

1 Short-lived climate forcers have a long-term climate
2 forcing through the climate-carbon feedback

3 **Author:**

4 Bo Fu¹, Thomas Gasser², Bengang Li^{*1,3}, Shu Tao¹, Philippe Ciais⁴, Shilong Piao¹,
5 Yves Balkanski⁴, Wei Li¹, Tianya Yin¹, Luchao Han¹, Xinyue Li¹, Yunman Han¹, Jie
6 An¹, Siyuan Peng¹, Jing Xu¹

7

- 8 1. Sino-French Institute for Earth System Science, Laboratory for Earth Surface
9 Processes, College of Urban and Environmental Sciences, Peking University,
10 Beijing 100871, China.
- 11 2. International Institute for Applied Systems Analysis (IIASA), 2361 Laxenburg,
12 Austria.
- 13 3. Jiangsu Center for Collaborative Innovation in Geographical Information
14 Resource Development and Application, Nanjing, 210023, China.
- 15 4. Laboratoire des Sciences du Climat et de l' Environnement,
16 CEA-CNRS-UVSQ, 91191 Gif-sur-Yvette, France.

17

18

19 Abstract

20 Short-lived climate forcers (SLCFs) are dubbed because of their shorter atmospheric
21 lifetime in comparison with CO₂. SLCFs are generally assumed to have only a short-term
22 effect on the climate system: should their emission cease, so would their radiative forcing
23 (RF). However, SLCFs have an effect on the carbon cycle through climate-carbon feedbacks.
24 In this study, we quantify the following feedback loop: SLCF change the climate, climate
25 change modifies land and ocean carbon sinks, impacting the atmospheric CO₂, and therefore
26 causing additional climate change. The compact Earth System Model OSCAR v2.2 is used to
27 attribute the present-day RF of CO₂ to direct CO₂ emissions and to the climate-carbon
28 feedbacks. This study illustrates and quantifies this long-term impact that short-lived species
29 have on the climate system through the carbon cycle. It also indicates that past (and future)
30 change in atmospheric CO₂ cannot be attributed only to CO₂ emissions.

31

32 Introduction

33 SLCFs are substances with a relatively short lifetime in the atmosphere compared to
34 CO₂¹. They include methane, ozone and aerosols (anthropogenic and natural), and their
35 respective impact on clouds²⁻⁵. By warming or cooling the climate, SLCFs also affect the
36 carbon cycle through the climate-carbon feedback loop⁶⁻¹¹. Although there are differences in
37 estimates of the climate-carbon feedback between models, it is a consensus that warming or
38 higher temperature increases atmospheric CO₂ concentration¹², which is a positive feedback
39 process. A few studies have estimated the influence of aerosol cooling effects on terrestrial
40 carbon cycling, although there are large variations among the results¹³⁻¹⁵. In addition, it is
41 understood that the climate effects of SLCFs through the climate-carbon feedback are
42 longer-term compared to their own lifetime^{16,17}, but quantification of the time-scale of this
43 effect remains limited.

44 In this study, we used the compact Earth system model OSCAR v2.2¹⁸ to evaluate the
45 contributions of SLCFs to the radiative forcing (RF) of CO₂ in 2010 through the
46 climate-carbon feedback. Here, the role of SLCFs is through the direct radiative imbalance

47 they cause and therefore impact on temperature. Other impacts, e.g. the impact of aerosols on
48 diffuse radiation and therefore photosynthesis, the impact of ozone damage on plant function
49 and therefore photosynthesis are not included. To do so, we proceeded in three steps. First,
50 we attributed historical climate change to the radiative forcing caused by all anthropogenic
51 and natural climate forcers, as reported by the IPCC in their fifth assessment report (AR5).
52 Second, we attributed the historical change in atmospheric CO₂ concentration and its RF to
53 fossil fuel (FF) CO₂ emissions, land-use change (LUC) CO₂ emissions, and to the
54 climate-carbon feedback. Third, we combined the first two steps to attribute the
55 climate-carbon feedback to the non-CO₂ climate forcers, which includes the SLCFs like
56 methane, ozone and aerosols in particular. The ‘marginal attribution method’ is used here (see
57 **Methods** for more details).

58 OSCAR is a model of reduced-complexity that embeds modules for the terrestrial carbon
59 cycle, the oceanic carbon cycle, and the climate system that were all calibrated to reproduce
60 the response of complex process-based CMIP5 Earth system models¹⁸. The carbon cycle in
61 OSCAR consists of two components: ocean and land. The ocean carbon cycle includes the
62 dissolution of anthropogenic CO₂ in the surface ocean and transport to the deep ocean. The
63 land carbon cycle includes the response to change in CO₂ concentration or climate. The
64 model was used in a previous study to investigate another aspect of the climate-carbon
65 feedback¹⁰. And the model performs well compared to CMIP5 models in the same ‘1pct CO₂’
66 experiment¹⁹ when calculating the feedback sensitivities (Extended Data Fig.2-4). The RF
67 attribution itself followed an established methodology that we previously used to quantify the
68 contribution of Chinese emissions of greenhouse gases and aerosols to the global present-day
69 RF²⁰. To illustrate the attribution exercise and the model response to a perturbation of SLCF,
70 we performed an idealized experiment before the main result. We removed one year of the
71 volcano forcing of year 1964, to study the model’s response to this pulse of SLCF, both in
72 intensity and time scale.

73

74 **Results**

75 Figure 1 shows this response, calculated as the difference between the simulation without
76 the volcanic SLCF pulse of year 1964 (Rm64) and a control simulation with it (Figure 1a). It
77 shows that in OSCAR, the effects of a pulse of SLCF on temperature and atmospheric CO₂
78 concentration are lagging in time behind the pulse duration (Figure 1b and 1c). After
79 removing the 1964 volcanic RF, the peak response of global mean surface temperature
80 (GMST) was faster than the peak response of atmospheric CO₂ concentration, with time lag
81 of a few years. Notably, the response of GMST returned to its base level at a faster pace than
82 that of atmospheric CO₂. The response of atmospheric CO₂ is further split into the response
83 of the terrestrial carbon cycle (Figure 1d) and that of the ocean carbon cycle (Figure 1e).
84 Immediately after removing the 1964 negative volcanic RF, climate is warmer than in the
85 control simulation (Fig. 1b), causing a positive anomaly of atmospheric CO₂ (Fig. 1c),
86 because carbon sinks are transiently weaker (Fig 1d and 1e). This anomaly of CO₂ is then
87 slowly re-absorbed as the system tends towards its former steady-state. This behavior is in line
88 with what an earlier study also based on OSCAR ¹⁰.

89 We produced our main results by repeating such a perturbation experiment with every
90 single RF reported in the IPCC AR5, and for several individual years or periods of the past
91 (see Methods). First, the GMST change in 2010 against the preindustrial era (the year of 1750)
92 was calculated (Figure 2a) and attributed to all climate forcings (Figure 2b). The largest
93 positive contributions to GMST change come from atmospheric CO₂ (684±198 mK), other
94 long-lived greenhouse gases (195±57 mK), methane (200±59 mK), and tropospheric O₃
95 (165±48 mK). Aerosols (-387±114 mK), volcanoes (-67±23 mK) and LUC albedo (-52±19
96 mK) contributed the most to negative changes. It must be noted that aerosols here combine
97 the net effect of both warming and cooling aerosols. Second, the radiative forcing of CO₂ in
98 2010 was attributed to FF-CO₂ emissions, LUC-CO₂ emissions and the climate-carbon
99 feedback (Figure 2c). In 2010, we estimated CO₂ radiative forcing was 1.81±0.17 W m⁻²,
100 where CO₂ emissions contribute 1.71±0.28 W m⁻², split into 1.25±0.17 W m⁻² from FF and
101 0.47±0.23 W m⁻² from LUC, and the remaining 0.09±0.05 W m⁻² came from the
102 climate-carbon feedback. Finally, the contribution of the climate-carbon feedback to the RF
103 of CO₂ in 2010 was attributed to each individual climate forcings. Following a similar

104 hierarchy as that of the attribution of GMST change, atmospheric CO₂, other long-lived
105 greenhouse gases and methane contributed the most to the feedback, reaching 118±7 mW m⁻²,
106 38±7 mW m⁻², and 45±18 mW m⁻², respectively. The contributions of tropospheric O₃ (36±16
107 mW m⁻²), aerosols (-86±41 mW m⁻²), black carbon snow effect (15±7 mW m⁻²), volcanoes
108 (-25±13 mW m⁻²) and LUC albedo effect (-12±6 mW m⁻²) constituted the remainder.

109 It is reasonable that the greater its influence on temperature, the greater a forcer
110 contributes to the climate-carbon feedback (Figures 1b and 1d). However, this strong
111 correlation between the two attributions is somewhat mitigated by the specific effect each
112 climate forcer has on the hydrological cycle^{21,22}, as precipitation does impact the carbon cycle
113 in OSCAR. We acknowledge that our results are therefore model-dependent, and a simple
114 model such as OSCAR still requires further development to keep track of features observed
115 in the real climate system. Especially, the climate response for each climate forcer appears to
116 be different on short time-scales²³, which is absent from this version of OSCAR.
117 Nevertheless, the quantitative conclusion remains that warming SLCFs such as methane,
118 tropospheric ozone, and black carbon may be regarded as indirect sources of CO₂ because
119 they warm the climate, which makes weaker carbon sinks (positive climate -carbon feedback)
120 and thus accelerate the growth rate of atmospheric CO₂ concentration. Accordingly, cooling
121 SLCFs such as scattering aerosols may be considered as indirect sinks of CO₂.

122 Figure 3a shows how the present-day (2010) RF of CO₂ is attributable to past climate
123 forcing caused by CO₂ emission and climate-carbon feedback. Figure 3b illustrates that
124 SLCFs do influence atmospheric CO₂ concentration through the climate-carbon feedback
125 over a much longer duration than their own lifetime. Schematically, the effect we quantify in
126 Figure 3b is the result of the convolution between the typical responses illustrated by the
127 experiment in Figure 1, and the time-series of radiative forcing of SLCFs. Therefore, only a
128 strong anomaly in the RF can compensate the natural decay in the response. It is for instance
129 the case with the Pinatubo volcanic eruption: we estimate that 8±4 mW m⁻² of the total CO₂
130 RF in 2010 was actually due to the strong effect of stratospheric volcanic aerosols emitted by
131 this event in 1990-1995. More generally, however, we find that older radiative forcing
132 contributions from SLCFs impact less the present-day CO₂, owing to both the decay in the

133 response and the increase in their historical RF value. For instance, tropospheric ozone and
134 anthropogenic aerosols emitted in the 1970s caused $0.4\pm 0.2 \text{ mW m}^{-2} \text{ yr}^{-1}$ and $-1.0\pm 0.5 \text{ mW}$
135 $\text{m}^{-2} \text{ yr}^{-1}$ in 2010, whereas those emitted in the 2000s caused $1.3\pm 0.6 \text{ mW m}^{-2} \text{ yr}^{-1}$ and -3.0 ± 1.4
136 $\text{mW m}^{-2} \text{ yr}^{-1}$, respectively.

137 Nevertheless, our results show that SLCFs have a long lasting influence on atmospheric
138 CO_2 concentration through climate-carbon feedback and thus have long-term (50-60 years)
139 radiative forcing, which is much longer than their own atmospheric lifetime. Again, the
140 overall effect of SLCFs is found to be small compared to the total RF of CO_2 , but this small
141 value results from a compensating effect between warming and cooling SLCFs. Although
142 each SLCF has a significant contribution in our simulations, the sign of total contribution is
143 uncertain ($-13\pm 50 \text{ mW m}^{-2}$). This is mainly due to the fact that the magnitude of the warming
144 SLCFs and cooling SLCFs is about the same. As the climate system will remain perturbed by
145 anthropogenic non- CO_2 species, the future evolution of this effect will depend on whether
146 this compensation of warming and cooling SLCF will last in the future. If warming and
147 cooling SLCFs have different reduction rates in the future, then this competition will weaken
148 and the overall effect will be more prominent.

149

150 Conclusions

151 Previous studies on the influence of SLCFs focused mainly on the aerosol cooling
152 effect¹³⁻¹⁵. While some found that aerosols increased the global carbon sink by cooling the
153 climate¹³, others argued it was not a significant effect¹⁴. The knowledge gaps were shown to
154 come from uncertainties both in aerosol simulation and the carbon cycle process modeling¹⁵.
155 Our study contributes to this debate, as our prescribed non- CO_2 RFs and probabilistic
156 simulations with OSCAR, which emulates more complex model. As non- CO_2 RFs are
157 prescribed using IPCC data, we avoid aerosol simulation in this study. And the probabilistic
158 simulations with OSCAR show the results of several more complex models. We find that
159 anthropogenic and volcanic aerosols contributed together $-0.11\pm 0.01 \text{ W m}^{-2}$ out of the
160 $1.81\pm 0.17 \text{ W m}^{-2}$ of the total RF of CO_2 in 2010. Although statistically significant, this is a
161 rather small value, because insofar emissions of cooling and warming aerosols have opposed

162 each other.

163 But aerosols are only one part of the picture: other SLCFs such as tropospheric ozone
164 also have a significant warming impact on the climate system, and therefore on the carbon
165 cycle. According to this study, warming SLCFs like methane, black carbon and tropospheric
166 ozone, contributed 6% radiative forcing ($102 \pm 26 \text{ mW m}^{-2}$) to the total RF of CO_2 in total,
167 through climate-carbon feedback. Cooling SLCFs contributed slightly more ($-115 \pm 43 \text{ mW}$
168 m^{-2}), but with the opposite sign. Although the effects are relatively small in comparison with
169 the total CO_2 radiative forcing (1.81 W m^{-2}) and offset each other, the long-term legacy
170 climate forcing of SLCFs (50-60 years) should not be neglected in global climate modeling.
171 Furthermore, as global SO_2 emissions continue to decline²⁴ (mainly due to stricter emission
172 controls in North America, Europe and China), the contribution of sulfate aerosol cooling
173 effect to global RF of CO_2 may be reduced, which may increase the overall SLCFs positive
174 contribution to the RF of CO_2 in the future.

175 Our study is thus more comprehensive than previous estimates¹³⁻¹⁵, although it is
176 inherently limited by the simplicity of our model. One such limitation, already discussed
177 earlier, is the lack of a differing response of the climate system when forced by different
178 climate forcings. This caveat is supposed to be mitigated by the use of “effective” radiative
179 forcing (ERF), as per definition the non- CO_2 ERF are supposed to be comparable to the CO_2
180 RF¹ (and our climate response is derived from a CO_2 forcing). Although not all climate
181 forcings were evaluated in terms of ERF in the AR5, anthropogenic aerosols were, which adds
182 a degree of confidence to our results.

183 To conclude, our study is a first step towards switching the attribution of the RF of CO_2
184 from concentration-based to emission-based, similarly to what was done for chemically
185 active species in the AR5. The second-step analysis that remains to be carried out is the
186 inclusion of direct effects caused by SLCFs on the carbon cycle: the deposition of aerosols
187 containing nitrogen and phosphorus which increases productivity²⁵⁻²⁷ and may decrease
188 respiration²⁸, ozone phytotoxicity²⁹, and change in diffuse radiation from increased aerosol
189 content in the atmosphere^{30,31}. In addition, feedbacks between climate and natural aerosol
190 should also be included in the future steps³². Existing studies do provide quantifications of

191 these effects, but they remain to be integrated in a comprehensive and consistent attribution
192 framework such as ours. Our work on the climate-carbon feedback already shows that
193 non-CO₂ species are key perturbations of the carbon cycle, and achievement of the second
194 step would likely strengthen that conclusion.

195

196 References (main text)

- 197 1 Stocker, T. F. *et al.* Climate change 2013: The physical science basis. *Contribution of*
198 *working group I to the fifth assessment report of the intergovernmental panel on climate*
199 *change* **1535** (2013).
- 200 2 UNEP. Near-term climate protection and clean air benefits: Actions for controlling
201 short-lived climate forcers. 78 (2011).
- 202 3 Smith, S. J. & Mizrahi, A. Near-term climate mitigation by short-lived forcers.
203 *Proceedings of the National Academy of Sciences of the United States of America* **110**,
204 14202-14206, doi:10.1073/pnas.1308470110 (2013).
- 205 4 Bowerman, N. H. *et al.* The role of short-lived climate pollutants in meeting temperature
206 goals. *Nature Climate Change* **3**, 1021 (2013).
- 207 5 Haines, A. *et al.* Short-lived climate pollutant mitigation and the Sustainable
208 Development Goals. *Nature climate change* **7**, 863 (2017).
- 209 6 Friedlingstein, P. *et al.* Climate - Carbon Cycle Feedback Analysis: Results from the C
210 ⁴MIP Model Intercomparison. *Journal of Climate* **19**, 3337-3353, doi:10.1175/JCLI3800.1
211 (2006).
- 212 7 Heimann, M. & Reichstein, M. Terrestrial ecosystem carbon dynamics and climate feedbacks.
213 *Nature* **451**, 289 (2008).
- 214 8 Arneeth, A. *et al.* Terrestrial biogeochemical feedbacks in the climate system. *Nature*
215 *Geoscience* **3**, 525 (2010).
- 216 9 Le Quéré, C. *et al.* Saturation of the Southern Ocean CO₂ sink due to recent climate change.
217 *science* **316**, 1735-1738 (2007).
- 218 10 Gasser, T. *et al.* Accounting for the climate-carbon feedback in emission metrics. *Earth*
219 *System Dynamics* **8**, 235-253 (2017).
- 220 11 Raupach, M. R. *et al.* The declining uptake rate of atmospheric CO₂ by land and ocean
221 sinks. *Biogeosciences* **11**, 3453-3475, doi:10.5194/bg-11-3453-2014 (2014).
- 222 12 Aamaas, B., Berntsen, T. K., Fuglestedt, J. S., Shine, K. P. & Bellouin, N. Regional
223 emission metrics for short-lived climate forcers from multiple models. *Atmospheric*
224 *Chemistry and Physics* **16**, 7451-7468 (2016).
- 225 13 Jones, C. D., Cox, P. M., Essery, R. L., Roberts, D. L. & Woodage, M. J. Strong carbon
226 cycle feedbacks in a climate model with interactive CO₂ and sulphate aerosols.
227 *Geophysical Research Letters* **30** (2003).
- 228 14 Mahowald, N. *et al.* Desert dust and anthropogenic aerosol interactions in the Community
229 Climate System Model coupled-carbon-climate model. (2011).

- 230 15 Zhang, Y. *et al.* Increased Global Land Carbon Sink Due to Aerosol - Induced Cooling.
231 *Global Biogeochemical Cycles* (2018).
- 232 16 Mahowald, N. Aerosol Indirect Effect on Biogeochemical Cycles and Climate. *Science* **334**,
233 794–796, doi:10.1126/science.1207374 (2011).
- 234 17 MacDougall, A. H. & Knutti, R. Enhancement of non - CO₂ radiative forcing via intensified
235 carbon cycle feedbacks. *Geophysical Research Letters* **43**, 5833–5840 (2016).
- 236 18 Gasser, T. *et al.* The compact Earth system model OSCAR v2.2: description and first
237 results. *Geoscientific Model Development* **10**, 271–319, doi:10.5194/gmd-10-271-2017
238 (2017).
- 239 19 Arora, V. K. *et al.* Carbon - Concentration and Carbon - Climate Feedbacks in CMIP5 Earth
240 System Models. *Journal of Climate* **26**, 5289–5314, doi:10.1175/JCLI-D-12-00494.1 (2013).
- 241 20 Li, B. *et al.* The contribution of China' s emissions to global climate forcing. *Nature*
242 **531**, 357 (2016).
- 243 21 Shine, K. P., Allan, R. P., Collins, W. J. & Fuglestedt, J. S. Metrics for linking
244 emissions of gases and aerosols to global precipitation changes. *Earth System Dynamics*
245 **6**, 525–540 (2015).
- 246 22 Andrews, T., Forster, P. M., Boucher, O., Bellouin, N. & Jones, A. Precipitation,
247 radiative forcing and global temperature change. *Geophysical Research Letters* **37** (2010).
- 248 23 Marvel, K., Schmidt, G. A., Miller, R. L. & Nazarenko, L. S. Implications for climate
249 sensitivity from the response to individual forcings. *Nature Climate Change* **6**, 386
250 (2016).
- 251 24 Aas, W. *et al.* Global and regional trends of atmospheric sulfur. *Scientific reports* **9**,
252 953 (2019).
- 253 25 Mahowald, N. M. *et al.* Aerosol deposition impacts on land and ocean carbon cycles. *Current*
254 *Climate Change Reports* **3**, 16–31 (2017).
- 255 26 Wang, R. *et al.* Global forest carbon uptake due to nitrogen and phosphorus deposition
256 from 1850 to 2100. *Global change biology* **23**, 4854–4872 (2017).
- 257 27 Magnani, F. *et al.* The human footprint in the carbon cycle of temperate and boreal forests.
258 *Nature* **447**, 849 (2007).
- 259 28 Janssens, I. *et al.* Reduction of forest soil respiration in response to nitrogen
260 deposition. *Nature geoscience* **3**, 315 (2010).
- 261 29 Sitch, S., Cox, P., Collins, W. & Huntingford, C. Indirect radiative forcing of climate
262 change through ozone effects on the land-carbon sink. *Nature* **448**, 791 (2007).
- 263 30 Mercado, L. M. *et al.* Impact of changes in diffuse radiation on the global land carbon
264 sink. *Nature* **458**, 1014 (2009).
- 265 31 Gu, L. *et al.* Response of a deciduous forest to the Mount Pinatubo eruption: Enhanced
266 photosynthesis. *Science* **299**, 2035–2038 (2003).
- 267 32 Scott, C. *et al.* Substantial large-scale feedbacks between natural aerosols and climate.
268 *Nature Geoscience* **11**, 44–48 (2018).

269
270

271 **Methods**

272 **Model and data.**

273 This study uses a compact Earth system model, OSCAR v2.2¹⁸, to simulate the climate
274 effect of SLCFs through climate-carbon feedbacks and its time scale. OSCAR v2.2 is a
275 reduced-form model already used in the climate change research community^{20,33-35}, and it
276 includes all components of the Earth system necessary to simulate climate change: terrestrial
277 and ocean carbon cycles, tropospheric and stratospheric chemistry, albedo changes, and
278 climate responses. In addition, OSCAR v2.2 is a meta-model that emulates the sensitivity of
279 higher resolution or complexity models. Parameters of the model are calibrated by outputs
280 from complex Earth system models that participated in intercomparison projects. For
281 example, the parameters for land carbon cycle are calibrated by seven CMIP5 models and the
282 parameters for ocean carbon cycle are calibrated by three CMIP5 models. Although OSCAR
283 v2.2 is not a gridded model, emissions and key responses of climate to forcing are
284 regionalized. In OSCAR, regional temperatures are linear related to GMST and regional
285 precipitations are calculated with regional temperatures and radiatively active species.
286 Consequently, OSCAR is suitable for using in a probabilistic framework. We run simulations
287 with different parameters as a Monte Carlo ensemble and then constrained with observations.

288 In this study, OSCAR v2.2 was driven by fossil fuel CO₂ (FF-CO₂) emission data,
289 land-use change (LUC) data, and non-CO₂ radiative forcing (RF) data. FF-CO₂ emissions
290 data comes from CDIAC³⁶ and EDGAR³⁷. Land-use change data from the LUH v1.1
291 dataset³⁸, which is used with the bookkeeping module of OSCARv2.2 to calculate carbon
292 emissions from LUC. Non-CO₂ climate forcers are prescribed by the Annex II of the IPCC
293 AR5 WG1 report¹, including other greenhouse-gases and water vapor, stratospheric and
294 troposphere ozone, aerosol, albedo change of land-use change and black carbon on snow,
295 volcano, solar and contrails. We split the ‘other greenhouse-gases’ to methane and long-lived
296 greenhouse-gases, by calculating methane radiative forcing with its historical abundances
297 reported in the Annex II while other RFs remained unchanged. We chose the model
298 prescribed non-CO₂ radiative forcing instead of driving the model with non-CO₂ emission
299 data to limit the potential biases in our attribution. The prescribed radiative forcing data can
300 be found in Supplementary Data.

301 **Idealized experiment.**

302 Before the main result of attributions, we performed an idealized experiment to illustrate
 303 the model response to a perturbation of pulse SLCF. A control simulation is run driven by
 304 CO₂ emissions and non-CO₂ RF from IPCC. Another simulation is run identically except that
 305 the volcano RF in 1964 is removed. The differences between the two simulations represent
 306 the climate and carbon cycle responses to the pulse of SLCF.

307 **Attribution method.**

308 In this study, the normalized marginal method was used to attribute the GMST and RF of
 309 CO₂. This method was advised by the UNFCCC³⁹ for attributions within the climate system
 310 that account its nonlinearity and feedbacks. It attributes contributions to causes proportionally
 311 to the marginal effects of the individual causes, and the total is equal to the whole effect, so it
 312 is named ‘normalized marginal method’. This method was used in many earlier studies to
 313 attribute changes in a variable of the climate system to physical processes or to
 314 emissions^{7,20,33,34}.

315 The following uses mathematical language to describe the ‘normalized marginal
 316 method’. Suppose an effect A is caused by n causes, denoted as equation (1). If we want to
 317 attribute effect A to the causes (x₁, x₂, ..., x_n) using the method, we first calculate the marginal
 318 effects of each cause, which is the difference in function values when a cause is changed
 319 slightly, as in equation (2). In this study, the slight ratio ε is 0.1% for OSCAR¹⁸. Then the
 320 relative contributions of the causes are calculated by normalization as in equation (3), and the
 321 relative contributions are available as in equation (4).

$$322 \quad A = f(x_1, x_2, \dots, x_n) \quad (1)$$

$$323 \quad \Delta_i A = f(x_1, x_2, \dots, x_i, \dots, x_n) - f(x_1, x_2, \dots, x_i - \varepsilon x_i, \dots, x_n) \quad (2)$$

$$324 \quad \alpha_i = \frac{\Delta_i A}{\Delta_1 A + \Delta_2 A + \dots + \Delta_n A} \quad (3)$$

$$325 \quad C_i = \alpha_i \times A \quad (4)$$

326 In this study, we assume that 1) the climate change can be attributed only to the radiative
 327 forcing ignoring natural variability, 2) the RF of CO₂ is attributable to CO₂ emissions and the
 328 climate and 3) climate feedbacks of other non-CO₂ RF is negligible in the marginal

329 simulations. These three assumptions allow us to obtain the main results following three
 330 attributions. First, historical climate change is attributed to the radiative forcing caused by all
 331 climate forcers ($GMST = f(RF_{CO_2}, RF_{CH_4}, \dots, RF_{solar})$). Second, the historical change in RF
 332 of CO_2 is attributed to CO_2 emissions and the climate change ($RF_{CO_2} =$
 333 $f(ECO_2^{FF}, ECO_2^{LUC}, climate\ variables)$). Third, the contribution of the climate-carbon
 334 feedback is attributed to forcers including SLCFs ($RF_{CO_2} =$
 335 $f(ECO_2^{FF}, ECO_2^{LUC}, RF_{CH_4}, \dots, RF_{solar})$).

336 **Monte Carlo setup and constraint.**

337 In this study, we design a Monte Carlo ensemble (n=3000) to obtain the uncertainties of
 338 the results, taking advantage of the characteristics of OSCAR, in which random
 339 configurations are drawn from the pool available in OSCAR v2.2. As a meta-model, different
 340 configurations of OSCAR v2.2 emulate different higher complexity models. So the
 341 probabilistic simulations with OSCAR v2.2 are kind of model comparison, showing the
 342 uncertainties of model.

343 To reduce uncertainties and get a best-guess result, we followed *Steinacher et al's*
 344 constraint method in their study⁴⁰. Each member of the Monte Carlo ensemble is given a
 345 weight, following a chosen statistical likelihood, according to the deviations between their
 346 simulation results and historical observations in temperature and CO_2 concentration. Here,
 347 The weights w_i are calculated by Gaussian distribution probability density function $w_i =$
 348 $\frac{1}{\sqrt{2\pi}} e^{-\frac{(x_i - \mu)^2}{2\sigma^2}}$, where μ and σ are the average and standard deviation of the constraining data.
 349 The constraining data for GMST is from the HadCRUT4 dataset⁴¹, and that for CO_2 is from
 350 IPCC AR5¹. Ensemble members with better historical simulations were given higher weights,
 351 those with larger historical simulation deviations have lower weights. The best-guess value is
 352 then calculated as $\bar{x}_w = \frac{\sum w_i x_i}{\sum w_i}$ and the standard deviation is calculated as $\sigma_w =$
 353 $\sqrt{\frac{\sum w_i (x_i - \bar{x}_w)^2}{\sum w_i}}$.

354 **Validation of model and Monte Carlo framework.**

355 Two validation works are conducted for this study. One is comparison of atmosphere

356 CO₂ concentration simulated by OSCAR v2.2 with Global Carbon Budget (GCB)
357 assessment⁴². The other is comparison of feedback sensitivities calculated by OSCAR with
358 CMIP5 models¹⁹

359 In Extended Data Fig.1, OSCAR v2.2 model results are driven by CO₂ emissions and
360 non-CO₂ RFs with Monte Carlo setup and constraint. OSCAR modeled atmosphere CO₂
361 concentration meets well with GCB assessment, both in trend and values.

362 In Extended Data Fig.2-4, the feedback sensitivities calculated by OSCAR v2.2 are
363 shown, following the same method which *Arora et al* used for CMIP5 models¹⁹. The
364 magnitude and evolution of parameters that characterize feedbacks are calculated, based on
365 results from biogeochemically, radiatively, and fully coupled simulations in which CO₂
366 increases at a rate of 1% yr⁻¹. All these results showed that OSCARv2.2 performs in line with
367 CMIP5 model median, so we conclude OSCAR v2.2 is reliable in studying climate-carbon
368 feedback.

369

370 **Data availability**

371 Input data used in this paper are all available online.

372 CDIAC: https://cdiac.ess-dive.lbl.gov/trends/emis/meth_reg.html.

373 EDGAR: <http://edgar.jrc.ec.europa.eu/overview.php?v=42>.

374 LUH v1.1 dataset:³⁸ IPCC annexes: <https://www.ipcc.ch/report/ar5/wg1/>.

375 Global carbon budget: <https://www.globalcarbonproject.org/carbonbudget/index.htm>.

376 **Model availability**

377 The code used to generate all the results of this study is available at
378 <https://github.com/pkufubo/OSCAR/tree/NCLIM-19122723>. If more information or help
379 about the code is needed, contact the corresponding author.

380 **References (method)**

381 33 Shen, G. F. *et al.* Impacts of air pollutants from rural Chinese households under the

382 rapid residential energy transition. *NATURE COMMUNICATIONS* **10**, 3405–3408,
383 doi:10.1038/s41467-019-11453-w (2019).

384 34 Ciais, P. *et al.* Attributing the increase in atmospheric CO₂ to emitters and absorbers.
385 *Nature Climate Change* **3**, 926 (2013).

386 35 Gasser, T. *et al.* Path-dependent reductions in CO₂ emission budgets caused by permafrost
387 carbon release. *Nature Geoscience* **11**, 830 (2018).

388 36 Boden, T. A., Andres, R. J. & Marland, G. Global, regional, and national fossil-fuel
389 CO₂ emissions (1751–2010) (v. 2013). (Carbon Dioxide Information Analysis Center (CDIAC),
390 Oak Ridge National Lab, 2013).

391 37 EC-JRC/PBL. EDGAR version 4.2. doi:10.2904/EDGARv4.2 (2011).

392 38 Hurtt, G. C. *et al.* Harmonization of land-use scenarios for the period 1500–2100: 600
393 years of global gridded annual land-use transitions, wood harvest, and resulting
394 secondary lands. *Climatic change* **109**, 117 (2011).

395 39 UNFCCC. Methodological Issues: Scientific and Methodological Assessment of
396 Contributions to Climate Change, Report of the Expert Meeting, Note by the Secretariat.
397 doi:<https://unfccc.int/resource/docs/2002/sbsta/inf14.pdf> (2002).

398 40 Steinacher, M., Joos, F. & Stocker, T. F. Allowable carbon emissions lowered by multiple
399 climate targets. *Nature* **499**, 197–201 (2013).

400 41 Morice, C. P., Kennedy, J. J., Rayner, N. A. & Jones, P. D. Quantifying uncertainties
401 in global and regional temperature change using an ensemble of observational estimates:
402 The HadCRUT4 data set. *Journal of Geophysical Research: Atmospheres* **117** (2012).

403 42 Le Quéré, C. *et al.* Global carbon budget 2017. *Earth System Science Data Discussions*,
404 1–79 (2017).

405

406

407 Figure legends

408 **Figure 1. Response of a pulse of SLCF on climate and carbon cycle (volcano activities in**
409 **1964 as an example).** Two simulations are run: the control one is driven by RFs from IPCC,
410 and the ‘Rm64’ one is the same except that the volcano RF in 1964 is removed. (a) The
411 volcano RFs in control simulation (grey, dashed line), and in Rm64 simulation (blue, solid
412 line). The only difference is the removing of volcano RF in 1964. (b) Relative to control
413 simulation, ‘Rm64’ simulation has an increase of global mean surface temperature (GMST)
414 and a return to the base level. (c) The response of atmospheric CO₂ concentration is similar,
415 with a slower pace. (d) The land carbon sink’s response is decomposed in the response of
416 heterotrophic respiration (RH, green line) and that of net primary productivity (NPP, blue
417 line). The decrease of net land sink is represented by a black line (positive value means sink
418 loss) (e) The ocean carbon sink’s response is decomposed in the response of the outgoing flux

419 (F_{out} , green line) and that of the ingoing flux (F_{in} , blue line). The decrease of ocean sink is
420 represented by a black line (positive value means sink loss). The solid lines in panel b) to e)
421 represent the mean, and the dashed lines represent the ranges of one standard deviation.

422

423 **Figure 2. Attribution of global mean surface temperature change and RF of CO₂ in 2010**
424 **to climate forcings.** (a) The global mean surface temperature (GMST) change in 2010,
425 relative to the preindustrial era, which is attributed to climate forcings in (b). The climate
426 forcings include CO₂, other long-lived greenhouse gases (LL-GHG other), LUC albedo, solar
427 irradiance and SLCFs. (c) CO₂ RF in 2010 is $1.81 \pm 0.17 \text{ W m}^{-2}$. We attribute it to fossil fuel
428 (FF-CO₂) emissions, land-use change (LUC-CO₂) emissions and the climate-carbon
429 feedbacks. Contributions from emissions are noted with clear bars, and contributions from the
430 climate-carbon feedbacks are noted with bars hatched by dots. The climate-carbon feedback
431 makes a non-negligible contribution of $0.09 \pm 0.05 \text{ W m}^{-2}$ to the total. (d), The climate-carbon
432 feedback is further attributed into the contributions of the different climate forcings. All
433 uncertainties are one weighted standard deviation of our Monte Carlo ensemble ($n=3000$; see
434 **Methods** for details).

435

436 **Figure 3. Contribution of historical emissions of all forcings to RF of CO₂ in 2010.** (a)
437 Attributions of RF of CO₂ in 2010 to historical CO₂ emissions (clear bars) and
438 climate-carbon feedback (bars hatched by dots). (b) Attributions of the contributions to RF of
439 CO₂ induced by climate-carbon feedback. Here, present-day RF of CO₂ is attributed to the
440 same climate forcings as in Figure 2 and to different periods of emissions. Those years of
441 forcing farther away from 2010 were grouped by bins covering a larger period to save
442 computing resources, and since contributions from older periods are small. The area of each
443 bar represents the contribution of a past forcing to the RF of CO₂ in 2010, so that summing
444 the area of all the bars of one color gives back the corresponding RF shown in Figure 2d. It
445 can be seen that SLCFs decades ago still contributed to the RF of CO₂ in 2010, which is a
446 time interval longer than their lifetime. The uncertainties can be found in Supplementary Data
447 Uncertainties of Fig3b.

448

449

450 **Acknowledgements**

451 This study is supported by the National Natural Science Foundation of China (grant
452 41771495 and 41830641).

453

454 **Author contributions**

455 B.L., T.G., P.C., S. Piao designed the study. Simulations were performed by B.F. and T.G,
456 with model input data prepared by B.F., X.L., Y.H., J.A., S. Peng and J.X. Figures were
457 designed by B.F., B.L., W.L, T.Y and L.H. Writing was led by B.L., with substantial inputs
458 from B.F., T.G., P.C., S.T. and Y.B. All authors participated in the study, the interpretation of
459 the results, and the outline of the paper, through regular meetings and discussion.

460

461 **Corresponding author:** Bengang Li (libengang@pku.edu.cn)

462

463 **Competing interests**

464 The authors declare no competing interests.

465

466





