



International Institute for  
Applied Systems Analysis  
Schlossplatz 1  
A-2361 Laxenburg, Austria

Tel: +43 2236 807 342  
Fax: +43 2236 71313  
E-mail: [publications@iiasa.ac.at](mailto:publications@iiasa.ac.at)  
Web: [www.iiasa.ac.at](http://www.iiasa.ac.at)

---

## **Interim Report**

**IR-06-073**

### **The Evolution of Resource Specialization Through Frequency-Dependent and Frequency-Independent Mechanisms**

Claus Rueffler ([rueffler@zoo.utoronto.ca](mailto:rueffler@zoo.utoronto.ca))

Tom J.M. Van Dooren ([vdooren@rulsfb.leidenuniv.nl](mailto:vdooren@rulsfb.leidenuniv.nl))

Johan A.J. Metz ([j.a.j.metz@biology.leidenuniv.nl](mailto:j.a.j.metz@biology.leidenuniv.nl))

---

#### **Approved by**

Ulf Dieckmann

Program Leader, Evolution and Ecology Program

December 2006

---

*Interim Reports* on work of the International Institute for Applied Systems Analysis receive only limited review. Views or opinions expressed herein do not necessarily represent those of the Institute, its National Member Organizations, or other organizations supporting the work.



The Evolution and Ecology Program at IIASA fosters the development of new mathematical and conceptual techniques for understanding the evolution of complex adaptive systems.

Focusing on these long-term implications of adaptive processes in systems of limited growth, the Evolution and Ecology Program brings together scientists and institutions from around the world with IIASA acting as the central node.

Scientific progress within the network is collected in the IIASA Studies in Adaptive Dynamics series.

- No. 1 Metz JAJ, Geritz SAH, Meszéna G, Jacobs FJA, van Heerwaarden JS: *Adaptive Dynamics: A Geometrical Study of the Consequences of Nearly Faithful Reproduction*. IIASA Working Paper WP-95-099 (1995). van Strien SJ, Verduyn Lunel SM (eds): *Stochastic and Spatial Structures of Dynamical Systems*, Proceedings of the Royal Dutch Academy of Science (KNAW Verhandelingen), North Holland, Amsterdam, pp. 183-231 (1996).
- No. 2 Dieckmann U, Law R: *The Dynamical Theory of Coevolution: A Derivation from Stochastic Ecological Processes*. IIASA Working Paper WP-96-001 (1996). *Journal of Mathematical Biology* 34:579-612 (1996).
- No. 3 Dieckmann U, Marrow P, Law R: *Evolutionary Cycling of Predator-Prey Interactions: Population Dynamics and the Red Queen*. IIASA Preprint (1995). *Journal of Theoretical Biology* 176:91-102 (1995).
- No. 4 Marrow P, Dieckmann U, Law R: *Evolutionary Dynamics of Predator-Prey Systems: An Ecological Perspective*. IIASA Working Paper WP-96-002 (1996). *Journal of Mathematical Biology* 34:556-578 (1996).
- No. 5 Law R, Marrow P, Dieckmann U: *On Evolution under Asymmetric Competition*. IIASA Working Paper WP-96-003 (1996). *Evolutionary Ecology* 11:485-501 (1997).
- No. 6 Metz JAJ, Mylius SD, Diekmann O: *When Does Evolution Optimize? On the Relation Between Types of Density Dependence and Evolutionarily Stable Life History Parameters*. IIASA Working Paper WP-96-004 (1996).
- No. 7 Ferrière R, Gatto M: *Lyapunov Exponents and the Mathematics of Invasion in Oscillatory or Chaotic Populations*. *Theoretical Population Biology* 48:126-171 (1995).
- No. 8 Ferrière R, Fox GA: *Chaos and Evolution*. IIASA Preprint (1996). *Trends in Ecology and Evolution* 10:480-485 (1995).
- No. 9 Ferrière R, Michod RE: *The Evolution of Cooperation in Spatially Heterogeneous Populations*. IIASA Working Paper WP-96-029 (1996). *The American Naturalist* 147:692-717 (1996).
- No. 10 van Dooren TJM, Metz JAJ: *Delayed Maturation in Temporally Structured Populations with Non-Equilibrium Dynamics*. IIASA Working Paper WP-96-070 (1996). *Journal of Evolutionary Biology* 11:41-62 (1998).
- No. 11 Geritz SAH, Metz JAJ, Kisdi É, Meszéna G: *The Dynamics of Adaptation and Evolutionary Branching*. IIASA Working Paper WP-96-077 (1996). *Physical Review Letters* 78:2024-2027 (1997).
- No. 12 Geritz SAH, Kisdi É, Meszéna G, Metz JAJ: *Evolutionary Singular Strategies and the Adaptive Growth and Branching of the Evolutionary Tree*. IIASA Working Paper WP-96-114 (1996). *Evolutionary Ecology* 12:35-57 (1998).
- No. 13 Heino M, Metz JAJ, Kaitala V: *Evolution of Mixed Maturation Strategies in Semelparous Life-Histories: The Crucial Role of Dimensionality of Feedback Environment*. IIASA Working Paper WP-96-126 (1996). *Philosophical Transactions of the Royal Society of London Series B* 352:1647-1655 (1997).
- No. 14 Dieckmann U: *Can Adaptive Dynamics Invade?* IIASA Working Paper WP-96-152 (1996). *Trends in Ecology and Evolution* 12:128-131 (1997).
- No. 15 Meszéna G, Czibula I, Geritz SAH: *Adaptive Dynamics in a 2-Patch Environment: A Simple Model for Allopatric and Parapatric Speciation*. IIASA Interim Report IR-97-001 (1997). *Journal of Biological Systems* 5:265-284 (1997).
- No. 16 Heino M, Metz JAJ, Kaitala V: *The Enigma of Frequency-Dependent Selection*. IIASA Interim Report IR-97-061 (1997). *Trends in Ecology and Evolution* 13:367-370 (1998).
- No. 17 Heino M: *Management of Evolving Fish Stocks*. IIASA Interim Report IR-97-062 (1997). *Canadian Journal of Fisheries and Aquatic Sciences* 55:1971-1982 (1998).
- No. 18 Heino M: *Evolution of Mixed Reproductive Strategies in Simple Life-History Models*. IIASA Interim Report IR-97-063 (1997).
- No. 19 Geritz SAH, van der Meijden E, Metz JAJ: *Evolutionary Dynamics of Seed Size and Seedling Competitive Ability*. IIASA Interim Report IR-97-071 (1997). *Theoretical Population Biology* 55:324-343 (1999).
- No. 20 Galis F, Metz JAJ: *Why Are There So Many Cichlid Species? On the Interplay of Speciation and Adaptive Radiation*. IIASA Interim Report IR-97-072 (1997). *Trends in Ecology and Evolution* 13:1-2 (1998).

- No. 21 Boerlijst MC, Nowak MA, Sigmund K: *Equal Pay for all Prisoners/ The Logic of Contrition*. IIASA Interim Report IR-97-073 (1997). American Mathematical Society Monthly 104:303-307 (1997). Journal of Theoretical Biology 185:281-293 (1997).
- No. 22 Law R, Dieckmann U: *Symbiosis Without Mutualism and the Merger of Lineages in Evolution*. IIASA Interim Report IR-97-074 (1997). Proceedings of the Royal Society of London Series B 265:1245-1253 (1998).
- No. 23 Klinkhamer PGL, de Jong TJ, Metz JAJ: *Sex and Size in Cosexual Plants*. IIASA Interim Report IR-97-078 (1997). Trends in Ecology and Evolution 12:260-265 (1997).
- No. 24 Fontana W, Schuster P: *Shaping Space: The Possible and the Attainable in RNA Genotype-Phenotype Mapping*. IIASA Interim Report IR-98-004 (1998). Journal of Theoretical Biology 194:491-515 (1998).
- No. 25 Kisdi É, Geritz SAH: *Adaptive Dynamics in Allele Space: Evolution of Genetic Polymorphism by Small Mutations in a Heterogeneous Environment*. IIASA Interim Report IR-98-038 (1998). Evolution 53:993-1008 (1999).
- No. 26 Fontana W, Schuster P: *Continuity in Evolution: On the Nature of Transitions*. IIASA Interim Report IR-98-039 (1998). Science 280:1451-1455 (1998).
- No. 27 Nowak MA, Sigmund K: *Evolution of Indirect Reciprocity by Image Scoring/ The Dynamics of Indirect Reciprocity*. IIASA Interim Report IR-98-040 (1998). Nature 393:573-577 (1998). Journal of Theoretical Biology 194:561-574 (1998).
- No. 28 Kisdi É: *Evolutionary Branching Under Asymmetric Competition*. IIASA Interim Report IR-98-045 (1998). Journal of Theoretical Biology 197:149-162 (1999).
- No. 29 Berger U: *Best Response Adaptation for Role Games*. IIASA Interim Report IR-98-086 (1998).
- No. 30 van Dooren TJM: *The Evolutionary Ecology of Dominance-Recessivity*. IIASA Interim Report IR-98-096 (1998). Journal of Theoretical Biology 198:519-532 (1999).
- No. 31 Dieckmann U, O'Hara B, Weisser W: *The Evolutionary Ecology of Dispersal*. IIASA Interim Report IR-98-108 (1998). Trends in Ecology and Evolution 14:88-90 (1999).
- No. 32 Sigmund K: *Complex Adaptive Systems and the Evolution of Reciprocation*. IIASA Interim Report IR-98-100 (1998). Ecosystems 1:444-448 (1998).
- No. 33 Posch M, Pichler A, Sigmund K: *The Efficiency of Adapting Aspiration Levels*. IIASA Interim Report IR-98-103 (1998). Proceedings of the Royal Society London Series B 266:1427-1435 (1999).
- No. 34 Mathias A, Kisdi É: *Evolutionary Branching and Coexistence of Germination Strategies*. IIASA Interim Report IR-99-014 (1999).
- No. 35 Dieckmann U, Doebeli M: *On the Origin of Species by Sympatric Speciation*. IIASA Interim Report IR-99-013 (1999). Nature 400:354-357 (1999).
- No. 36 Metz JAJ, Gyllenberg M: *How Should We Define Fitness in Structured Metapopulation Models? Including an Application to the Calculation of Evolutionarily Stable Dispersal Strategies*. IIASA Interim Report IR-99-019 (1999). Proceedings of the Royal Society of London Series B 268:499-508 (2001).
- No. 37 Gyllenberg M, Metz JAJ: *On Fitness in Structured Metapopulations*. IIASA Interim Report IR-99-037 (1999). Journal of Mathematical Biology 43:545-560 (2001).
- No. 38 Meszéna G, Metz JAJ: *Species Diversity and Population Regulation: The Importance of Environmental Feedback Dimensionality*. IIASA Interim Report IR-99-045 (1999).
- No. 39 Kisdi É, Geritz SAH: *Evolutionary Branching and Sympatric Speciation in Diploid Populations*. IIASA Interim Report IR-99-048 (1999).
- No. 40 Ylikarjula J, Heino M, Dieckmann U: *Ecology and Adaptation of Stunted Growth in Fish*. IIASA Interim Report IR-99-050 (1999). Evolutionary Ecology 13:433-453 (1999).
- No. 41 Nowak MA, Sigmund K: *Games on Grids*. IIASA Interim Report IR-99-038 (1999). Dieckmann U, Law R, Metz JAJ (eds): The Geometry of Ecological Interactions: Simplifying Spatial Complexity, Cambridge University Press, Cambridge, UK, pp. 135-150 (2000).
- No. 42 Ferrière R, Michod RE: *Wave Patterns in Spatial Games and the Evolution of Cooperation*. IIASA Interim Report IR-99-041 (1999). Dieckmann U, Law R, Metz JAJ (eds): The Geometry of Ecological Interactions: Simplifying Spatial Complexity, Cambridge University Press, Cambridge, UK, pp. 318-332 (2000).
- No. 43 Kisdi É, Jacobs FJA, Geritz SAH: *Red Queen Evolution by Cycles of Evolutionary Branching and Extinction*. IIASA Interim Report IR-00-030 (2000). Selection 2:161-176 (2001).
- No. 44 Meszéna G, Kisdi É, Dieckmann U, Geritz SAH, Metz JAJ: *Evolutionary Optimisation Models and Matrix Games in the Unified Perspective of Adaptive Dynamics*. IIASA Interim Report IR-00-039 (2000). Selection 2:193-210 (2001).
- No. 45 Parvinen K, Dieckmann U, Gyllenberg M, Metz JAJ: *Evolution of Dispersal in Metapopulations with Local Density Dependence and Demographic Stochasticity*. IIASA Interim Report IR-00-035 (2000). Journal of Evolutionary Biology 16:143-153 (2003).
- No. 46 Doebeli M, Dieckmann U: *Evolutionary Branching and Sympatric Speciation Caused by Different Types of Ecological Interactions*. IIASA Interim Report IR-00-040 (2000). The American Naturalist 156:S77-S101 (2000).
- No. 47 Heino M, Hanski I: *Evolution of Migration Rate in a Spatially Realistic Metapopulation Model*. IIASA Interim Report IR-00-044 (2000). The American Naturalist 157:495-511 (2001).
- No. 48 Gyllenberg M, Parvinen K, Dieckmann U: *Evolutionary Suicide and Evolution of Dispersal in Structured Metapopulations*. IIASA Interim Report IR-00-056 (2000). Journal of Mathematical Biology 45:79-105 (2002).
- No. 49 van Dooren TJM: *The Evolutionary Dynamics of Direct Phenotypic Overdominance: Emergence Possible, Loss Probable*. IIASA Interim Report IR-00-048 (2000). Evolution 54:1899-1914 (2000).
- No. 50 Nowak MA, Page KM, Sigmund K: *Fairness Versus Reason in the Ultimatum Game*. IIASA Interim Report IR-00-57 (2000). Science 289:1773-1775 (2000).
- No. 51 de Feo O, Ferrière R: *Bifurcation Analysis of Population Invasion: On-Off Intermittency and Basin Riddling*. IIASA Interim Report IR-00-074 (2000). International Journal of Bifurcation and Chaos 10:443-452 (2000).

- No. 52 Heino M, Laaka-Lindberg S: *Clonal Dynamics and Evolution of Dormancy in the Leafy Hepatic Lophozia Silvicola*. IIASA Interim Report IR-01-018 (2001). *Oikos* 94:525-532 (2001).
- No. 53 Sigmund K, Hauert C, Nowak MA: *Reward and Punishment in Minigames*. IIASA Interim Report IR-01-031 (2001). *Proceedings of the National Academy of Sciences of the USA* 98:10757-10762 (2001).
- No. 54 Hauert C, De Monte S, Sigmund K, Hofbauer J: *Oscillations in Optional Public Good Games*. IIASA Interim Report IR-01-036 (2001).
- No. 55 Ferrière R, Le Galliard J: *Invasion Fitness and Adaptive Dynamics in Spatial Population Models*. IIASA Interim Report IR-01-043 (2001). Clobert J, Dhondt A, Danchin E, Nichols J (eds): *Dispersal*, Oxford University Press, pp. 57-79 (2001).
- No. 56 de Mazancourt C, Loreau M, Dieckmann U: *Can the Evolution of Plant Defense Lead to Plant-Herbivore Mutualism?* IIASA Interim Report IR-01-053 (2001). *The American Naturalist* 158:109-123 (2001).
- No. 57 Claessen D, Dieckmann U: *Ontogenetic Niche Shifts and Evolutionary Branching in Size-Structured Populations*. IIASA Interim Report IR-01-056 (2001). *Evolutionary Ecology Research* 4:189-217 (2002).
- No. 58 Brandt H: *Correlation Analysis of Fitness Landscapes*. IIASA Interim Report IR-01-058 (2001).
- No. 59 Dieckmann U: *Adaptive Dynamics of Pathogen-Host Interactions*. IIASA Interim Report IR-02-007 (2002). Dieckmann U, Metz JAJ, Sabelis MW, Sigmund K (eds): *Adaptive Dynamics of Infectious Diseases: In Pursuit of Virulence Management*, Cambridge University Press, Cambridge, UK, pp. 39-59 (2002).
- No. 60 Nowak MA, Sigmund K: *Super- and Coinfection: The Two Extremes*. IIASA Interim Report IR-02-008 (2002). Dieckmann U, Metz JAJ, Sabelis MW, Sigmund K (eds): *Adaptive Dynamics of Infectious Diseases: In Pursuit of Virulence Management*, Cambridge University Press, Cambridge, UK, pp. 124-137 (2002).
- No. 61 Sabelis MW, Metz JAJ: *Evolution Management: Taking Stock - Relating Theory to Experiment*. IIASA Interim Report IR-02-009 (2002). Dieckmann U, Metz JAJ, Sabelis MW, Sigmund K (eds): *Adaptive Dynamics of Infectious Diseases: In Pursuit of Virulence Management*, Cambridge University Press, Cambridge, UK, pp. 379-398 (2002).
- No. 62 Cheptou P, Dieckmann U: *The Evolution of Self-Fertilization in Density-Regulated Populations*. IIASA Interim Report IR-02-024 (2002). *Proceedings of the Royal Society of London Series B* 269:1177-1186 (2002).
- No. 63 Bürger R: *Additive Genetic Variation Under Intraspecific Competition and Stabilizing Selection: A Two-Locus Study*. IIASA Interim Report IR-02-013 (2002). *Theoretical Population Biology* 61:197-213 (2002).
- No. 64 Hauert C, De Monte S, Hofbauer J, Sigmund K: *Volunteering as Red Queen Mechanism for Co-operation in Public Goods Games*. IIASA Interim Report IR-02-041 (2002). *Science* 296:1129-1132 (2002).
- No. 65 Dercole F, Ferrière R, Rinaldi S: *Ecological Bistability and Evolutionary Reversals under Asymmetrical Competition*. IIASA Interim Report IR-02-053 (2002). *Evolution* 56:1081-1090 (2002).
- No. 66 Dercole F, Rinaldi S: *Evolution of Cannibalistic Traits: Scenarios Derived from Adaptive Dynamics*. IIASA Interim Report IR-02-054 (2002). *Theoretical Population Biology* 62:365-374 (2002).
- No. 67 Bürger R, Gimelfarb A: *Fluctuating Environments and the Role of Mutation in Maintaining Quantitative Genetic Variation*. IIASA Interim Report IR-02-058 (2002). *Genetical Research* 80:31-46 (2002).
- No. 68 Bürger R: *On a Genetic Model of Intraspecific Competition and Stabilizing Selection*. IIASA Interim Report IR-02-062 (2002). *Amer. Natur.* 160:661-682 (2002).
- No. 69 Doebeli M, Dieckmann U: *Speciation Along Environmental Gradients*. IIASA Interim Report IR-02-079 (2002). *Nature* 421:259-264 (2003).
- No. 70 Dercole F, Irisson J, Rinaldi S: *Bifurcation Analysis of a Prey-Predator Coevolution Model*. IIASA Interim Report IR-02-078 (2002). *SIAM Journal on Applied Mathematics* 63:1378-1391 (2003).
- No. 71 Le Galliard J, Ferrière R, Dieckmann U: *The Adaptive Dynamics of Altruism in Spatially Heterogeneous Populations*. IIASA Interim Report IR-03-006 (2003). *Evolution* 57:1-17 (2003).
- No. 72 Taborsky B, Dieckmann U, Heino M: *Unexpected Discontinuities in Life-History Evolution under Size-Dependent Mortality*. IIASA Interim Report IR-03-004 (2003). *Proceedings of the Royal Society of London Series B* 270:713-721 (2003).
- No. 73 Gardmark A, Dieckmann U, Lundberg P: *Life-History Evolution in Harvested Populations: The Role of Natural Predation*. IIASA Interim Report IR-03-008 (2003). *Evolutionary Ecology Research* 5:239-257 (2003).
- No. 74 Mizera F, Meszéna G: *Spatial Niche Packing, Character Displacement and Adaptive Speciation Along an Environmental Gradient*. IIASA Interim Report IR-03-062 (2003). *Evolutionary Ecology Research* 5:363-382 (2003).
- No. 75 Dercole F: *Remarks on Branching-Extinction Evolutionary Cycles*. IIASA Interim Report IR-03-077 (2003). *Journal of Mathematical Biology* 47:569-580 (2003).
- No. 76 Hofbauer J, Sigmund K: *Evolutionary Game Dynamics*. IIASA Interim Report IR-03-078 (2003). *Bulletin of the American Mathematical Society* 40:479-519 (2003).
- No. 77 Ernande B, Dieckmann U, Heino M: *Adaptive Changes in Harvested Populations: Plasticity and Evolution of Age and Size at Maturation*. IIASA Interim Report IR-03-058 (2003). *Proceedings of the Royal Society of London Series B-Biological Sciences* 271:415-423 (2004).
- No. 78 Hanski I, Heino M: *Metapopulation-Level Adaptation of Insect Host Plant Preference and Extinction-Colonization Dynamics in Heterogeneous Landscapes*. IIASA Interim Report IR-03-028 (2003). *Theoretical Population Biology* 63:309-338 (2003).
- No. 79 van Doorn G, Dieckmann U, Weissing FJ: *Sympatric Speciation by Sexual Selection: A Critical Re-Evaluation*. IIASA Interim Report IR-04-003 (2004). *American Naturalist* 163:709-725 (2004).
- No. 80 Egas M, Dieckmann U, Sabelis MW: *Evolution Restricts the Coexistence of Specialists and Generalists - the Role of Trade-off Structure*. IIASA Interim Report IR-04-004 (2004). *American Naturalist* 163:518-531 (2004).

- No. 81 Ernande B, Dieckmann U: *The Evolution of Phenotypic Plasticity in Spatially Structured Environments: Implications of Intraspecific Competition, Plasticity Costs, and Environmental Characteristics*. IIASA Interim Report IR-04-006 (2004). *Journal of Evolutionary Biology* 17:613-628 (2004).
- No. 82 Cressman R, Hofbauer J: *Measure Dynamics on a One-Dimensional Continuous Trait Space: Theoretical Foundations for Adaptive Dynamics*. IIASA Interim Report IR-04-016 (2004).
- No. 83 Cressman R: *Dynamic Stability of the Replicator Equation with Continuous Strategy Space*. IIASA Interim Report IR-04-017 (2004).
- No. 84 Ravigné V, Olivieri I, Dieckmann U: *Implications of Habitat Choice for Protected Polymorphisms*. IIASA Interim Report IR-04-005 (2004). *Evolutionary Ecology Research* 6:125-145 (2004).
- No. 85 Nowak MA, Sigmund K: *Evolutionary Dynamics of Biological Games*. IIASA Interim Report IR-04-013 (2004). *Science* 303:793-799 (2004).
- No. 86 Vukics A, Asbóth J, Meszéna G: *Speciation in Multidimensional Evolutionary Space*. IIASA Interim Report IR-04-028 (2004). *Physical Review* 68:041-903 (2003).
- No. 87 de Mazancourt C, Dieckmann U: *Trade-off Geometries and Frequency-dependent Selection*. IIASA Interim Report IR-04-039 (2004). *American Naturalist* 164:765-778 (2004).
- No. 88 Cadet CR, Metz JAJ, Klinkhamer PGL: *Size and the Not-So-Single Sex: Disentangling the Effects of Size on Sex Allocation*. IIASA Interim Report IR-04-084 (2004). *American Naturalist* 164:779-792 (2004).
- No. 89 Rueffler C, van Dooren TJM, Metz JAJ: *Adaptive Walks on Changing Landscapes: Levins' Approach Extended*. IIASA Interim Report IR-04-083 (2004). *Theoretical Population Biology* 65:165-178 (2004).
- No. 90 de Mazancourt C, Loreau M, Dieckmann U: *Understanding Mutualism When There is Adaptation to the Partner*. IIASA Interim Report IR-05-016 (2005). *Journal of Ecology* 93:305-314 (2005).
- No. 91 Dieckmann U, Doebeli M: *Pluralism in Evolutionary Theory*. IIASA Interim Report IR-05-017 (2005). *Journal of Evolutionary Biology* 18:1209-1213 (2005).
- No. 92 Doebeli M, Dieckmann U, Metz JAJ, Tautz D: *What We Have Also Learned: Adaptive Speciation is Theoretically Plausible*. IIASA Interim Report IR-05-018 (2005). *Evolution* 59:691-695 (2005).
- No. 93 Egas M, Sabelis MW, Dieckmann U: *Evolution of Specialization and Ecological Character Displacement of Herbivores Along a Gradient of Plant Quality*. IIASA Interim Report IR-05-019 (2005). *Evolution* 59:507-520 (2005).
- No. 94 Le Galliard J, Ferrière R, Dieckmann U: *Adaptive Evolution of Social Traits: Origin, Trajectories, and Correlations of Altruism and Mobility*. IIASA Interim Report IR-05-020 (2005). *American Naturalist* 165:206-224 (2005).
- No. 95 Doebeli M, Dieckmann U: *Adaptive Dynamics as a Mathematical Tool for Studying the Ecology of Speciation Processes*. IIASA Interim Report IR-05-022 (2005). *Journal of Evolutionary Biology* 18:1194-1200 (2005).
- No. 96 Brandt H, Sigmund K: *The Logic of Reprobation: Assessment and Action Rules for Indirect Reciprocity*. IIASA Interim Report IR-04-085 (2004). *Journal of Theoretical Biology* 231:475-486 (2004).
- No. 97 Hauert C, Haiden N, Sigmund K: *The Dynamics of Public Goods*. IIASA Interim Report IR-04-086 (2004). *Discrete and Continuous Dynamical Systems - Series B* 4:575-587 (2004).
- No. 98 Meszéna G, Gyllenberg M, Jacobs FJA, Metz JAJ: *Link Between Population Dynamics and Dynamics of Darwinian Evolution*. IIASA Interim Report IR-05-026 (2005). *Physical Review Letters* 95:Article 078105 (2005).
- No. 99 Meszéna G: *Adaptive Dynamics: The Continuity Argument*. IIASA Interim Report IR-05-032 (2005).
- No. 100 Brännström NA, Dieckmann U: *Evolutionary Dynamics of Altruism and Cheating Among Social Amoebas*. IIASA Interim Report IR-05-039 (2005). *Proceedings of the Royal Society London Series B* 272:1609-1616 (2005).
- No. 101 Meszéna G, Gyllenberg M, Pasztor L, Metz JAJ: *Competitive Exclusion and Limiting Similarity: A Unified Theory*. IIASA Interim Report IR-05-040 (2005).
- No. 102 Szabo P, Meszéna G: *Limiting Similarity Revisited*. IIASA Interim Report IR-05-050 (2005).
- No. 103 Krakauer DC, Sasaki A: *The Greater than Two-Fold Cost of Integration for Retroviruses*. IIASA Interim Report IR-05-069 (2005).
- No. 104 Metz JAJ: *Eight Personal Rules for Doing Science*. IIASA Interim Report IR-05-073 (2005). *Journal of Evolutionary Biology* 18:1178-1181 (2005).
- No. 105 Beltman JB, Metz JAJ: *Speciation: More Likely Through a Genetic or Through a Learned Habitat Preference?* IIASA Interim Report IR-05-072 (2005). *Proceedings of the Royal Society of London Series B* 272:1455-1463 (2005).
- No. 106 Durinx M, Metz JAJ: *Multi-type Branching Processes and Adaptive Dynamics of Structured Populations*. IIASA Interim Report IR-05-074 (2005). Haccou P, Jager P, Vatutin V (eds): *Branching Processes: Variation, Growth and Extinction of Populations*, Cambridge University Press, Cambridge, UK, pp. 266-278 (2005).
- No. 107 Brandt H, Sigmund K: *The Good, the Bad and the Discriminator - Errors in Direct and Indirect Reciprocity*. IIASA Interim Report IR-05-070 (2005). *Journal of Theoretical Biology* 239:183-194 (2006).
- No. 108 Brandt H, Hauert C, Sigmund K: *Punishing and Abstaining for Public Goods*. IIASA Interim Report IR-05-071 (2005). *Proceedings of the National Academy of Sciences of the United States of America* 103:495-497 (2006).
- No. 109 Ohtsuki A, Sasaki A: *Epidemiology and Disease-Control Under Gene-for-Gene Plant-Pathogen Interaction*. IIASA Interim Report IR-05-068 (2005).
- No. 110 Brandt H, Sigmund K: *Indirect Reciprocity, Image-Scoring, and Moral Hazard*. IIASA Interim Report IR-05-078 (2005). *Proceedings of the National Academy of Sciences of the United States of America* 102:2666-2670 (2005).
- No. 111 Nowak MA, Sigmund K: *Evolution of Indirect Reciprocity*. IIASA Interim Report IR-05-079 (2005). *Nature* 437:1292-1298 (2005).
- No. 112 Kamo M, Sasaki A: *Evolution Towards Multi-Year Periodicity in Epidemics*. IIASA Interim Report IR-05-080 (2005). *Ecology Letters* 8:378-385 (2005).

- No. 113 Dercole F, Ferrière R, Gragnani A, Rinaldi S: *Coevolution of Slow-fast Populations: Evolutionary Sliding, Evolutionary Pseudo-equilibria, and Complex Red Queen Dynamics*. IIASA Interim Report IR-06-006 (2006). *Proceedings of the Royal Society B-Biological Sciences* 273:983-990 (2006).
- No. 114 Dercole F: *Border Collision Bifurcations in the Evolution of Mutualistic Interactions*. IIASA Interim Report IR-05-083 (2005). *International Journal of Bifurcation and Chaos* 15:2179-2190 (2005).
- No. 115 Dieckmann U, Heino M, Parvinen K: *The Adaptive Dynamics of Function-Valued Traits*. IIASA Interim Report IR-06-036 (2006). *Journal of Theoretical Biology* 241:370-389 (2006).
- No. 116 Dieckmann U, Metz JAJ: *Surprising Evolutionary Predictions from Enhanced Ecological Realism*. IIASA Interim Report IR-06-037 (2006). *Theoretical Population Biology* 69:263-281 (2006).
- No. 117 Dieckmann U, Brännström NA, HilleRisLambers R, Ito H: *The Adaptive Dynamics of Community Structure*. IIASA Interim Report IR-06-038 (2006). Takeuchi Y, Iwasa Y, Sato K (eds): *Mathematics for Ecology and Environmental Sciences*, Springer, Berlin Heidelberg, pp. 145-177 (2007).
- No. 118 Gardmark A, Dieckmann U: *Disparate Maturation Adaptations to Size-dependent Mortality*. IIASA Interim Report IR-06-039 (2006). *Proceedings of the Royal Society London Series B* 273:2185-2192 (2006).
- No. 119 van Doorn G, Dieckmann U: *The Long-term Evolution of Multi-locus Traits Under Frequency-dependent Disruptive Selection*. IIASA Interim Report IR-06-041 (2006). *Evolution* 60:2226-2238 (2006).
- No. 120 Doebeli M, Blok HJ, Leimar O, Dieckmann U: *Multimodal Pattern Formation in Phenotype Distributions of Sexual Populations*. IIASA Interim Report IR-06-046 (2006). *Proceedings of the Royal Society London Series B* 274:347-357 (2007).
- No. 121 Dunlop ES, Shuter BJ, Dieckmann U: *The Demographic and Evolutionary Consequences of Selective Mortality: Predictions from an Eco-genetic Model of the Smallmouth Bass*. IIASA Interim Report IR-06-060 (2006).
- No. 122 Metz JAJ: *Fitness*. IIASA Interim Report IR-06-061 (2006).
- No. 123 Brandt H, Ohtsuki H, Iwasa Y, Sigmund K: *A Survey on Indirect Reciprocity*. IIASA Interim Report IR-06-065 (2006). Takeuchi Y, Iwasa Y, Sato K (eds): *Mathematics for Ecology and Environmental Sciences*, Springer, Berlin Heidelberg, pp. 21-51 (2007).
- No. 124 Dercole F, Loiacono D, Rinaldi S: *Synchronization in Ecological Networks: A Byproduct of Darwinian Evolution?* IIASA Interim Report IR-06-068 (2006).
- No. 125 Dercole F, Dieckmann U, Obersteiner M, Rinaldi S: *Adaptive Dynamics and Technological Change*. IIASA Interim Report IR-06-070 (2006).
- No. 126 Rueffler C, van Dooren TJM, Metz JAJ: *The Evolution of Resource Specialization Through Frequency-Dependent and Frequency-Independent Mechanisms*. IIASA Interim Report IR-06-073 (2006). *American Naturalist* 167:81-93 (2006).

Issues of the IIASA Studies in Adaptive Dynamics series can be obtained at [www.iiasa.ac.at/Research/EEP/Series.html](http://www.iiasa.ac.at/Research/EEP/Series.html) or by writing to [eep@iiasa.ac.at](mailto:eep@iiasa.ac.at).

## Contents

Abstract.....	1
1. Introduction .....	1
2. The Model .....	3
2.1. Population Dynamics.....	3
2.2. Trade-Offs .....	5
2.3. Evolutionary Dynamics .....	6
3. Feedback Environment .....	7
4. Results .....	8
4.1. Traits With Two-Dimensional Feedback Environment.....	8
4.2. Traits With One-Dimensional Feedback Environment .....	10
5. Discussion.....	11
Acknowledgments .....	14
A. Analytical Results.....	15
B. Dimension of the Feedback Environment and Optimization.....	16
References .....	19
Tables .....	23
Figures .....	24

# The Evolution of Resource Specialization Through Frequency-Dependent and Frequency-Independent Mechanisms

Claus Rueffler<sup>1</sup>, Tom J.M. Van Dooren<sup>2</sup>, and Johan A. J. Metz<sup>3,4</sup>

*Institute of Biology Leiden, Leiden University, Kaiserstraat 63, 2311 GP Leiden  
The Netherlands*

## ABSTRACT

Levins' fitness set approach has shaped the intuition of many evolutionary ecologists about resource specialization: if the set of possible phenotypes is convex, a generalist is favored, while either of the two specialists is predicted for concave phenotype sets. An important aspect of Levins' approach is that it explicitly excludes frequency-dependent selection. Frequency-dependence emerged in a series of models that studied the degree of character displacement of two consumers coexisting on two resources. Surprisingly, the evolutionary dynamics of a single consumer type under frequency-dependence has not been studied in detail yet. We analyze a model of one evolving consumer feeding on two resources and show that, depending on the trait considered to be subject to evolutionary change, selection is either frequency-independent or frequency-dependent. This difference is explained by the effects different foraging traits have on the consumer-resource interactions. If selection is frequency-dependent, then the population can become dimorphic through evolutionary branching at the trait value of the generalist. Those traits with frequency-independent selection, however, do indeed follow the predictions based on Levins' fitness set approach. This dichotomy in the evolutionary dynamics of traits involved in the same foraging process was not previously recognized.

*Subject headings:* evolutionary branching, frequency-dependent selection, generalist, specialist, trade-off

## 1. Introduction

In the presence of different resources, when should we expect a generalist phenotype and when specialized phenotypes? This question has a long history in evolutionary ecology (for reviews see

---

<sup>1</sup>corresponding author, present address: Department of Zoology, University of Toronto  
25 Harbord St., Toronto, Ontario, M5S 3G5, Canada, rueffler@zoo.utoronto.ca

<sup>2</sup>vdooren@rulsfb.leidenuniv.nl

<sup>3</sup>metz@rulsfb.leidenuniv.nl

<sup>4</sup>Adaptive Dynamics Network, International Institute of Applied Systems Analysis, A-2361 Laxenburg, Austria

Futuyma and Moreno (1988); Wilson and Yoshimura (1994)). One of the first answers to this question, which is still widely accepted, was given by Levins (1962) and is based on the shape of the fitness set, i.e., on the set of feasible phenotypes. A consumer feeding on two different resources should be equally well adapted to both of them, when the fitness set is convex (corresponding to a weak trade-off). In this case the fitness of a consumer summed over the two resources is higher for a generalist than for either of the two specialists. On the other hand, in case of a concave fitness set (corresponding to a strong trade-off) both specialists do better than a generalist and a consumer population is expected to specialize on either of the two resources.

A serious shortcoming of Levins approach is that it explicitly excludes the possibility of density-dependent and frequency-dependent selection. These features cause the fitness corresponding to a particular trait value to depend on that trait value as well as on the frequency and abundance of other trait values in the population. In this case the fitness landscape is not fixed anymore but changes with population composition (Rueffler et al. 2004). Density- and frequency-dependence arise in a natural way when resource consumption and renewal are modeled explicitly. In this context, frequency-dependence has to be understood in a generalized sense. It can arise from direct interactions between different phenotypes, but it can also be mediated by variables like resource densities that depend on the composition of the consumer population.

MacArthur and Levins (1964) were the first to introduce a model for the coevolution of two consumers feeding on two resources with explicit dynamics. Their model was analyzed by Lawlor and Maynard Smith (1976) using an ESS approach (Maynard Smith 1982), subsequently put into a population genetics framework by Lundberg and Stenseth (1985), and extended to more traits by Abrams (1986). The evolution of a single consumer was treated incompletely by these authors, maybe because it was considered trivial according to the predictions of Levins' earlier treatment. That this is far from true became apparent from a paper of Wilson and Turelli (1986). They used a similar setting to explore the conditions under which a population of homozygotes, specialized on one resource, can be invaded by a new allele that causes the heterozygote to be a generalist and the mutant homozygote to be more specialized for another resource. The unexpected result of Wilson and Turelli is that such an invasion is possible even with marginal underdominance, i.e., when the efficiency of the heterozygote summed over the two resources is lower than the same sum for each of the two homozygotes. For a single diallelic locus marginal underdominance is equivalent to a strong trade-off (Lundberg and Stenseth 1985; Wilson and Turelli 1986). Levins' approach therefore would predict evolution towards specialization. However, invasion of the heterozygote can be seen as evolution in the direction of the generalist. For a wide range of parameters the new allele does not go to fixation but coexists in a stable polymorphism. The result of Wilson and Turelli is of particular importance, because at population genetical equilibrium the heterozygote has the lowest fitness and any mechanism preventing the production of the heterozygote is selected for. Such convergence stable fitness minima were named evolutionary branching points by Metz et al. (1996a) and Geritz et al. (1998).

Wilson and Turelli (1986) investigate the dynamics of mutations with large phenotypic effect.

A mutant arising from a specialist for one resource immediately is a specialist for another resource and both types can therefore coexist in a protected dimorphism. Is it also possible to obtain two specialists by accumulation of mutations with small effects? In this paper we analyze the evolution of a single consumer foraging on two resources with explicit dynamics. Instead of formulating a population genetics model we assume clonal reproduction with rare mutations. This allows us to use the toolbox of adaptive dynamics (Metz et al. 1992, 1996*a*; Geritz et al. 1998; Diekmann 2004). The assumption of clonal reproduction may seem a limitation. However, in the limit of rare mutations with small phenotypic effect and random mating, the results carry over to monomorphic diploid populations and polygenic traits (Van Dooren in press; Metz in press). Additionally, this approach yields the same results as models derived from quantitative genetics (Iwasa et al. 1991; Taper and Case 1992; Abrams et al. 1993*a*).

Lawlor and Maynard Smith (1976) and Wilson and Turelli (1986) assume a linear (type I) functional response. In our model we assume that handling time is an important component of the foraging process and that therefore the resource uptake is governed by a saturating (type II) functional response. Due to this assumption our model involves more traits than those considered by earlier authors (but see Abrams (1986)) and the question arises whether different traits involved in the foraging process differ in their evolutionary dynamics. A major goal of our paper is therefore to compare the evolutionary dynamics of different traits.

We find that the evolutionary dynamics of different traits fall into two different categories. In one category the dynamics are driven by frequency-dependent selection while in the other case selection is frequency-independent. For traits under frequency-dependent selection the trait value of the generalist is approached for both weak and strong trade-offs. In the first case it is the end point of evolution while in the latter case it is a branching point where protected polymorphisms can emerge by small mutational steps. For traits experiencing frequency-independent selection the classical predictions of Levins apply, though we can not use his methodology in general. For such traits two different consumers can generically not coexist.

## 2. The Model

In this section we develop a population dynamical model for a consumer feeding on two nutritionally substitutable resources which are assumed to be homogeneously distributed in space. From this model, we will derive invasion fitness which we use to investigate the evolutionary dynamics. Table 1 gives an overview of all model parameters.

### 2.1. Population Dynamics

The population dynamics of the consumer and the two resources are similar to those described by Wilson and Turelli (1986). The consumer is an annual organism with its population census after

juvenile mortality. Consumer densities are assumed to be constant within the foraging season. The dynamics of the resources occurs on a much faster time scale and are followed in continuous time within a year. Since the consumer density does not change on this time scale, resource densities reach an within-year equilibrium. We will first introduce the dynamics of the consumer as a function of the equilibrium densities of the resources reached within a year. In a second step we will derive the resource dynamics within a year and their equilibrium (c.f. Geritz and Kisdi 2004).

The recurrence equation for the consumer is given by

$$N_{t+1} = (\alpha_1 C_1 + \alpha_2 C_2) N_t, \quad (1)$$

where the functional response  $C_i$  describes the amount of resource of type  $i$  consumed as a function of resource density. The constant  $\alpha_i$  is the conversion efficiency of consumed resource into offspring. Thus, a linear numerical response is assumed. Prey consumption is modeled by means of a two-species version of Holling’s disk equation, which gives rise to a saturating (type II) functional response  $C_i$  for each resource  $i$  (Holling 1959):

$$C_i = \frac{e_i \hat{R}_{it} p_i f_i}{1 + e_1 \hat{R}_{1t} p_1 (t_{p1} + f_1 t_{m1}) + e_2 \hat{R}_{2t} p_2 (t_{p2} + f_2 t_{m2})} \quad \text{for } i \in \{1, 2\}. \quad (2)$$

We use a rather detailed version of Holling’s equation as described in Case (2000). In many biological systems not all elements will be of importance. Such a detailed model can be adapted by simplification to systems where only a subset of parameters is relevant. The number of encountered prey per time step is the product of search efficiency  $e_i$  [area/time step] and equilibrium resource density  $\hat{R}_{it}$  [1/area] in a given year  $t$ . This introduces a time dependence into the functional responses, but we suppress the time index for clarity. The search efficiency  $e_i$  depends on the speed of the consumer while searching for prey, its search area and its ability to detect a prey item within the search area. Upon encounter the consumer decides to attack the prey with probability  $p_i$ . Throughout this paper we will assume that consumers behave opportunistically. Encountered prey is always attacked and therefore  $p_1 = 1 = p_2$ . Hence, we will omit the  $p$ ’s from now on. In a follow-up paper we will incorporate flexible diet choice. The capture probability  $f_i$  describes the probability that an attacked prey is actually subdued. The handling time consists of two components: the pursuit time  $t_{pi}$  and the manipulation time  $t_{mi}$ . The pursuit time is the time needed to get hold of a prey once it is detected. Caught prey might still need a treatment before it can be consumed, the duration of this treatment is the manipulation time. Note that the denominators of  $C_1$  and  $C_2$  are identical and can be factored out. This factor, to be called search probability,

$$s = 1 / \left( 1 + e_1 \hat{R}_{1t} (t_{p1} + f_1 t_{m1}) + e_2 \hat{R}_{2t} (t_{p2} + f_2 t_{m2}) \right) \quad (3)$$

is the fraction of a time step that is not spend handling prey but left for searching prey. We can therefore write Eq. (1) as

$$N_{t+1} = s \left( \alpha_1 e_1 \hat{R}_1 f_1 + \alpha_2 e_2 \hat{R}_2 f_2 \right) N_t. \quad (4)$$

If both the pursuit and the handling time are negligible, then  $s = 1$  and Eq. (4) describes the consumer’s population dynamics according to a linear (type I) functional response. If only the pursuit time is negligible, the rather complicated formulas for the functional response and search probability simplify to the more familiar formulas  $C_i = (e_i \hat{R}_{it}) / (1 + e_1 \hat{R}_{1t} t_{m1} + e_2 \hat{R}_{2t} t_{m2})$  and  $s = 1 / (1 + e_1 \hat{R}_{1t} t_{m1} + e_2 \hat{R}_{2t} t_{m2})$  (e.g. Abrams 1986, 1987; there  $f_i$  is incorporated into  $e_i$ ).

The within-year dynamics of the resources are given by

$$\frac{dR_{it}}{d\tau} = b_i - d_i R_{it} - C_i N_t \quad \text{for } i \in \{1, 2\}, \quad (5)$$

where  $\tau$  denotes time within a foraging season. We assume that the production of the resources is independent of their abundance. This might be the case when prey population size is more determined by migration (e.g., prey that is leaving a refuge at a constant rate), or for seeds or fruits produced by trees. The parameter  $b_i$  denotes the constant influx of resources and  $d_i$  its death rate. Since we assume consumer densities  $N_t$  to be constant within the foraging season, we can give the following implicit description of  $\hat{R}_{it}$ , the resource equilibria reached in year  $t$ , using Eq. (2) and (3):

$$\hat{R}_{it} = \frac{b_i}{d_i + s e_i f_i N_t} \quad \text{for } i \in \{1, 2\}. \quad (6)$$

In order to calculate the equilibria of the consumer and resource dynamics across years, we have to solve Eq. (1) and (5) simultaneously using Eq. (2). The lengthy analytical expressions are not show here.

## 2.2. Trade-Offs

When a consumer feeds on two resources, trade-offs will occur almost inevitably. We will refer to the boundary of the set of feasible phenotypes as the trade-off curve (see Fig. 1). Beyond the trade-off curve either no genetic variation occurs or no viable offspring can be produced. Mutations that lead to an increase in efficiency for both resources are certainly favored by selection and cause the population’s distribution of trait values to shift closer to the trade-off curve. Once the trade-off curve is reached, a mutation enhancing the consumer’s efficiency for resource one will decrease its efficiency for resource two. From then on the population’s distribution of trait values will stay close to the trade-off curve relative to the size of the mutational steps. We idealize this with the assumption that, after approaching it, the evolutionary dynamics proceeds along the trade-off curve. We define the trade-off curve as a function  $x_2(x_1)$  in the  $(x_1, x_2)$ -space where  $x$  represents any of the traits we consider evolvable (Tab. 2). To simplify the analysis we parameterize the trade-off curve in one parameter  $\theta$ , called specialization coefficient, which varies continuously between zero and one. Each  $\theta$  determines a pair of trait values  $\mathbf{x} = (x_1, x_2)$  lying on the trade-off curve in such a way that  $\theta = 0$  corresponds to a specialist for resource one while  $\theta = 1$  corresponds to a specialist for resource two (Fig. 1).

We consider five different trade-offs (listed in Table 2): (1) between the capture probability  $f_1$  and  $f_2$ , (2) between the search efficiencies  $e_1$  and  $e_2$ , (3) between the manipulation times  $t_{m1}$  and  $t_{m2}$ , (4) between the pursuit times  $t_{p1}$  and  $t_{p2}$ , and (5) between conversion efficiencies  $\alpha_1$  and  $\alpha_2$ . Specialization for a certain resource  $i$  corresponds to an increase in  $\alpha_i C_i$  (see Eq. 1). This is achieved when either  $t_{pi}$  or  $t_{mi}$  are decreasing or when  $f_i$ ,  $e_i$  or  $\alpha_i$  are increasing. Therefore we have to parameterize the trade-off curve in the opposite direction for  $t_{mi}$  and  $t_{pi}$  compared to  $f_i$ ,  $e_i$  and  $\alpha_i$  (see Fig. 1).

The curvature of the trade-off curve is determined by a parameter  $z$  in such a way that  $z > 1$  gives rise to a convex phenotype set (bounded by weak trade-off) while  $z < 1$  gives rise to a concave phenotype set (bounded by a strong trade-off) (Fig. 1). For numerical calculations we use one of the following parameterizations resulting in the trade-off curves of Fig. (1): for  $\mathbf{x} \in \{\boldsymbol{\alpha}, \mathbf{e}, \mathbf{f}\}$  we use  $\mathbf{x}(\theta) = (x_{1\max}(1 - \theta)^{\frac{1}{z}}, x_{2\max}\theta^{\frac{1}{z}})$  while for  $\mathbf{x} \in \{\mathbf{t}_p, \mathbf{t}_m\}$  we use  $\mathbf{x}(\theta) = (x_{1\max} - x_{1\min}(1 - \theta)^{\frac{1}{z}}, x_{2\max} - x_{2\min}\theta^{\frac{1}{z}})$ , where  $x_{1\min}, x_{1\max}, x_{2\min}, x_{2\max}$  are positive constants. Throughout the paper we use both vectors  $\mathbf{x} = (x_1, x_2)$  and specialization coefficients  $\theta$ , to characterize a pair of trait values lying on the trade-off curve.

### 2.3. Evolutionary Dynamics

A mutant differs from the resident in its position on the trade-off curve. A mutant phenotype is indicated by  $\theta'$ , giving rise to  $\mathbf{x}' = (x'_1, x'_2)$ . We assume that mutations are rare and of small effect. Because of the first assumption the ecological and evolutionary time scales are separated: a population has reached its ecological equilibrium before a new mutant arises. The fate of a mutant is determined by its invasion fitness, i.e., its per capita growth rate when it is still rare in a population dominated by a resident. For  $\mathbf{x} \in \{\mathbf{f}, \mathbf{e}, \mathbf{t}_m, \mathbf{t}_p\}$  invasion fitness is given by

$$w(\theta', \theta) = \alpha_1 C_1(\theta', \hat{R}_1(\theta), \hat{R}_2(\theta)) + \alpha_2 C_2(\theta', \hat{R}_1(\theta), \hat{R}_2(\theta)). \quad (7)$$

If conversion efficiency  $\boldsymbol{\alpha}$  is evolving, the  $\alpha_i$ 's are a function of  $\theta'$  and not the functional responses  $C_i$ . Initially the mutant has no influence on the two resource levels. Therefore the resource levels are a function of the resident's trait value  $\theta$  only. By  $\hat{R}_i(\theta)$ , we denote resource equilibria across years set by a consumer with trait value  $\theta$  and equilibrium population  $\hat{N}(\theta)$  (cf Eq. 6). Mutants with  $w(\theta', \theta) > 1$  have a positive probability of invasion while mutants with  $w(\theta', \theta) < 1$  are doomed to extinction. By definition, for any resident at population dynamical equilibrium  $w(\theta, \theta) = 1$ .

The direction of evolutionary change is derived from the fitness gradient, i.e., the first derivative of the fitness function (Eq. 7) with respect to the mutant's trait (see e.g. Geritz et al. 1998). Trait values  $\theta^*$  where the fitness gradient equals zero are of special interest:

$$\left. \frac{\partial w(\theta', \theta^*)}{\partial \theta'} \right|_{\theta' = \theta^*} = 0. \quad (8)$$

Following Metz et al. (1996a) and Geritz et al. (1998) we call them evolutionarily singular points. Singular points  $\theta^*$  can be classified according to two independent properties: convergence stability

and invadability (Geritz et al. 1998; Rueffler et al. 2004). The first property determines whether a singular trait value is reachable from nearby (Eshel 1983; Christiansen 1991; Abrams et al. 1993b; Geritz et al. 1998) while the second property determines whether any consumer with a different trait value than  $\theta^*$  can increase in frequency when initially rare (Maynard Smith 1982). A singular trait value which is both convergence stable and uninvadable is called continuously stable strategy or CSS (Eshel and Motro 1981; Eshel 1983). It is a final stop of evolution. A convergence stable and invadable trait value is called evolutionary branching point (Metz et al. 1996a; Geritz et al. 1998). At these points selection becomes disruptive and favors increased genetic variation.

Note that traditional definitions of frequency-dependent selection have little discriminating power when applied to invasion fitness expressions as Eq. (7). In population genetics frequency-dependence is defined as the dependence of selection coefficients on allele frequencies. Invasion fitness does not consider this dependence since mutants are assumed to be rare and the frequency of the resident is always one. Lande’s (1976) definition of frequency-dependence, as a dependence of fitness on the population mean trait value, includes all cases of density-dependent selection where a mutant’s fitness depends on the equilibrium population size of the resident. In the following section we introduce the concept of the feedback environment and its dimensionality. This provides us with a tool to define frequency-dependence for density regulated populations as a condition allowing for rarity advantage and protected polymorphism.

### 3. Feedback Environment

Whether a certain mutation is beneficial or not depends on the trait value of the mutant and on the environment it experiences, which is set or influenced by the resident population. For example, if the probability of invasion of a mutant type is determined in direct contests with individuals of another common type, then those other individuals and their trait value can be viewed as the environment a mutant experiences. Fitness can be written as a function of the trait value of the mutant and of the resident:  $w(\theta', \theta)$ . In the model studied here, the interaction between individuals is not direct but indirect via competition for the same resources. In this case fitness is affected by the abundance of the resources (Eq. 7), which in turn are determined by the trait value of the resident type (Eq. 6). We refer to those components of the environment that mediate the interaction between individuals as feedback environment and collect them in a  $n$ -dimensional vector  $\mathbf{I}$  (Heino et al. 1997, 1998; Diekmann et al. 2003; Meszena et al. in revision). With a slight abuse of notation we can rewrite invasion fitness as a function of the mutant’s trait value and the feedback environment  $\mathbf{I}$  as it is determined by the trait value of the resident:  $w(\theta', \mathbf{I}(\theta))$ . The dimension  $n$  of the feedback environment indicates via how many different variables the interaction between resident and mutant is mediated. In the present case it seems intuitive to equate  $\mathbf{I}$  with the two-dimensional vector  $(\hat{R}_1, \hat{R}_2)$ . If, however, by some mechanism  $\hat{R}_1$  would always equal  $\hat{R}_2$ , then a scalar is sufficient to describe the feedback environment. The dimensionality of the feedback environment has important evolutionary consequences. Whenever the feedback environment can

be represented by a scalar, robust coexistence is impossible (Metz et al. 1996*b*; Meszena et al. in revision). If, additionally, invasion fitness  $w$  is a monotone function in  $\mathbf{I}$ , then the evolutionary dynamics can be analyzed by maximizing an optimization criterion (Metz et al. 1996*b*). We call selection in one-dimensional feedback environments frequency-independent. On the contrary, if two or more variables are needed to describe the feedback environment, i.e., if  $\mathbf{I}$  is a vector of dimension two or higher, fitness depends on the relative values of the interaction variables collected in  $\mathbf{I}$  and optimization is generally impossible. We call selection in two- or higher-dimensional feedback environments frequency-dependent (compare Heino et al. 1998). We note that our definition differs from the classical definition of frequency-dependence as used in population genetics. In the next paragraph we show how a two-dimensional feedback environment allows for a rarity advantage and coexistence in protected polymorphisms.

## 4. Results

One of our main results is that the dimension of the feedback environment  $\mathbf{I}$  depends on the trait that is considered to be evolvable. In order to illustrate the mechanism behind this result we derive it for the special (and easy) case that all traits that are not considered evolvable are symmetric. In Appendix B we prove the result for the general case without the symmetry assumption. Let us first assume that genetic variation occurs for  $\mathbf{t}_m$ ,  $\mathbf{t}_p$  and  $\boldsymbol{\alpha}$  and not for  $\mathbf{f}$  and  $\mathbf{e}$ . The symmetry assumption amounts to  $f_1 = f_2$ ,  $e_1 = e_2$ ,  $b_1 = b_2$  and  $d_1 = d_2$ . Given this symmetry, we immediately see from Eq. (6) that  $\hat{R}_1 = \hat{R}_2$ , independent of the amount of genetic variation and of the degree of asymmetry in the traits  $\mathbf{t}_m$ ,  $\mathbf{t}_p$  and  $\boldsymbol{\alpha}$ . The reason for this effect is that these traits influence both resource equilibria in the same way via the consumer density  $N$  and the search probability  $s$ . A population that is completely specialized on resource one in terms of these traits (i.e.,  $t_{m1} \ll t_{m2}$ ,  $t_{p1} \ll t_{p2}$ ,  $\alpha_1 \gg \alpha_2$ ) does not cause resource one to be more depleted than resource two. Let us now investigate the case where evolution occurs for  $\mathbf{f}$  or  $\mathbf{e}$  and not for the other variables. These traits do have a resource specific effect (see Eq. 6). If  $f_1 > f_2$  or  $e_1 > e_2$ , then  $\hat{R}_1$  will be lower than  $\hat{R}_2$  and vice versa (see Eq. 6). Hence, in this case we need two scalars in order to track changes in the resource equilibria while the consumer population evolves. We can now easily see how the dimension of the feedback environment affects the possibility for frequency-dependence. If specialization in the consumer makes the resource it preys upon more effectively less abundant, then a mutant that specializes on an underused resource will enjoy a rarity advantage. This mechanism clearly does not work in one-dimensional feedback environments where specialization in the consumer has no resource specific effects.

### 4.1. Traits With Two-Dimensional Feedback Environment

We start with the traits of this category because they directly correspond to the traits considered by Lawlor and Maynard Smith (1976), Lundberg and Stenseth (1985), Abrams (1986) and

Wilson and Turelli (1986). Only the evolution of capture probability  $\mathbf{f}$  is described in detail since the results for search efficiency  $\mathbf{e}$  are qualitatively identical.

Invasion fitness is given by Eq. (7) with

$$C_i = \frac{e_i \hat{R}_i f'_i}{1 + e_1 \hat{R}_1 (t_{p1} + f'_1 t_{m1}) + e_2 \hat{R}_2 (t_{p2} + f'_2 t_{m2})}. \quad (9)$$

Given some symmetry constraints we can prove that the evolutionary dynamics of capture probability  $\mathbf{f}$  and search efficiency  $\mathbf{e}$  are driven by the effect of mutations on the linear terms of the functional response (see Appendix A). This result is confirmed numerically for cases where the symmetry constraints are not met. It is therefore sufficient to study a fitness function derived from a linear functional response:

$$w(\mathbf{f}', \mathbf{f}) = \alpha_1 e_1 \hat{R}_1 f'_1 + \alpha_2 e_2 \hat{R}_2 f'_2, \quad (10)$$

which is equivalent to the ones studied by the authors referred to at the beginning of this section.

Fig. 2a shows the evolutionary dynamics as a function of the parameter  $z$ , the strength of the trade-off curve. The figure is based on numerical calculations where all parameters besides  $\mathbf{f}$  are assumed to be equal for both resources. In Appendix A we show that the qualitative pattern can be derived partly analytically. Asymmetric parameter values do not change the results qualitatively but merely lead to asymmetries in Fig. (2). Here we give a verbal explanation of the results. When the trade-off is weak ( $z > 1$ ), the generalist's trait is a global attractor of the evolutionary dynamics and once it is reached it cannot be invaded by any other mutant. Hence, it is a unique CSS. The mechanism behind this dynamics is as follows. Mutants that are more similar to the generalist than the resident in terms of their capture probabilities are able to invade. Such mutants benefit in two ways. (i) Because of the weak trade-off mutants closer to the generalist have a higher overall capture probability than the resident. By overall capture probability we mean the sum of the resource specific capture probabilities weighted by the traits assumed to be constant, hence:  $\alpha_1 e_1 f'_1 + \alpha_2 e_2 f'_2 > \alpha_1 e_1 f_1 + \alpha_2 e_2 f_2$ . When  $\alpha_i$  and  $e_i$  are equal for both resources this sum has a maximum at the generalist's trait value with  $f_1 = f_2$ . (ii) As explained in the preceding section, a resident that is specialized in terms of its capture probability on one resource causes that resource to be relatively rare compared to the resource it is not specialized on. Mutants that are more similar to the generalist benefit in such a situation since they make better use of the less exploited resource while decreasing their success on the more exploited resource. We want to emphasize that it is this second feature which introduces frequency-dependence into the fitness of the mutant. Once the generalist is predominant, it cannot be invaded anymore since any possible mutant would have a lower overall capture probability while no rarity advantage exists since both resource are equally abundant.

When the trade-off is strong ( $z < 1$ ), the trait value of the generalist is still convergence stable, however, in contrast with the preceding scenario, it loses its uninvadability when predominant and

therefore turns into a branching point. With a strong trade-off, a mutant that is more similar to the generalist suffers a loss in its overall capture probability since this sum now has a minimum at the trait value of the generalist. This imposes a selection component towards further specialization. However, overall, the generalist remains attracting as long as the gain from becoming more specialized on the underused resource more than outweighs the loss from a decrease in overall capture probability. Once the generalist is predominant any mutant can invade. In this situation a mutant that is deviating from the generalist benefits from an increase in its overall capture probability on resources that are equally abundant. However, when the mutant increases in frequency, the resource that it captures more efficiently becomes less common. This gives a benefit to the generalist again. The mutant does not go to fixation and the two types can coexist in a protected polymorphism. Subsequently, only mutants that are more specialized than either of the two residents are able to invade. This is a phase of character displacement driven by resource competition. In case of haploid organisms, the dimorphic evolution results in a pair of two resident types, each completely specialized on one resource. This can be derived from the geometrical argument presented in Rueffler et al. (2004) and is also described by Lawlor and Maynard Smith (1976).

The basin of attraction of the generalist, that is, the range of initial trait values from which populations converge towards the generalist’s trait over evolutionary time, decreases with increasing strength of the trade-off (i.e., with lower values of  $z$ ). For very strong trade-offs only populations that already perform reasonably well on both resources, will evolve towards the generalist (Fig. 2a). When the initial population is relatively specialized on one resource, selection will drive it towards further specialization. In this situation the gain of further specialization due to an increase in overall capture probability more than compensates for the detrimental effect of improving on an already over-exploited resource. Though in this case a polymorphism cannot emerge by small mutational steps at a branching point, coexistence is possible for types that are sufficiently different from each other. This can for instance be the case when immigrants specialized for one resource enter a population of specialists for the other resource. For very strong trade-offs ( $z \ll 1$ ) the generalist may even turn into an evolutionary repeller. However, for parameters we checked, the repeller lies in a parameter region where the population is not viable (see Fig. 2a).

Invasion fitness for search efficiency  $e$  is given by Eq. (7) and (9) where the  $e_i$ ’s are labeled by a dash instead of the  $f_i$ ’s. Obviously the structure of the fitness function does not change and therefore it results in the same bifurcation diagram (Fig. 2a).

## 4.2. Traits With One-Dimensional Feedback Environment

As in the previous section, we will describe the dynamics of one trait, manipulation time  $t_m$ , in detail. The other two traits belonging to the same category, pursuit time  $t_p$  and conversion efficiency  $\alpha$ , show qualitatively identical evolutionary dynamics.

In contrast to the traits in the previous section, a mutation in  $t_m$  only affects the denominator

of the functional response  $C_i$  and hence search probability  $s$  (see Eq. 3). Invasion fitness is given by

$$w(\mathbf{t}'_m, \mathbf{t}_m) = s'(\alpha_1 e_1 \hat{R}_1(\mathbf{t}_m) f_1 + \alpha_2 e_1 \hat{R}_2(\mathbf{t}_m) f_2) \quad (11)$$

with

$$s' = 1 / \left( 1 + e_1 \hat{R}_1(t_{p1} + f_1 t'_{m1}) + e_2 \hat{R}_2(t_{p2} + f_2 t'_{m2}) \right). \quad (12)$$

The evolutionary dynamics for manipulation time are shown in Fig. 2b. For weak trade-offs ( $z > 1$ ) the generalist with  $t_{m1} = t_{m2}$  is again a unique CSS while for strong trade-offs ( $z < 1$ ) the generalist turns into an evolutionary repeller. In this case the degree of specialization of the initial population decides whether selection leads to complete specialization on resource one or resource two. The mechanism behind this is simple. Any mutant with  $s' > s$  (Eq. 3) has  $w(\mathbf{t}'_m, \mathbf{t}_m) > 1$  and is therefore able to invade. This is equivalent to demanding  $e_1 \hat{R}_1 f_1 t'_{m1} + e_2 \hat{R}_2 f_2 t'_{m2} < e_1 \hat{R}_1 f_1 t_{m1} + e_2 \hat{R}_2 f_2 t_{m2}$ . Given that the two resource equilibria  $\hat{R}_1$  and  $\hat{R}_2$  are equal (as it is the case when all fixed parameters are symmetric), evolution minimizes  $e_1 f_1 t'_{m1} + e_2 f_2 t'_{m2}$ . For weak trade-offs, the generalist minimizes this weighted sum while for strong trade-offs the two specialists correspond to minima. A mutant can enjoy an advantage when it has increased its search probability  $s$  and therefore can live on fewer resources than the resident but not because it is rare. At the bifurcation point ( $z = 1$ ) the fitness landscape is completely flat and all traits are selectively neutral, indicated by a vertical line in Fig. 2b. However, this degeneracy only occurs when symmetric parameter values are assumed. The fact that at the bifurcation of a CSS into a repeller two independent properties, convergence stability and invadability, change simultaneously, is due to the absence of frequency-dependence.

Though these results are in accordance with the predictions based on Levins' fitness set approach, we can in general not use his methodology to achieve them. Only under the assumption of symmetry in certain parameters we are able to derive an optimization principle (see Appendix B), which is equivalent to what Levins called the adaptive function.

The fitness function for pursuit time is structurally identical to Eq. (11) and Eq. (12) and therefore shows a qualitatively identical bifurcation pattern (Fig. 2b). When mutations affect  $\alpha$  invasion fitness is given by  $w(\alpha', \alpha) = \alpha'_1 C_1 + \alpha'_2 C_2$  with  $C_i$  as in Eq. (2). Although the fitness function is structurally different, it results in the same bifurcation pattern as in the preceding cases and we are again able to derive an optimization principle when certain parameters are symmetric (see Appendix B).

## 5. Discussion

In this paper we analyzed a model for the evolutionary dynamics of five different foraging related traits of a consumer feeding on two resources. Similar models have been used extensively in the study of character displacement between two competing consumer types (MacArthur and Levins 1964; Lawlor and Maynard Smith 1976; Lundberg and Stenseth 1985; Abrams 1986). Here

we concentrate on the evolution of a consumer population consisting of only one type. Our main results are that the evolutionary dynamics of such a monomorphic population can differ strongly for different traits (Fig. 2, Tab. 2) and that for some traits polymorphisms can emerge through a series of mutations of small effect while for others coexistence of different types is generically impossible.

At a first glance the different traits considered to be subject to evolutionary change seem to be mechanistically similar and the discovered dichotomy in the evolutionary dynamics was to our knowledge not recognized previously. However, there seems to be a certain awareness at least since the early 70th that coexistence cannot be mediated by just any trait. For instance, from MacArthur’s competition coefficient (e.g. MacArthur 1972; Schoener 1974), one can infer that for a model with linear functional response, coexistence is only possible if consumers differ in their search efficiencies and that differences in conversion efficiency are not sufficient. Vincent et al. (1996) found similar results for a model with type II functional response: types that only differ in either handling time or conversion efficiency cannot coexist on an ecological time scale while differences in search efficiency do suffice to mediate coexistence. Whether a trait can mediate coexistence or not reflects whether it causes interactions to be frequency-dependent or not. It is this perspective that allows us to gain insight into the mechanism of how different traits affect coexistence.

If selection is frequency-dependent, i.e., in case of capture probability  $f$  and search efficiency  $e$ , polymorphisms can emerge from a monomorphic population at an evolutionary branching point. This happens for moderately strong trade-offs. In this case a mutant that is approaching the generalist’s trait value is able to invade. Such a mutant gains from improving on the underused resource and this directional force towards the generalist is stronger than the disruptive force stemming from the strong trade-off. This is the mechanism discovered by Wilson and Turelli (1986) in the case of marginal underdominance, where a rare heterozygote corresponding a generalist invades a resident homozygote corresponding to a specialist. We showed that evolution towards the generalist can occur through a series of small mutational steps. Convergence towards the generalist with subsequent disruptive selection was not recognized by Lawlor and Maynard Smith (1976) and Abrams (1986), because strong trade-offs were a priori identified with immediate specialization. Lundberg and Stenseth (1985) formulated a population genetics version of the model of Lawlor and Maynard Smith where they explicitly considered the evolution of a single consumer. They also postulated immediate specialization in connection with strong trade-offs because they overlooked the variable character of the fitness landscape with changing gene frequencies. Following the classical tradition, they envisage evolution on a fitness landscape that corresponds to equilibrium gene frequencies and not corresponding to the present gene frequency (in the adaptive function (their Eq. 14) the resource levels corresponding to the gene frequency of the resident population have to be inserted instead of the resource levels corresponding to the equilibrium gene frequency). Diekmann et al. (2005) investigate a very similar model where the trade-off is in the uptake coefficients for two different resources. Their model assumes clonal reproduction as well, but leaves out the assumption that mutations are necessarily rare, with a narrow, continuous distribution of trait

values as a result. They also find that evolutionary branching occurs for strong trade-offs.

Evolutionary change in the other three traits, pursuit time  $t_p$ , manipulation time  $t_m$  and conversion efficiency of resources into offspring  $\alpha$ , is not subject to frequency-dependent selection. In these cases an optimal consumer exists that is favored by selection over all other possible types and generically only one consumer can exist on two different resources. If the trade-off is weak, the optimal trait value corresponds to a generalist and if the trade-off is strong, the optimal trait value corresponds to either of the two specialists with the outcome depending on initial conditions. Though these predictions are in accordance with those derived by Levins (1962), we want to emphasize that we could generally not fall back on Levins' approach. Only under some symmetry assumptions did we succeed to derive optimization principles which are essential elements of Levins' methodology.

Our results show that two aspects are decisive for the evolutionary dynamics of foraging traits: the shape of the trade-off and the dimension of the feedback environment. If one wants to relate our results to real organisms these features have to be studied. Considerable effort has been made with respect to the shape of the trade-off (Benkman 1993; Schluter 1993, 1995; Robinson et al. 2000) though it is only recently that more powerful methods are developed to infer the shape from empirical data (Hatfield and Schluter 1999; O'Hara Hines et al. 2004). The tendency in the cited studies is that trade-offs are indeed rather strong than weak, which fulfils a necessary requirement for diversification in our theory.

Two extensions of the presented model seem obvious. Firstly, foraging related traits without doubt do not evolve separately as envisaged in our model but simultaneously. Simultaneous evolution of several traits will alter the results at least quantitatively. For instance, a decrease in handling time for a certain resource will be accompanied by an increase in search efficiency and capture probability. We can therefore expect that the feedback environment generally is not one-dimensional. Secondly, like all our predecessors, we assumed that the consumer does not choose between different prey. Upon encounter the consumer always attacks both types of prey, regardless of its degree of specialization for one prey or the other. Abrams (1986) remarks that strongly asymmetric handling times are expected to cause exclusion of one resource from the diet with specialization for the remaining one as a consequence. Hence, strong interactions result between the evolutionary dynamics of morphological and physiological traits on the one hand and behavioral traits such as diet choice that can change on an ecological times scale on the other hand. These interactions will be the subject of a follow-up paper.

To summarize, our results show that depending on the trait that is considered to evolve, selection is either frequency-dependent or frequency-independent in the same ecological system. For these two cases the evolutionary dynamics of specialization can be in opposite directions. While a monomorphic population subject to frequency-dependent selection and with a strong trade-off evolves towards the generalist's trait value, the same population will evolve towards a specialist for a trait not subject to frequency-dependent selection. Under frequency-dependent selection,

a monomorphic population can split at an evolutionary branching point. If the genetic system and/or mating system does not favor the production of intermediate phenotypes, or if a mechanism evolves that disfavors the production of such types, subsequent evolution will lead to a dimorphic population exclusively consisting of two specialists.

### **Acknowledgments**

CR was supported by the Research Council for Earth and Life Sciences (ALW) with financial aid from the Netherlands Organization of Scientific Research (NWO), TVD was supported by a Dutch NWO-VENI grant. CR thanks Troy Day for pointing out the paper of Wilson and Turelli, Pim Edelaar for encouraging a more mechanistic modeling approach and Peter Abrams, Joost Beltman, Franjo Weissing and Michael Whitlock for critical comments on the manuscript.

### A. Analytical Results

Given the symmetries  $\alpha_1 = \alpha = \alpha_2$  and  $t_{m1} = t_m = t_{m2}$  we can prove that the evolutionary dynamics of capture probability  $\mathbf{f}$  is driven by the effects of mutations on the linear terms of the functional response. To show this, we take the derivative of Eq. (7) with respect to  $f'_1$ :

$$\frac{\partial w(\mathbf{f}', \mathbf{f})}{\partial f'_1} = \frac{\alpha \left( e_1 \hat{R}_1 + e_2 \hat{R}_2 \frac{df'_2}{df'_1} \right) (1 + e_1 \hat{R}_1 t_{p1} + e_2 \hat{R}_2 t_{p2})}{\left( 1 + e_1 \hat{R}_1 (t_{p1} + f_1 t_m) + e_2 \hat{R}_2 (t_{p2} + f_2 t_m) \right)^2} \quad (\text{A1})$$

The sign of this derivative is solely determined by the first term in brackets in the numerator. This is exactly the derivative of the fitness function with linear functional response. Numerical explorations show that the qualitative behavior of the model, i.e., the number and type of singular points, does not change if we break the above symmetry constraints. A similar argument holds for search efficiency  $\mathbf{e}$ .

The bifurcations shown in Fig. 2 are calculated numerically. Here we derive analytical results to underpin the robustness of the numerical results. From a geometrical argument presented in Rueffler et al. (2004), we can derive that weak trade-offs only allow for uninventable singular points (CSSs and Garden of Eden points) while strong trade-offs only allow for invadable singular points (repellers and branching points). The prerequisite for this conclusion is that those trait combinations  $(x, y)$ , that are initially selectively neutral with respect to a given resident trait value lie on a straight line in the  $(f_1, f_2)$ -plane. We call such lines invasion boundaries. They are implicitly given by the fitness function (Eq. 7) set equal to one, i.e.:

$$1 = \frac{\alpha e_1 \hat{R}_1 x + \alpha e_2 \hat{R}_2 y}{1 + e_1 \hat{R}_1 (t_{p1} + x t_m) + e_2 \hat{R}_2 (t_{p2} + y t_m)}, \quad (\text{A2})$$

which after rearranging becomes a linear equation in  $x$  with a negative slope:

$$y = \frac{1 + e_1 \hat{R}_1 t_{p1} + e_2 \hat{R}_2 t_{p2}}{e_2 \hat{R}_2 (\alpha - t_m)} - x \frac{e_1 \hat{R}_1}{e_2 \hat{R}_2}. \quad (\text{A3})$$

It is easy to show that  $(\alpha - t_m) > 0$  is a necessary prerequisite for a viable population. Hence, Eq. (A3) has a positive intercept.

Setting Eq. (A1) equal to zero gives us a characterization of singular points  $\mathbf{f}^*$ :

$$\frac{df_2}{df_1} = - \frac{e_1 \hat{R}_1}{e_2 \hat{R}_2}. \quad (\text{A4})$$

Under the additional symmetry constraints that  $x_1 = x = x_2$  for  $\mathbf{x} \in \{\mathbf{e}, \mathbf{b}, \mathbf{d}\}$  and the assumption that  $f_1 = f_2$  implies  $df_2/df_1 = -1$ , this is fulfilled for  $(f_1^*, f_2^*)$  with  $f_1^* = f_2^*$ . In a next step we show that such a intermediate singular point is a unique CSS for weak trade-offs. From  $(f_1 \leq f_1^*) \Rightarrow (df_2/df_1 \geq -1)$  and  $(f_1 \leq f_1^*) \Rightarrow (\hat{R}_1 \geq \hat{R}_2)$  follows  $(f_1 \leq f_1^*) \Rightarrow (\hat{R}_1 + df_2/df_1 \hat{R}_2 \geq 0)$ .

Hence, the fitness gradient is positive when  $f_1 < f_1^*$  and negative when  $f_1 > f_1^*$ . This means that  $\mathbf{f}^*$  is a globally attracting and unique CSS.

As mentioned above the CSS loses its uninvadability when the trade-off becomes strong. Generically, a CSS becoming invadable turns into a branching point (Metz et al. 1996a; Geritz et al. 1998; Rueffler et al. 2004). For our trade-off parameterization it is easy to show that the boundaries of the trait space are attracting in case of strong trade-offs. Consequently, a repeller has to exist between the boundaries and the intermediate branching point. Numerical calculations reveal a pitchfork bifurcation. It follows from standard bifurcation theory that a pitchfork bifurcation unfolds into a fold bifurcation when asymmetries in the parameters are introduced.

## B. Dimension of the Feedback Environment and Optimization

Fitness is a function both of a specific phenotype and its environment. In order to make this point operational the term “environment” has to be defined formally. The feedback environment  $\mathbf{I}$  is a  $n$ -dimensional vector that contains information on those aspects of the environment that are affected by a focal population and simultaneously feed back by determining the current selection pressure that is acting on the population. Due to this eco-evolutionary feedback loop, the environment in a sense co-evolves with the traits in the population. On an ecological time scale, the defining property of the feedback environment is that individuals become independent of each other when the feedback is given as a function of time (Diekmann et al. 2003; Meszéna et al. in revision). On an evolutionary time scale  $\mathbf{I}$  depends on the types present in the population and on a population dynamical attractor of that population.  $\mathbf{I}$  then contains the minimum number of scalars that is needed to make the growth rate of a focal individual independent of the resident population. The dimension of  $\mathbf{I}$  indicates via how many different environmental components the interaction between individuals is mediated and  $\dim(\mathbf{I})$  constitutes an upper limit for the number of potentially coexisting types (e.g. Meszéna et al. in revision).

In the present model the interactions between individuals are mediated by the densities of the two resources  $\hat{R}_1$  and  $\hat{R}_2$ . The upper limit for  $\mathbf{I}$  and for the number of possibly coexisting types is therefore two. Here we show that the dimension of  $\mathbf{I}$  reduces to one when individuals are only allowed to differ in  $\mathbf{t}_p, \mathbf{t}_m$  and  $\alpha$ , as it is the case when we consider evolution in these traits in populations monomorphic in  $e$  and  $\mathbf{f}$ . To see this, let us consider a mutant  $\theta'$  with manipulation time  $\mathbf{t}'_m$  that is invading a resident community consisting of the two phenotypes  $\theta^1$  and  $\theta^2$  (the maximum number that can possibly coexist) with corresponding manipulation times  $\mathbf{t}^1_m = (t_{m1}^1, t_{m2}^1)$  and  $\mathbf{t}^2_m = (t_{m1}^2, t_{m2}^2)$ , respectively, resulting in the search probabilities  $s^1$  and  $s^2$  and equilibrium consumer densities  $\hat{N}^1$  and  $\hat{N}^2$ . Superscripts refer to consumer types while subscripts refer to resources specific traits. We can derive  $\mathbf{I}$  from the fitness function of the mutant:  $w(\theta', \mathbf{I}(\theta^1, \theta^2))$ . This function is given by Eq. (7) with the difference that the resource equilibria

are determined by the two resident phenotypes simultaneously (cf Eq. 6):

$$\hat{R}_i(\theta^1, \theta^2) = \frac{b_i}{d_i + e_i f_i (s^1 \hat{N}^1 + s^2 \hat{N}^2)} \quad \text{for } i \in \{1, 2\}. \quad (\text{B1})$$

Note that the different traits  $t_{mj}^i$  influence the resource equilibria only through the search probabilities  $s^i$  and the consumer densities  $\hat{N}^i$ . From Eq. (B1) we can see that it is sufficient to consider  $\sum_{i=1}^2 s^i \hat{N}^i$  as a function of time in order to achieve independence between the growth rate of an individual and the resident community. This is a scalar and hence  $\mathbf{I}$  is one-dimensional. The same holds true if the population is polymorphic in either  $\mathbf{t}_p$  or  $\boldsymbol{\alpha}$ . Note, that populations that are polymorphic in all three of these traits simultaneously still correspond to a one-dimensional  $\mathbf{I}$ .

If the resident population is polymorphic in the capture probabilities, say,  $\mathbf{f}^1 = (f_1^1, f_2^1)$  and  $\mathbf{f}^2 = (f_1^2, f_2^2)$ , then the resource equilibria are given by:

$$\begin{aligned} \hat{R}_1(\theta^1, \theta^2) &= \frac{b_1}{d_1 + e_1 (f_1^1 s^1 \hat{N}^1 + f_2^1 s^2 \hat{N}^2)} \\ \hat{R}_2(\theta^1, \theta^2) &= \frac{b_2}{d_2 + e_2 (f_1^2 s^1 \hat{N}^1 + f_2^2 s^2 \hat{N}^2)}. \end{aligned}$$

Since the capture probabilities do have a resource specific impact, we need to specify two numbers in order to achieve independence between individuals:  $\mathbf{I} = (\sum_{i=1}^2 f_1^i s^i \hat{N}^i, \sum_{i=1}^2 f_2^i s^i \hat{N}^i)$ . Hence, the  $\mathbf{I}$  vector does not reduce to a scalar but remains two-dimensional. The same result holds for search efficiency  $\mathbf{e}$ .

A consequence of a one-dimensional  $\mathbf{I}$ -vector is that coexistence of two types is impossible. This can be seen from the following argument (see also Meszéna et al. (in revision)). At population dynamical equilibrium of two species with trait values  $\theta^1$  and  $\theta^2$

$$1 = w(\theta^i, \mathbf{I}(\theta^1, \theta^2)) \quad \text{for } i \in 1, 2. \quad (\text{B2})$$

For an arbitrary combination of two trait values, solving the system of equations (B2) for a one-dimensional  $\mathbf{I}$  amounts to solving a system of two equations in one unknown. Hence, no generic solution exists. By contrast, in case of two dimensions, Eq. (B2) is a system of two equations in two unknowns, which can have a robust solution.

A one-dimensional feedback loop is a necessary prerequisite for the existence of an optimization criterion (Metz et al. 1996b). However, we are able to find explicit optimization criteria only when some symmetry constraints are met. When it is possible to collect those parameters of the fitness function that are determined by resident and by the mutant in different factors, we can obtain an optimization principle. Let us consider the case of manipulation time  $\mathbf{t}_m$ . A mutation only affects search probability  $s$ . If  $e_1 = e = e_2$ ,  $f_1 = f = f_2$  and  $d_1 = d = d_2$ , we can rewrite Eq. (12) after some rearrangement as

$$s' = \frac{1}{1 + \frac{e}{d + s e f \hat{N}(\mathbf{t}_m)} [f(b_1 t'_{m1} + b_2 t'_{m2}) + (b_1 t_{p1} + b_2 t_{p2})]}. \quad (\text{B3})$$

Any mutant with  $b_1 t'_{m1} + b_2 t'_{m2}$  smaller than the resident, is able to invade and a value of  $\theta$  that minimizes this sum cannot be invaded by any mutant and therefore corresponds to a CSS. Note, that in deriving the optimization criterion in this way we do not need symmetry in  $\mathbf{b}$  (compare Section 4.2). From the same equation we see that in the case of pursuit time  $\mathbf{t}_p$  we have to minimize  $b_1 t'_{p1} + b_2 t'_{p2}$  in order to find CSS's.

With the same symmetry constraint we can rewrite the invasion fitness for  $\boldsymbol{\alpha}$  as

$$w(\boldsymbol{\alpha}', \boldsymbol{\alpha}) = s \frac{ef}{d + sef\hat{N}(\boldsymbol{\alpha})} (\alpha'_1 b_1 + \alpha'_2 b_2). \quad (\text{B4})$$

It follows that  $\alpha_1 b_1 + \alpha_2 b_2$  acts as an optimization criterion.

## REFERENCES

- Abrams, P. A. 1986. Character displacement and niche shift analyzed using consumer-resource models of competition. *Theoretical Population Biology* 29:107–160.
- Abrams, P. A. 1987. The functional response of adaptive consumers of two resources. *Theoretical Population Biology* 32:262–288.
- Abrams, P. A., Harada, Y., and Matsuda, H. 1993*a*. On the relationship between quantitative genetic and ESS models. *Evolution* 47:982–985.
- Abrams, P. A., Matsuda, H., and Harada, Y. 1993*b*. Evolutionary unstable fitness maxima and stable fitness minima of continuous traits. *Evolutionary Ecology* 7:465–487.
- Benkman, C. W. 1993. Adaptation to single resources and the evolution of crossbill (*Loxia*) diversity. *Ecological Monographs* 63:305–325.
- Case, T. J. 2000. *An Illustrated Guide to Theoretical Ecology*. Oxford University Press.
- Christiansen, F. B. 1991. On conditions for evolutionary stability for a continuously varying character. *The American Naturalist* 138:37–50.
- Diekmann, O., 2004. A beginners guide to adaptive dynamics. Pages 47–86 in R. Rudnicki, ed. *Mathematical Modelling of Population Dynamics*, volume 63 of *Banach Center Publications*. Polish Academy of Sciences, Warszawa.
- Diekmann, O., Gyllenberg, M., and Metz, J. A. J. 2003. Steady state analysis of structured population models. *Theoretical Population Biology* 63:309–338.
- Diekmann, O., Jabin, P.-E., Mischler, S., and Perthame, B. 2005. The dynamics of adaptation: An illuminating example and a Hamilton-Jacobian approach. *Theoretical Population Biology* 67:257–271.
- Eshel, I. 1983. Evolutionary and continuous stability. *Journal of Theoretical Biology* 103:99–111.
- Eshel, I. and Motro, U. 1981. Kin selection and strong evolutionary stability of mutual help. *Theoretical Population Biology* 19:420–433.
- Futuyma, D. J. and Moreno, D. 1988. The evolution of ecological specialization. *Annual Reviews in Ecology and Systematics* 19:207–233.
- Geritz, S. A. H. and Kisdi, E. 2004. On the mechanistic underpinning of discrete-time population models with complex dynamics. *Journal of Theoretical Biology* 228:261–269.
- Geritz, S. A. H., Kisdi, E., Meszéna, G., and Metz, J. A. J. 1998. Evolutionarily singular strategies and the adaptive growth and branching of the evolutionary tree. *Evolutionary Ecology* 12:35–57.

- Hatfield, T. and Schluter, D. 1999. Ecological specialization in sticklebacks: Environment-dependent hybrid fitness. *Evolution* 53:866–873.
- Heino, M., Metz, J. A. J., and Kaitala, V. 1997. Evolution of mixed maturation strategies in semelparous life-histories: the crucial role of dimensionality of feedback environment. *Proceedings of the Royal Society London, B* 352:1647–1655.
- Heino, M., Metz, J. A. J., and Kaitala, V. 1998. The enigma of frequency-dependent selection. *Trends in Ecology and Evolution* 13:367–370.
- Holling, C. S. 1959. Some characteristics of simple types of predation and parasitism. *The Canadian Entomologist* 91:385–398.
- Iwasa, Y., Pomiankowski, A., and Nee, S. 1991. The evolution of costly mate preferences II. the “handicap” principle. *Evolution* 45:1431–1442.
- Lande, R. 1976. Natural selection and random genetic drift in phenotypic evolution. *Evolution* 30:314–334.
- Lawlor, L. R. and Maynard Smith, J. 1976. The coevolution and stability of competing species. *The American Naturalist* 110:79–99.
- Levins, R. 1962. Theory of fitness in a heterogeneous environment. I. The fitness set and the adaptive function. *The American Naturalist* 96:361–373.
- Lundberg, S. and Stenseth, N. C. 1985. Coevolution of competing species: Ecological character displacement. *Theoretical Population Biology* 27:105–119.
- MacArthur, R. H., 1972. *Geographical Ecology*. Harper & Row, NY.
- MacArthur, R. H. and Levins, R. 1964. Competition, habitat selection, and character displacement in a patchy environment. *Proceedings of the National Academy of Sciences USA* 51:1207–1210.
- Maynard Smith, J. 1982. *Evolution and the Theory of Games*. Cambridge University Press, Cambridge, U. K.
- Meszéna, G., Gyllenberg, M., Pásztor, L., and Metz, J. A. J., in revision. Competitive exclusion and limiting similarity: A unified theory.
- Metz, J. A. J., in press. Invasion fitness, canonical equations, and global invasibility criteria for Mendelian populations. *in* J. A. J. Metz and U. Dieckmann, eds. *Elements of Adaptive Dynamics*. Cambridge University Press, Cambridge.
- Metz, J. A. J., Geritz, S. A. H., Meszéna, G., Jacobs, F. J. A., and Van Heerwaarden, J. S. 1996*a*. Adaptive dynamics: A geometrical study of the consequences of nearly faithful reproduction.

- in* S. J. van Strien and S. Verduyn Lunel, eds. Stochastic and spatial structures of dynamical systems, Pages 183–231. Proceedings of the Royal Dutch Academy of Science, North Holland, Elsevier, available at <http://www.iiasa.ac.at/Research/ADN/Series.html>.
- Metz, J. A. J., Mylius, S. D., and Diekmann, O. 1996*b*. When does evolution optimize? On the relation between types of density dependence and evolutionarily stable life history parameters. IIASA working paper WP-96-04, available at <http://www.iiasa.ac.at/Research/ADN/Series.html>.
- Metz, J. A. J., Nisbet, R. M., and Geritz, S. A. H. 1992. How should we define ‘fitness’ for general ecological scenarios? *Trends in Ecology and Evolution* 7:198–202.
- Mylius, S. D. and Diekmann, O. 1995. On evolutionary stable life histories, optimization and the need to be specific about density dependence. *Oikos* 74:218–224.
- O’Hara Hines, R. J., Hines, W. G. S., and Robinson, B. W. 2004. A new statistical test of fitness set data from reciprocal transplant experiments involving intermediate phenotypes. *The American Naturalist* 163:97–104.
- Robinson, B. W., Wilson, D. S., and Margosian, A. S. 2000. A pluralistic analysis of character release in pumpkinseed sunfish *Lepomis gibbosus*. *Ecology* 81:2799–2812.
- Rueffler, C., Van Dooren, T. J. M., and Metz, J. A. J. 2004. Adaptive walks on changing landscapes: Levins’ approached extended. *Theoretical Population Biology* 65:165–178.
- Schluter, D. 1993. Adaptive radiation in sticklebacks: Size, shape, and habitat use efficiency. *Ecology* 74:699–709.
- Schluter, D. 1995. Adaptive radiation in sticklebacks: Trade-offs in feeding performance and growth. *Ecology* 76:82–90.
- Schoener, T. W. 1974. Some methods for calculating competition coefficients from resource-utilization spectra. *The American Naturalist* 108:332–340.
- Taper, M. L. and Case, T. J. 1992. Models of character displacement and the theoretical robustness of taxon cycles. *Evolution* 46:317–333.
- Van Dooren, T. J. M., in press. Adaptive dynamics for Mendelian genetics. *in* J. A. J. Metz and U. Dieckmann, eds. *Elements of Adaptive Dynamics*. Cambridge University Press, Cambridge.
- Vincent, T. L. S., Scheel, D., Brown, J. S., and Vincent, T. L. 1996. Trade-offs and coexistence in consumer-resource models: It all depends on what and where you eat. *The American Naturalist* 148:1038–1058.

Wilson, D. S. and Turelli, M. 1986. Stable underdominance and the evolutionary invasion of empty niches. *The American Naturalist* 127:835–850.

Wilson, D. S. and Yoshimura, J. 1994. On the coexistence of specialists and generalists. *The American Naturalist* 144:692–707.

Table 1: Notation. The index  $i$  refers to one out of two possible resources.

Term	Definition
$\alpha_i$	conversion efficiency of consumed resource into offspring
$b_i$	constant resource influx
$C_i$	consumer’s functional response
$d_i$	death rate of resource
$e_i$	consumer’s search efficiency [area/ time step]
$f_i$	capture probability for an attacked resource item
$N_t$	consumer population density at time step $t$
$p_i$	consumer’s probability of attack upon encounter with resource
$R_i$	resource density [1/area]
$t_{mi}$	manipulation time (needed for treatment of an already capture resource item)
$t_{pi}$	pursuit time (needed catch an attacked resource item)
$s$	search probability (fraction of time spent searching for resources)
$\theta$	specialization coefficient $\in [0, 1]$ , determines location on the trade-off curve
$w$	invasion fitness
$z$	strength of trade-off ( $z > 1$ strong, $z = 1$ linear, $z < 1$ weak)

Table 2: Overview of traits considered evolvable. Each parameter stands for a vector of two traits coupled by trade-off.

Trait		Dimension of Feedback Environment $\mathbf{I}$	Selection
conversion efficiency	$\alpha$	one	frequency-independent
search efficiency	$e$	two	frequency-dependent
capture probability	$f$	two	frequency-dependent
manipulation time	$t_m$	one	frequency-independent
pursuit time	$t_p$	one	frequency-independent

Fig. 1.— Trade-off curves for capture probability  $f$  (a) and manipulation time  $t_m$  (b). The number next to each curve is the parameter  $z$  determining the strength of the trade-off. Note that for capture probability the phenotype set (i.e., the set of possible phenotypes) lies below the trade-off curve and that the opposite holds true for manipulation time. The trade-off curve is parameterized in such a way that  $\theta = 0$  corresponds to a specialist for resource one, and  $\theta = 1$  corresponds to a specialist for resource two. Therefore the two trade-off curves are parameterized in opposite direction. All filled circles half way on the trade-off curve correspond to the generalist with  $\theta = 0.5$ . Other parameter values:  $t_{m\max} = (1, 1)$ ,  $t_{m\min} = (0.5, 0.5)$ ,  $f_{\max} = (1, 1)$ .

Fig. 2.— Bifurcation diagrams for capture probability  $f$  (a) and manipulation time  $t_m$  (b). Lines indicate the location and type of evolutionarily singular values of the specialization coefficient  $\theta$  as a function of the bifurcation parameter  $z$ , the strength of the trade-off curve. Arrows give the direction of evolutionary change. The hatched area indicates parameter combinations corresponding to non-viable populations. Other parameter values: for (a) & (b)  $\alpha = (1, 1)$ ,  $t_p = (0.1, 0.1)$ ,  $e = (0.05, 0.05)$ ,  $b = (5000, 5000)$ ,  $d = (0.1, 0.1)$ ; only for (a)  $f_{\max} = (1, 1)$ ,  $t_m = (0.1, 0.1)$ ; only for (b)  $f = (1, 1)$ ,  $t_{m\min} = (0.5, 0.5)$ ,  $t_{m\max} = (1, 1)$ . See text for further explanation.

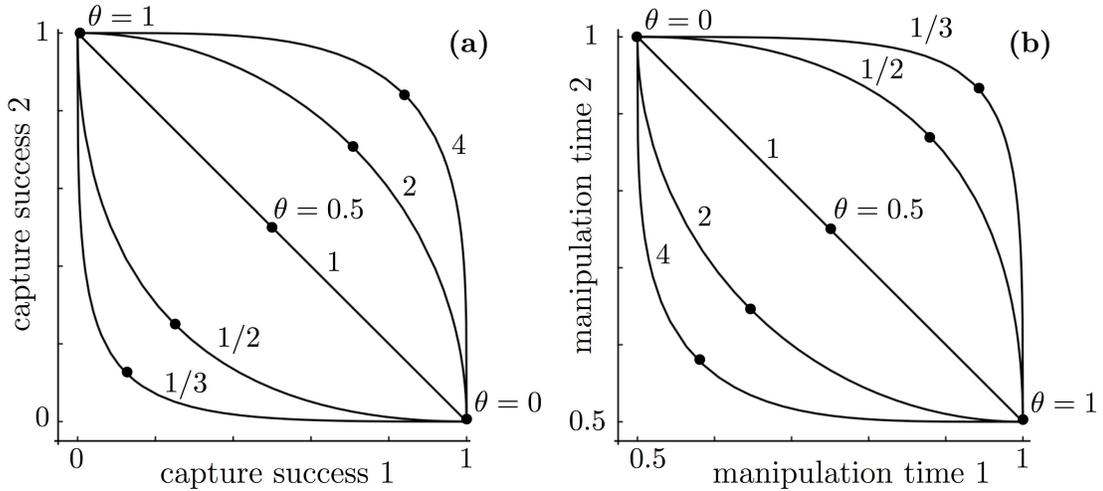


Figure (1)

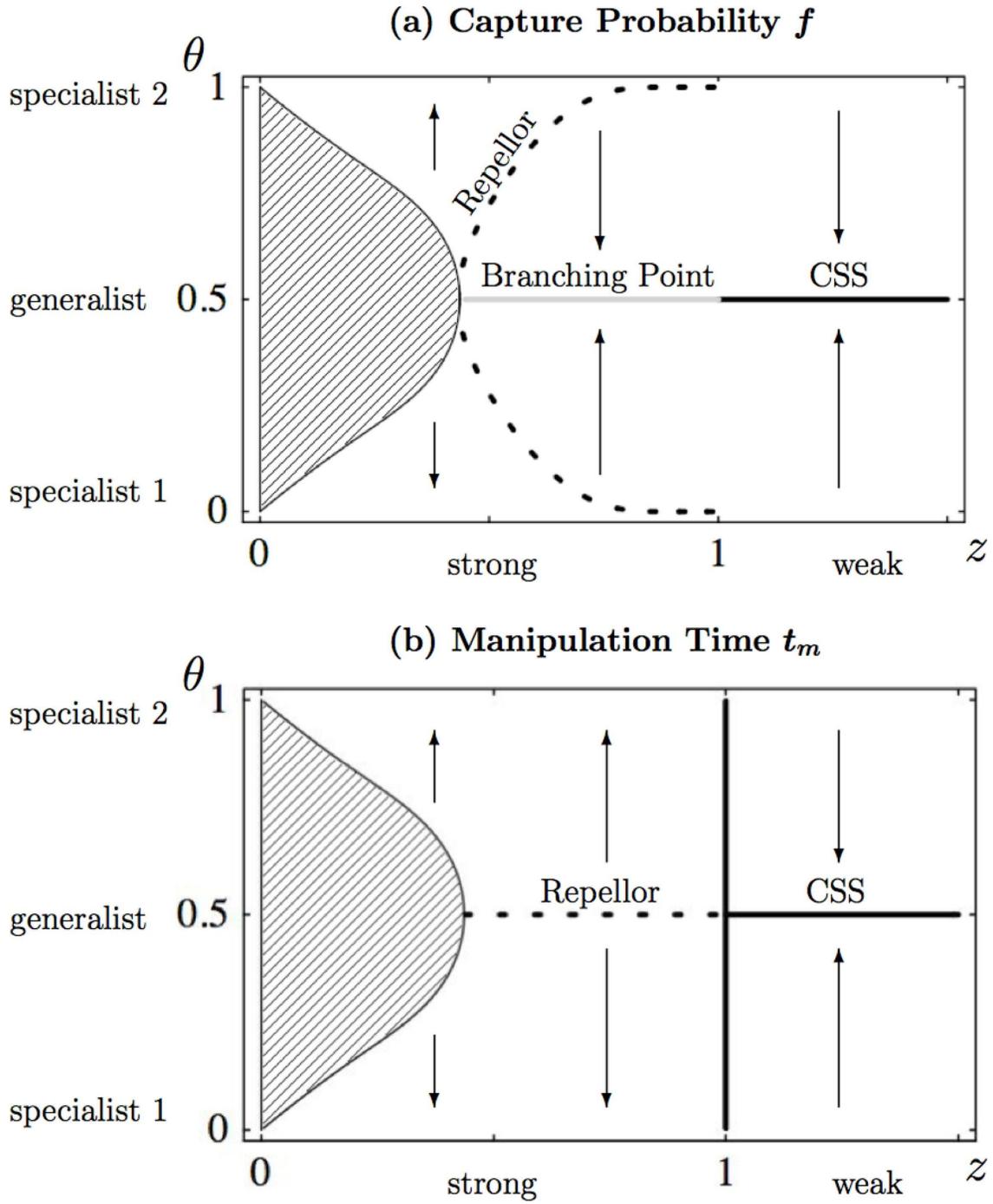


Figure (2)