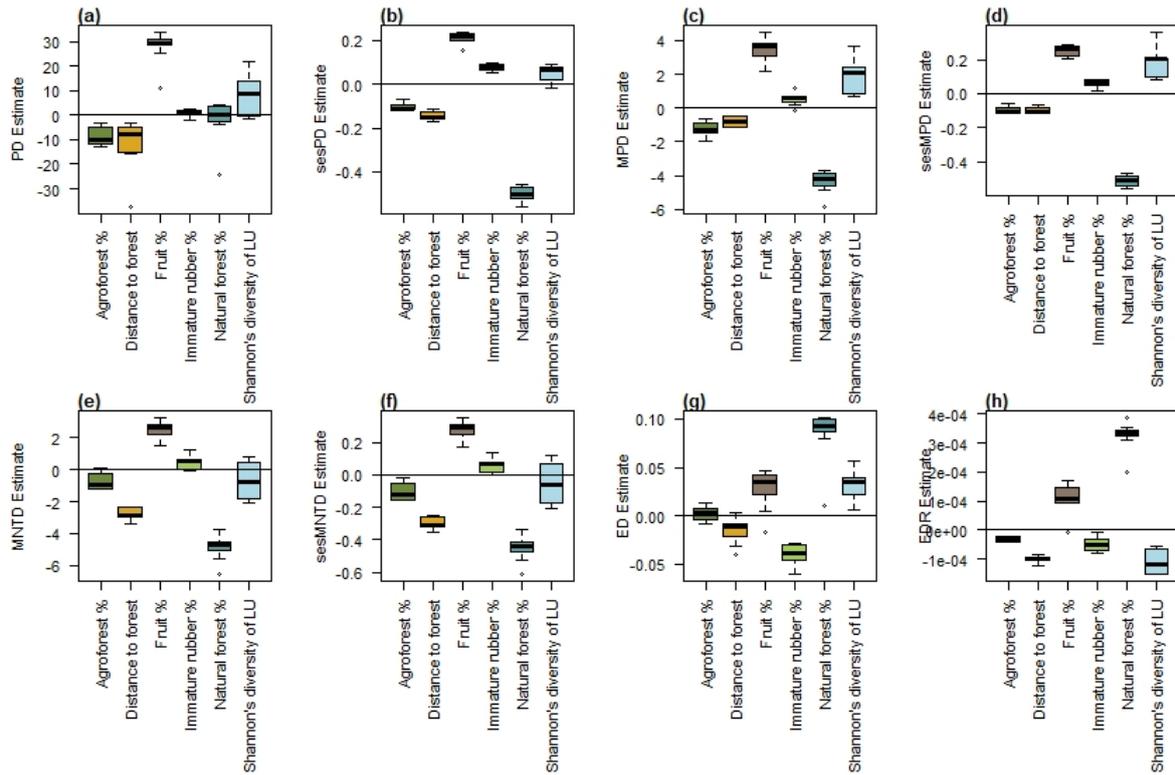


Figure 5: Estimates for the main PD and ED metrics resulting from the averaged landscape composition models. The bold bars represent median; box bounds, the interquartile range and whiskers extend to 1.5*interquartile range. Outliers are represented by dots.