

Does dosage matter? Effects of Results-based Financing layered on top of less comprehensive direct facility financing in Tanzania.

Eskindir Loha^{a,b}, Vincent Somville^{a,f}, Jo Borghi^{c,d}, Peter Binyaruka^{a,e}, Ottar Mæstad^a

^a Chr Michelsen Institute, P.O. Box 6033, N-5892 Bergen, Norway.

^b Department of Global Public Health and Primary Care, University of Bergen, Bergen, Norway

^c London School of Hygiene and Tropical Medicine, Keppel Street, London, WC1E 7HT, United Kingdom.

^d International Institute for Applied Systems Analysis, Laxenberg, Austria.

^e Ifakara Health Institute, PO Box 78373, Dar es Salaam, Tanzania.

^f Department of Economics, Norwegian School of Economics, Bergen, Norway.

Corresponding author: Ottar Mæstad, email: ottar.mestad@cmi.no.

Author contributions

PB, OM, JB and VS conceived and designed the study. PB oversaw the data collection. EL analysed the data and drafted the article. OM and VS contributed to interpretation and data analysis. JB, OM, VS and PB reviewed the article and provided important intellectual inputs. OM finalised the article. All authors approved the final version.

Keywords

Performance-based financing, results-based financing, direct health facility financing, health service delivery, service quality, service coverage, maternal and child health.

Reflexivity statement

The authors include one female and four males and span multiple levels of seniority. While all authors specialise in economic and social development in the Global South, three specialise in health systems research, one in epidemiology and one in development economics more broadly. Three of the authors have extensive experience in conducting field work in Tanzania.

Word count

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3 Ethics

4 Ethical clearance was obtained from the Institutional Review Board of Ifakara Health
5 Institute and from the National Institute for Medical Research, Tanzania. Each eligible
6 household was given an information sheet and consent form, and verbal consent was
7 obtained from all study participants.

8

9 Conflicts of interest

10 Peter Binyaruka is a section editor of the journal. He had no involvement with the peer
11 review process for this article.

12

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17

18 Abstract

19 Performance-based financing at health facility level has improved service delivery in many
20 low- and middle-income countries. However, the high costs of implementing such schemes
21 have prompted interest in less complex forms of direct health facility financing.

22 This paper measures the effects of layering a full-blown performance-based financing
23 scheme (Results-based Financing, RBF) on top of a less comprehensive direct financing
24 scheme in Tanzania. This enables us to assess whether implementing a less comprehensive
25 scheme exhausted the potential for financing reforms to improve service delivery, or whether
26 there are significant gains from adding more resources and incentives to the scheme.

27 We estimated the effects of RBF using a difference-in-differences approach. Over four years,
28 we tracked 150 health facilities and more than 3,000 households, equally divided between
29 eight districts that implemented both schemes and six districts that implemented only the
30 less comprehensive scheme.

1 Strong positive trends were observed for most outcomes in both groups of districts. At the
2 same time, RBF had positive and statistically significant effects on 14 of 24 directly
3 incentivized outcomes and on 22 of 47 other outcomes, including on service coverage (e.g.,
4 prenatal and vaccination services), service quality (e.g., content of care for antenatal and
5 delivery services, drug availability, communication and responsiveness) and patient
6 satisfaction. A negative effect was estimated for one outcome only (use of family planning
7 method). Statistically significant effects of RBF ranged from -4.3 to 16.2 percentage points
8 (average: 8.7 pp.). Analysis of intermediary outcomes revealed that RBF had a positive effect
9 on health worker job satisfaction.

10 We conclude that dosage matters: Comprehensive direct financing schemes – with more resources
11 and incentives – can significantly improve service delivery beyond what is achieved by less
12 comprehensive ones.

13

14 Key messages

- 15 • Performance-based financing can lead to notable improvements in health service
16 quality and coverage also when other forms of direct facility financing are
17 implemented at the same time.
- 18 • Performance-based financing improved 36 of 71 outcome variables, including early
19 presentation of pregnant women for antenatal care services, measles and BCG
20 vaccination coverage and the quality of maternal care.
- 21 • Performance-based financing improved health workers' satisfaction with working
22 conditions, which may have contributed to the positive effects on service quality and
23 coverage.

24

25 1. Introduction

26 Since the late 2000s, performance-based financing (PBF) has been implemented in the
27 health sector across many low- and middle-income countries. PBF was regarded as a
28 promising tool to improve service delivery in health systems where quality was substandard,
29 and coverage of essential health services was insufficient (Eichler et al., 2009).

30 A defining feature of PBF is that health workers and/or health facilities receive financial
31 incentives based on predefined performance indicators, such as the number of patients
32 served and the quality of the services provided. In addition, PBF involve increased autonomy
33 for health facilities to manage financial resources. Historically, health facilities in many low-
34 and middle-income countries have relied on in-kind inputs, primarily delivered through local

1 government authorities and have often faced delays in obtaining medical supplies and
2 upgrading infrastructure. PBF mitigates these hurdles by directly depositing financial
3 resources into health facilities' bank accounts and granting them autonomy in spending
4 those funds. PBF also involves strengthening of routines for financial management, clearer
5 focus on priority setting, planning and reporting, greater community engagement in health
6 facility management, and more feedback to health workers on their collective performance
7 (Witter et al., 2021).

8 A growing body of research shows that PBF has improved quality of care in many settings. It
9 has also increased the use of certain health services in several countries, although some
10 negative effects have also been reported (Diaconu et al., 2021). However, the high cost of
11 implementing PBF – largely due to need for extensive data verification (Antony et al., 2017) –
12 has led to increased interest in simpler forms of direct financing. These alternative schemes
13 share many features with PBF but lack its full set of incentive mechanisms, though some
14 incentives may still be included.

15 This raises the question of to what extent such simpler forms for direct health facility
16 financing can realize the potential of such reforms to improve service delivery, or whether
17 more comprehensive schemes offer significant additional benefits.

18 This paper contributes to this discussion by reporting the effects of a PBF scheme
19 implemented in selected districts in Tanzania from 2016 onwards – known as Results-based
20 Financing (RBF). A unique feature of this setting is that, at the same time, the government of
21 Tanzania implemented another, less comprehensive financing reform across all health
22 facilities in the country – known as Direct Health Facility Financing (DHFF). RBF and DHFF
23 shared several key features: both involved direct funding to health facilities, greater
24 autonomy in budgeting and spending, a stronger emphasis on planning, reporting, and
25 financial management, increased feedback to health workers, greater community
26 involvement in decision-making, and both included financial incentives. The main difference
27 was that RBF included a more comprehensive incentive package and higher resource
28 transfers than DHFF. Thus, we can assess whether higher “dosages” in these dimensions led
29 to significant additional gains.

30 It is not clear what to expect. First, if the effects of PBF are primarily driven by factors such
31 as increased autonomy, a shift in focus towards outputs produced, and greater community
32 engagement, then strengthening incentives and increasing resource transfers may yield only
33 small additional gains. Second, the response to increased incentives and resources may not
34 be linear: even small incentives and resource transfers may fully exhaust the potential of
35 these factors to drive behavioural change, or conversely, effects might only emerge beyond
36 certain thresholds. Finally, the small empirical literature that touches upon the issue is

1 inconclusive: In Zambia and Nigeria, introducing incentives while doubling resource
2 transfers did not produce meaningful additional gains (Friedman et al., 2016; Khanna et al.,
3 2021). In Rwanda, introducing incentives without increasing resource transfers had positive
4 effects on health services (Basinga et al., 2011; Sherry et al., 2017), while this did not happen
5 in Cameroon (de Walque et al., 2021).

6 We find that RBF had significant effects beyond those of DHFF. While we observe large
7 improvements in service utilization and quality in districts that only implemented DHFF, the
8 improvements were notably higher in districts that implemented both RBF and DHFF. This
9 suggests that dosage matters: the less comprehensive DHFF reform was not able to exhaust
10 the potential for direct financing reforms to improve service delivery. We are unable to clearly
11 determine whether resources or incentives mattered most, but we provide suggestive
12 evidence that additional resources were the most important factor.

13 The paper contributes to the literature in three ways. First, to our knowledge, this is the first
14 study to examine the effects of performance-based financing in a setting where a less
15 comprehensive direct financing scheme – with fewer incentives and lower resource transfers
16 – is also being implemented. This allows us to shed light on an important policy question:
17 whether simpler versions of direct health financing fully exhaust the potential of such
18 reforms to improve service delivery, or if significant gains can be achieved by increasing the
19 dosage.

20 Second, the paper contributes to a growing body of literature on the effects of PBF (Diaconu
21 et al., 2021), providing estimates of the effects on a broad range of variables, including 71
22 final outcomes and 15 intermediary outcomes. The RBF scheme in Tanzania is broadly
23 similar to schemes that have been studied in Rwanda (Basinga et al., 2011), Zambia
24 (Friedman et al., 2016), Burundi (Bonfrer et al., 2014), Afghanistan (Engineer et al., 2016),
25 Tajikistan (Ahmed et al., 2023), Burkina Faso (Steenland et al., 2017), Cameroon (de Walque
26 et al., 2021), the Republic of Congo (Zeng et al., 2018), and Nigeria (Khanna et al., 2021). One
27 aspect that distinguished the Tanzanian RBF programme from most others is that it included
28 stronger measures to increase institutional deliveries, as community health workers (CHWs)
29 were incentivized to escort pregnant women for delivery. We show that this strategy did not
30 yield the expected results.

31 Third, this paper complements previous research on PBF in Tanzania, which examined a pilot
32 scheme in a region near the financial capital, Dar es Salaam (Binyaruka et al., 2015; Borghi
33 et al., 2021). It demonstrates that PBF can be implemented with significant effects in more
34 disadvantaged areas as well.

1 2. The intervention

2 2.1 Context and background

3 Tanzania has a population of 61.7 million (National Bureau of Statistics, 2022) and has since
4 2020 been classified as a lower-middle income country. 45% of the population live under the
5 USD 2.15 extreme poverty line, and 23% are undernourished (World Development
6 Indicators, 2024). Child mortality and infant mortality have been declining to 43 and 33 per
7 1,000 live births (MoH et al., 2022).

8 The country has 31 administrative regions. Decentralized health services are offered at
9 district hospitals, health centres and dispensaries. CHWs also provide some community-
10 based services but are not on the government payroll. While the government operates most
11 health facilities, a significant number are run by faith-based organizations or private for-profit
12 entities.

13 Tanzania piloted PBF in the health sector from 2011 to 2015 through the *Pay for Performance*
14 (*P4P*) programme in the Pwani region (Borghi et al., 2013). Health facilities were incentivized
15 to achieve predefined targets for service utilization and quality of care. 75% of payments was
16 provided as bonuses to health workers, while 25% was spent by health facilities. The
17 evaluation of the programme found a positive effect on the utilization of two out of eight
18 incentivized services after 13 months (Binyaruka et al., 2015), but the effects diminished
19 over time (Borghi et al., 2021).

20 2.2 Results-Based Financing and Direct Health Facility Financing

21 Building on the learning from the P4P pilot, the RBF programme was implemented
22 sequentially in nine regions between 2015 and 2021 (from 2016 in the districts studied here).
23 Poor health indicators and high poverty levels were key criteria for selecting regions to
24 participate in the RBF programme (MoHSW, 2015). Public health facilities that met a
25 minimum quality standard were eligible for the programme. Facilities that did not meet the
26 standard received lump-sum transfers that would enable them to qualify within a short
27 period.

28 The programme provided health facilities a fee-for-service for 14 health services, adjusted
29 by a quality score based on 18 groups of quality indicators. Base payments ranged from 0.25
30 USD for outpatient consultations to 12.5 USD for deliveries (Supp. Tables S1 and S2).
31 Payments were made quarterly. While 75% of the payments were spent by the health
32 facilities with considerably autonomy, 25% was provided as staff bonuses based on staff
33 attendance and level of responsibility. A comprehensive system was established for data
34 verification. CHWs also received performance incentives for three indicators, including the

1 number of household visits and the number of women escorted to health facilities for
2 delivery (Supp. Table S3). Incentives were also provided at the district and regional
3 management levels, as well as for the Medical Stores Department based on four supply
4 chain indicators.

5 The average RBF payment per facility per year in our sample was USD 10,668 in 2017/18
6 (Binyaruka et al., 2024). The share spent by the facilities (75%) represents a significant
7 addition to their operational budgets. With an average of 5.3 medical staff per facility, the
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15

16 RBF payments were made largely as planned until the end of 2018, when a delay of more
17 than one year occurred. However, as most health workers believed that the payments were
18 merely delayed, not stopped, the incentive system's effect largely remained in place
19 (Mæstad et al., 2021).

20 DHFF was implemented in all health facilities in the country from the fiscal year 2017/18
21 (Kapologwe et al., 2019; MoHCDGEC, 2017). The disbursement of funds directly from the
22 government to health facilities represented a shift away from providing in-kind inputs
23 through district authorities. While half of the allocation was based on catchment population
24 and distance from district headquarters, the remainder was allocated based on six
25 outpatient and maternal health service indicators, the use of modern family planning
26 methods, and the availability of 10 tracer medicines (MoHCDGEC, 2020; WHO, 2022).

27 Compared to RBF, DHFF incentivised fewer indicators, did not include financial incentives
28 for health workers or CHWs, provided facilities with somewhat less autonomy over
29 resources and involved less intensive data verification (annual verification of 25% of health
30 facilities, compared to quarterly verification of all facilities with RBF) (Mæstad et al., 2021).
31 On average, the disbursed amounts were about half of those provided through RBF
32 (Binyaruka et al., 2024). Hence, RBF added more resources, more incentives and more
33 autonomy on top of what was provided through DHFF.

1 2.3 The RBF theory of change

2 Figure 1 outlines how RBF may have additional effects beyond what is achieved through
3 DHFF alone.

4 Incentives for health workers may induce increased efforts to attract more patients by
5 making services more accessible and/or by increasing service quality. Health workers had
6 direct incentives to improve those quality indicators that are measured by the programme
7 and indirect incentives to improve quality as perceived by clients, as improved client
8 satisfaction may increase service utilization. As health worker bonuses were allocated partly
9 based on attendance, health workers had direct incentives to be less absent from the
10 workplace, which also may increase service availability and quality.

11 It may be rational for health workers to respond to incentives by putting less effort into non-
12 incentivized services. Moreover, when incentives are provided for many services, as in this
13 case, it may also be rational to reallocate efforts to services from some incentivized services
14 to others where the gains are higher relative to the costs of effort (Holmstrøm et al., 1991).
15 We do therefore not necessarily expect that incentives will increase quality and quantity for
16 all incentivized services.

17 However, the RBF programme may affect performance through other mechanisms as well.
18 First, since RBF payments increase the total resource envelope for health facilities, the
19 programme enhances opportunities for increased service availability and quality. Second,
20 more autonomy also enhances these opportunities by enabling more efficient resource
21 utilisation. Third, higher pay, more resources at the facility and increased autonomy may
22 boost health worker job satisfaction and motivation. This may counteract and possibly
23 outweigh negative effects on non-incentivized or weakly incentivized services, as well as any
24 potential negative effects of financial incentives on intrinsic motivation (Bè nabou et al.,
25 2006). Finally, incentives for health managers to supervise health facilities more regularly
26 may also improve health worker performance.

27 ***Insert Figure 1 here.***

28 3. Methods

29 3.1. Study design and data collection

30 We studied the effects of RBF using a controlled before and after study design. We compared
31 changes over time in eight districts in Mwanza region, which implemented both RBF and
32 DHFF, with those in six districts in Mara region, which implemented DHFF only. Comparison
33 districts were selected by examining pre-intervention trends in key outcomes (e.g., institutional
34 deliveries, four or more ANC visits, DPT3 coverage, and contraceptive use) and other related

1 factors in districts across three regions that the government had identified as similarly
2 disadvantaged as those in Mwanza. The pre-trend analysis was conducted based on the
3 Demographic and Health Survey (DHS) data between 1998 and 2010.

4 To further support the selection the districts of Mara region as a comparison, we compared each
5 of the districts in Mara to those in Mwanza region based on outpatient visits, coverage of ANC,
6 institutional deliveries, IPT during ANC, caesarean section rates, and measles immunisation
7 coverage for children under one year of age, using administrative data from 2014. We also
8 considered the density of public health facilities per 1,000 inhabitants and the mean quality score
9 of health facilities, generated through the government's "star rating" system (Yahya et al., 2018),
10 within each district. Each of these items was combined into an index generated through principal
11 component analysis. We then compared the distribution of the index scores between districts
12 that received the intervention and those that did not, and found them to be broadly similar.

13 Health facilities were the primary sampling unit. We sampled 150 primary care facilities, 16 health
14 centres and 134 dispensaries, equally divided between the study arms. We first sampled health
15 facilities in the Mwanza region, stratified across all districts and across facility quality scores ("star
16 ratings"). The aim was to achieve the same distribution of quality scores in our sample as
17 observed in each of the districts. We used a matching approach to select facilities in the
18 comparison region; for each health centre and dispensary in Mwanza, we identified the facility in
19 Mara with the closest match.

20 Data on most outcome indicators were collected at the household level. From each facility's
21 catchment area, we sampled 20 (25) households at baseline (endline). Households were eligible
22 if a woman in the household gave birth between 0 and 24 months before the survey. All
23 neighbourhoods within a 5 km radius of the health facility were listed, and four of them were
24 picked at random. A random walk method was employed to select an equal number of
25 eligible households from each neighbourhood. In total, 2998 (3745) households participated
26 in baseline (endline) surveys.

27 Baseline data were collected in February/March 2016, just before RBF implementation began,
28 and endline data were collected in January/February 2020. At the time of the endline,
29 implementation of RBF was about to start in the comparison districts. Collection of performance
30 data began during the third quarter of 2019, but no RBF payments had yet been paid. To avoid
31 contamination, our endline household survey did not include households with women that gave
32 birth during the six months preceding the survey.

33 To explore the mechanisms through which RBF may work, we utilised three additional data
34 sources: First, we conducted surveys with health workers and CHWs at baseline and endline. We
35 aimed to interview two health workers and two CHWs at each facility. However, since not all
36 facilities had more than one health worker present on the day of the data collection, the total
37 sample consists of 273 (295) health workers and 207 (294) CHWs at baseline (endline).

1 Second, we conducted three rounds of phone interviews between February 2018 and November
2 2020 with health workers and CHWs who participated in the baseline survey, to monitor
3 implementation fidelity and assess their understanding of the RBF scheme. The first two rounds
4 included 134 health workers and 105 CHWs from the intervention region. The last round, which
5 also included questions about DHFF, was conducted in both the intervention and comparison
6 districts, comprising 294 health workers and 291 CHWs.

7 Finally, we did an in-depth, mixed-method process evaluation simultaneously with the endline
8 survey in both intervention and comparison arms. These data were collected at 1/3 of the
9 facilities in the main sample. Facilities were stratified into high and low baseline
10 performance strata, based on their “star ratings”, and were then selected using
11 proportionate random sampling. In this paper, we use this data set to report facility in-charges
12 assessment of the relative importance of the various aspects of RBF for improved service delivery.

13 Overall, we measured 71 outcome indicators and 15 intermediary outcomes (Table 1).

14 ***Insert Table 1 here.***

15 Note that many indicators that are not directly incentivized are nevertheless indirectly incentivized. For
16 instance, all 25 indicators of process quality were linked to ANC, delivery, or family planning services,
17 which were directly incentivized. In the following, “incentivized services” are those that are directly
18 incentivized while “non-incentivized services” are those that are not directly incentivised.

20 3.2 Measurement: Health worker job satisfaction and motivation

21 Health workers’ satisfaction with working conditions was assessed using 11 items rated on
22 a five-point Likert scale, while health worker motivation (or “personal drive”) was assessed
23 using 16 items rated similarly. For both satisfaction and motivation, using the full set of items
24 was rejected by confirmatory factor analysis. However, a subset of five items measuring job
25 satisfaction and a subset of six items measuring health worker motivation performed well
26 and were retained (Supp. Tables S4 and S5).

27 The reliability of retained items in measuring the latent variables was assessed by
28 calculating internal consistency using a polychoric correlation matrix (Borghetti et al., 2018;
29 Gadermann et al., 2012). The ordinal alpha was 0.71 for the five “satisfaction with work
30 conditions” items and 0.77 for the six “personal drive” items.

31 To determine whether retained items measure the latent variables in the same way in both
32 intervention and comparison groups, we assessed measurement invariance following the
33 steps recommended by (Putnick et al., 2016) The criteria for group comparison were
34 satisfied for both measures (Supp. Tables S6 and S7).

1 The unweighted sums of retained items were used in the difference-in-difference analysis.

2 3.3. Data analysis

3 We measured the effects of RBF using a difference-in-differences (DID) approach. Effects
4 on outcomes measured at the household level were estimated using a linear regression
5 model with fixed effects:

$$6 \quad Y_{ict} = \beta_1(\theta_t) + \beta_2(X_{ict}) + \beta_3(RBF_c \times \theta_t) + \tau_c + \varepsilon_{ict},$$

7 where Y_{ict} is the outcome for a woman in household i in facility catchment area c , at time t ,
8 RBF_c is a dummy for the implementation of the RBF programme, θ_t is a time dummy, X_{ict} is
9 a vector of woman/household covariates, and τ_c is a health facility fixed effect. β_3 is the
10 estimated effect of RBF.

11 The covariates (X_{ict}) include a household wealth index and individual characteristics of the
12 interviewed woman, including her education, occupation, marital status, and number of
13 living children. The wealth index was constructed from the following variables: ownership of
14 a radio, mobile phone, table, sofa, toilet facility, and materials of floor and walls. Principal
15 component factor analysis was conducted using oblique rotation. Two factors were
16 retained, which explained 50% of the total variance. The Kaiser-Meyer-Olkin measure of
17 sampling adequacy was 0.82, and the scale reliability coefficient was 0.71.

18 Standard errors were clustered at facility level. Ideally, clustering should take place at a
19 higher level, closer to the treatment level, but with only 14 districts, clustering at a higher
20 level can be unreliable. As a robustness check, we also report district-level clustering using
21 the wild cluster bootstrap approach.

22 To assess the validity of the parallel trend assumption, we examined trends for 64 outcome
23 variables with available historical data over the two-year period before the intervention,
24 utilising the variation in the timing of the most recent birth across households. The parallel
25 trends hypothesis was rejected in only four cases, and in those cases the deviations from
26 parallel trends were extremely small (Supp. Tables: Pre-Trends).

27 Effects on facility level outcomes were measured using a similar fixed effects DID approach,
28 using robust standard errors and no covariates.

29 When measuring intermediary outcomes at the health worker level, we added the following
30 covariates: years served in the facility and the health sector, growing up in the district, being
31 in charge of the facility, medical/health training, age and sex. For CHW intermediary
32 outcomes variables, we used the following covariates: age, sex, native in the area,
33 experience in years, any health training, trained recently, having weighing equipment, mode
34 of transport (foot, bicycle, motorcycle).

1 3.4. Adjusting for multiple testing

2 We use Anderson's code (Anderson, 2008) to generate Benjamini, Krieger and Yekutieli
3 sharpened False Discovery Rate q-values (Benjamini et al., 2006). We adjusted for multiple
4 testing within each category of outcome variables (service utilization, content of care, etc.).

6 4. Results

7 4.1. The added effects of RBF on aggregate service delivery indicators

8 Descriptive statistics of surveyed mothers, health workers and CHWs are presented in Supp.
9 Tables S8-S10.

10 Table 2 summaries the results by showing effects on aggregate service delivery indices. Two
11 striking observations emerge. First, there is substantial progress on all aggregate indicators
12 in comparison districts, with improvements ranging from 6.1 to 21.3 percentage points (pp).
13 This suggests that substantial gains in service utilization and quality would have occurred
14 even without RBF. Second, RBF has meaningful additional effects on all aggregate
15 indicators, ranging from 3.3 to 9.4 pp., with greater impact on service quality than on service
16 utilization. This suggests that dosage matters – additional resources and stronger incentives
17 through the RBF programme significantly improved service delivery.

18 ***Insert Table 2 here***

19 4.2. Disaggregating the added effects of RBF

20 Of the 71 outcome variables, 70 showed statistically significant improvement from baseline
21 to endline in the intervention districts, while 61 showed improvement in the comparison
22 districts (Tables 3-7).

23 Amid significant general progress on almost all outcomes, we measured statistically positive
24 effects of RBF on 36 of 71 outcome variables and a negative effect on one service utilization
25 outcome (Table 2).¹ RBF had positive effects both on directly incentivized outcomes and on
26 other outcomes. Among the 24 directly incentivized outcomes, RBF had statistically positive
27 effects on 14 outcomes, a negative effect on one, and no effect on the remaining nine
28 outcomes. The magnitude of statistically significant effects varied between -4.3 and 16.2
29 pp., with an average of 8.7 pp.

30 **Service utilization:** We assessed 10 service utilization variables, of which 7 were directly
31 incentivized (Table 3). RBF had positive effects on the utilization of antenatal and vaccination

¹ 33 outcomes had significantly positive effects after adjusting for multiple testing (Supp. Table S11).

1 services. The proportion of mothers having ANC consultation before 12 weeks of gestation
2 increased by 10.6 pp., and the proportion who had at least four ANC visits increased by 7.7
3 pp. These services were incentivized. When it comes to vaccinations, RBF increased
4 measles vaccination (incentivized) by 8.7 pp. and BCG vaccination (non-incentivized) by
5 11.8 pp.

6 There were no statistically significant effects on the following incentivized services: Delivery
7 at health facility, postnatal check-up within 3 to 7 days, and vitamin A supplementation for
8 children. We estimated a negative effect on one incentivized service (current use of family
9 planning method). The use of family planning increased significantly in both intervention and
10 comparison districts, but more in the comparison districts. However, this effect was not
11 significant when adjusting for multiple testing.

12 There was no decrease in service utilization of any non-incentivized services.

13 ***Insert Table 3 here***

14 **Content of care:** We assessed 20 content of care items, of which seven were directly
15 incentivized. Five incentivized and six non-incentivized outcomes improved due to RBF
16 (Table 4). *Antenatal care:* Most of the directly incentivized variables related to ANC improved:
17 taking blood samples increased by 7.0 pp., measuring blood pressure by 8.1 pp., listening to
18 the baby's heart by 3.3 pp., receiving iron or folic acid prescription by 6.8 pp, and receiving at
19 least two doses of intermittent preventive treatment of malaria (IPT) by 6.4 pp. Interestingly,
20 the largest improvement in the content of ANC is seen for a non-incentivized service, urine
21 analysis, which increased by 8.6 pp. *Delivery care:* We observe sizable positive effects on
22 the content of delivery services, despite no direct incentives. The proportion who had blood
23 pressure checked and blood tests taken increased by 9.5 pp. and 9.2 pp. There was also a
24 large increase in physical examination of the mother's abdomen (13.4 pp.), breasts (12.5
25 pp.), and vagina (11.8 pp.).

26 ***Insert Table 4 here***

27 **Structural quality:** We assessed 13 indicators of structural quality, of which 8 were directly
28 incentivized. RBF resulted in statistically significant improvements in 5 indicators, 4 of
29 which were directly incentivized. (Table 5). Large effects are observed on drug availability;
30 we monitored 23 drugs and measured an average increase in their availability of 9.7 pp.
31 Women reported a 16.2 pp. increase in drug availability at their last visit (any health
32 service), but not any increase in the availability of the specific drugs they needed during
33 their last delivery. Contraceptives are also more available on average (11.2 pp. across eight
34 items), while we do not observe any effects on the average availability of functional
35 medical equipment (17 items) or medical supplies (9 items).

1 RBF also led to an increase in clients reporting that the facility was not dirty (4.5 pp.) and that
2 opening hours were adequate when they came for delivery (8.3 pp.). There was however no
3 effect on the general opening hours, nor on the availability of electricity, improved water or
4 functioning toilets.

5 ***Insert Table 5 here***

6 **Process quality – communication and responsiveness:** None of the 25 indicators used to
7 measure process quality were directly incentivized. RBF had a statistically positive effect on
8 14 items (Tables 6a and 6b).

9 We observe particularly large effects for delivery services. Health workers introduce
10 themselves to a larger proportion of the women who came to deliver (9.5 pp.), discussed
11 signs of newborn complications (9.7 pp.), talked about danger signs (8.0 pp.), advised how
12 to become more comfortable during pain (13.4 pp.) and explained what they were doing (7.9
13 pp.). A larger proportion of the mothers also reported that their privacy was respected (7.2
14 pp.), and the reported degree of staff kindness increased by an average of 5.0 points (rated
15 on a scale from 0=harsh/unkind to 100=very kind).

16 Some improvement was also observed during family planning sessions, where a higher
17 proportion heard the health worker explain what to do in the case of side effects (+10.5 pp.).

18 Improved communication and responsiveness for particular services may result in less such
19 inputs in other services. It is therefore interesting to observe improvements also when we
20 ask about any health service that respondents have used; RBF resulted in a larger proportion
21 experiencing that staff took time to listen carefully (+7.7 pp.), did not utter harsh words (+6.9
22 pp.), and treated the rich and the poor equally (+5.8 pp.).

23 RBF also reduced waiting times; the proportion that had to wait for more than one hour was
24 reduced by 12.2 pp.

25 ***Insert Table 6a here***

26 ***Insert Table 6b here***

27 **Satisfaction with health services:** RBF improved client satisfaction with the overall quality
28 of health services by 10.9 pp. (Table 7). Improvements in indicators measuring overall
29 satisfaction with delivery services were not statistically significant, though. Note that these
30 satisfaction indicators were high also at baseline, around 90%, limiting the scope for further
31 improvement.

32 ***Insert Table 7 here***

1 4.3. Mechanisms: What may have contributed to the effects of RBF?

2 This section sheds further light on the causal mechanisms outlined in the theory of change.
3 We start by discussing implementation fidelity and then present measured effects on
4 intermediary outcome variables, supplemented by findings from the process evaluation. The
5 data suggest that RBF improved health worker job satisfaction, mostly due to improved
6 physical working conditions. CHWs increased their efforts by escorted many more women
7 for delivery, but apparently only those that would have delivered at the facility anyway. A
8 number of other intermediary outcomes improved both in intervention and comparison
9 districts, but we could not document any differential effect of RBF. Facility in-charges point
10 to increased resource availability as the main reason for the effects of RBF.

11 4.3.1. Implementation fidelity and knowledge of the RBF scheme

12 The RBF programme was largely implemented as planned. Some facilities did not participate
13 from the start because they did not fulfil the minimum quality requirements, but they were
14 quickly brought up to the required level. The implementation for CHWs was a bit slower; by
15 2018, 93% of health workers and 74% of CHWs reported that they had received a bonus
16 (*phone survey, round 2*). The main implementation challenge was a delay in payments that
17 occurred in late 2018 when it took more than one year to release the funds. However, more
18 than 90% of health workers claimed that service delivery continued like normal despite this
19 delay and that health worker motivation was not reduced, while 78% claimed that drug
20 supply was maintained (*phone survey, round 3*). This suggests that while much went on as
21 normal, there were also some reductions in structural quality in some facilities. CHWs more
22 clearly expressed that delayed payments reduced their motivation; 33% stated that it
23 reduced the number of households visits (*phone survey, round 3*).

24 Health workers were not consciously aware of all the services that were incentivized; they
25 were unable to list more than around half of the 14 incentivized services (*phone survey,*
26 *round 3*). With such limited awareness we would not expect targeted efforts to increase
27 utilisation of all the incentivized services. CHWs, on the other hand, who had only three
28 incentivized activities, were able to almost perfectly recall them all (*phone survey, round 3*).

29 4.3.2 Health worker job satisfaction and motivation

30 RBF improved health worker job satisfaction. The estimated effect represents a 13.2%
31 increase from baseline (Table 8). The effect is driven mainly by increased satisfaction with
32 the physical condition of the facility. The score on this item increased by 42.6% in the
33 intervention districts and 17.8% in the comparison districts (Supp. Table S4). RBF did not
34 affect our measure of motivation, or “personal drive”. There was a slight improvement in both
35 in both intervention and comparison districts but no differential effect.

1 **Insert Table 8 here**

2

3 *4.3.3 Health worker efforts / absenteeism*

4 As shown in Section 3, RBF caused health workers to increase their efforts in providing
5 quality services, to the extent that this was duly noticed by the clients. However, it is less
6 clear that RBF stimulated health workers to make more direct efforts to attract more patients
7 to the facility, e.g., by urging CHWs to send more patients, urging people directly to come,
8 improving transport services for pregnant women, or distributing free delivery kits. Figure 2
9 summarizes changes in the stated use of 18 specific strategies to increase the utilization of
10 delivery and outpatient services. Health workers report a significant increase in the use of
11 such strategies from baseline to endline, but there is no significant difference between
12 intervention and comparison districts for 17 of the 18 strategies (for details, see Supp. Table
13 S12).

14 **Insert Figure 2 here**

15 The formula for allocating RBF bonuses to health workers provided them with incentives to
16 be less absent from the facility. However, our data do not provide support for a reduction in
17 absenteeism. We measured absenteeism on the first day of data collection at health
18 facilities and found no change between baseline and endline neither in intervention nor
19 comparison districts (Table 9).

20 **Insert Table 9 here**

21
22 Nor did we observe any change in the staff composition as a result of RBF (Supp. Table S13).

23 *4.3.4 Management and supervision*

24 There was a significant increase in the frequency of meetings of Health Facility Governing
25 Committees (HFGCs) in both arms, but no change could be attributed to RBF (Table 10).
26 Likewise, more than 90% of facility in-charges in both regions reported that the influence of
27 HFGCs on decision making had increased during the past four years.

28 A similar pattern is observed for supervision: There are significant increases in the share of
29 health workers who had been supervised during the past 90 days and had received feedback
30 during supervision. But since the change happened in both intervention and comparison
31 districts, it cannot be attributed to RBF.

32 **Insert Table 10 here**

33

1 *4.3.5 Health service availability / co-payments*

2 There is no evidence of any increase in the formal opening hours of health facilities, neither
3 for outpatient nor delivery services (Table 11). This contrasts with the experience of women
4 who came for delivery, but the data are not necessarily inconsistent as there is considerable
5 informality around opening hours for such services. There is however a significant increase
6 in the number of facilities that provide outreach services, but this change cannot be
7 attributed to RBF.

8 There is mixed evidence about the effects of RBF on co-payments. According to the mothers,
9 RBF increased the proportion that did not have to pay for delivery services by 11.8 pp. (Table
10 11). More health workers also report that charges have been reduced for this service, but in
11 the health worker data this change is observed in both intervention and comparison districts
12 and can therefore not be attributed to RBF (Supp. Table S12). Note also that mothers in both
13 intervention and comparison districts report that while fewer have to pay for delivery
14 services, more women have to bring supplies for the birth (Table 11).

15 ***Insert Table 11 here***

16

17 *4.3.6 Efforts by community health workers (CHWs)*

18 Self-reported data from CHWs suggest that RBF had a large positive effect on the number of
19 women that they escorted for delivery (Table 12). In the intervention districts, the number of
20 women escorted during the past 3 months increased from 2.5 to 11.4, a much larger
21 increase than in the comparison districts. In phone interviews with health workers, more
22 than 90% reported that CHWs escorted more women for delivery than before. But since RBF
23 did not increase the number of women who delivered at facilities, the CHWs apparently
24 escorted women who would have delivered at the facility anyway. RBF had no effect on the
25 average number of household visits conducted by the CHWs.

26 ***Insert Table 12 here***

27

28 *4.3.7 The role of financial resources and incentives*

29 Data from the mixed-method process evaluation suggest that increased funds available to
30 health facilities and stronger financial incentives were the aspects of RBF that contributed
31 most to its effects (Table 13).²

² Facility in-charges from the intervention districts who participated in the mixed-method process evaluation at endline were asked to indicate the relative importance of different aspects of RBF by allocating a given number of coins across each of these aspects (Mæstad et al., 2021).

1 We also note that *more funds available to the health facility* was considered the aspect of
2 RBF that contributed most to improved service delivery, 87% more important than
3 *performance incentives for health workers*. *Performance incentives for the facility* were also
4 considered more important than performance incentives for health workers. Thus, the
5 aspects of RBF considered most important for improving service delivery were also present
6 in the DHFF scheme, albeit to a lesser extent.

7 ***Insert Table 13 here***

8 Health workers also indicated that much of the gains of RBF could have been achieved
9 without personal incentives. During phone interviews, health workers were asked what
10 would happen to service quality if all performance payments went to the health facility and
11 not to health workers (like in the DHFF programme). Despite strong incentives to emphasize
12 the importance of personal bonuses, 50% of health workers in the intervention districts and
13 76% in the comparison districts responded that service quality would be equally good or
14 better if all payments went to the health facility (*phone survey, round 3*).

15

16 5. Discussion

17 Between 2016 and 2020, there was a general improvement in service coverage and quality
18 in both intervention and comparison districts. Beyond this improvement, RBF led to
19 statistically significant gains in 14 of the 24 incentivized items. RBF also improved 22
20 outcomes that were not directly incentivized – including BCG vaccine coverage, content of
21 delivery care, communication and responsiveness – indicating that RBF may contribute to
22 broader health system strengthening.

23 These results are in line with other studies of RBF in the sense that a) RBF had positive
24 impacts on some, but not all, incentivized services, b) RBF had positive effects on some non-
25 incentivized services, and c) RBF had few or no negative effects on both incentivized and
26 non-incentivized services (Diaconu et al., 2021). An important contribution of this paper is
27 that we show that these conclusions also may hold in a context where less comprehensive
28 direct financing mechanisms are being implemented and there are strong, positive
29 underlying trends in outcome variables. The magnitudes of the measured impacts, both on
30 service utilization and service quality, are large compared to most other studies of RBF. Our
31 findings contrast with those of (de Walque et al., 2022), who pooled data from five countries
32 (Zambia, Zimbabwe, Cameroon, Nigeria, and Rwanda) and found limited evidence of
33 additional impacts of PBF beyond direct financing mechanisms.

1 RBF may have caused significant health benefits. For instance, the increase in the share of
2 women who had their first ANC consultation before 12 weeks of gestation (10.7 pp.) is an
3 important improvement as early ANC allows for screening, test and treatments that are most
4 effective early in the pregnancy (e.g., provision of iron and folic acid supplements,
5 treatments of sexually transmitted diseases) (Moller et al., 2017). Improved quality of ANC
6 consultations may also have had important health benefits. First, blood samples were taken
7 more frequently, which is important for diagnosing iron deficiency and identifying blood type
8 and rhesus factor for improved follow up. Second, more women were prescribed with iron or
9 folic acid, which not only prevents maternal anaemia but also puerperal sepsis, preterm
10 birth, and low birth weight (WHO, 2016). Third, the increase in measurement of blood
11 pressure and urine examination are important for diagnosing preeclampsia, which
12 constitute a sizable risk for maternal and perinatal morbidity and mortality (Souza et al.,
13 2013). Fourth, increased provision of intermittent preventive treatment of malaria may
14 reduce the adverse effects of malaria on both maternal and fetal outcomes, including
15 placental infection, clinical malaria, maternal anaemia, fetal anaemia, low birth weight and
16 neonatal mortality (WHO, 2014). Finally, increased vaccination coverage for measles and
17 BCG may also have caused important health benefits for infants.

18 Furthermore, RBF had positive effects for clients through more responsive and respectful
19 treatment. Health workers listened more carefully, avoided harsh words to a greater extent,
20 provided more equitable services for rich and poor, and waiting times were reduced. We note
21 in particular that RBF led to more respectful maternity care. This is important as the
22 mistreatment of women in health facilities during childbirth is extensive (Bohren et al.,
23 2019), and there is a great need for giving women a more positive childbirth experience
24 (WHO, 2018).

25 Despite improving women's experiences with delivery services, RBF did not increase
26 utilization of these services. This was surprising for the several reasons; 1) the pilot P4P
27 programme implemented in another region in Tanzania from 2011 onwards had such effects,
28 even though the baseline service utilization was considerably higher (Borghini et al., 2021), 2)
29 studies in other countries have shown that RBF tends to have stronger impact on
30 institutional deliveries than on the coverage of other services (Neelsen et al., 2021), and 3)
31 the RBF programme in Tanzania had particularly strong incentives for increasing institutional
32 deliveries as CHWs were incentivized for escorting pregnant women to health facilities for
33 delivery. However, while the data suggest that CHWs escorted far more women than before,
34 they apparently escorted women that would have delivered at a health facility anyway. It is
35 also conceivable that the strong underlying positive trend in institutional deliveries (21.5 pp.)
36 reduced the potential of RBF to make further improvements.

1 Strong, positive underlying trends may also have reduced the potential positive effects of
2 RBF for other services. We note, for instance, that vitamin A supplementation increased by
3 39.0 pp. and mebendazole for children by 27.9 pp.

4 We measured only one statistically significant negative effect of RBF: a reduction in the use
5 of family planning methods. This result is driven by a large increase in the use of implants in
6 the comparison districts. For other family planning methods, there are no statistically
7 significant effects (results not shown). We cannot rule out that this result may be driven by a
8 campaign in the comparison districts to promote these specific products.

9 Regarding the mechanisms that contributed to change, we find few significant effects on our
10 intermediary outcomes, apart from an increase in health worker job satisfaction. This finding
11 is consistent with data suggesting that a) more resources was a main driver for the results of
12 RBF, b) a significant share of these resources was spent on improving working conditions for
13 health workers, and c) improved physical conditions of the health facility was a main driver
14 for improved job satisfaction. A positive effect of RBF on job satisfaction but no effect on
15 “personal drive” is consistent with findings from Malawi (Lohmann et al., 2018). Broadly
16 similar findings are reported by Lamda et al. (Lamba et al., 2025) from a study covering six
17 countries. These studies also suggest that improvement in the working environment was a
18 key factor for this change.

19 Improved job satisfaction may also explain improvements in content of care and process
20 quality, in particular those aspects that were not directly incentivized, did not pay off
21 indirectly in terms of improved service utilization (e.g., delivery services), and did not require
22 any physical equipment.

23 Unfortunately, we do not have access to data that would allow us to assess the costs at
24 which these gains were achieved. However, it is not evident that a full-scale RBF scheme is
25 necessary to realize such improvements. Suggestive evidence on the mechanisms of
26 change indicates that the key drivers of RBF’s impact were increased resources and stronger
27 incentives at the facility level – both already embedded in the DHFF scheme. Further
28 strengthening the DHFF approach is therefore a viable and potentially more cost-efficient
29 alternative. While the RBF programme was implemented in nine regions – including Mwanza
30 – with relatively poor economic and health indicators, the differences compared with other
31 regions were not very large. We therefore expect the results to be relevant beyond the study
32 area. For instance, Mwanza typically performed 5–10 percentage points below the national
33 average on indicators such as institutional deliveries, child vaccinations, and unmet need
34 for family planning. Moreover, child stunting (MoHCDGEC et al., 2016).

35

1 **Robustness**

2 We reanalysed the data with standard errors clustered at the district level using wild
3 bootstrapping (Roodman et al., 2019). Confidence intervals widened for about two-thirds of
4 the outcomes and narrowed for others. The number of outcome variables showing a
5 statistically significant increase at the 5% level dropped from 36 to 26. At the 10% level,
6 however, there were still 39 positive effects, compared to 41 with clustering at facility level
7 (Supp. Tables: District level clustering).

8 **Limitations**

9 We cannot rule out that unobserved time variant factors that may have influenced results
10 differently in the intervention and comparison districts. We are aware that donor funded
11 programmes were implemented in districts in Mwanza region to increase CHWs provision of
12 nutritional services, but this is unlikely to have a substantive effect on our results. We are not
13 aware of any other such factors.

14 Since RBF implementation had partially begun in the comparison districts by the time of the
15 endline survey, we cannot rule out its possible effect on some of the outcomes measured at
16 the health facility level. (At the household level, however, we only interviewed women who
17 had delivered before RBF was implemented.) The promise of RBF funds might have affected
18 health worker motivation and efforts to improve performance and biased some of our
19 estimates. Such biases are most likely for estimated effects on intermediary outcomes, such
20 as health workers efforts to attract more patients, which might be downward biased.

21 Our sample included households residing within 5 kms of a health facility, which likely
22 includes around 70% of the population (McIntyre et al., 2008). It is conceivable that the
23 effects of RBF would differ for more remote households, particularly regarding service
24 utilization. In theory, these effects could be either larger or smaller.

25 The sample of health workers at endline differed from the baseline sample on some
26 observable characteristics (Supp. Table S9). Although our analysis accounted for
27 differences in observable characteristics, unobservable differences might have affected
28 estimates of some of our intermediary outcomes.

29 **6. Conclusions**

30 The implementation of DHFF alone in Tanzania was insufficient to fully realize the potential
31 effects of health financing reforms on service delivery. Combining RBF with DHFF led to
32 additional, substantial improvements.

1 It would be premature, however, to conclude that RBF should be layered on top of other
2 direct facility financing approaches. Further gains in service delivery may be achieved more
3 simply and cost-effectively by further developing the DHFF scheme rather than
4 implementing a comprehensive RBF program alongside it.

5

6 Data availability

7 Data can be made available upon request, pending approval by the Institutional Review
8 Board (IRB) of the authors' institution. For data requests, please contact the IRB secretary.

9

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9

10 Table legends

11

12 **Table 1. Summary of final and intermediary outcome variables and data sources.**

13 **Table 2. Effects of RBF on aggregate service delivery indicator indices# (%).**

14 **Table 3. Effects on service utilization (%).**

15 **Table 4. Effects on content of care (%).**

16 **Table 5. Effects on structural quality (%).**

17 **Table 6a. Effects on process quality – communication (%).**

18 **Table 6b. Effects on process quality – responsiveness (%), unless stated otherwise).**

19 **Table 7. Effects on patient satisfaction (%).**

20 **Table 8. Effects on health worker job satisfaction and motivation (score on five-point Likert**
 21 **scale).**

22 **Table 9. Effects on absenteeism (%).**

23 **Table 10. Effects on management and supervision (%), unless stated otherwise).**

24 **Table 11. Effects on service availability and co-payments (%), unless stated otherwise).**

25 **Table 12. Effects on Community Health Worker performance.**

26 **Table 13. Facility in-charges' assessment of the relative importance of key elements of**
 27 **RBF for its effects on service delivery.**

28

29 Figure legends

30

31 **Figure 1. Theory of change.**

1 **Figure 2. Reported use of strategies to increase service utilisation during past 12 months (%).**
 2 **Unweighted averages of the use of 10 strategies to increase delivery services and 8 strategies**
 3 **to increase outpatient services.**

5 **Table 1. Summary of final and intermediary outcome variables and data sources.**

	Number of variables		Data sources*
	Total	Directly incentivized	
Final outcomes			
Service utilization	10	3	HH surveys
Service quality			
<i>Content of care</i>	20	13	HH surveys
<i>Structural quality</i>	13	5	HF and HH surveys
<i>Process quality</i>	25	0	HH surveys
Patient satisfaction	3	2	HH surveys
Intermediary outcomes			
Job satisfaction/motivation	2	0	HW surveys
Health worker effort/absenteeism	3	1	HW and HF surveys
Management/supervision	3	0	HF and HW surveys
Service availability /co-payments	6	0	HF and HH surveys
Community health worker effort	2	2	CHW surveys

6 *HH=Household, HF=Health facility, HW=Health worker, CHW=Community health worker.

8 **Table 2. Effects of RBF on aggregate service delivery indicator indices[#] (%).**

	Comparison		Intervention		DID estimation (95% CI)			
	Base	End	Base	End	Estimate	Lower	Upper	p value
Service utilization (10 items)	41.5	62.8*	40.9	65.0*	3.3*	0.7	5.9	0.015
Content of care (20 items)	57.0	70.0*	57.4	76.2*	5.7*	3.2	8.1	<0.001
Structural quality								
<i>Enumerators' observation (7 items[#])</i>	56.0	76.1*	54.1	83.7*	9.4*	2.5	16.3	0.007
<i>Mothers' observation (6 items)</i>	67.7	74.9*	70.0	83.2*	6.8*	3.7	9.9	<0.001
Process quality (25 items)	62.3	68.5*	59.3	71.7*	6.2*	3.0	9.4	<0.001
Client satisfaction (3 items)	72.0	82.0*	76.2	90.4*	5.1	-0.2	10.3	0.057

9 [#]Indexes are mean value of responses, in percent. All responses are coded on a scale from 0 to 1.

10 *Significant at 0.05 level (baseline-endline comparison and DID estimation).

11 [#]C Some items are indexes of underlying variables; 60 underlying variables are captured in total.

13

1 **Table 3. Effects on service utilization (%).**

	Comparison		Intervention		DID estimation (95% CI)			p value
	Base	End	Base	End	Estimate	Lower	Upper	
Antenatal								
@ANC consultation before 12 weeks	21.1	30.8*	17.1	36.1*	10.6*	4.9	16.2	<0.001
@At least 4 ANC visits	60.9	78.7*	52.2	78.1*	7.7*	2.3	13.2	0.006
Delivery and postnatal								
@Institutional delivery	54.7	76.2*	68.4	89.4*	-0.3	-6.2	5.6	0.916
@Postnatal check-up within 3-7 days	65.9	73.4*	69.8	82.1*	4.6	-6.7	15.9	0.418
Child immunization and nutrition supplementation								
@Measles vaccination	68.0	82.9*	62.9	84.2*	8.7*	2.1	15.3	0.01
@Vitamin A supplementation	19.1	58.1*	20.8	59.2*	1.3	-6.2	8.7	0.733
BCG vaccination	92.2	98.3*	80.9	98.1*	11.8*	6.6	17.0	<0.001
DPTHibHepB3 vaccination	72.2	88.7*	72.7	91.8*	3.6	-2.0	9.2	0.211
Mebendazole	14.1	42.0*	18.0	41.7*	-0.0	-0.1	0.0	0.165
Family planning								
@Using any family planning method	18.4	32.8*	15.8	25.8*	-4.3*	-8.5	-0.1	0.045 [§]

2 @Directly incentivized service.

3 *Significant at 0.05 level (baseline-endline comparison and DID estimation).

4 [§]Sharpened q-value (p value adjusted for multiple testing) is above 0.05.

5

6 **Table 4. Effects on content of care (%).**

	Comparison		Intervention		DID estimation (95% CI)			p value
	Base	End	Base	End	Estimate	Lower	Upper	
Antenatal care								
@Measured height	62.7	70.6*	69.6	83.6*	4.7	-1.6	11.1	0.143
@Took blood sample	84.8	93.1*	79.9	95.6*	7.0*	2.5	11.6	0.003
@Measured blood pressure	46.8	58.0*	55.1	74.3*	8.1*	0.7	15.6	0.032
@Listened to baby's heart	97.5	98.0	95.5	99.0*	3.3*	1.3	5.4	0.002
@Gave or prescribed iron or folic acid	92.0	94.5*	87.1	96.5*	6.8*	3.1	10.4	<0.001
@Gave IPT, at least two doses	71.0	82.0*	66.0	83.8*	6.4*	0.4	12.5	0.037 [§]
@Gave tetanus vaccination	70.7	74.5*	71.7	76.7*	-0.4	-5.5	4.6	0.865
Gave Mebendazole	46.1	63.6*	44.6	67.9*	5.1	-0.9	11.2	0.10
Measured weight	93.2	95.8*	93.5	97.7*	1.9	-2.0	5.8	0.337
Analysed urine	31.2	39.3*	34.1	51.6*	8.6*	1.6	15.7	0.017
Gave voucher for bed net	15.9	89.8*	21.5	95.6*	0.5	-4.2	5.1	0.85
Delivery care – the mother								
Checked blood pressure	19.0	36.4*	23.7	50.6*	9.5*	2.1	16.9	0.012

Took blood test	17.0	33.6*	18.5	45.2*	9.2*	1.8	16.6	0.015
Asked about abnormal bleeding	18.9	49.9*	22.6	58.9*	4.6	-2.0	11.2	0.174
Examined abdomen	63.7	72.7*	61.3	82.3*	13.4*	5.9	20.8	<0.001
Examined breasts	38.0	43.9*	35.4	55.7*	12.5*	3.2	21.9	0.009
Examined vagina	65.9	78.0*	60.8	83.7*	11.8*	3.6	20.1	0.005
Delivery care – the infant								
Weighed infant at birth	94.3	95.5	97.0	98.3*	-0.3	-2.7	2.2	0.828
Breastfeeding within an hour	40.0	60.5*	47.6	70.1*	3.0	-3.0	9.1	0.324
Vaccinated the infant	50.7	52.3	53.9	56.2	-0.9	-8.5	6.8	0.821

1 @Directly incentivized service.

2 *Significant at 0.05 level (baseline-endline comparison and DID estimation).

3 [§]Sharpened q-value (p value adjusted for multiple testing) is above 0.05.

4

5 **Table 5. Effects on structural quality (%).**

	Comparison		Intervention		DID estimation (95% CI)			
	Base	End	Base	End	Estimate	Lower	Upper	p value
Health facility characteristics (as observed by enumerators)								
@Drugs available (23 items)	57.6	65.2*	58.3	75.6*	9.7*	2.6	16.8	0.008
@Functional medical equipment (17 items)	59.1	80.6*	59.0	84.2*	3.7	-2.3	9.7	0.228
@Medical supplies (9 items)	53.6	72.3*	53.6	77.2*	4.9	-2.0	11.8	0.16
@Contraceptive supplies (8 items)	64.5	67.0	64.3	78.0*	11.2*	3.2	19.1	0.006
Electricity supply	46.7	73.3*	45.3	78.7*	6.7	-14.5	27.9	0.537
Improved water source (piped, well, pump)	37.3	81.3*	33.3	93.3*	16.0	-2.7	34.7	0.094
Functioning toilet (VIP or flush)	73.3	93.3*	65.3	98.7*	13.3	-2.8	29.5	0.105
Delivery care (as perceived by the mother)								
@The facility was not dirty	93.9	97.3*	89.2	97.4*	4.5*	1.1	8.0	0.01
@The delivery room was clean	95.4	97.6*	93.8	98.4*	2.7	-0.1	5.4	0.056
@The drugs the mother needed were available	52.9	68.0*	56.2	73.7*	3.2	-6.3	12.7	0.511
The hours the facility is open were adequate	94.2	93.9	88.8	96.2*	8.3*	4.1	12.4	<0.001
Any health service (as perceived by the mother)								
@Drugs available (last visit)	30.6	35.0*	34.2	54.4*	16.2*	9.7	22.8	<0.001
Facility found open (any visit during past 2 years)	84.0	85.9	82.1	86.8*	2.7	-2.9	8.3	0.345

6 @ Directly incentivized indicator.

7 *Significant at 0.05 level (baseline-endline comparison and DID estimation).

8

9

1 **Table 6a. Effects on process quality – communication (%).**

	Comparison		Intervention		DID estimation (95% CI)			
	Base	End	Base	End	Estimate	Lower	Upper	p value
Antenatal care – communication								
Discussed and advised on the place of delivery	81.6	93.9*	82.5	92.5*	-1.6	-6.1	2.9	0.486
Delivery care – communication								
Staff introduced themselves	19.7	31.5*	16.6	37.5*	9.5*	3.1	15.8	0.004
Asked if the mother wanted someone to support her during delivery	19.3	24.2*	20.5	33.5*	6.0*	0.3	11.7	0.04 [§]
Explained what they were doing before conducting any procedure	26.0	34.2*	25.8	42.4*	7.9	-0.2	16.1	0.055
Advised what to do to make the mother more comfortable during pain	46.6	54.5*	43.4	64.5*	13.4*	4.2	22.6	0.004
Did a good job at explaining the progress of the delivery	77.9	89.3*	78.3	91.3*	1.6	-5.0	8.3	0.626
Discussed family planning	29.3	54.0*	33.3	55.2*	-3.7	-11.7	4.2	0.354
Talked about danger signs	17.8	36.2*	22.0	49.7*	8.0*	0.4	15.5	0.04 [§]
Told the mother when to come back	52.7	58.0*	51.0	68.1*	11.3*	2.6	20.1	0.012
Gave advice about breastfeeding	35.7	53.6*	35.9	60.2*	3.5	-5.4	12.3	0.44
Discussed signs of newborn complications	20.2	32.3*	22.9	45.0*	9.7*	2.1	17.3	0.013
Family planning – communication								
Explained how FP methods work	76.9	85.7*	70.0	85.1*	4.4	-3.6	12.4	0.275
Explained the advantages and disadvantages of a particular method	68.8	73.7*	62.1	75.3*	7.1	-1.2	15.5	0.094
When the method of choice was not available, health worker told where she could receive it	83.8	97.2*	87.6	96.5*	-3.1	-8.5	2.4	0.27
Explained what to do in case of side effects	49.6	63.3*	45.7	70.5*	10.5*	1.2	19.9	0.027 [§]

2 *Significant at 0.05 level (baseline-endline comparison and DID estimation).

3 [§]Sharpened q-value (p value adjusted for multiple testing) is above 0.05.

4

5 **Table 6b. Effects on process quality – responsiveness (% , unless stated otherwise).**

	Comparison		Intervention		DID estimation (95% CI)			
	Base	End	Base	End	Estimate	Lower	Upper	p value
Delivery care – responsiveness								
Time spent with the health provider during the delivery was not too low	46.9	51.6*	46.4	53.4*	1.8	-6.6	10.1	0.672
Staff helped make the mother more comfortable during labour	60.9	71.9*	55.8	79.5*	12.5*	3.8	21.2	0.005
Staff came to assist the mother when she called for help	75.4	87.8*	74.8	86.6*	0.3	-6.1	6.8	0.919
Privacy was sufficiently respected	89.0	91.1	84.8	93.2*	7.2*	2.7	11.7	0.002
Was treated with respect and dignity	49.0	89.0*	49.1	81.5*	-6.7	-14.2	0.8	0.081
Staff's kindness (mean, rated from 0 to 100)	76.3	84.4*	71.4	84.4*	5.0*	1.3	8.6	0.008

Any health service – responsiveness

Staff took time to listen carefully	87.4	90.3	83.5	93.5*	7.7*	3.5	11.9	<0.001
No harsh words to the patients	76.0	79.2*	76.1	86.1*	6.9*	0.8	12.9	0.026
Treatment provided equally to rich and poor	79.5	87.8*	77.5	90.4*	5.8*	0.3	11.2	0.038
Waiting time less than one hour (last visit)	47.8	47.5	49.2	60.1*	12.2*	4.7	19.6	0.002

*Significant at 0.05 level (baseline-endline comparison and DID estimation).

Table 7. Effects on patient satisfaction (%).

	Comparison		Intervention		DID estimation (95% CI)			p value
	Base	End	Base	End	Estimate	Lower	Upper	
Delivery care								
@The overall quality of the service was satisfactory	88.0	92.2*	84.8	94.7*	5.4*	1.2	9.7	0.013
Mother would recommend the facility to friends	91.5	95.2*	90.6	96.3*	2.3	-1.4	5.9	0.22
Any health service								
@Satisfied with the overall quality of the service	66	71.3*	67.9	83.4*	10.9*	4.0	17.8	0.002

@ Directly incentivized indicator.

*Significant at 0.05 level (baseline-endline comparison and DID estimation).

Table 8. Effects on health worker job satisfaction and motivation (score on five-point Likert scale).

	Comparison		Intervention		DID estimation (95% CI)			p value
	Base	End	Base	End	Estimate	Lower	Upper	
Satisfaction with working conditions (5 items) ^a	2.65 (0.81)	3.24* (0.88)	2.80 (0.87)	3.73* (0.76)	0.37*	0.10	0.64	0.008
Personal drive (6 items) ^a	3.32 (0.42)	3.45* (0.39)	3.27 (0.39)	3.44* (0.42)	0.05	-0.09	0.18	0.51

*Significant at 0.05 level (baseline-endline comparison and DID estimation).

^aBased on unweighted means of the items. See Supp. Tables S4 and S5.

Table 9. Effects on absenteeism (%).

	Comparison		Intervention		DID estimation (95% CI)			p value
	Base	End	Base	End	Estimate	Lower	Upper	
Staff present at health facility	79.6	80.6	81.4	77.2	-5.3	-13.2	2.6	0.187

*Significant at 0.05 level (baseline-endline comparison and DID estimation).

Table 10. Effects on management and supervision (% , unless stated otherwise).

	Comparison	Intervention	DID estimation (95% CI)	
--	------------	--------------	-------------------------	--

	Base	End	Base	End	Estimate	Lower	Upper	p value
Management								
Number of HFGC meetings in the past 12 months (mean (sd))	3.8 (2.2)	5.0* (4.6)	3.4 (1.6)	5.7* (3.8)	1.1	-0.4	2.6	0.162
Supervision								
Met with supervisor during the past 90 days	56.6	91.3*	68.6	95.2*	-7.9	-20.6	4.9	0.225
Received feedback on the quality of work from external supervisor during the last meeting	87.0	98.0*	81.7	95.1*	-2.8	-13.2	7.6	0.595

1 HFGC=Health Facility Governing Committee.

2 *Significant at 0.05 level (baseline-endline comparison and DID estimation).

3

4 **Table 11. Effects on service availability and co-payments (% , unless stated otherwise).**

	Comparison		Intervention		DID estimation (95% CI)			
	Base	End	Base	End	Estimate	Lower	Upper	p value
Health service availability								
Days a week open for outpatient services (mean (sd))	5.3 (0.7)	5.4 (0.8)	5.4 (1.0)	5.6 (0.9)	0.1	-0.3	0.5	0.534
Facility offers 24 hours delivery services	86.5	85.3	89.3	88.0	-0.2	-15.4	15.1	0.981
Facility conducts outreaches services	69.3	94.7*	78.7	98.7*	-5.3	-20.5	9.9	0.492
Co-payments: Delivery care								
Did not pay for delivery care services	73.1	87.1*	68.2	95.6*	11.8*	5.4	18.1	<0.001
Did not purchase supplies to bring for the birth	61.9	46.5*	42.7	32.4*	5.2	-1.7	12.1	0.135

5 *Significant at 0.05 level (baseline-endline comparison and DID estimation).

6

7 **Table 12. Effects on Community Health Worker performance.**

	Comparison		Intervention		DID estimation (95% CI)			
	Base	End	Base	End	Estimate	Lower	Upper	p value
Number of women escorted last 3 months	3.3 (7.7)	7.3* (7.5)	2.5 (4.9)	11.4* (11.1)	4.3*	1.3	7.3	0.005
Number of households visited last week	13.3 (26.7)	11.4 (15.5)	13.0 (28.1)	11.2 (11.0)	0.8	-7.6	9.3	0.849

8 *Significant at 0.05 level (baseline-endline comparison and DID estimation).

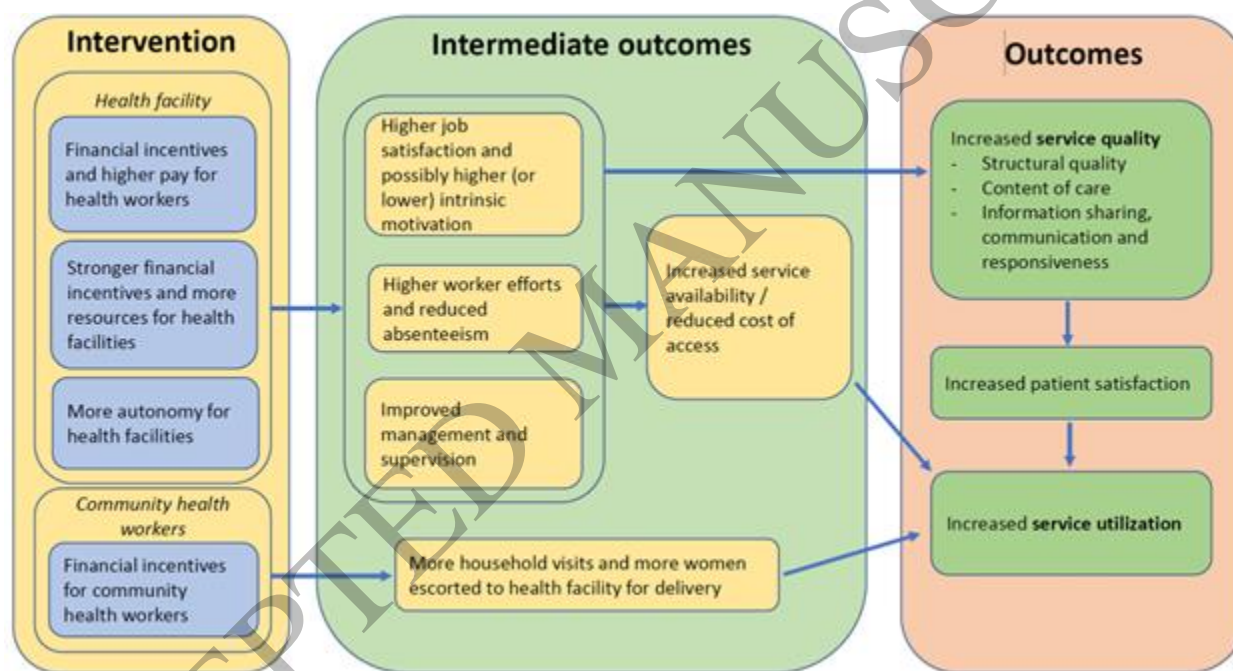
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1 **Table 13. Facility in-charges' assessment of the relative importance of key elements of RBF for**
 2 **its effects on service delivery.**

RBF element	Relative importance
More funds for the facility	1.87
Performance incentives for the facility	1.12
Performance incentives for health workers	1.00
Focus on performance and results	0.87
Increased capacity for planning and financial management	0.87
More autonomy	0.80
More active Health Facility Governing Committees	0.69
Higher pay for health workers (income effect)	0.57
More support from district authorities	0.50

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 Figure 1
 165x88 mm (x DPI)

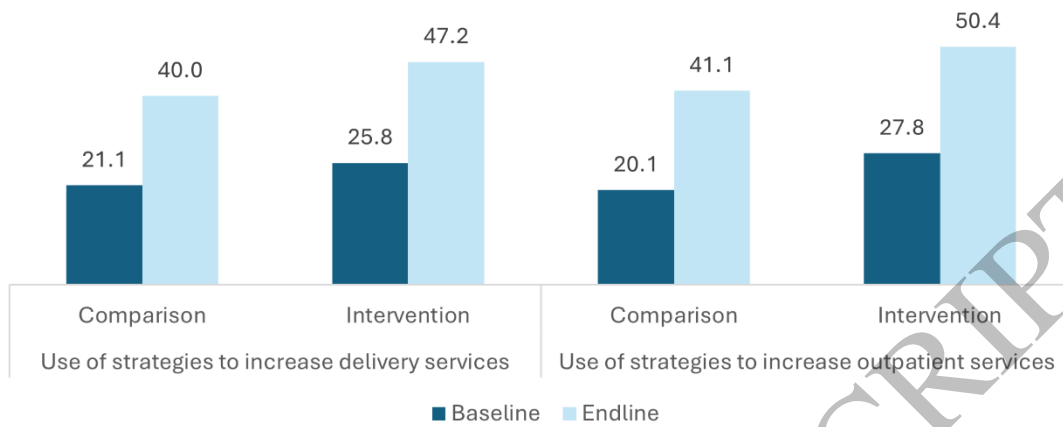


Figure 2
148x63 mm (x DPI)

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