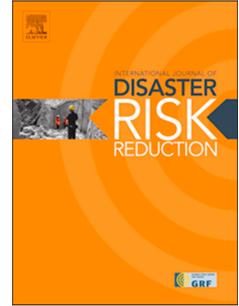


# Journal Pre-proof

Designing the building space of a shopping street to use as a disaster evacuation shelter during the COVID-19 pandemic: A case study in Kobe, Japan

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1           **Designing the Building Space of a Shopping Street to Use as a**  
2           **Disaster Evacuation Shelter During the COVID-19 Pandemic:**  
3                   **A Case Study in Kobe, Japan**

4  
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11  
12   **Abstract**

13   This study considers the risk of a natural hazard-induced disaster occurring during a pandemic,  
14   such as the novel coronavirus (COVID-19) pandemic, and develops the idea of utilizing a  
15   shopping street with disaster-proof buildings as a temporary evacuation shelter by  
16   incorporating countermeasures against the spread of infectious diseases. Using a case study  
17   of a shopping street in Kobe, Japan, we estimate shelter capacity by considering the  
18   requirement of 6 m<sup>2</sup> of space allotted for each person. The shelter can accommodate 1,194  
19   evacuees and provide them with food and drinks for one day, even in the worst case of lifeline  
20   disruption. This study proposes a method of designing shelter space, and demonstrates how  
21   non-homogeneous and noncontinuous spaces within shopping street buildings can be applied  
22   to prevent the spread of infection, through the classification of evacuee types and use of  
23   space and facilities designated for each type. The study further examines the liability issue of  
24   secondary infection at the shelter with reference to civic law and the roles of government in  
25   developing a distributed evacuation framework.

26  
27   **Keywords:**

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28 Natural hazard, novel coronavirus, evacuation shelters, shopping streets, infection prevention  
29 measures, Kobe, Japan

30

### 31 **1. Introduction**

32 The novel coronavirus (hereafter COVID-19) has rapidly spread worldwide since the initial  
33 outbreak in China in December 2019. The World Health Organization (WHO) declared a  
34 pandemic on March 12, 2020, and presented the guiding principle of “Avoid the Three Cs” [1],  
35 indicating that COVID-19 spreads more easily in certain places: “crowded spaces with many  
36 people nearby,” “close-contact settings especially where people have close-range  
37 conversations,” and “confined and enclosed spaces with poor ventilation,” and thus that built  
38 spaces should avoid these characteristics. As other slogans and catchphrases, such as “social  
39 distance,” “stay home,” and “new normal,” infiltrated society, disaster management experts  
40 and authorities were faced with the urgent need to modify evacuation strategies, including  
41 expanding the shelter area required per person, from 3 m<sup>2</sup> to 6 m<sup>2</sup>. This was followed by a  
42 heavy rainy season in Japan in 2020, during which designated evacuation shelters, such as  
43 school gymnasiums and community centers, could only accommodate 30–50 % of the  
44 evacuees they had before COVID-19 [2,3]. Accordingly, shelters could not admit almost half  
45 of the evacuees, many of whom had to stay overnight in their cars or other places that were  
46 not organized disaster shelters. This situation enhanced the Japanese government’s  
47 awareness to the fact that the total area of available shelter space needed to be increased to  
48 provide safe havens for all potential evacuees. To address this issue, the national government  
49 recommended that local governments encourage their residents to carry out “distributed  
50 evacuation” [4,5], which is based on the principle that people should be asked to evacuate  
51 not only to designated evacuation shelters but also to other, undesignated shelters available  
52 in local communities or ad hoc for individual evacuees, such as hotels, welfare centers, homes  
53 of relatives or friends, and religious buildings. It was even indicated that staying at home was  
54 also an option, should it be safer than evacuating to another location [4,6–8].

55 Under the distributed evacuation policy, residents have a greater chance of securing  
56 temporary shelters; nonetheless, they may face other challenges, such as finding an  
57 appropriate shelter, managing such a shelter, and preparing emergency and relief supplies,  
58 by themselves or in cooperation with others. As for the management and operation of  
59 evacuation shelters during a pandemic, some international and domestic organizations have  
60 published guidelines for preventing the spread of COVID-19 in shelters [1,4], which share  
61 several basic strategies such as classifying evacuees based on health status and providing  
62 separate spaces and facilities based on those classifications (see Appendix A). Moreover, the  
63 Japanese government requested each designated evacuation shelter to arrange for the  
64 distribution of hygiene products, such as masks, alcohol-based hand sanitizers, and cleaning  
65 supplies (see Appendix B), and recommended that evacuees, especially those in undesignated

66 shelters, prepare and take such hygiene products to shelters themselves.

67 Regarding the problems of insufficient space in designated shelters and difficulties in  
68 providing disaster relief supplies to evacuees in undesignated shelters, the authors have  
69 proposed the idea of using buildings on a shopping street as undesignated evacuation  
70 shelters, and examined the potential to do so in the context of Japan and in particular the  
71 case-study area, Taisho-Suji shopping street in Kobe [9]. The study estimated accommodation  
72 capacity and available supply of food and drinks based on the available stockpiles of  
73 restaurants and grocery stores. It was concluded that the shopping street could provide  
74 shelter to approximately 2,300 persons, drinks for 1,700 person-days, and food for 1,200  
75 person-days in the case of lifeline disruption, and for 2,800 person-days if substitute facilities  
76 are available. Despite the idea's novelty, the risk of the spread of infectious diseases was not  
77 considered in this estimation [9].

78 The purpose of the present study is to develop the idea of using shopping-street evacuation  
79 shelters during a pandemic such as COVID-19 from viewpoints of disaster management and  
80 planning. Concretely, this study aims to: (i) update the estimation of a shelter's capacity to  
81 accommodate evacuees and supply food and drink using the shopping street's inventory  
82 considering the risk of the COVID-19 spread; (ii) investigate the possibility of providing  
83 hygiene products from the inventory and the quantities required to accommodate all  
84 evacuees; (iii) present example spatial designs for shelters as well as operational processes;  
85 and, (iv) investigate implementation and governance issues, especially regarding liability  
86 related to secondary infection and government attitudes. While the first aim above can be  
87 achieved by applying the same method as was used in Kotani et al. [9], the other aims require  
88 completely new foci and discussions, where essential advantages of the shopping-street  
89 buildings are found.

90 While some studies focused on the availability of facilities and buildings with large spaces as  
91 temporary evacuation shelters [10-20], only a few studies have investigated commercial  
92 facilities [21-23] among which our previous study [9] is unique due to its focus on the use of  
93 shopping streets as evacuation shelters with stockpile warehouses where evacuees can  
94 receive food and drinks on site. In this study, we again target the same street in Nagata, Kobe,  
95 Japan, that we have investigated from various points of view [24-26].

96 Since before the COVID-19 outbreak, problems related to infectious diseases during a time of  
97 natural hazard-induced disaster (hereafter "natural disaster" or "disaster") have been studied  
98 mainly in the fields of medical science and public health [27-31]. Since the outbreak,  
99 considerable attention has been paid to issues in the management of natural-and-pandemic  
100 complex disasters [32,33]. Among various problems brought up, this study discusses  
101 evacuation shelter design [34,35] with consideration of vulnerable people [36], and argues  
102 that our idea of using shopping-street buildings as evacuation shelters has the further merit

103 of preventing virus spread, owing to these buildings' non-homogeneous spaces composed of  
104 multiple floors and partitioned subspaces.

105 The remainder of this paper is structured as follows: Section 2 explains the background, target  
106 area, and approach of the study; Section 3 provides the results of the case study; Section 4  
107 discusses the applicability and implementability of the shelter plan; and, Section 5  
108 summarizes the findings and discusses the potential of future studies.

109

## 110 **2. Background, focus, and methods**

### 111 **2.1 Shelter space shortages before and after the COVID-19 pandemic**

112 The shortage of designated evacuation shelters is not a problem that first emerged after the  
113 outbreak of COVID-19, but one that Japanese society had already faced prior to 2019. For  
114 example, when the Tohoku earthquake and tsunami occurred on March 11, 2011, an  
115 elementary school in Otsuchi, Iwate prefecture, served as a designated shelter expected to  
116 accommodate approximately 120 evacuees in the event of a disaster. However, immediately  
117 after the earthquake, over 1,000 people rushed to the shelter [37]. Consequently, some  
118 evacuees who could not enter the building had to stay in their cars instead. During the 2016  
119 Kumamoto earthquake, 183,882 people evacuated to the designated evacuation shelter on  
120 the day after the main earthquake [38], a number much higher than the local government  
121 had expected. Prior to the earthquake, the city had set up designated shelters to  
122 accommodate 58,000 people [39], resulting in a shortage of shelter space during the  
123 emergency.

124 In May 2020, following the initial COVID-19 outbreak, the Japanese government issued new  
125 guidelines for evacuation shelter management [40] to prepare for potential floods in the  
126 coming rain and typhoon season as well as for potential earthquakes, which could occur at  
127 any time. These guidelines are intended to prevent COVID-19 from spreading in designated  
128 shelters during disasters by taking precautions such as allowing evacuees to maintain a social  
129 distance of 2 m. Consequently, shelters refused to accept evacuees in several prefectures. For  
130 example, during heavy rainfall in July 2020, more than 500 shelters in 116 municipalities in  
131 the Kyushu region and Yamaguchi prefecture rejected evacuees [41]. Based on this  
132 experience, when Typhoon No. 10 was approaching Japan in September 2020, each local  
133 government in Nagasaki prefecture, Kyushu region, added evacuation shelters, for 742  
134 shelters in total. When the typhoon arrived, more than 50,000 people evacuated, far  
135 exceeding the 1,670 people who had evacuated during Typhoon No. 9 the previous month.  
136 However, only 18 % of the 742 shelters actually went into operation, with 82 % of them unable  
137 to be used due to disaster damage or lack of measures in place to protect against COVID-19.  
138 At least 133 shelters in 12 cities and towns reached their capacity limit [42].

## 139 2.2 Target area

140 In this study, we revisit the case study of the Taisho-Suji Shopping Street in the Nagata Ward  
 141 of Kobe, Japan (Figure 1),<sup>1</sup> which was proposed for use as an undesignated evacuation shelter  
 142 in Kotani et al. [9]. The shopping street was damaged by the 1995 Kobe earthquake (the Great  
 143 Hanshin-Awaji Earthquake). Before the earthquake, this street had the typical features of  
 144 downtown areas of the time, with its small space busy and crowded with people, goods for  
 145 sale, and so on (Figure 2a). The shopping street suffered catastrophic damage during the  
 146 earthquake, and a large fire that occurred immediately after the earthquake caused further  
 147 extensive damage (Figure 2b); the narrow streets were densely packed with wooden houses,  
 148 preventing fire engines from entering the area. Approximately two months after the disaster,  
 149 to construct fire- and earthquake-proof buildings, Kobe proposed a recovery plan to  
 150 redevelop an area south of the Japan Railway Shin-Nagata Station [43]. In this project, the  
 151 street was transformed into a modern shopping arcade, with skyscrapers, high ceilings, and  
 152 wide streets (Figure 2c). The buildings also have basements (Figure 3a) and several floors  
 153 above ground. However, after the transfiguration effected by this redevelopment, the street's  
 154 lively scene was drastically damaged; vacant stores increased, and visitors decreased. Then,  
 155 with efforts such as those made by local companies and shop owners to organize new events  
 156 and develop traditional festivals, the street has gradually been regaining visitors [25],  
 157 although the number of vacant stores has not declined (Figure 3b) [9,43].

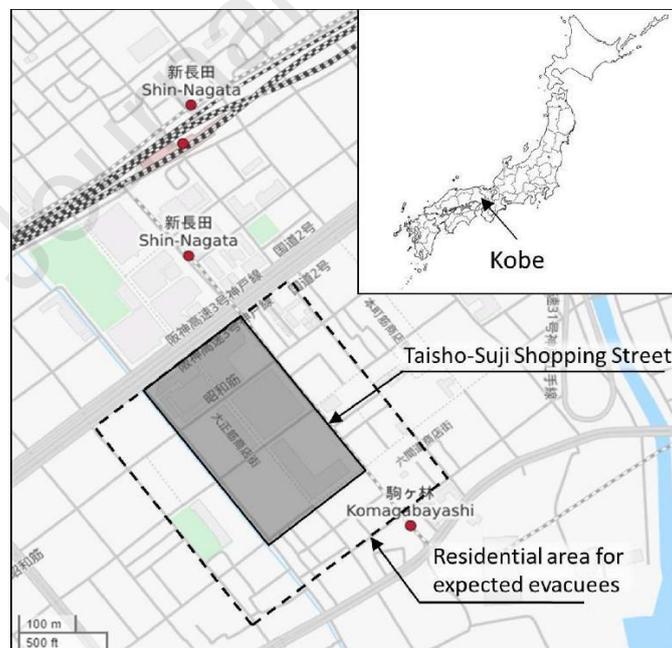


Figure 1. Target area and shopping street in the Nagata Ward, Kobe, Japan; the shaded

<sup>1</sup> We targeted the shops and restaurants in six buildings constructed as part of the recovery project. The Taisho-Suji Shopping Street does not include all the shops and restaurants in the buildings, but only those that belong to the Shopping Street Association. For simplicity, this study refers to all shops and restaurants in the relevant buildings as the “Taisho-Suji Shopping Street.” Member shops of the association comprises approximately 60 % of those in the buildings. The association could be one of the factors of implementability; however, generally, which element plays a crucial role in driving community-based activity of disaster management varies from community to community.

area represents the Taisho-Suji Shopping Street; expected evacuees live in the area marked by the dashed line [9].

158



(a) The shopping street in 1994



(b) The shopping street damaged by the earthquake



(c) Reconstructed shopping street

(Source: [http://shinsai-ar.kobe-shashinkan.jp/map\\_shinnagata\\_s.html](http://shinsai-ar.kobe-shashinkan.jp/map_shinnagata_s.html))

Figure 2. Taisho-Suji Shopping Street before and after the 1995 Kobe earthquake and reconstruction.

159

160 Figure 1 illustrates the target area, consisting of the Taisho-Suji Shopping Street and a  
 161 residential area of 5,219 residents [44], some of whom would potentially evacuate to this  
 162 shopping-street shelter. As mentioned above, the buildings currently on the street are fire-  
 163 and earthquake-proof, the central passage is wide, and the arcade ceiling is high enough so  
 164 that fire engines can pass the street. Therefore, the buildings are suitable for use as  
 165 earthquake evacuation shelters. Further, this area is not subject to tsunamis or landslides,  
 166 and has been classified as inundation-free against floods on the scale of a one-hundred-year  
 167 return period. However, in 2020, updated flood simulation results indicated that the area  
 168 could be inundated by floodwaters of “less than 0.5 meters high” in the case of floods on the  
 169 scale of a one-thousand-year return period [45]. Since a flood’s scale is predictable to some  
 170 extent before it reaches an area, in the case of huge-scale events such as those of the one-  
 171 thousand-year return period, the floor plan is arranged so that the basement and first floor

172 are not used, while floors above the second floor will become more significant, owing to the  
173 scarcity of tall buildings that can serve as evacuation shelters in the district. Considering such  
174 needs for a flexible arrangement, in this study, we explore hazard scenarios except for a flood  
175 of one-thousand-year-return-period scale, meaning that we include the basement and first  
176 floor in shelter planning to ascertain the potential of the buildings to cover most disasters.

177 As previously mentioned, in Kotani et al. [9], we developed the idea of a shopping-street  
178 shelter plan and estimated its capacity not during a pandemic: results indicated that it could  
179 be used as an accommodation space for 2,300 evacuees, provide drinks for 1,700 person-days,  
180 and proper meals for 1,200 and 2,800 person-days in the case of power, gas, or water outages  
181 and in the case of an alternative lifeline being available, respectively.

## 182 **2.3 Procedures**

183 In this study, we focus on a case of a natural disaster that could occur during the COVID-19  
184 pandemic. Quantitative examinations are performed to determine the following three  
185 matters:

- 186 1. Capacity to accommodate evacuees with safe physical distancing maintained and supply  
187 adequate food and drinks from the inventory;
- 188 2. Possibility of providing hygiene products from the inventory and the quantities required  
189 to cover accommodated evacuees;
- 190 3. Examples of typical designs to allocate evacuees to vacant spaces depending on their  
191 health status.

192 The procedures to demonstrate these aspects are described below.

### 193 **2.3.1 Capacity to accommodate evacuees with safe physical distancing and supply food and** 194 **drinks**

195 Following the method proposed in Kotani et al. [9], we calculate the area (unit:  $\text{m}^2$ ) of  
196 “available spaces” for evacuees (i.e., shared space [Figure 3a] and empty lots [Figure 3b]),  
197 which are used as shelter spaces in disasters in the shopping street. The building’s floor plans  
198 and information on the empty lots as of 2020 are obtained from the management company.  
199 In the calculation of the total area of shared spaces, we exclude stairs, walls, and toilets, and  
200 only focus on spaces where people could lie down; in calculating that of empty lots, we  
201 assume that there is no furniture (e.g., shelving) there. Based on the area of available spaces,  
202 we estimate the number of evacuees that can be accommodated in the buildings. In this  
203 estimation, we assume that each evacuee would need  $6 \text{ m}^2$  of space to avoid COVID-19  
204 infection [33], instead of  $3 \text{ m}^2/\text{person}$ , as is often used in non-pandemic situations. We also  
205 illustrate what percentage of expected evacuees would be accommodated.

206 To estimate the quantities of food and drink supplied from the shopping street, we calculate  
207 the average quantity of inventory from restaurants and shops, following the method and  
208 inventory data in Kotani et al. [9]. The shops include convenience stores, supermarkets,

209 bakeries, and meat and fish shops. The calories (unit: kcal) and liter (unit: L) of supplied food  
210 and drink, respectively, are calculated. Based on the recommended daily intake of calories  
211 and water (2,000 kcal and 3 L per person for a day, respectively [9]), we estimate the number  
212 of person-days that could be supplied with available food and drinks. In this estimation, as  
213 the quantity of available food depends on the situation after the disaster, we consider the  
214 following two cases: (1) the case of power and water outage (hereafter “the case without  
215 utilities”) and (2) the case of a substitute power and water supply (hereafter “the case with  
216 substitute utilities”).

### 217 **2.3.2 Possibility of providing hygiene products from the inventory and the quantities** 218 **required to cover accommodated evacuees**

219 The installation and use of hygiene products, as shown in Table A1(b), are important in  
220 evacuation shelters during a pandemic. Therefore, we examine the availability of these  
221 products from the shopping street’s inventory. We visit stores that are likely to sell these  
222 products (e.g., supermarkets, convenience stores, and pharmacies) on the shopping street,  
223 check whether they exist in the inventory, and determine the possibility of their being  
224 supplied from the shopping street itself.

225 It is also recommended that citizens bring some hygiene products to the shelter themselves  
226 (Appendix B); however, citizens may not do so, and thus, it is important to stockpile essential  
227 hygiene supplies in shelters. We estimate the required quantities of four specific hygiene  
228 supplies, which almost everyone needs—(1) masks, (2) alcohol-based sanitizer, (3) plastic  
229 bags used for storing reusable materials, garbage etc. (i.e., “three types of polybags” in Table  
230 A1(b)), and (4) wet wipes (i.e., “alcohol wipes for cleaning” in Table A1(b))—to cover the  
231 number of evacuees accommodated in the shopping street. As a benchmark, we assume that  
232 each evacuee would wear one mask a day. Hand sanitizer requires at least 3 mL of liquid on  
233 each use [46]. We assume that a person would use hand sanitizer 10 times a day, and thus  
234 approximately 30 mL (3 mL X 10 times) would be used per person per day. We also assume  
235 that a person would use one plastic bag for trash (20 L) and one pack of wet wipes (50 sheets)  
236 a week. Evacuees would be expected to stay in the shopping street for one week.

237 In 2020, we could not estimate the average quantity of these hygiene products in the  
238 inventory of each shop because the inventory had changed dramatically. For example, the  
239 mask inventory decreased at the beginning of 2020, but later was overstocked, due to the  
240 increased use of reusable masks and production expansion. Future studies should calculate  
241 the exact numbers of hygiene products to be supplied from the shopping street; however,  
242 clarifying the necessary numbers will contribute to the discussion on measures to increase  
243 hygiene product stockpiles.

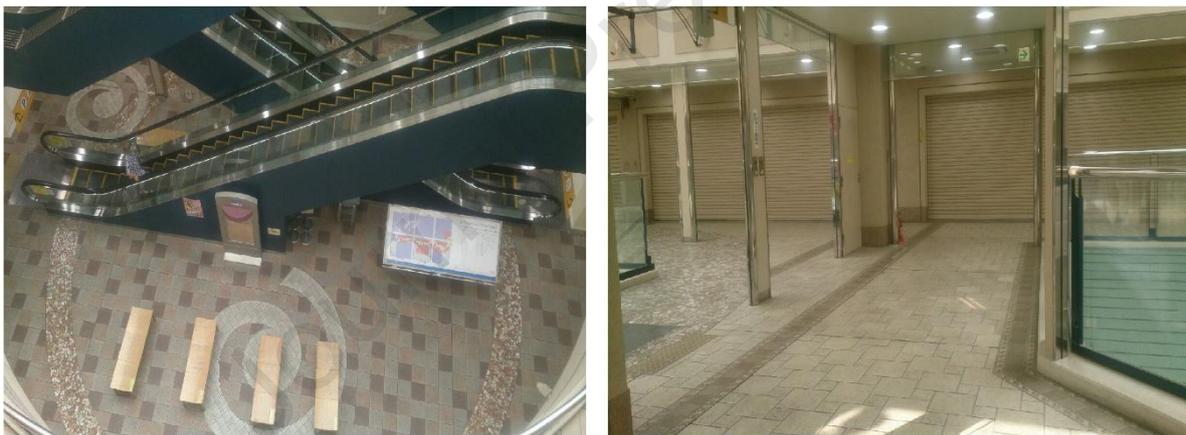
### 244 **2.3.3 Example shelter space design and space allotment depending on evacuee health** 245 **status**

246 While Kotani et al. [9] only examined the total area of the available spaces to discuss  
247 accommodation capacity, in a non-pandemic situation, the current COVID-19 pandemic

248 necessitates the inclusion of another dimension—the geographical distribution of evacuees  
 249 to prevent the spread of infection. We begin by discussing the spatial arrangement by  
 250 obtaining floor maps of the building and information on the vacant stores and available  
 251 common spaces. The number of available floors, rooms, toilets, taps with running water,  
 252 stairs, and elevators is surveyed on the maps and clarified. Additionally, the necessary  
 253 functions that should be provided in a shelter, such as reception areas and health check  
 254 locations, are determined by referring to relevant articles and reports [47,48].

255 Potentially, there could be a large number of combinations for evacuee classification, the  
 256 criteria for which could include COVID-19 infection status, contact with an infected individual,  
 257 related symptoms, age, other underlying conditions, and support needs. The classification  
 258 framework in the present study was designed to meet the necessary conditions for preventing  
 259 the infection spread and supporting evacuees who need help for other reasons, while also  
 260 considering the physical configuration of the building space. While several classification  
 261 criteria have been proposed [9,48], in this study we formulate a meaningful framework to  
 262 reflect needs and conditions at the site.

263



(a) Shared space in the basement (b) Vacant spaces  
 Figure 3. Available spaces for evacuation shelters in Taisho-Suji Shopping Street [9]

264

### 265 3. Results

#### 266 3.1 Capacity to accommodate evacuees with safe physical distancing and supply food and 267 drinks

268 Based on the buildings' floor plans and information on the empty lots as of 2020,<sup>2</sup> we  
 269 determine that the total area of the vacant spaces is 7,164 m<sup>2</sup>. From the total area, we  
 270 estimate that the shopping street could accommodate 1,194 evacuees (7,164 m<sup>2</sup> ÷ 6  
 271 m<sup>2</sup>/person; Table 1). This is half of the 2,388 evacuees (7,164 m<sup>2</sup> ÷ 3 m<sup>2</sup>/person) that could

<sup>2</sup> Three restaurants which opened in 2017, when the survey in Kotani et al. [9] was conducted, closed for business, and no new restaurants opened on their lots. Accordingly, the empty lots were increased compared with Kotani et al. [9].

272 be accommodated in a non-pandemic situation; however, the shopping street still has the  
273 capacity to accommodate 23 % (1,194 people  $\div$  5,219 people) of the expected evacuees.

274 Table 1. Number of accommodatable evacuees and percentage among expected evacuees  
275 during pandemic and non-pandemic situations

	Pandemic	Non-pandemic
Number of evacuees to be accommodated	1,194	2,388
Percentage of evacuees to be accommodated among expected evacuees	23 %	46 %

276 As of 2020, 56 shops located in the shopping street are identified as being likely to be able to  
277 supply food and drinks.<sup>3</sup> Based on the inventory data and methods illustrated by Kotani et al.  
278 [9], we estimate the total calories (unit: kcal) of food and the total quantity (unit: L) of drinks  
279 supplied from the shops to be 2,404,820 kcal and 5,389,607 kcal of food in the case without  
280 utilities and with substitute utilities, respectively, and 4,816 L of drinks regardless of the  
281 utilities situation (the second row of Table 2). These supplies are equivalent to 1,202 and  
282 2,695 person-days of food in the cases without utilities and with substitute utilities,  
283 respectively, and 1,605 person-days of drinks (the last row of Table 2). If we were to provide  
284 the available food and drinks to the number of evacuees who could be accommodated (i.e.,  
285 1,194 evacuees), these results indicate that we could provide meals on the first day of the  
286 disaster, even in the case without utilities. The remaining food and drinks—8 person-days  
287 (1,202 person-days – 1,194 evacuees) of food and 411 (1,605 person-days – 1,194 evacuees)  
288 of drink, could be distributed to people who stayed in their homes or were in neighboring  
289 shelters. If the food were cooked using power generators, gas cylinders, and well water, we  
290 would be able to cover two days' worth of meals for the evacuees accommodated on the  
291 shopping street.

292 Table 2. Estimated quantity of food and drinks supplied from the shopping street

	Food (kcal)		Drink (L)
	Case without utilities	Case with substitute utilities	
Total supply from 56 shops in 2020	2,404,820	5,389,607	4,816
Equivalent person-days	1,202	2,695	1,605

<sup>3</sup> In comparison with Kotani et al. [9], the following three changes were observed in the shops associated with the estimation: (1) as mentioned in the previous footnote, three restaurants which opened in 2017 closed for business and no new restaurants opened in their lots; (2) another restaurant that opened in 2017 closed, and then a company that did not sell food and drinks opened a business on the lot; and, (3) one fish shop that opened in 2017 was changed to a restaurant. We accounted for the above changes in the estimation.

293

294 **3.2 Possibility of providing hygiene products from the inventory and the quantities required**  
 295 **to cover accommodated evacuees**

296 We visited stores that were likely to sell hygiene products (i.e., two supermarkets, one  
 297 convenience store, one drug store, and one electronics retail store) and checked their  
 298 inventory. As shown in Table 3, we determine that most of the hygiene products, such as  
 299 masks, alcohol-based sanitizers, and thermometers, can be supplied from the shopping  
 300 street's inventory (In the table, ⊙ denotes at least one store has the inventory; △ denotes  
 301 that the store visited do not stock them in their inventory.).

302 Following this, we estimate the required number of four specific hygiene supplies—(1) masks,  
 303 (2) alcohol-based sanitizer, (3) plastic bags, and (4) wet wipes—to cover a one-week stay for  
 304 evacuees accommodated in the shopping street (i.e., 1,194 evacuees), based on the  
 305 assumptions described in Section 2. It is found that 8,358 masks (1,194 people X 1 mask/day  
 306 X 7 days), 35,820 mL of hand sanitizer (1,194 people X 30 mL/1 day X 7 days), 1,194 plastic  
 307 bags, and 1,194 packs of wet wipes would be required (Table 4). These quantities of hygiene  
 308 products should be supplied from the shopping street's inventory and/or stockpiled as relief  
 309 supplies in the shopping street.

310 Table 3. Hygiene products likely to be supplied from the shopping street's inventory

Items	Possibility of being provided from the inventory
Masks	⊙
Alcohol-based sanitizer	⊙
Thermometers	⊙
Non-contact thermometers	⊙
Alcohol wipes for cleaning	⊙
Disposable towels	⊙
Paper towels	⊙
Paper bags (for vomiting)	⊙
Hand soap	⊙
Household detergent for cleaning	⊙
Sodium hypochlorite for sanitization	⊙
Face shields	△
Raincoats	⊙
Poly gloves	⊙
Wraps	⊙
Three types of polybags	⊙
Zipper bags	⊙

Multipurpose baskets	△
Spray containers	⊙
Trash cans with foot pedals	△
Portable toilets	⊙
Cardboard beds	△
Partition walls	△

311 ⊙: Highly likely to be supplied    △: less likely to be supplied

312

313 Table 4. Required hygiene products for evacuees to be accommodated in the shopping street

Items	The required quantity for a week stays
Masks	8,358 masks
Hand sanitizer	35,820 mL
Plastic bags	1,194 bags
Wet wipes	1,194 packs

314

### 315 3.3 Example shelter space design and space allotment depending on evacuee health status

#### 316 3.3.1 Classification of evacuees

317 Here, we illustrate example designs for allocating evacuees to available spaces depending on  
 318 their health status. Assuming the shelter reception is set up outside the first floor of the  
 319 building, we classified evacuees into the following types according to their health status and  
 320 support needs:

- 321 ● Type I (infected) evacuees: People who have undergone a COVID-19 infection test before  
 322 the evacuation, have tested positive, and have neither yet fully recovered nor received a  
 323 negative test.
- 324 ● Type CS (close contact with infected others and symptomatic) evacuees: People who  
 325 came in close contact with an infected person. They have not been tested yet, but have  
 326 some symptoms related to COVID-19, such as a fever or cough.
- 327 ● Type C (close contact with infected others) evacuees: People who have been in close  
 328 contact with an infected person but are not showing symptoms.
- 329 ● Type S (symptomatic) evacuees: People who have neither made close contact with an  
 330 infected person nor have been diagnosed as positive for COVID-19, but have some related  
 331 symptoms.
- 332 ● Type H (healthy) evacuees: People who do not meet any of the criteria above.

333 In addition, some people are more vulnerable in disaster contexts due to physical causes

334 other than COVID-19 infection, such as other illnesses, injuries, disabilities, and old age; thus,  
335 they would have support needs. They are classified into the following types with the  
336 additional letter “V” (vulnerable): Type IV, Type CSV, Type CV, Type SV, and Type HV evacuees.  
337 Evacuees who are not vulnerable are classified as Type IN, Type CSN, Type CN, Type SN, and  
338 Type HN evacuees, with the letter “N” (not vulnerable) added.

339 The shelter space should be designed such that it meets three conditions. First, the entrance  
340 needs to include a space to conduct health checks and determine each evacuee’s type.  
341 Second, spaces for occupancy should be completely divided between Type IV, IN, CSV, CSN,  
342 CV, CN, SV, and SN evacuees (Group I-CS-C-S) and Type HV and HN evacuees (Group H).  
343 Accordingly, the spaces where each evacuee group stays should be divided, either on separate  
344 floors or in rooms separated by walls. Shared facilities, such as toilets and the water supply,  
345 should also be divided, as should the traffic (flow) lines for accessing them. If possible, Group  
346 I-CS-C-S should be further divided, with Type IV and IN evacuees (Group I) and Type CSV, CSN,  
347 CV, CN, SV, and SN evacuees (Group CS-C-S) in separate spaces. The queue for toilets and the  
348 water supply can get congested at certain times of the day. In order to keep distance between  
349 two individuals in a queue, tapes can be put on the floors to indicate where users should be  
350 standing. Third, regarding the traffic line design, vulnerable people should be given priority in  
351 elevator use. If there is more than one elevator, they should be separately allotted to Group  
352 I, Group CS-C-S, and Group H, to reduce their possible contact. If there is only one elevator, it  
353 should be arranged so that the space for one group—for example, Group H—is set up on the  
354 first floor, or hygiene practices, such as disinfecting one’s hands before and after touching the  
355 elevator buttons, should be more strictly requested.

356 Figure 4 shows an example shelter space design that satisfies the above three conditions,  
357 targeting the basement, first floor, and second floor of Building No. 5 on the shopping street,  
358 which has relatively large available spaces. Almost all the empty lots in the available spaces  
359 have air conditioning facilities; therefore, air circulation is significantly ensured in each lot. In  
360 the figure, the red areas indicate available spaces, blue areas indicate stairs, yellow areas  
361 indicate elevators, and green areas indicate facilities such as toilets and the water supply. The  
362 gray areas indicate unavailable spaces, which are occupied by stores and used for purposes  
363 other than disasters, and are therefore not expected to be used as shelter spaces during a  
364 disaster.

### 365 **3.3.2 Procedures to classify evacuees at reception**

366 Figure 5 illustrates the detailed procedures for classifying evacuees who come to the building.  
367 At the reception, evacuees who are already known to be infected with COVID-19 are sent to  
368 “the desk for evacuees with a confirmed infection” and classified as Type I. After checking  
369 their support, evacuees would be identified as either Type IV or Type IN.

370 Evacuees who have been in close contact with people infected with COVID-19 would be sent  
371 to “the desk for evacuees with close contact with the infected” and categorized as Type CS if  
372 they report symptoms during their health status check, and Type C otherwise. Next,  
373 depending on their support needs, Type CS evacuees would be categorized as either Type CSV

374 or Type CSN. The same procedure would be applied to Type C evacuees, who would then be  
 375 classified as either Type CV or Type CN.

376 Evacuees who have neither tested positive for COVID-19 nor made close contact with people  
 377 infected with COVID-19, but perceive some related symptoms, would be sent to “the desk for  
 378 evacuees with noticeable symptoms” and categorized as Type S. Then, their support needs  
 379 would be checked, and they would be classified as either Type SV or Type SN.

380 Evacuees who do not fit any of the above categories would be sent to the “desk for evacuees  
 381 without noticeable symptoms.” If some symptoms are detected in the health status check,  
 382 those evacuees would be categorized as Type S; otherwise, they would be categorized as Type  
 383 H. Type H evacuees would then be classified as either Type HV or Type HN, depending on their  
 384 support needs.

### 385 **3.3.3 Space and traffic lines for each evacuee type**

386 After evacuees are classified as one of the above types, they would be requested to follow a  
 387 specific traffic line to access a specific space (Figure 4). The details of the assigned available  
 388 spaces on each floor and traffic lines with access to the spaces for each type are shown below.

#### 389 **Basement (Figure 4a)**

390 To reduce their contact with other evacuee groups as much as possible, we decided that only  
 391 Group I would use the basement. Group I would follow the purple route to go to the basement,  
 392 using only *Gate 1* to avoid contact with other groups. Type IV evacuees would be prioritized  
 393 to use *Elevator 1* (E1) to move to the basement. They would be allocated to one of the  
 394 partitioned *Available Spaces 1, 2, and 3* (AS-B1, 2, and 3), which can accommodate six, two,  
 395 and seven people, respectively. Type IN evacuees would be asked to use *Stairs 1* (S1) to reach  
 396 *Available Space 4* (AS-B4), which can accommodate 264 people. *Toilet BF1* (T-BF1) would be  
 397 used by Group I only.

398 In this example, we expect that the stay of Group I would be short, since they should be  
 399 moved to other appropriate facilities as soon as possible to receive medical treatment. Thus,  
 400 we allocated Group I to the basement, where they cannot see the outside and are likely to  
 401 get stressed to some degree. Thus, if significant space is available on the upper floors and  
 402 Group I could be kept separate from the other groups, it would be desirable to allocate them  
 403 there.

#### 404 **First floor (Figure 4b)**

405 The first floor would only be used by Group H. They would exclusively use *Gate 2* to avoid  
 406 contact with other groups and would follow the green route to available spaces on the first  
 407 floor. Type HV evacuees would be accommodated in *Available Spaces 1* (AS-1F1) and 2 (AS-  
 408 1F2), which are partitioned and can accommodate one and two people, respectively. Type HN  
 409 evacuees would be allotted *Available Spaces 3* (AS-1F3) to 5 (AS-1F5) near the entrance, which  
 410 can accommodate 216 people. *Toilet 1F1* (T-1F1) would be used only by Group H. *Available*  
 411 *Space 6* (AS-1F6) could be used for hygiene product storage.

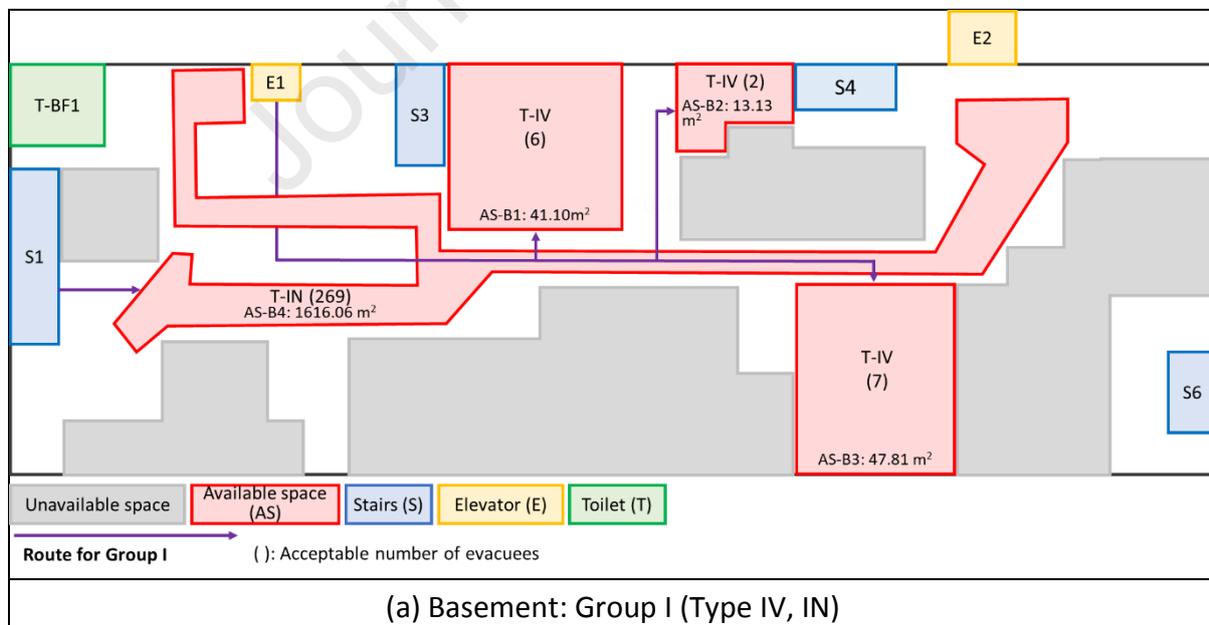
412 **Second floor (Figure 4c)**

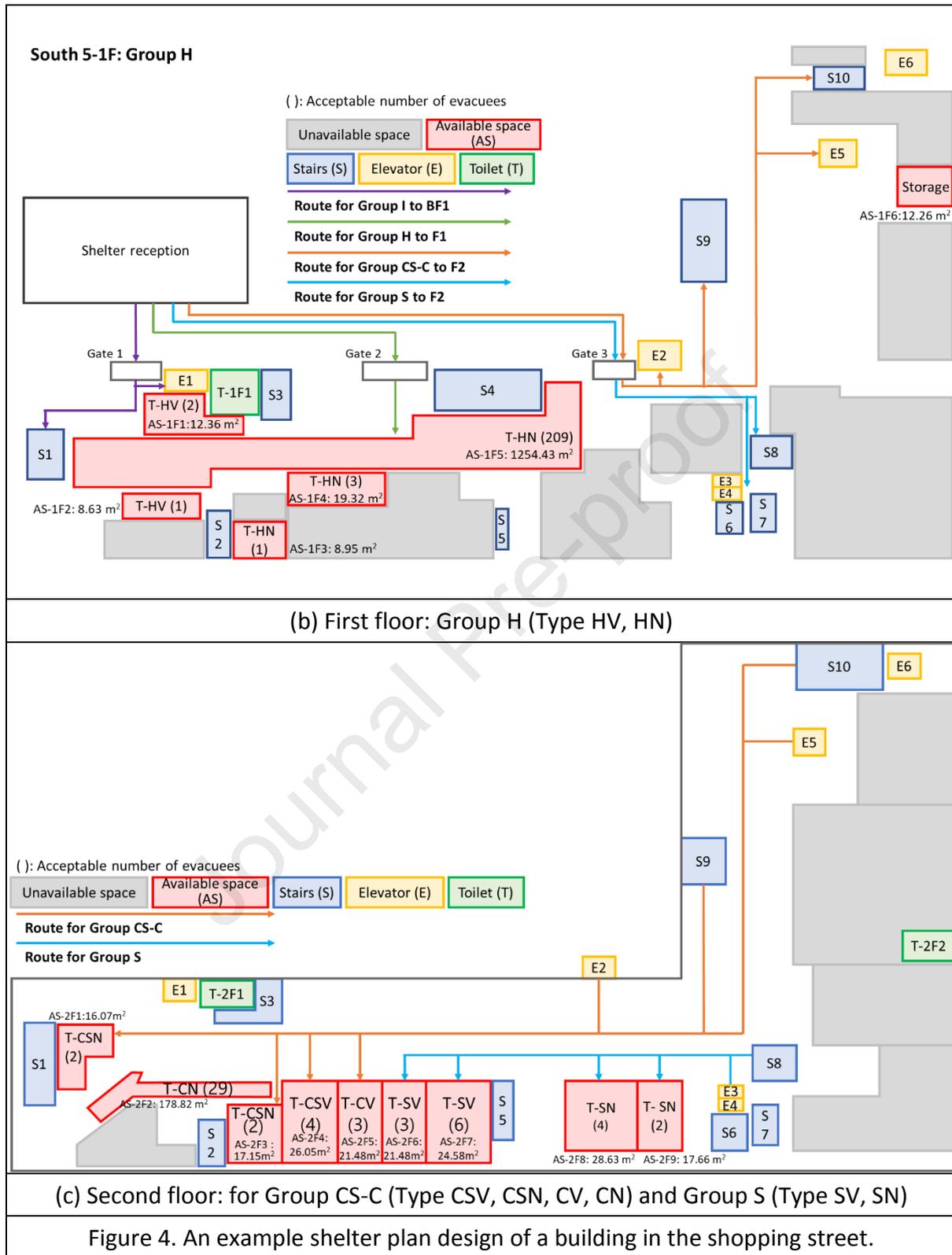
413 The second floor would be occupied only by Group CS-C-S. This floor has eight independent  
 414 rooms, which is advantageous for allotting different separated available spaces to each Type  
 415 of evacuees in Group CS-C-S. *Gate 3* is to be used exclusively by this group.

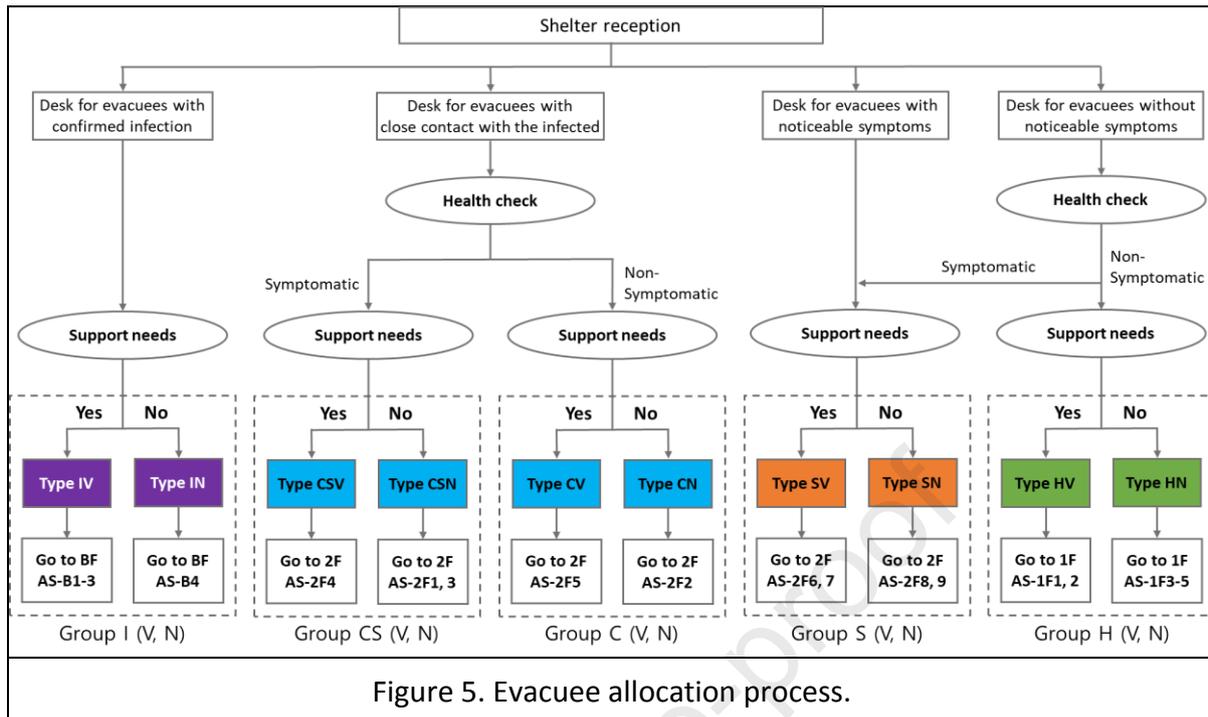
416 Type CSV, CSN, CV, and CN evacuees (Group CS-C) would follow the orange route to the  
 417 second floor. Type CSV and CSN evacuees (Group CS) would use *Elevator 2* (E2) and move to  
 418 *Available Space 4* (AS-2F4), which can accommodate four people. Type CSN evacuees would  
 419 use *Stairs 9* (S9) and be assigned *Available Spaces 1* (AS-2F1) and *3* (AS-2F3), which can each  
 420 accommodate two people. Similarly, out of Type CV, CN evacuees (Group C), Type CV  
 421 evacuees are to use *Elevator 5* (E5) and be assigned *Available Space 5* (AS-2F5), which can  
 422 accommodate three people. Type CN evacuees would use *Stairs 10* (S10) and be assigned  
 423 *Available Space 2* (AS-2F2), which can accommodate 29 people. Only Group CS-C would use  
 424 *Toilet 2F1* (T-2F1).

425 Type SV, SN evacuees (Group S) would follow the blue route to the second floor. Type SV  
 426 evacuees would take *Elevators 3 and 4* (E3 and E4) to the second floor and be allotted  
 427 *Available Spaces 6* (AS-2F6) and *7* (AS-2F7), which can accommodate three and six people,  
 428 respectively. Type SN evacuees would use *Stairs 6–8* and be assigned *Available Spaces 8* (AS-  
 429 2F8) and *9* (AS-2F9), which can accommodate four and two people, respectively. *Toilet 2F2*  
 430 (T-2F2) should only be used by Group S. The traffic lines to *Toilet 2F2* (T-2F2) for Group S, and  
 431 to *Toilet 2F1* (T-2F1) for Group CS-C, should be separated as much as possible.

432







434

## 435 4. Discussion

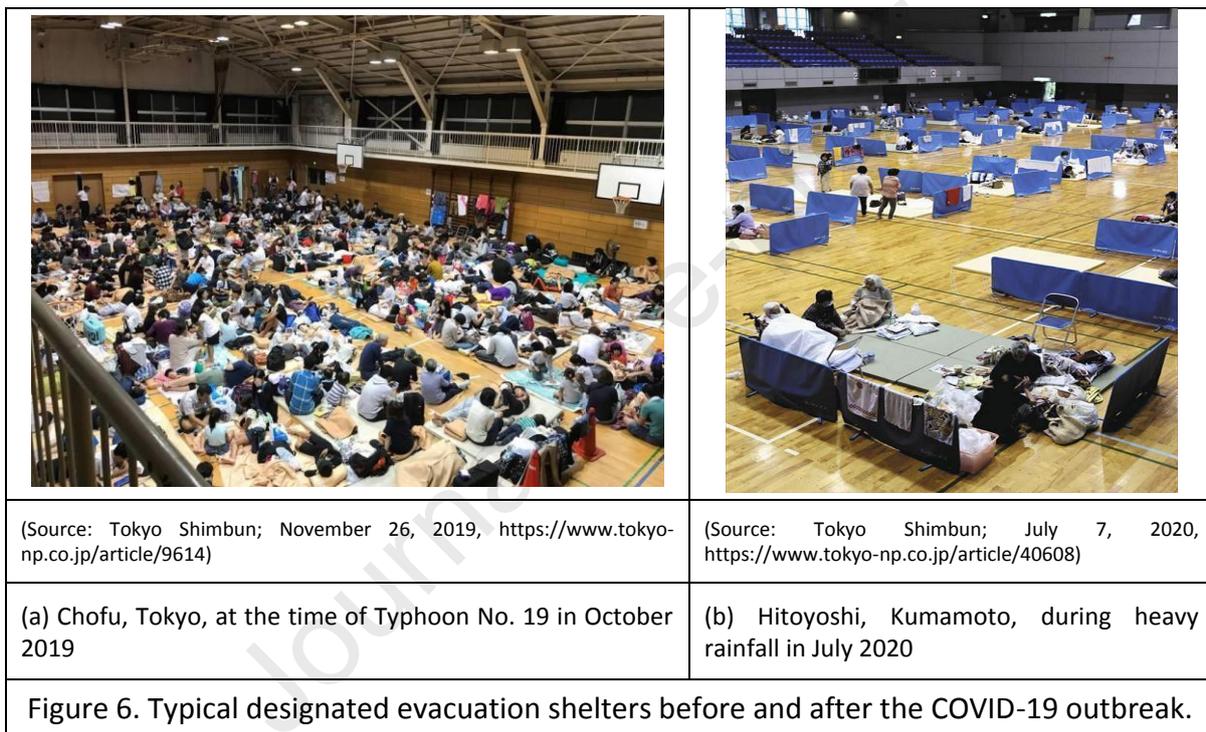
### 436 4.1 Non-homogeneity of space and shelter design flexibility

437 According to a survey conducted by the Small and Medium Enterprise Agency (SMEA), there  
 438 are 14,035 shopping streets in Japan that contribute to local commerce. However, with an  
 439 increase in big shopping malls that have larger commercial spheres, the commercial scale of  
 440 local shopping streets has declined; vacant shops have increased annually from 7.31 % in 2013  
 441 to 13.77 % in 2018 [49]. While the revitalization of local shopping streets has attracted  
 442 attention, this trend is expected to remain in a substantial percentage of local economies. Our  
 443 concept of the shopping street evacuation shelter plan is associated with a basic motivation  
 444 to reactivate local shopping streets through disaster management activities by using such  
 445 vacant spaces.

446 In Kotani et al. [9], we identified the potential of targeted shopping street buildings, with their  
 447 fire- and earthquake-proof properties, availability of vacant and common spaces, and food  
 448 and drink inventories. During the COVID-19 pandemic, the buildings offer additional merits,  
 449 such as multiple floors, separate rooms, toilets, and stairs, users of which can be separated  
 450 based on the infection status. The buildings' non-homogeneous, separated spaces work to  
 451 prevent the virus from spreading and consequently allow the evacuees to feel secure. Such  
 452 advantages are more obvious when comparing these buildings with school gymnasiums,  
 453 which have been the most conventional and common designated evacuation shelters in Japan,  
 454 as shown in Figure 6. Maintaining distance between individuals or families with partitions was  
 455 introduced after the COVID-19 outbreak, although their spaces are connected, and most  
 456 gymnasiums usually have only one lavatory for each male and female user. People could

457 become less optimistic about evacuation if they feel that they might share space and facilities  
 458 with people infected with COVID-19, which could result in them staying in a hazardous  
 459 environment during a disaster. However, Building No. 5, which was examined in Subsection  
 460 3.3, accommodates 555 of 1,194 evacuees. It has 18 separated rooms and spaces in total (4  
 461 in the basement, 5 on the first floor, 9 on the second floor), and their sizes vary. While the  
 462 biggest space accommodates 269 evacuees, there are 13 rooms with a capacity of less than 5  
 463 people. These small rooms are quite useful for quarantine in cases where there is a  
 464 comparatively small number of Type IV, IN and Type CSV, CSN evacuees.

465



466

467 It should be emphasized that space allotment is flexible according to the breakdown of the  
 468 population by the state of infectiousness. In the previous section, we placed Group I in the  
 469 basement, Group H on the first floor, and Group CS-C-S on the second floor. Nonetheless, we  
 470 can also conceive of a case in which there are no Type IV or IN evacuees at the shelter because  
 471 they have already moved to hospitals due to their symptom severity. In such a case, Type CSV  
 472 and CSN evacuees with severe symptoms would be given the basement spaces with the  
 473 expectation of moving to a hospital as and when the situation allows. However, if hospitals  
 474 are busy and they need to spend several days in the shelter, they may find a small room on  
 475 the second floor to be more comfortable, as the sky would be visible. Another possible  
 476 arrangement would be one in which a small room on the second floor is allotted to one family  
 477 that includes Type CSV and CSN members with severe symptoms.

478 With some expectations on the proportions of the types based on the current state of  
 479 infection in society, the shelter can prepare for multiple situations to adapt to different

480 circumstances in advance. Thus, the shopping street shelter plan contributes to society by  
481 increasing not only the total space for accommodating evacuees but also the number of  
482 isolated rooms and the flexibility of spatial arrangement.

#### 483 **4.2 Responsibility for the spread of infection in the shelter**

484 In Section 3.3, we described a shelter-space design method in which Group I-CS-C-S and Group  
485 H are kept separate, with the aim of preventing the spread of infection among residents.  
486 Moreover, unlike shelters with continuous large spaces such as school gymnasiums, which  
487 are commonly used as designated shelters, the shopping street's arcade buildings have high  
488 potential and enable a shelter design that incorporates the need for group divisions.

489 Nevertheless, there is a possibility that the infection could spread in shopping-street shelters  
490 if evacuees who should be identified as Type IV, IN, CSV, CSN, CV, CN, SV, or SN evacuees are  
491 miscategorized as Type HV or HN. Further, if responsibility for the spread of infection in  
492 evacuation centers could be attributed to the shelter manager, that possibility would be a  
493 major obstacle to implementing this plan. Since this would be a non-designated shelter, the  
494 plan would be implemented within the framework of community-based activities. The  
495 "shelter manager" or "operator" is a committee of community members, possibly including  
496 managers of the arcade buildings and shopkeepers from the shopping street. If the committee  
497 is afraid of being sued after the spread of the infection, they are likely to discontinue the plan.  
498 In this subsection, we will consider the issue of responsibility of the committee, that is, the  
499 shelter manager.

500 Cases in which a COVID-19 carrier transfers the virus to a non-carrier are classified into the  
501 following three types:

502 Case A: A case in which a Type IV, IN, CSV, CSN, CV, CN, SV or SN evacuee makes a false  
503 declaration and is settled in a space meant for a Type HV or HN evacuee.

504 Case B: A case in which an evacuee does not follow the rules of the designated traffic lines  
505 and communal facilities.

506 Case C: A case in which an evacuee who was identified as a Type HV or HN evacuee by not  
507 having symptoms related to COVID-19 is actually a virus carrier.

508 There are no measures the shelter manager can take against Cases A and Case B. Accordingly,  
509 the shelter manager is not liable for secondary infections caused by either case.

510 Likewise, even with Case C, there is no problem with the manager classifying such an evacuee  
511 as Type HV or HN at reception, as the evacuee was asymptomatic at the time. In addition, if  
512 symptoms such as fever appear later, and the manager moves and isolates the evacuee  
513 promptly and not unattended, even if secondary infection occurs, the manager will not be  
514 liable under the Civil Code of Japan. Article 698 (emergency administrative management)  
515 states, "If a Manager engages in the Management of Business in order to allow a principal to

516 escape imminent danger to the principal's person, reputation or property, the Manager shall  
517 not be liable to compensate for damages resulting from the same unless he/she has acted in  
518 bad faith or with gross negligence" [50]. Thus, providing shelter to protect community  
519 members from the risk of death or injury in the event of a disaster is an act of rescue from  
520 urgent harm, namely, an act of first aid, and is considered to fall under the category of  
521 "emergency administrative management" [51]. Therefore, managers will not be held civilly  
522 liable unless they have caused damage to another person due to malicious intent or gross  
523 negligence. Hence, as previously mentioned, as long as the manager checks the health status  
524 of the evacuees and takes appropriate measures, such as the settlement and traffic divisions,  
525 which consider the buildings' structural constraints, even if secondary infection occurs, a  
526 lawsuit could not be filed and the manager would not be held liable.

527 Article 698 (emergency administrative management) of the Civil Code is considered to be a  
528 provision for reducing liability with the intent to encourage people to provide first aid in an  
529 emergency [52]. Therefore, its content is considered to support the practice of this shelter  
530 plan. As such, potential managers should avoid hesitating to open non-designated shelters  
531 due to concerns over possible liability. In Japan, based on the fact that Article 698 of the Civil  
532 Code supports the opening of evacuation shelters, this provision should be understood and  
533 shared by all community members when they are formulating their local disaster  
534 management plans.

#### 535 **4.3 Required government attitude and response**

536 As previously stated, there has been a shortage of available evacuation shelters during a large-  
537 scale disaster, even without an ongoing pandemic, and non-designated evacuation shelter  
538 use is increasing. If the required area per evacuee is doubled during a pandemic, then the  
539 capacity of designated evacuation centers will be further reduced. Central and local  
540 governments have already recommended distributed evacuation, and the need for non-  
541 designated evacuation centers is increasing. Therefore, non-designated evacuation centers  
542 that are community-operated and have the above-mentioned capacity, such as the shopping  
543 street evacuation shelter described in this study, can assist government-operated designated  
544 shelters by reducing their population density.

545 In addition, shopping street shelters would also be valuable in that they would be pre-planned  
546 by the community. Conventionally, most undesignated shelters have been cars in parking  
547 areas for overnight stays or relatives' homes, where it is difficult for local governments to  
548 estimate in advance the number of evacuees who will use non-designated evacuation shelters.  
549 Furthermore, presumably some evacuees who ultimately stayed in their cars were refused  
550 entry to a designated shelter because it had reached its capacity for accommodating evacuees.  
551 In that case, in addition to the time loss and stress that evacuees experience during the  
552 evacuation process, the population density of the designated shelter's reception space will  
553 temporarily increase amid such confusion. In that respect, the shopping street shelter, whose

554 plan is shared by the community and government in advance, is superior to designated  
555 shelters.

556 It is possible, however, that community residents, including those operating evacuation  
557 shelters, will not be able to dispel a certain level of anxiety until it is demonstrated several  
558 times that the shopping street non-designated evacuation shelter can actually perform well.  
559 It is also likely that there will be differences in enthusiasm for the implementation of the plan  
560 among shopkeepers who are responsible for providing food and drinks. Given such a situation,  
561 even if it is positioned as an “undesignated evacuation shelter,” it can benefit the local  
562 community; thus, the local government should publicly express support for such a plan or  
563 take action to guarantee the plan’s validity. This will greatly increase the local population’s  
564 confidence in and motivation to use non-designated shelters.

565 Moreover, local governments must be motivated to provide disaster relief supplies to the  
566 shopping street shelter in advance. Having these disaster relief supplies stored in the empty  
567 spaces of shopping street buildings would also benefit the government. Such supplies would  
568 include ones that do not normally exist as commodities in the shopping district, such as  
569 blankets, partitions, and non-contact thermometers, and would also address a shortfall of  
570 masks during a disaster. Without shopping street shelters, such supplies would only be  
571 located and used in designated shelters and kept under administrative control. Relocating  
572 them to shopping street buildings in advance will strengthen the policy of distributed  
573 evacuation. Section 4.1 showed that 1,194 evacuees could be accommodated in the shopping  
574 street shelter, and Section 4.3 indicated that 1,194 masks, plastic bags, and wet wipes, and  
575 35,820 mL of hand sanitizer, were the required hygiene supplies. These findings could provide  
576 useful information for local governments when determining the size of stockpiles to be stored  
577 in shopping street buildings.

578 Furthermore, the government is required to play an important role in obtaining an estimate  
579 of the proportion of Type IV and IN evacuees—that is, those infected with COVID-19—among  
580 all the shelter evacuees. First, the government needs to create an environment in which Type  
581 CSV, CSN, CV, CN, SV, and SN individuals can quickly undergo PCR tests when there has been  
582 no disaster. As a result, the number of residents of these types will decrease, and some will  
583 become Type IV, IN. Severely ill patients among them would be hospitalized. To ensure this  
584 process, hospitals need to be equipped with a sufficient number of beds. Then, what is  
585 important as a disaster prevention policy is to encourage those who are asymptomatic or  
586 have mild symptoms to be isolated in hotels and facilities with sufficient disaster safety  
587 protocols. Thus, it is necessary to secure a sufficient number of isolation facilities for that  
588 purpose.

589 Such measures eliminate the need for Type IV and IN residents to move to evacuation shelters  
590 in the event of a disaster. In other words, those infected with COVID-19 who come to  
591 evacuation shelters in the event of a disaster consist of coronavirus carriers who have not

592 undergone the PCR test and infected persons who are quarantined at home. By reducing the  
593 number of such individuals in advance, the risk of spreading the infection in disaster shelters  
594 is greatly reduced. The reduced risk then mitigates the anxiety of healthy residents heading  
595 to shelters and encourages their active evacuation behavior. This point holds regardless of  
596 whether it is the shopping street evacuation shelter or a designated evacuation shelter.  
597 Governments need to implement a set of integrated multiple risk policies against COVID-19  
598 and natural disasters, which are consistent with each other and cover a region or society as a  
599 whole.

600 If an evacuation shelter rejects Type IV, IN, CSV, CSN, CV and CN evacuees or Type SV and SN  
601 evacuees with severe symptoms who fled to the shelter, it could lead to a human rights  
602 violation. To prevent such matters, it is necessary to comprehensively discuss in non-  
603 emergency situations what kind of restraint should be applied legally.

604 If Type IV, IN, CSV, CSN, CV, CN, or severe Type SV or SN evacuees arrive at shelters despite  
605 such proactive steps taken beforehand, they need to be transferred to a medical institution  
606 as quickly as possible. In this respect, equivalent measures must be taken between designated  
607 and non-designated evacuation centers. It is important for the government to take a uniform  
608 approach toward isolation within evacuation shelters and in the process of moving evacuees  
609 to medical institutions, assuming that many evacuation shelters do not have medical  
610 professionals available. Furthermore, in 2020, the Japanese government published a manual  
611 for operating shelters during the COVID-19 pandemic. This manual also points out the  
612 importance of providing training to increase the number of individuals available to participate  
613 in public health activities at evacuation shelters.

614 In this study, we have investigated the case of a shopping street in the Nagata Ward of Kobe,  
615 Japan. In addition to using data from this area to calculate the available area for evacuation  
616 and available quantity of food and drinks, the previous subsection developed a consideration  
617 in accordance with the Civil Code of Japan. In this way, the topic was thoroughly explored in  
618 line with the peculiarities and specificities of the targeted district. The results obtained from  
619 such research procedures will raise questions regarding the finding's generalizability and their  
620 applicability to other countries and regions. Some of the answers to such questions have  
621 already been provided in our previous study, Kotani et al. [9], which pointed out that shopping  
622 streets in many countries and regions have the potential to become "shelters with food  
623 stocks,"; shopkeepers often play a central role in the community's resident network and  
624 possess the foundations to become members of a shelter management group. However,  
625 environmental conditions, such as the location of a shopping street and the size and strength  
626 of the buildings, vary depending on the location. Additionally, laws, institutions, and norms  
627 regarding the concept of emergency administrative management and its liability—that is, the  
628 issue of liability for secondary infections of infectious diseases, which were dealt with in this  
629 study—vary by country. In that regard, it is beyond the scope of this study to offer an  
630 international institutional comparison. Nonetheless, the "generality" of this study, in terms

631 of its contribution to research and practice regarding the utilization of shopping street  
632 shelters in other countries is characterized by another dimension that is one level more  
633 general. This study presented viewpoints, checkpoints, methods, and thought processes  
634 when creating and characterizing evacuation shelters. Confirming the spirit implied by the  
635 laws of each society is one of them. Including the above, a way that this study considers the  
636 relationship between the individuality and generality of the research findings is in line with  
637 many area studies.

638

## 639 **5. Conclusions**

640 In consideration of the increasing occurrence of large-scale disasters, the shortage of  
641 designated evacuation shelters that had already been observed, and the seismic-resistant  
642 buildings in shopping districts, we proposed in Kotani et al. [9] that shopping street buildings  
643 be utilized as disaster evacuation shelters that are perpetually stocked with food and drinks  
644 as commodities and inventory. This time, after the outbreak of COVID-19 and after emergent  
645 responses began to be provided for the purpose of preventing the spread of infection in 2020,  
646 we quickly updated our idea of a shopping street evacuation shelter with conviction that this  
647 type of shelter has enhanced comparative superiority against other, more typical shelters  
648 during a pandemic.

649 Using the latest data from the Taisho-Suji Shopping Street in Kobe, Japan, we estimated the  
650 capacity of the shopping street shelter; it can accommodate 2,388 evacuees under the non-  
651 pandemic condition, and 1,194 evacuees—which is equivalent to 23 % of the local  
652 population—under the pandemic condition, where 6 m<sup>2</sup> of space is required for one person  
653 to maintain enough distance from others. Moreover, we updated our estimation of supplies,  
654 finding that the shopping street shelter could provide food for 1,202 person-days in the case  
655 of lifeline disruption, for 2,695 person-days in the case of substitute utilities being available,  
656 and drinks for 1,605 person-days. Additionally, we provided a list of necessary hygiene  
657 supplies and the quantities required for 1,194 evacuees to stay in a shelter for a week.

658 The novelty of this study exists in how non-homogeneous and separate spaces in the buildings  
659 are applied to prevent the spread of infection. Evacuees, who are classified by properties such  
660 as being infected, having had close contact with infected individuals, being symptomatic, or  
661 being vulnerable for reasons other than COVID-19 are separated, so that they do not share  
662 space, toilets, or traffic lines. We exemplified the shopping street shelter design,  
663 demonstrated its flexibility, and verified its physical competency. In addition, we investigated  
664 the liability issue of secondary infection at a shelter and clarified that the Civic Law of Japan  
665 supports community implementation of a shelter plan. Furthermore, we discussed the role of  
666 the government, such as providing more convenient PCR test and increased beds in hospitals  
667 and quarantine facilities, which can better promote its policy of distributed evacuation by  
668 taking several actions in support of community-based shelter management.

669 While it is urged amid the ongoing pandemic, as of March 2021, that the shelter plan moves  
670 to the practice stage, important issues to be addressed in future research remain. The  
671 advantages and disadvantages of the arcade buildings of the targeted shopping street against  
672 other big shopping malls should be examined. Their differences will include implementability,  
673 where the local and small areas covered by the shopping street at the community scale may  
674 manifest some strengths. For example, people can access it on foot and, moreover, evacuees  
675 may be able to share some feelings of solidarity and concerns about the damage to their  
676 residences based on the fact that they all live in the same district. Other topics that should be  
677 addressed include: (1) the estimation of opportunity costs incurred by shop owners and  
678 examination of their compensation needs<sup>4</sup>; (2) surveys on local people's perceptions of  
679 infection risk and the use of undesignated shelters as alternatives to designated shelters that  
680 may be dependent on demography of the community<sup>5</sup>; (3) simulations on the organizational  
681 process of the working staff team and logistics considering the decreased mobility among  
682 volunteers due to the pandemic; and, (4) comparative evaluations of the safety of the  
683 shopping street shelter against all kinds of possible risks versus the safety of designated  
684 shelters.

685

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<sup>4</sup> A survey conducted in the previous research, Kotani et al. [9], demonstrated that 35 % of the shops and restaurants were willing to provide items in their inventories for free, 13 % were willing to provide for a fee, 13 % were willing to provide conditionally, and 39 % were not sure whether to provide. The result indicates the potential of the corporation in question. Another interesting fact is that during the failure of the water supply system after the Great Hanshin-Awaji Earthquake in 1995, a market located near the targeted street supplied its well water to residents, and some of those residents began to shop in this market eventually. Expectations of a long-term relationship may mitigate the difficulty of the compensation issue. Nonetheless, it is important to quantitatively examine the conditions under which an adequate number of shop owners agree to the plan.

<sup>5</sup> Until August 2021, the level of people's perceptions on infection of COVID-19, especially the one that is consistent with their behaviors, has moved in waves in Japanese society. Meanwhile, there are several factors regarding the use of the targeted shopping-street buildings that could make local people accept the plan naturally. These are as follows: 1) the local context that the buildings were reconstructed after the Great Hanshin-Awaji Earthquake along with the recovery of their community; 2) one of the shopping-street buildings includes the disaster prevention center that stores equipment and materials necessary in an emergency; and, 3) generally speaking, it has been pointed out that people want to evacuate to familiar places when an emergency arises. For example, it was found that Muslim people in a certain city in Japan tended to want to evacuate to a mosque that they visited in their daily lives [20]. Nonetheless, surveys conducted from various viewpoints are necessary.

693 **Appendix**694 **A. Examples of guidelines on evacuation shelter management under COVID-19**

695 Several international and governmental organizations published guidelines in 2020 regarding  
696 shelter management. WHO [1] suggests that evacuees maintain a physical distance of at least  
697 1 m, use partitions, and be separated by household groups. Depending on their health status,  
698 evacuees would be classified into three groups: (i) evacuees without COVID-19 symptoms, (ii)  
699 evacuees with COVID-19 symptoms, and (iii) evacuees confirmed to have COVID-19. In  
700 addition, vulnerable people and high-risk groups should be separated from other groups and  
701 given their own space. Each group is not allowed to interact with other groups. Further,  
702 evacuees must use their specific assigned route in evacuation shelters. The groups should also  
703 use separate public facilities, such as toilets, water supply, and waste management.  
704 Environmentally, evacuation shelters should be frequently cleaned and ventilated to reduce  
705 the spread of infection. Since it is difficult to avoid the “Three Cs” (i.e., closed spaces, crowded  
706 spaces, close-contact settings) in evacuation shelters, evacuees and staff should use personal  
707 protective equipment (PPE), such as masks and hygiene supplies.

708 The guidelines of the Japanese government and an organization [47,48] also indicate that  
709 people should avoid the “Three Cs” by maintaining 1–2 meters of physical distance among  
710 families in evacuation shelters. At the entrance of a shelter, operators should check evacuees’  
711 health status and classify them into (i) infected evacuees, (ii) close contact with the infected,  
712 (iii) evacuees who have symptoms, and (iv) general evacuees, including determining who is  
713 vulnerable and in need of support. The concepts of separation of the settlement areas, public  
714 facilities, and traffic lines, and of cleaning and ventilation, are almost the same as those  
715 suggested by WHO [1]. It is emphasized that alcohol-based sanitizers should be located in  
716 public spaces, such as at reception, the entrances to each room/staircase/toilet, restaurants,  
717 and around places for trash. In addition, each evacuation shelter should prepare  
718 approximately 25 hygiene items for evacuees (Table A1(b)). All evacuees and staff should also  
719 use PPE, such as masks, face shields, and alcohol-based sanitizers.

720

721 **B. Necessary relief supplies during a disaster and pandemic**

722 Table A1(a) shows the authors’ previously developed list of essential disaster relief supplies  
723 [53]; however, this list lacks hygiene supplies for preventing disease transmission. In  
724 September 2020, to prepare for natural disasters during COVID-19, the Japanese government  
725 published a list of necessary emergency hygiene supplies that evacuation shelters are  
726 expected to keep on hand, as shown in Table A1(b) [54]. Nevertheless, the government also  
727 recognized that it is not easy for these supplies to be kept sufficiently stocked in shelters, and  
728 thus requested citizens take some of their own simple hygiene supplies, such as masks,  
729 alcohol-based sanitizer, and wet wipes when evacuating to shelters. Accordingly, it is implied  
730 that if a certain percentage of evacuees prepare themselves, the needs of other evacuees

731 may be covered by the supplies stocked at the shelter. However, this would not be the case  
 732 for undesignated shelters. Evacuees who use undesignated shelters have to assume that, in  
 733 most cases, they need to procure both basic relief supplies and hygiene supplies.

734

735 Table A1. Basic relief supplies (a) and necessary hygiene supplies during a pandemic (b)

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(a) Basic relief supplies [53]

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Water

Food

Powdered milk and nursing bottles for babies

Blankets

Heating pads

Sanitary goods

Diapers

Toilet paper

Toilet bags

Bags for toilet bags

Portable toilets

Partition walls

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736

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(b) Necessary hygiene supplies during a pandemic [54]

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Masks

Alcohol-based hand sanitizers

Thermometers

Non-contact thermometers

Alcohol wipes for cleaning

Disposal towels

Paper towels

Paper bags (for vomiting)

Hand soap

Household detergent for cleaning

Sodium hypochlorite for sanitization

Face shields

Raincoats

Poly gloves

Wraps

Three types of polybags

Zipper bags

Multipurpose baskets

Spray containers

Trash cans with foot pedals

Portable toilets

Cardboard beds

Partition walls

737

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## **Designing the Building Space of a Shopping Street to Use as a Disaster Evacuation Shelter During the COVID-19 Pandemic: A Case Study in Kobe, Japan**

### ***Highlights:***

- This study considers a plan of disaster evacuation during a pandemic.
- The idea of utilizing shopping-street buildings as a temporary shelter is extended.
- A shopping-street shelter in Kobe, Japan, can accommodate 1,194 evacuees.
- A method of designing shelter space is shown with the classification of evacuees.
- The liability issue of secondary infection at the shelter is examined.

**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Journal Pre-proof