

1 **TITLE**

2 Amazon forest response to CO₂ fertilization dependent on plant phosphorus acquisition

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52 **MAIN TEXT**

53 **Global terrestrial models currently predict that the Amazon rainforest will continue to**
54 **act as a carbon sink in the future primarily due to the rising atmospheric carbon dioxide**
55 **(CO₂) concentration. Soil phosphorus impoverishment in parts of the Amazon basin**
56 **largely controls its functioning, but the role of phosphorus availability has not been**
57 **considered in global model ensembles, e.g., during the 5th Climate Model**
58 **Intercomparison Project (CMIP5). Here, we simulate the planned free-air CO₂**
59 **enrichment experiment AmazonFACE with an ensemble of 14 terrestrial ecosystem**
60 **models. We show that phosphorus availability reduces the projected CO₂-induced**
61 **biomass carbon growth by about 50% to $79 \pm 63 \text{ g C m}^{-2} \text{ yr}^{-1}$ over 15 years compared to**
62 **estimates from carbon and carbon-nitrogen models. Our results suggest that the**
63 **region's resilience to climate change may be much less than previously assumed.**
64 **Variation in the biomass carbon response among the phosphorus-enabled models is**
65 **considerable, ranging from 5 to $140 \text{ g C m}^{-2} \text{ yr}^{-1}$, due to contrasting plant phosphorus**
66 **use and acquisition strategies considered among the models. The Amazon forest**
67 **response will thus depend on the interactions and relative contributions of the**
68 **phosphorus acquisition and use strategies across individuals, and to what extent these**
69 **processes can be upregulated under eCO₂.**

70 The intact Amazon rainforest acts as a substantial carbon (C) sink, completely offsetting
71 carbon dioxide (CO₂) emissions from fossil fuel combustion and land use change in the
72 Amazon region^{1,2}. Increasing atmospheric CO₂ concentrations from anthropogenic activity
73 may be the primary factor for the current Amazon net C sink^{1,3}, via so-called CO₂ fertilization
74 (an increase in photosynthetic C uptake by plants under higher CO₂), which is projected to
75 continue into the future by global models⁴⁻⁶. The CO₂ fertilization effect has been observed
76 experimentally in field experiments that were conducted predominantly in the temperate zone.

77 In these experiments, the eCO₂ induced increase in C uptake was generally low when other
78 factors, such as soil nitrogen (N), were limiting⁷⁻⁹. To date, whole-ecosystem-scale
79 experiments, i.e., free-air CO₂ enrichment (FACE) have never been conducted in the
80 tropics^{10,11}.

81 Over large parts of the Amazon and the tropics worldwide, phosphorus (P), not N, is assumed
82 to be the key limiting nutrient, as most P has been lost or occluded from plant uptake during
83 millions of years of soil pedogenesis^{12,13}. Forests growing on these highly weathered old soils
84 may nonetheless be highly productive due to the evolution of multiple strategies for P
85 acquisition and use, enabling tight cycling of P between plants and soils^{14,15}. Despite this
86 knowledge, quantifying the control of P on plant physiology, growth, and plant-soil
87 interactions in global models, and hence its role in the forests' response to eCO₂, remains
88 challenging^{16,17}. This challenge is exacerbated by the scarcity of observations and distinctive
89 species responses in hyperdiverse tropical forests¹⁸.

90 **Predicted nutrient feedbacks to eCO₂ at the AmazonFACE site**

91 Here, we study the potential interactions between eCO₂ and nutrient (N and P) feedbacks in a
92 mature Amazonian rainforest by simulating the planned AmazonFACE experiment (+200
93 ppm; <https://amazonface.inpa.gov.br/>) with an ensemble of ecosystem models (n = 14,
94 Extended Data Table 3), including three C, five carbon-nitrogen (CN), and six carbon-
95 nitrogen-phosphorus (CNP) models¹⁹⁻²⁴. The AmazonFACE experiment is located in a well-
96 studied, highly productive tropical forest in Central Amazonia^{25,26}, growing on a strongly
97 weathered *terra firme* Ferralsol. This ecosystem represents the low end of the plant-available
98 P spectrum in the Amazon, consistent with ~32% of the Amazon rainforest's cover fraction²⁷.
99 *In situ* measurements were used to parameterise the models and to evaluate simulated ambient
100 conditions (Extended Data Table 1, 2). Our aim was to generate *a priori* model-based
101 hypotheses to highlight the state-of-the-knowledge and guide measurement strategies for

102 AmazonFACE and other ecosystem manipulation experiments to gain crucial process
103 understanding of P control on the CO₂ fertilization effect.

104 Simulated eCO₂ (+200 ppm) had a positive effect on plant biomass C across all models but
105 was weakest in the CNP models (Fig. 1a). The eCO₂ conditions induced average biomass C
106 gains of 163 ± 65 , 145 ± 83 , and 79 ± 63 g C m⁻² yr⁻¹ (mean \pm SD) over 15 years in the C, CN
107 and CNP models, respectively (Fig. 1a). Limitations by P thus reduced the predicted biomass
108 C sink by 52% and 46% compared to that in the C and CN models, respectively, with
109 considerable variation across and within model groups (Extended Data Fig. 1). Plot
110 inventories at the AmazonFACE site during the 2000s indicate an aboveground biomass
111 increment of 23 g C m² yr⁻¹, substantially below the Amazon-wide¹ estimate of 64 g C m² yr⁻¹.
112 The model ensemble represents ambient conditions, such as productivity and leaf area index,
113 reasonably well, but ensemble members show divergence in other ecosystem characteristics,
114 such as the biomass C increment, which range from 5 to 114 g C m² yr⁻¹. There is, however,
115 no clear pattern in performance between the model groups, so that we judge that these
116 differences do not have bearing on the conclusions of our study (see more discussion in
117 Extended Data Fig. 2).

118 **Differing model responses to phosphorus limitation**

119 Gross and net primary productivity (GPP and NPP, respectively) are both stimulated by eCO₂
120 in all models, both initially (after 1 year of eCO₂) and until the end of the simulation. The
121 CNP models show the strongest decline from the initial response due to P limitation (Fig. 1b,
122 c). The final response of NPP to eCO₂ was a 35%, 29%, and 9% stimulation for the C, CN
123 and CNP models, respectively. In general, in the CN and CNP models, nutrient limitation is
124 defined as nutrient demand being greater than nutrient supply. However, models differ in their
125 assumptions on how nutrient limitation controls productivity and C allocation in response to
126 eCO₂, so that divergent responses on plant carbon use efficiency (CUE = NPP / GPP) are

127 simulated (Extended Data Table 3). In some CN models, CUE increases because N limitation
128 is hypothesized to reduce autotrophic respiration (R_a) via lower tissue N content. Some CNP
129 models, however, assume a direct downregulation of growth and hence the plant CUE
130 decreases (Extended Data Fig. 3). Elevated CO_2 induced higher fine root investments of NPP
131 in some CN and CNP models to aid nutrient acquisition (Fig. 1c; Extended Data Fig. 4).
132 Predicted changes in allocation with $e\text{CO}_2$ cause a general increase in biomass turnover across
133 all but one of the models, partially offsetting the positive biomass response (Extended Data
134 Table 4). Changes in turnover play a minor role in our 15 years simulation period but rather
135 control the long-term future CO_2 effect on the biomass C sink^{28,29}.

136 Plant growth under $e\text{CO}_2$ is lowest in CNP models as the low availability of soil labile P
137 restricts P uptake either immediately or over time (Extended Data Fig. 5). We considered the
138 modelled P limitation on plant growth to be realistic, as the models and observations agree on
139 soil labile P being very low (Extended Data Fig. 2). Other site observations support the fact
140 that P is extremely critical for plant productivity, such as high leaf N:P ratios of 37 and high
141 plant P resorption (before litter fall) of 78% (Extended Data Table 1). While P limitation
142 consistently reduces the $e\text{CO}_2$ -induced biomass C sink, there is significant variation among
143 CNP models due to contrasting process representations (Fig. 2; Extended Data Table 3). P
144 shortages downregulate growth (i.e., NPP) in all CNP models, directly or via photosynthesis.
145 The major differences in the model assumptions relate to how they modify P supply and
146 demand to alleviate plant P shortages, including either (i) enhancing plant P use efficiency
147 ($\text{PUE} = \text{NPP} / \text{P uptake}$) or (ii) upregulating P acquisition mechanisms. The models assume
148 that PUE may change if tissue nutrient ratios are flexible, if C allocation changes among
149 tissues with different stoichiometry, and/or if P resorption is variable (Fig. 2). Flexible
150 stoichiometry is considered in all CNP models except ELM-CTC, although with varying

151 degrees of flexibility. Greater fine root C allocation with plant P stress is considered in some,
152 and P resorption is a fixed fraction of leaf tissue P in all models (Fig. 2).

153 Models differ in their representation of soil P acquisition mechanisms; three of the six models
154 (ELM-ECA, ELM-CTC, GDAY) consider desorption of P from mineral surfaces (i.e., the
155 secondary or strongly sorbed P pool), whereas the others assume P in those pools to be
156 unavailable to plants. All the models include biochemical mineralization of organic P via
157 phosphatase, but only three (ELM-ECA, ELM-CTC and ORCHIDEE) include the
158 functionality to increase P acquisition via this mechanism under plant P stress (Fig. 2;
159 Extended Data Table 3). Litter and soil stoichiometry are considered with varying degrees of
160 flexibility. Soil labile P limits microbial decomposition rates of litter and soil, so that
161 decomposition is reduced when immobilization demands for P exceed soil labile P availability
162 (Fig. 2; Extended Data Table 3).

163 **Enhanced phosphorus use efficiency and acquisition due to eCO₂**

164 Diverging representations of plant P use and acquisition among the CNP models cause
165 predictions of the eCO₂-induced biomass C sink to range from 5 g C m⁻² yr⁻¹ to 140 g C m⁻²
166 yr⁻¹ (Fig. 3a; Extended Data Fig. 1). Greater plant PUE occurred in four of the models, for
167 which shifts in tissue C:N and N:P due to eCO₂ led to increases in biomass C:P ranging from
168 ~200 to 1600 g C g P⁻¹ (Fig. 3c). Higher fine root investment with eCO₂, at the expense of less
169 “P-costly” wood, offset some increases in PUE in some models. Flexible biomass
170 stoichiometry altered decomposition dynamics and induced progressive P limitation in
171 response to eCO₂, i.e., litter stoichiometry shifted towards lower quality (less N and P in
172 relation to C), reducing net P mineralization rates from microbial decomposition, causing P to
173 become increasingly unavailable to plants and accumulating in soil organic matter (Fig. 3d, e).
174 This plant-soil-microbial feedback slowed the cycling of P in the ecosystem and exacerbated
175 the initial P limitation (see Ref. ³⁰ for a similar feedback during pedogenesis).

176 Enhanced plant P acquisition under eCO₂ effectively alleviated P limitation in two CNP
177 models (ELM-CTC and ELM-ECA) (Fig. 3e). In both, eCO₂ increased the liberation of P
178 from the secondary pool, as higher plant P demand and uptake diminished the labile P pool, in
179 turn causing higher desorption rates. P desorption is thus only indirectly, and not
180 mechanistically, enhanced by plants in these models. Biochemical mineralization of P under
181 eCO₂ responded positively in both of the models, but added only notably to additional P
182 acquisition in ELM-CTC (Fig. 3e). Although three CNP models simulated higher fine root
183 investments, the actual P uptake return per fine root increment was marginal or came only into
184 effect in the long-term (Extended Data Fig. 6).

185 Observations document ample N cycling in the system, e.g., high leaf N contents, indicative
186 δ¹⁵N values, high rates of N oxide emissions, and low leaf N resorption^{31,32}, and thereby
187 suggest that plant growth is not directly affected by N availability. The CN models, however,
188 simulate increased nitrogen use efficiency (NUE) and biomass C:N ratios, in response to
189 insufficient N uptake under eCO₂ (Extended Data Fig. 5). Plant N availability may be
190 underestimated in the models, since the plant-available mineral N supply was <7 g N m⁻²
191 across all models, as opposed to 17.5 g N m⁻² observed in the top 10 cm only (Extended Data
192 Fig. 2). These results highlight an important gap in our knowledge also related to the
193 dynamics of N availability, and its potential interaction with P dynamics (Table 1).

194 **Model-based hypotheses for the AmazonFACE experiment**

195 In summary, the model ensemble encapsulates a range of plausible hypotheses and represents
196 a potential range of biomass C responses to eCO₂ under low soil P availability. The
197 assumption of a lacking ability of plants to acquire more soil P and a limited capacity for
198 plants to use P more efficiently resulted in effectively zero biomass C gain with eCO₂.
199 Conversely, flexible stoichiometry, in combination with enhanced plant P acquisition, were
200 the key mechanistic responses leading to biomass gain with eCO₂. Divergences in the

201 simulated eCO₂ response lead us to the following testable hypotheses, and call for directed
202 field measurements (Table 1):

203 H1. Low soil P availability will strongly constrain future plant biomass growth response to
204 eCO₂ either by downregulating photosynthesis or limiting plant growth directly, or a
205 combination thereof.

206 H2. Despite the limited soil P supply, plasticity in vegetation stoichiometry and allocation
207 patterns will allow for some biomass growth under eCO₂.

208 H3. Plants will increase investments in P acquisition to increase P supply and allow biomass
209 growth under eCO₂ either via greater P interception through fine root production or via greater
210 P liberation from P desorption or biochemical mineralization of P.

211 These model-based hypotheses deepen a previous analysis of potential N and P limitation on
212 C accumulation based on mass balance principle³³. Furthermore, we add to a model
213 intercomparison carried out in advance of the EucFACE experiment³⁴ by extending the range
214 of plant P feedbacks considered across CNP models. This work highlighted H1: two
215 stoichiometrically constrained CNP models predicted that strong P limitation will curtail the
216 growth response to eCO₂ in Australia. Consistent with this hypothesis, aboveground growth
217 has not increased with eCO₂ in that experiment over the initial years³⁵. This finding underlines
218 that monitoring efforts need to place a strong(er) focus on belowground carbon and nutrient
219 dynamics, in addition to canopy-scale photosynthesis and aboveground growth dynamics.
220 Additionally, Ra dependence on P content and plant stress from drought or nutrient limitation
221 need further monitoring during experiments to fully elucidate the plant C budget and address
222 H1 (Table 1).

223 Nutrient fertilization experiments support H2, as plasticity in leaf stoichiometry at the
224 individual level, along with plasticity in P resorption efficiency, was observed³⁶. Across the

225 Amazon, community-weighted leaf N:P in the field varied from 13 to 42 g N g P⁻¹ (n = 64)
226 (Ref. ³²), which place our site, with a mean of 37, closer to the high end. GDAY predicted the
227 most plausible increase in the leaf N:P ratio from 34 to 38 (Extended Data Fig. 7). Two
228 models predicted strong increases in the leaf N:P ratio with eCO₂ but started off with much
229 lower initial values. The degree to which plasticity in stoichiometry and resorption can aid
230 plant PUE under eCO₂ in highly P-limited sites that are already at the end of the observed
231 spectrum remains to be seen (H2). Monitoring plant tissue stoichiometry, including wood
232 with much higher N:P ratios, combined with assessments of P resorption in CO₂ and nutrient
233 fertilization experiments will reduce uncertainties (Table 1).

234 Based on previous observations⁸, a number of models assume increased fine root investment,
235 as well as higher biochemical P mineralization and P desorption from mineral surfaces, under
236 eCO₂-induced nutrient limitation (H3). The effect of increased fine root biomass on nutrient
237 uptake was limited in our simulations and ambient fine root allocation fractions were highly
238 variable among the models, ranging from 5-30% of NPP (Extended Data Fig. 4, 6). Both these
239 modelled results highlight model deficiencies in belowground processes³⁷ that need
240 addressing (Table 1). There is evidence that phosphatase activity in litter and soil and the
241 presence of low-molecular-weight acids used to liberate P from organic matter or from
242 mineral surfaces increase with plant P demand³⁸. This was predicted by ELM-CTC in our
243 simulations, which also showed Amazon-wide that “[with] enhanced phosphatase production,
244 productivity in the highly P-limited areas can be sustained under elevated CO₂ conditions”³⁹.
245 Plants invest in P liberation and acquisition, but if these mechanisms can be upregulated under
246 eCO₂ and over what time frame this may occur remain open questions. Quantification of such
247 a response is lacking, as are estimates of the associated plant C costs to acquire P via these
248 and other mechanisms, such as mycorrhizal symbiosis^{15,40} (Table 1). The P gain and C cost
249 for P acquisition mechanisms, as well as the associated plant-soil-microbial interactions, need

250 to be assessed by analyses of soil, microbial and root nutrition, and via novel techniques
251 investigating enzyme and labile C dynamics⁴¹. Monitoring of belowground fine root dynamics
252 needs to include the surface litter layer, commonly explored by fine roots in P-impooverished
253 ecosystems in the Amazon, not yet quantified nor considered in models (Table 1).

254 **Implications of considering phosphorus for the CO₂ fertilization effect**

255 Previous model projections suggest a sustained fertilization effect of CO₂ on the Amazon C
256 sink but have not considered feedbacks from low soil P availability^{5,6}. Our study demonstrates
257 that, based on the current generation of CNP models, the omission of P feedbacks is highly
258 likely to cause an overestimation of the Amazon rainforest's capacity to sequester
259 atmospheric CO₂. Considering P limitation on the CO₂ fertilization effect in future predictions
260 may indicate that the forest is less resilient to higher temperatures and changing rainfall
261 patterns than previously thought^{6,42}. Periods of water deficit may contribute to the eCO₂
262 fertilization effect on productivity due to its water saving effect³⁴, or due to alterations of
263 decomposition processes. Our study site experienced years with significantly less than
264 average precipitation, e.g. in 2000 and 2009, however, in our simulations this increased the
265 positive response of GPP and NPP to eCO₂ only marginally (Extended Data Figure 8 and 9).
266 Models lack the appropriate sensitivity of plant responses to changes in water availability, and
267 even more so when precipitation sums are that high⁴³. Interactions of water and P availability
268 and their consequences on the CO₂ fertilization effect remain uncertain⁴⁴ and is an area where
269 field measurements will allow us to better constrain model responses (Table 1).

270 Although P is likely to reduce the biomass C sink response to CO₂ in regions with low plant-
271 available P supply, our results suggest that plasticity in plant P use and plant P acquisition
272 mechanisms, may at least partially alleviate P limitation under eCO₂ and enable CO₂
273 fertilization of biomass growth. The model ensemble may be interpreted as representing a

274 range of possible tropical plant functional strategies and growth responses to low P
275 availability under eCO₂. Responses are expected to be species-specific, as were plant growth
276 responses to low P supplies in another tropical region¹⁸. The ecosystem-scale response to P
277 limitation under eCO₂ will thus depend on the relative contributions of the various P
278 acquisition and P use strategies across individuals, their interactions and to what extent these
279 processes can be upregulated under eCO₂. All of which ultimately need to be described and
280 represented in a single model framework in order to accurately predict the Amazon
281 rainforest's response to future climate change.

282 AmazonFACE has the unique opportunity to experimentally address these key areas of
283 uncertainty, not only by integrating the proposed measurements across seasons and at the
284 ecosystem scale (summary in Table 1) but also by assessing species-specific responses to
285 eCO₂ in relation to trait expression. Amazon-wide expression of plant functional strategies
286 may then be inferred by applying the mechanistic interplay between trait expression and
287 edaphic conditions. The key to predicting the future of the world's largest tropical forest
288 under eCO₂ thus lies in obtaining experimental data on, and subsequently modelling, different
289 plant P acquisition and use strategies, as well as their interactions in a competing plant
290 community.

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431 **AUTHOR CONTRIBUTIONS**

432 DML, AR, and KF conceived the study. LF, SG, AG, FH, RN, CAQ, KJS, and OJV-B
433 collected field data. KF, DG, MDK, MJ, VH, JAH, FL, LMM, BP, CvR, Y-PW, XY, SZ, and
434 QZ performed model simulations. KF wrote the manuscript with contributions from all co-
435 authors.

436 **COMPETING INTERESTS**

437 The authors declare no financial or non-financial competing interests.

438 **ADDITIONAL INFORMATION**

439 Site data used for model evaluation and calibration are available in the Supplementary
440 Information. Model driving data can be obtained upon request by CvR. Correspondence and
441 request for material should be addressed to KF (katrin.fleischer@tum.de).

442 **FIGURE CAPTIONS**

443 **Figure 1. The predicted effect of eCO₂ on biomass C, productivity and biomass**
444 **compartments for C, CN and CNP models.** (a), The final response of biomass growth,
445 calculated as the mean annual response over 15 years of eCO₂ in g C m⁻² yr⁻¹. (b), first-year
446 response of productivity (GPP and NPP), and CUE (=NPP/GPP) in %. c), 15-year response of
447 productivity, CUE, and leaf, fine root and wood C (calculated as mean response of 13th to 17th
448 year), all in %. Responses to eCO₂ are the differences between the elevated and ambient
449 model run, shown as mean and standard deviation per model group, and individual model
450 results as dots.

451 **Figure 2. Strength of phosphorus feedbacks in controlling the biomass C response to**
452 **eCO₂ for the six CNP models.** The degree to which modelled P feedback on ecosystem
453 processes control the response of biomass C to eCO₂ in our simulations (none, intermediate,
454 high). P limitation downregulates photosynthesis or growth under eCO₂ in all models.
455 Maintenance respiration, leaf turnover and P resorption are not responsive to P feedbacks in
456 any of the models. Leaf N:P responds to eCO₂ in most models. Desorption of P from mineral
457 surfaces is only considered in ELM-ECA and ELM-CTC, and biochemical P mineralization is
458 considered in many models, but effectively responsive only in ELM-CTC. See also Extended
459 Data Table 3.

460 **Figure 3. Key responses of biomass C gain, stoichiometry, allocation, and P dynamics to**
461 **eCO₂ for the CNP models (blue=positive, red=negative).** (a), Mean annual change in
462 standing leaf, fine root and wood C over 15 years, increasing across models from left to right
463 in g C m⁻² yr⁻¹. (b), The mean change in C allocation for fine roots and wood in %. (c), Mean
464 change in tissue stoichiometry in absolute terms in g C g P⁻¹ and change in P use efficiency
465 over 15 years in g C g P⁻¹ yr⁻¹. (d), Mean change in ecosystem P input and output (leaching)
466 fluxes in g P m⁻² yr⁻¹ and in final P stock in biomass, organic soil, mineral soil and total

467 ecosystem in g P m^{-2} . (e), Mean change in plant P acquisition processes, including net P
468 mineralization, biochemical P mineralization and P uptake in $\text{g P m}^{-2} \text{ yr}^{-1}$, and secondary and
469 labile P pools in g P m^{-2} . For both, (d) and (e), P flux changes are differences of cumulative
470 fluxes after 15 years and P pool changes are differences in pools after 15 years.

471 **METHODS**

472 **Site description**

473 Model simulations were conducted at the AmazonFACE experimental site in Central
474 Amazonia (2°35'39" S, 60°12'29" W). AmazonFACE is an integrated model-experiment
475 project that aims to assess the effects of high CO₂ concentrations on the ecology and
476 resilience of the Amazon rainforest (<http://amazon-face.org/>). The experiment is currently
477 being established and is situated in a *terra firme* forest on a plateau characterized by highly
478 weathered, deep, clay sediment soil (with a clay fraction of 76%), classified as a Geric
479 Ferrasol⁴⁵. The site and the surrounding area have been subjected to various long-term
480 measurement activities^{25,46-49}, coordinated by the Large-Scale Biosphere-Atmosphere
481 Program (LBA; <http://lba2.inpa.gov.br/>) in Amazonia, including the “K34” eddy covariance
482 flux tower²⁶, located approximately 2 km away from AmazonFACE site. Mean annual
483 precipitation at K34 from January 2000 to December 2015 was 2600 mm yr⁻¹, and the mean
484 temperature was 26°C.

485 **Model descriptions**

486 Fourteen ecosystem models with contrasting representations of ecosystem functioning and
487 nutrient cycling were applied to the experiment (Extended Data Table 3). C cycle dynamics
488 without nutrient cycle feedbacks are represented in the “C-only” models (InLand, ED2 and
489 ELM-FATES)⁵⁰⁻⁵²; C and N dynamics are represented in the “CN” models (LPJ-GUESS, O-
490 CN, JULES, CABLE-POP(CN) and GDAY(CN))⁵³⁻⁵⁵; and C, N, and P dynamics are
491 represented in the “CNP” models (ELM-ECA, ELM-CTC, CABLE, CABLE-POP,
492 ORCHIDEE, and GDAY)¹⁹⁻²⁴. Two models were included with a respective CN and CNP
493 version (GDAY and CABLE-POP) to directly assess the effect of considering P dynamics.
494 The other models were treated as a non-random sample from the possible C, CN, and CNP
495 modelling assumptions. Four of the models are dynamic vegetation models: CABLE-POP

496 considers dynamic establishment and mortality with fixed plant functional type (PFT)
497 composition, while LPJ-GUESS, ED2 and ELM-FATES also consider dynamic PFT
498 composition. Photosynthesis is based on formulations by Farquhar⁵⁶ or derivations thereof in
499 all of the models^{57,58} (Extended Data Table 3).

500 Prognostic C allocation fractions are based on functional relationships among tissues, e.g.,
501 fixed ratios between sapwood and leaf area in CABLE-POP, LPJ-GUESS, ED2, GDAY,
502 ORCHIDEE, O-CN, JULES, and ELM-FATES, and on resource dependence, e.g., higher root
503 allocation under water or nutrient stress in LPJ-GUESS, ELM-ECA, GDAY, O-CN,
504 ORCHIDEE, ED2 and ELM-FATES. C allocation fractions are fixed in InLand and CABLE.

505 Nutrient limitation is determined by the difference between demand and supply (via root
506 uptake and resorption) of N or P, with the most limiting nutrient determining the degree of
507 limitation. The photosynthetic parameters V_{cmax} and/or J_{max} are controlled by leaf N in all CN
508 and CNP models except JULES, while leaf P additionally downregulates gross primary
509 productivity (GPP) in all CNP models except ORCHIDEE. N controls net primary
510 productivity (NPP) in some of the models, i.e., O-CN, JULES, ORCHIDEE, CABLE and
511 CABLE-POP, and additionally downregulates growth efficiency (GPP/LAI) in CABLE and
512 CABLE-POP.

513 Maintenance respiration is dependent on temperature in all models and is additionally
514 controlled by tissue N content in all of the models that consider the N cycle with the exception
515 of GDAY, where R_a is a fixed fraction of GPP. Plant tissue stoichiometry in the CN and CNP
516 models is either fixed (ELM-CTC and JULES) or varies within or without bounds (all other
517 models). The nutrient resorption rates in the CN and CNP models are always fixed fractions
518 of the nutrient content in leaves and roots. Competition for nutrients between plant uptake and
519 decomposition processes is handled differently. Nutritional demands for the decomposition
520 process (representing microbial demands) are met entirely first in some models (CABLE, O-

521 CN, ORCHIDEE, and GDAY), are based on relative demands between decomposition and
522 plant uptake (ELM-CTC), or are determined via a multiple consumer approach including
523 adsorption to mineral surfaces (ELM-ECA). Nutrient uptake is a function of plant demand and
524 nutrient availability in all models and is further controlled by a measure of root mass in LPJ-
525 GUESS, GDAY, ORCHIDEE, and O-CN.

526 Soil organic matter (SOM) decomposition is limited by soil mineral N availability in most CN
527 and CNP models (except O-CN and ORCHIDEE) and additionally by labile P availability in
528 most CNP models (except GDAY and ORCHIDEE). P in SOM can also be mineralized via
529 phosphatase, decoupling the P cycle from the C and N cycle, termed biochemical P
530 mineralization in the P models. Biochemical P mineralization is a function of the slow SOM
531 pool turnover in CABLE, CABLE-POP and GDAY, as well as substrate availability in
532 ORCHIDEE, ELM-ECA and ELM-CTC. Biochemical P mineralization is upregulated with
533 higher plant P stress, representing higher phosphate production (not specified if by plants or
534 microbes), in ELM-ECA, ELM-CTC and ORCHIDEE.

535 N inputs originate from N deposition (prescribed by model protocol) and N fixation
536 (prescribed individually). N fixation is either fixed, calculated empirically as a fraction of
537 NPP or evapotranspiration (GDAY, JULES, ORCHIDEE, ELM-CTC, LPJ-GUESS, CABLE,
538 and CABLE-POP), or based on an optimization scheme (ELM-ECA and O-CN). P inputs
539 originate from weathering (prescribed individually) and deposition (prescribed by model
540 protocol). Release of P from rock weathering is a fixed, soil type-specific rate in CABLE and
541 CABLE-POP, a function of the parent P pool in ELM-ECA, ELM-CTC, and GDAY or
542 described as a function of lithology, runoff and air temperature in ORCHIDEE. N and P
543 losses occur from leaching, modelled as a function of the size of the labile P and mineral N
544 pool, respectively, and additionally controlled by runoff in ELM-ECA and ORCHIDEE.

545 The number of inorganic P pools and their precise definition varies among the models. We
546 consider two inorganic P pools relevant for our analysis: the labile P pool and the secondary P
547 pool. The labile P pool encompasses plant-available inorganic P, represented in most CNP
548 models by two separate pools connected by sorption dynamics and effectively in equilibrium
549 (described by Langmuir dynamics in most models and a linear approach in ORCHIDEE). The
550 labile P pools follow different nomenclature in the models but are comparable in
551 functionality: the P in soil solution (called labile or solution P) is readily available to plants in
552 the model time step, while the non-dissolved P (referred to as sorbed or sorbed labile P pool)
553 can become available to plants on yearly to decadal time scales due to desorption. The
554 secondary P pool represents P strongly sorbed by minerals, which is largely unavailable but
555 may enter the labile P pool on centennial time scales and, depending on model assumptions,
556 may be driven by plant P stress.

557 **Model simulations**

558 Models were forced with 16 years of observed local meteorology (2000 to 2015) from the
559 K34 flux tower²⁶. Meteorological data from July 1999 to December 2015 of near-surface air
560 temperature, rainfall, downward shortwave radiation, downward longwave radiation, vapour
561 pressure deficit, surface pressure, relative humidity, and wind speed were available for model
562 input. Specific humidity was calculated based on observed relative humidity and surface
563 pressure. All data time series were subject to quality control (i.e., removal of outliers) and gap
564 filling using the variables' climatological mean. Precipitation data gaps were filled from a
565 nearby weather station of the Tropical Rainfall Measuring Mission network.

566 Simulations are initialized with a spin-up routine resulting in equilibrium conditions of C
567 stocks (and N, and P, if applicable) representing the year 1850. The 16-year meteorological
568 time series are continuously repeated throughout the whole spin-up, during the transient phase
569 (1851 to 1998), and during our model-experiment phase (1999 to 2013), representative of a

570 15-year long AmazonFACE experiment. Global datasets are used as inputs for atmospheric
571 CO₂^{59,60}, N deposition^{61,62}, and P deposition⁶³. Atmospheric CO₂, N and P deposition levels
572 were set to 284.7 ppm, 1.43 kg N ha⁻¹ yr⁻¹, and 0.144 kg P ha⁻¹ yr⁻¹ in 1850, respectively, and
573 follow historical changes during the transient and model experiment phase.

574 Other site parameters used for parameterization of the models are derived from *in situ*
575 measurements and include rooting and soil depth (set to rooting depth), soil hydraulic
576 parameters, specific leaf area (SLA), and soil texture (Extended Data Table 2). Soil hydraulic
577 parameters are derived from pedotransfer functions⁶⁴ and site-specific measurements of soil
578 properties⁶⁵. Soil hydraulic parameters were included in models that accounted for this
579 functionality to allow for a better representation of soil water dynamics in tropical soils
580 (Extended Data Table 2).

581 Two model experiments are performed over the 15-year long experiment phase by each model
582 to assess the effect of elevated CO₂: 1) the ambient run (AMB) and 2) the elevated CO₂ run
583 (ELE). In the AMB run, the atmospheric CO₂ is set to ambient levels and is employed for
584 model evaluation against *in situ* measurements, including C fluxes from the K34 flux tower.
585 The ELE run represents the planned AmazonFACE experiment with a step change increase of
586 200 ppm at the start of the model experiment and continuous tracking of CO₂ levels in AMB
587 plus 200 ppm thereafter. Model outputs are analysed in biological years of seasonality (July to
588 June), and the difference between the elevated CO₂ run and the control run are used to infer
589 the model-based CO₂ effect.

590 **Model output analysis**

591 The analysis of the modelled output includes the evaluation of modelled ambient conditions
592 relative to *in situ* observations and hypotheses-based analyses of the modelled CO₂ responses.
593 We employ a structural analysis of the model simulations^{9,66-68}, splitting model outcomes into
594 the underlying processes to identify crucial model assumptions determining diverging

595 predictions for the FACE experiment. We focus on the simulated increase in biomass C due to
596 eCO₂ and the underlying nutrient control thereon.

597 Biomass C dynamics are a result of primary productivity, C allocation and turnover. We first
598 analyse the effect of eCO₂ on gross primary productivity (GPP), net primary productivity
599 (NPP), autotrophic respiration (Ra), and the resulting plant carbon use efficiency (CUE),
600 where $CUE = NPP/GPP$. We then assess changes in NPP allocation fractions to biomass
601 compartments of wood, fine roots and leaves, and the resulting effect on biomass C turnover
602 in response to eCO₂. Specific tissue turnover rates are fixed in all models, but overall biomass
603 C turnover changes as a result of changing C allocation to tissue compartments. Turnover
604 rates of biomass C pools are calculated as the fraction of total litter fall per total biomass pool
605 size (Extended Data Table 4).

606 Plant nutrient cycle feedbacks to eCO₂ are assessed by splitting the responses into plant N
607 uptake (NUP) and plant N use efficiency (NUE), where $NUE = NPP/NUP$, and similarly into
608 P uptake (PUP) and P use efficiency (PUE), where $PUE = NPP/PUP$. The responses of NUE
609 and PUE to eCO₂ are further split into changes in tissue C allocation (differing in C:N and
610 N:P ratios) and changes in tissue stoichiometry (flexible C:N and N:P ratios). Soil nutrient
611 cycle feedbacks to eCO₂ are determined by separating eCO₂ responses in N and P
612 mineralization rates (N and P mineralization from microbial decomposition of SOM and
613 biochemical P mineralization of organic P via phosphatase) and the balance of ecosystem N
614 and P inputs (N fixation, N and P deposition, and P weathering) and losses (N and P
615 leaching).

616 **Data availability**

617 Model output data used for analyses and figures have been archived in a GitHub repository
618 (<https://github.com/Kaaze7/AmzFACE-model-ensemble-2019>).

619 **Code availability**

620 Code used for analyses and figures have been archived in a GitHub repository
621 (<https://github.com/Kaaze7/AmzFACE-model-ensemble-2019>).

622 **REFERENCES METHODS**

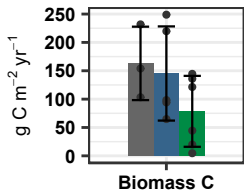
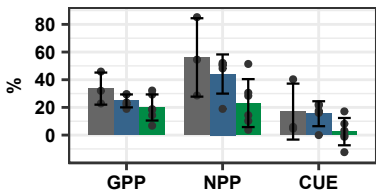
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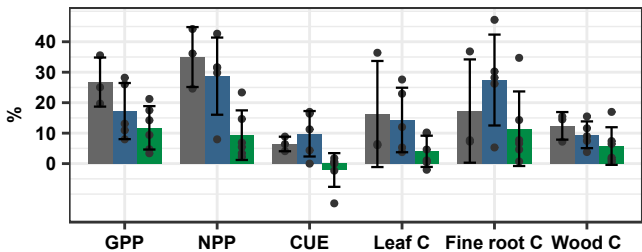
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- 682 68. Medlyn, B. E. *et al.* Using ecosystem experiments to improve vegetation models. *Nat.*
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684 Table 1. List of key processes and variables that need to be constrained by observational
 685 estimates in order reduce uncertainty in P cycle control on the eCO₂ effect ecosystem models.

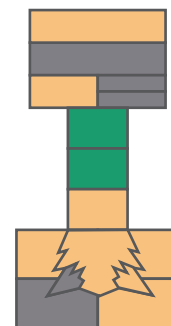
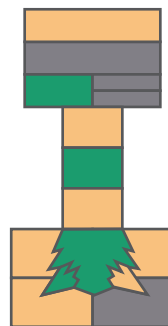
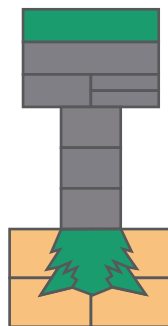
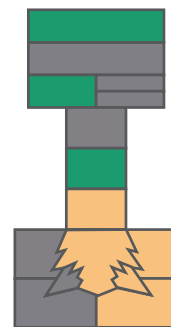
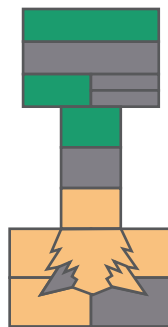
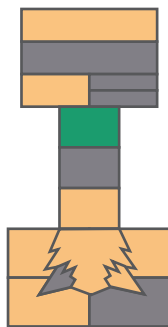
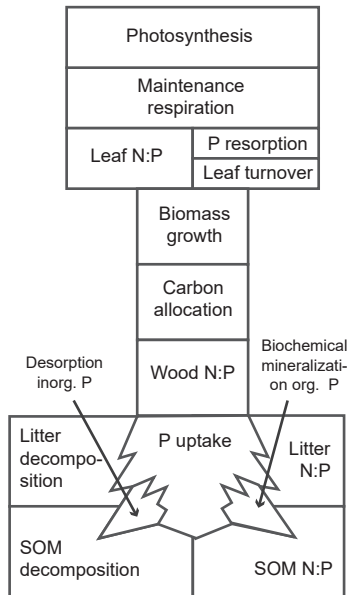
(H1) Plant C budget	Measurements needed
Canopy scale C assimilation	<ul style="list-style-type: none"> • Seasonal dynamics of leaf area and photosynthetic capacity • Photosynthetic acclimation
Plant tissue respiration	<ul style="list-style-type: none"> • Control of drought stress, nutrient limitation and P content • Wood and root respiration
Biomass growth	<ul style="list-style-type: none"> • Belowground biomass compartments • Long term growth rates
(H2) Plant P use	
Plant tissue C:P and N:P stoichiometry	<ul style="list-style-type: none"> • Plasticity versus adaptability to (experimental) change in eCO₂ or nutrient availability • Functionality of tissue P • Wood P content /stoichiometry
Plant tissue P resorption	<ul style="list-style-type: none"> • P content in live tissue and fresh litter • Plasticity versus adaptability to (experimental) change in eCO₂ or nutrient availability
(H3) Plant P acquisition	
P desorption due to plant exudation	<ul style="list-style-type: none"> • Interactions with microorganisms (directly or via microorganisms) • Cost of exudation vs. plant P uptake
P acquisition due to fine root production	<ul style="list-style-type: none"> • Surface litter activity • Fine root allocation fractions • Fine root productivity vs. plant P uptake
Biochemical P mineralization (via phosphatase)	<ul style="list-style-type: none"> • Phosphatase activity and relation to P mineralization • Plant production of phosphatase vs. plant-induced production by microorganisms • Cost of phosphatase production vs. plant P acquisition
Other interactions	
Plant N availability	<ul style="list-style-type: none"> • Ecosystem N budget • Symbiotic and free-living N fixation • Control of N availability on P acquisition
Plant water availability	<ul style="list-style-type: none"> • Control on P mineralization and transport dynamics • Control on of water and P limitation on eCO₂ effect

a Final response**b** Initial response

■ C models ■ CN models ■ CNP models

c Final response

Control of phosphorus feedbacks on the biomass carbon response to eCO₂



ELM-CTC

ELM-ECA

ORCHIDEE

