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Interim Report

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Marine reserves and the evolutionary effects of fishing on size at maturation

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1 Marine reserves and the evolutionary effects of fishing on size at maturation

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3

4 Size-selective fishing may induce rapid evolutionary changes in life-history traits such as size at maturation. A
5 major concern is that these changes will reduce population biomass and detrimentally affect yield and
6 recruitment. While marine reserves have been proposed as a tool for fisheries management, their evolutionary
7 implications have as yet attracted little scrutiny. Here we use a simple model to investigate whether marine
8 reserves can be expected to mitigate the evolutionary impacts of fishing on maturation size. We analyze the
9 adaptive dynamics of size at maturation based on a stage-structured population model including size-selective
10 fishing and marine reserves with different retention rates. As has been shown before, imposing higher fishing
11 mortality on the largest individuals promotes an evolutionary change towards smaller maturation size. We find
12 that, in our model, protecting part of a fish stock using a marine reserve can prevent such fisheries-induced
13 evolution. We demonstrate that this protection critically depends on the type and extent of movement between
14 the reserve and the fished area. Specifically, while the frequent movement of large adults increases catches of
15 large adult fish outside a marine reserve, it also reduces the reserve's effectiveness in preventing fisheries-
16 induced evolution. By contrast, when the exchange between protected and fished areas occurs through juvenile
17 export alone, a marine reserve can effectively prevent evolution towards smaller maturation size, but does so at
18 the expense of reducing the yield of large adult fish. We conclude that differences in the movement behaviour of
19 successive life stages have to be considered for marine reserves to help making fisheries to be more
20 evolutionarily sustainable.

21

22 Keywords: connectivity, fitness, life history evolution, metapopulation, size-structured model, spill-over

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33 Commercial fisheries have caused declines in fish stocks and catches around the world (e.g., Hilborn *et al.*,
34 2003; Pauly *et al.*, 2005; Pauly, 2008). Besides reduction of abundances, fishing truncates the age and size
35 structure of populations (e.g., Jackson *et al.*, 2001; Berkeley *et al.*, 2004b; Ottersen *et al.*, 2006). The removal of
36 old and large adults decreases the reproductive potential of fish stocks and thereby their ability to withstand and
37 recover from overexploitation (Begg and Marteinsdottir, 2003; Aubone, 2004a; Law, 2007). In particular, large
38 females contribute disproportionately to recruitment, due to their production of higher numbers of eggs that are
39 also larger and of better quality (Marteinsdottir and Steinarsson, 1998; Vallin and Nissling, 2000; Berkeley *et al.*,
40 2004a; Carr and Kaufman, 2009). Juvenescence in fish stocks was found to contribute to increased variability in
41 stock dynamics and abundance (Anderson *et al.*, 2008).

42

43 In addition to demographic effects, fishing may induce evolutionary changes in life-history traits, which may
44 decrease a population's reproductive potential, resilience, and sustainable yield (e.g., Law and Grey, 1989;
45 Heino and Godø, 2002; Walsh *et al.*, 2006). Evolution towards maturation at smaller sizes and younger ages has
46 been inferred for wild fish stocks by estimating probabilistic maturation reaction norms (Heino *et al.*, 2002b;
47 Dieckmann and Heino, 2007; Heino and Dieckmann, 2008) for North Sea plaice *Pleuronectes platessa* (Grift *et*
48 *al.*, 2003; Grift *et al.*, 2007), North Sea sole *Solea solea* (Mollet *et al.*, 2007), Newfoundland American plaice
49 *Hippoglossoides platessoides* (Barot *et al.*, 2005), and stocks of Atlantic cod *Gadus morhua* (Heino *et al.*, 2002a,
50 c; Barot *et al.*, 2004; Olsen *et al.*, 2004; Olsen *et al.*, 2005). According to life-history theory, the reproductive
51 value of age classes decreases with survival probability so that elevated mortality favours reproduction early in
52 life (Michod, 1979). It has also been shown experimentally that when large individuals are harvested,
53 populations evolve towards smaller body size, whereas harvesting small fish induces evolution towards larger
54 body size (Reznick *et al.*, 1990; Conover and Munch, 2002; Conover *et al.*, 2005).

55

56 There are additional circumstances that may contribute to the observed changes in size at maturation in fish
57 stocks. For example, as population density decreases in exploited stocks, more food becomes available to the
58 remaining fish, so that their growth rate increases. This may imply that they mature earlier, as a phenotypically
59 plastic response to the altered growth conditions (Trippel, 1995). On the other hand, life-history theory predicts
60 that maturation should be postponed when resource levels increase, because the payoff of future reproduction

61 then also increases. Although conclusive genetic evidence for fisheries-induced evolutionary changes is lacking,
62 studies support considerations of evolutionary effects (Jørgensen *et al.*, 2007; Kuparinen and Merilä, 2007;
63 Browman *et al.*, 2008; Hutchings and Fraser, 2008; Jørgensen *et al.*, 2008; Kuparinen and Merilä, 2008).
64 Independent of other environmental factors that also influence the size at maturation in wild stocks, size-
65 selective fishing represents an evolutionary force that should be considered and managed in accordance with the
66 precautionary principle (Lauck *et al.*, 1998; Ashley *et al.*, 2003).

67
68 The classical tools of fisheries management include catch quotas, as well as restrictions on landing sizes, gears,
69 and number of vessels. These measures can be inadequate to release stocks from fishing pressure under
70 conditions of poor enforcement, discard of bycatch, misreporting, and illegal landings (Roberts, 2000). It has
71 been pointed out that scientific advice on total allowable catches has been repeatedly ignored, causing fish stocks
72 to remain overexploited (Cardinale and Svedäng, 2008). As fish stocks decline, more selective fishing-gear
73 technology is often likely to be used (Madsen, 2007), which may aggravate the demographic, ecological, and
74 evolutionary implications of fishing.

75
76 Marine reserves have been suggested as an alternative management tool in support of a precautionary approach
77 to the protection of marine diversity, aquatic habitat, and fish stocks (Roberts, 1997; Apostolaki *et al.*, 2002;
78 Aubone, 2004b). The prohibition of fishing in marine reserves may help to rebuild fish stocks and their age
79 structure by allowing individuals to survive longer and grow larger (Berkeley *et al.*, 2004b). Examples such as
80 the Apo Island reserve in the Philippines (Russ *et al.*, 2004; Abesamis and Russ, 2005) and the Merritt Island
81 National Wildlife Refuge in Florida (Roberts *et al.*, 2001) demonstrate that marine reserves can benefit both fish
82 stocks and adjacent local fisheries. Besides positive effects of marine reserves in the tropics, benefits have also
83 been demonstrated in temperate regions. A number of small reserves in the Mediterranean Sea had positive
84 effects on population structure and density, with the greatest effect on large-bodied and target species (García-
85 Charton *et al.*, 2008); these reserves also resulted in the spill-over of fish from protected to fished areas (Goñi *et*
86 *al.*, 2008; Harmelin-Vivien *et al.*, 2008).

87
88 Different processes affect the spatial connectivity of protected and fished areas, and therefore influence the
89 effects of marine reserves. These processes include larval dispersal, juvenile and adult mobility, as well as
90 movement of fishers (Botsford *et al.*, 2009). In particular the dispersal abilities of fish determine whether there is

91 a net export of individuals from the marine reserve to the fished area (Baskett *et al.*, 2005; Gerber *et al.*, 2005).
92 Home ranges of fish may vary within and among species (Kramer and Chapman, 1999; Chateau and Wantiez,
93 2009). Le Quesne and Codling (2009) describe the differential effects of larval dispersal and adult mobility and
94 conclude that reserves were more efficient for sedentary stocks with dispersing larvae. The effects of marine
95 reserves have been analyzed to determine their optimal size and level of fragmentation, and to assess their
96 impacts on abundance, catches, age structure, spatial structure and species interactions (Gerber *et al.*, 2003;
97 Pelletier and Mahévas, 2005; Costello and Polasky, 2008). Marine reserves were found to be especially useful
98 for the management of late-maturing and long-lived species (Kaplan, 2009).

99

100 Few studies to date have taken into account the evolutionary implications of marine reserves for changes in life-
101 history traits. Models based on quantitative genetics theory have been used to examine the effects of marine
102 reserves on the evolution of life-history traits and to explore how marine reserves may benefit fisheries yields
103 and protect stocks against evolution towards early maturation (Trexler and Travis, 2000; Ratner and Lande,
104 2001; Baskett *et al.*, 2005; Dunlop *et al.*, 2009a). In the individual-based models by Baskett *et al.* (2005) and
105 Dunlop *et al.* (2009a), genotype and phenotype dynamics were coupled, resulting in complex models that are
106 analytically intractable.

107

108 In this paper, we instead explore the evolutionary implications of marine reserves using relatively simple
109 deterministic population models with a small number of parameters. We combine the simple difference-equation
110 model studied by Gårdmark *et al.* (2003) of an age-structured harvested population with a metapopulation model
111 applied by Pitchford *et al.* (2007). Our models describe, in a simple form, basic life-history processes of growth,
112 maturation, reproduction, mortality, and movement with a stage-specific dimension (Metcalf and Pavard, 2007).
113 Through a reduction of detail, we develop a comprehensible model that facilitates the analysis. On this basis, we
114 investigate whether marine reserves can prevent the evolution of maturation size in response to size-selective
115 fishing. In particular, we compare different stage-dependent movement scenarios, such as juvenile export and
116 adult spill-over, to elucidate the expected efficacy of differently sized marine reserves in terms of ensuring
117 evolutionary protection and providing yield for adjacent fisheries.

118

119 2 Model description

120 2.1 Single-population model

121 Gårdmark *et al.* (2003) analyzed an age-structured population model in discrete time, which we here transform
 122 into a stage-structured model with a size-based interpretation. The deterministic model comprises four difference
 123 equations representing the dynamics of a population with three size classes and two alternative life histories
 124 (Equations 1a to 1d; Figure 1). Time steps are interpreted as annual. We distinguish two adult classes, 2 and 4.
 125 Depending on the probability γ to mature at small size, after one year juveniles of class 1 can either enter the
 126 mature class 2, with fecundity f_2 , or spend a year being immature in class 3 before maturing at large size and
 127 entering class 4, with fecundity $f_4 > f_2$. Although in reality fish grow indeterminately, in our simple model fish
 128 stop growing after reaching maturity. Individuals of class 2 therefore do not grow to class 4 (for an extension see
 129 Appendix B). The survival probabilities, s_1 to s_4 , describe the fractions of a class surviving to the next year.
 130 The description so far implies that juveniles remain in class 1 for a year before potentially spending several years
 131 as adults, maturing at the age of 2 or 3 years in the reproductive classes 2 or 4, respectively. Fishing mortality is
 132 size-dependent and differs between intermediate-sized fish in class 2 or 3 experiencing a harvest proportion of
 133 $h_{2,3}$, and large fish in class 4 experiencing a harvest proportion of h_4 . Density-dependent survival is considered
 134 at the juvenile stage in class 1 (Myers and Cadigan, 1993; Cushing and Horwood, 1994), with a parameter m
 135 determining the strength of density dependence, so that m^{-1} measures the density of juveniles at which the
 136 natural survival probability is halved (Gårdmark *et al.*, 2003). Parameters, their descriptions, and their default
 137 values for the numerical illustrations are summarized in Table 1.

138 The dynamics of the population densities N_1 to N_4 are given by

$$139 \quad N_1(t+1) = f_2 N_2(t) + f_4 N_4(t), \quad (1a)$$

$$140 \quad N_2(t+1) = \frac{\gamma s_1 N_1(t)}{1 + m N_1(t)} + s_2 (1 - h_{2,3}) N_2(t), \quad (1b)$$

$$141 \quad N_3(t+1) = \frac{(1 - \gamma) s_1 N_1(t)}{1 + m N_1(t)}, \quad (1c)$$

$$142 \quad N_4(t+1) = s_3 (1 - h_{2,3}) N_3(t) + s_4 (1 - h_4) N_4(t). \quad (1d)$$

143 The corresponding equilibrium equations can be solved analytically to obtain the equilibrium densities

144 $(N_1^*, N_2^*, N_3^*, N_4^*)$,

$$145 \quad N_1^* = \frac{1}{m} \left[\frac{(1 - \gamma) f_4 s_1 s_3 (1 - h_{2,3})}{1 - s_4 (1 - h_4)} + \frac{\gamma f_2 s_1}{1 - s_2 (1 - h_{2,3})} \right] - \frac{1}{m}, \quad (2a)$$

$$146 \quad N_2^* = \frac{N_1^* \gamma s_1}{(1 + mN_1^*)(1 - s_2(1 - h_{2,3}))}, \quad (2b)$$

$$147 \quad N_3^* = \frac{N_1^*(1 - \gamma)s_1}{(1 + mN_1^*)}, \quad (2c)$$

$$148 \quad N_4^* = \frac{N_1^*(1 - \gamma)s_1 s_3(1 - h_2)}{(1 + mN_1^*)(1 - s_4(1 - h_4))}. \quad (2d)$$

149 Provided $0 \leq N_1^* < \infty$, which includes all biologically relevant cases, there exists a unique non-trivial
 150 equilibrium. This equilibrium is locally stable, because the dominant eigenvalue λ_j of the respective Jacobian
 151 matrix J of Equations (1) has an absolute value that is smaller than 1 (Kot, 2001). This can be confirmed
 152 analytically by deriving the characteristic equation of J evaluated at the local equilibrium and finding λ_j as the
 153 zero of a linear Taylor approximation around the threshold $\lambda_j = 1$.

154

155 2.2 Marine-reserve model

156 We extend the single-population model described above to a metapopulation model by linking two identical
 157 populations. This mimics a situation in which a fished area is combined with a marine reserve (Gerber *et al.*,
 158 2005; Pitchford *et al.*, 2007). In the first part of our analysis below, we assume the fished area and the marine
 159 reserve to be of equal size ($r = 0.5$). We then go on to consider different fractions of area protected by a marine
 160 reserve. For this extension, the metapopulation model is modified by scaling the movement probability of
 161 individuals in each area to be proportional to the relative size of the destination area (Figure 2). The two
 162 populations are connected by individual movement, either through juvenile export with movement probability
 163 d_1 or through large-adult spill-over with movement probability d_4 . Below we show the results of these two
 164 movement scenarios. Movement of individuals of the intermediate size classes 2 and 3 produces intermediate
 165 results.

166 Population 1, with densities N_{11} to N_{14} , is exposed to the size-selective harvest proportion h_4 in size class 4,

$$167 \quad N_{11}(t+1) = (1 - d_1)[f_2 N_{12}(t) + f_4 N_{14}(t)] + d_1[f_2 N_{22}(t) + f_4 N_{24}(t)], \quad (3a)$$

$$168 \quad N_{12}(t+1) = \frac{\gamma s_1 N_{11}(t)}{1 + m_1 N_{11}(t)} + s_2 N_{12}(t), \quad (3b)$$

$$169 \quad N_{13}(t+1) = \frac{(1 - \gamma)s_1 N_{11}(t)}{1 + m_1 N_{11}(t)}, \quad (3c)$$

$$170 \quad N_{14}(t+1) = (1 - d_4)[s_3 N_{13}(t) + s_4(1 - h_4)N_{14}(t)] + d_4[s_3 N_{23}(t) + s_4 N_{24}(t)]. \quad (3d)$$

171 Equations for the densities N_{21} to N_{24} in population 2, which is protected by the marine reserve, are analogous
 172 and are derived from the equations above by setting $h_4 = 0$ and exchanging d_1 and d_4 with $(1 - d_1)$ and
 173 $(1 - d_4)$, respectively. In both populations of the marine-reserve model, the harvest proportion $h_{2,3}$ of small
 174 individuals is set to 0 to allow focusing on the evolutionary effects of harvesting large fish. The stage-dependent
 175 fecundities and survival probabilities for each size class are equal in both areas, while the density-dependent
 176 survival of juveniles depends separately on their density in each population, and the factor m^{-1} in each area is
 177 scaled by the area's relative size.

178

179 2.3 Evolutionary analysis

180 The life-history trait γ evolves in our models and measures the probability that an individual starts reproduction
 181 at small size (this is analogous to the corresponding parameter for age at maturation used by Gårdmark *et al.*,
 182 2003). Small-maturing individuals are assumed to have invested in early reproduction rather than in further
 183 growth; they gain neither the higher fecundity of large individuals, nor do they suffer from the fishing mortality
 184 h_4 . We use adaptive dynamics theory (Metz *et al.*, 1992; Dieckmann and Law, 1996; Metz *et al.*, 1996;
 185 Dieckmann, 1997; Geritz *et al.*, 1997; Geritz *et al.*, 1998; Meszéna *et al.*, 2001; Dieckmann, 2004) to determine
 186 the outcomes of evolution in γ , and thus in size at maturation. This approach assumes a separation of timescales
 187 for the ecological and evolutionary dynamics, with population dynamics getting sufficiently close to equilibrium
 188 during successive invasions of variant phenotypes favoured by selection. Evolutionary outcomes can thus be
 189 inferred from assessing the eventual fate of a rare variant γ' trying to invade in an environment determined by
 190 the resident population with phenotype γ . The fitness $w(\gamma', \gamma)$ of the variant, and thus its potential for such
 191 invasion, is given by its geometric growth factor $\lambda(\gamma', \gamma)$ (Metz *et al.*, 1992). The selection pressure towards
 192 small maturation size is then calculated as

$$193 \left. \frac{dw(\gamma)}{d\gamma} \right|_{\gamma'=\gamma} = \lim_{\gamma' \rightarrow \gamma} \frac{w(\gamma', \gamma) - w(\gamma, \gamma)}{\gamma' - \gamma} = \lim_{\gamma' \rightarrow \gamma} \frac{|\lambda(\gamma', \gamma)| - 1}{\gamma' - \gamma}. \quad (4)$$

194

195 3 Results

196 3.1 Single-population model

197 To establish a baseline for our evolutionary analysis of the marine-reserve model, we start by summarizing
 198 salient results for the single-population model. In this model, the geometric growth factor $\lambda(\gamma', \gamma)$ of a rare

199 variant phenotype γ' in a resident population with phenotype γ can be calculated analytically as the dominant
 200 eigenvalue (in terms of absolute values) of the variant's population projection (or Leslie) matrix $L(\gamma', \gamma)$,

201

$$202 \quad L(\gamma', \gamma) = \begin{bmatrix} 0 & f_2 & 0 & f_4 \\ \frac{\gamma' s_1}{1 + mN_1^*(\gamma)} & s_2(1 - h_{2,3}) & 0 & 0 \\ \frac{(1 - \gamma') s_1}{1 + mN_1^*(\gamma)} & 0 & 0 & 0 \\ 0 & 0 & s_3(1 - h_{2,3}) & s_4(1 - h_4) \end{bmatrix}, \quad (5)$$

203

$$\lambda(\gamma', \gamma) = \frac{1}{1 + mN_1^*(\gamma)} \left[\frac{f_2 s_1}{1 - s_2(1 - h_{2,3})} \gamma' + \frac{f_4 s_1 s_3 (1 - h_{2,3})}{1 - s_4(1 - h_4)} (1 - \gamma') \right]. \quad (6)$$

204

205 The environment for the variant's invasion is defined by the resident population, with the variant's density-
 206 dependent survival being a function of the equilibrium number of juveniles $N_1^*(\gamma)$ of the resident population.
 207 The variant can only invade if $\lambda(\gamma', \gamma) > 1$. Under this condition, the variant population on average grows in
 208 density and can eventually replace the previous resident population (Geritz *et al.*, 2002).

209

210 We find that the evolutionary dynamics of the single-population model exhibit frequency-independent selection
 211 and follow an optimization principle. This can be inferred from the fact that $N_1^*(\gamma)$ is the only term in Equation
 212 (6) through which the resident's phenotype γ influences the variant's geometric growth factor $\lambda(\gamma', \gamma)$ (Heino *et*
 213 *al.*, 1998). Moreover, following the selection pressure in Equation (4), the single population gradually evolves
 214 either to maturation at large size ($\gamma = 0$) or to maturation at small size ($\gamma = 1$). The latter happens if the
 215 following inequality is fulfilled,

216

$$\frac{f_2 s_1}{1 - s_2(1 - h_{2,3})} > \frac{f_4 s_1 s_3 (1 - h_{2,3})}{1 - s_4(1 - h_4)}. \quad (7)$$

217 Also, when this equality is fulfilled (not fulfilled), the outcome $\gamma = 1$ ($\gamma = 0$) is globally evolutionarily stable.

218

219 We can interpret the left-hand side and the right-hand side of this inequality, respectively, as the lifetime
 220 reproductive success resulting from maturation at small size ($\gamma = 1$) and at large size ($\gamma = 0$). Since the right-
 221 hand side decreases as h_4 increases, increased harvesting of large adult individuals favours maturation at small
 222 size. In contrast, the harvest proportion $h_{2,3}$ in the intermediate size range appears twice in the inequality,

223 decreasing reproductive output at large as well as at small size. Fishing intermediately sized fish alone may
224 favour maturation at large size or small size as detailed in Appendix A.

225

226 For the evolutionary analysis of the marine-reserve model, we focus on analyzing the effect of the harvest
227 proportion h_4 , because fishing of the large adult individuals induces a stronger selection pressure than fishing of
228 intermediate-sized adult individuals, and because fisheries-induced evolution towards smaller maturation size is
229 a widely observed empirical phenomenon (e.g., Rijnsdorp, 1993; Trippel, 1995; Olsen *et al.*, 2004).

230

231 3.2 Marine-reserve model: large-adult spill-over

232 The effect of marine reserves on the evolution of size at maturation was analyzed with the help of the marine-
233 reserve model, consisting of eight equations with an 8×8 population projection matrix $L_{MR}(\gamma', \gamma)$ for the
234 variant. The marine-reserve model shows richer evolutionary dynamics than the single-population model. In
235 particular, the evolutionary dynamics no longer follow an optimization principle. Instead, frequency-dependent
236 selection may lead to a stable dimorphism. We start our analysis by focusing on the effects of large-adult spill-
237 over, measured by d_4 , in the absence of juvenile export, $d_1 = 0$.

238

239 Without movement, $d_1 = d_4 = 0$, the two populations in the protected and fished areas are uncoupled, and
240 therefore evolve independently. The matrix $L_{MR}(\gamma', \gamma)$ becomes reducible, and its dominant eigenvalue
241 describes the variant's local geometric growth factor in only one area, with evolutionary dynamics as described
242 by the single-population model for that area. Two resident phenotypes may then coexist, each being adapted
243 separately to the ecological conditions in one of the two areas.

244

245 With movement, $d_4 > 0$, small phenotypic steps result in gradual evolution towards one of the extreme
246 maturation strategies. Figure 3A shows how gradual evolution towards maturation at small size switches to
247 evolution towards maturation at large size in dependence on the movement probability d_4 of large adults and on
248 the harvest proportion h_4 of large adults.

249

250 The grey area in Figure 3B shows, in contrast, the conditions under which non-gradual evolution through large
251 phenotypic steps can lead to the coexistence $\gamma = 0$ and $\gamma = 1$. Increasing large-adult spill-over diminishes the
252 range of harvest proportions h_4 over which the two extreme maturation strategies can coexist.

253

254 3.3 Marine-reserve model: alternative movement scenarios

255 Figures 4A and 4B show how the selection pressure towards small maturation size depends on the harvest
256 proportion of large adults when movement between the protected and fished areas occurs either through juvenile
257 export (Figure 4A) or through large-adult spill-over (Figure 4B). The selection pressure is positive whenever the
258 fitness of a variant phenotype with a higher probability to mature at small size exceeds the fitness of the resident
259 phenotype (Equation 4). For comparison, the selection pressure that applies in the absence of a marine reserve is
260 also shown (grey line).

261

262 In our numerical example, a marine reserve with juvenile export alone altogether prevents an evolutionary
263 switch to small maturation size, even when the harvest proportion of large adults is maximal (Figure 4A). In
264 contrast a marine reserve with large-adult spill-over prevents such an evolutionary switch only when the harvest
265 proportion of large adults is low or the movement probability of large adults is low (Figure 4B). Less movement
266 of large adults leads to lower fishing mortality of large adults, which reduces the selection pressures towards
267 small maturation size, and therefore shifts the critical harvest proportion to higher values ($d_4 = 0.6$), or even
268 prevents the evolutionary switch to small maturation size altogether ($d_4 = 0.2$).

269

270 We can understand these results as follows. Juvenile fish in class 1 have only one year during which they can
271 move through juvenile export, whereas large-maturing adults can move through large-adult spill-over during
272 several years they remain alive in class 4. Therefore, over the years large-adult spill-over causes a larger
273 proportion of each cohort to move outside the marine reserve. This lower retention of the marine reserve results
274 in a higher exposure of fish to size-selective fishing and thus explains why marine reserves with juvenile export
275 are more effective in mitigating fisheries-induced maturation evolution than marine reserves with large-adult
276 spill-over. Movement of large adults occurs later in life, after the annual harvest event on large adults of class 4,
277 and as such should exert a smaller selection pressure than juvenile export if all individuals die after spending one
278 year in class 4. However, survival in class 4 for more than a year increases the probability of individuals to be
279 subject to size-selective fishing mortality, which intensifies the selection pressure.

280

281 3.4 Marine-reserve model: effects on yield

282 To complement Figures 4A and 4B showing how marine reserves weaken the selection pressure towards
283 maturation at small size in dependence on different movement scenarios the corresponding effects on yield are
284 illustrated in Figures 4C and 4D. As expected, the figures show that catches of large adults collapse whenever
285 the evolutionary switch to small maturation size is induced. Juvenile export altogether prevents this switch, so
286 that catches of large adults are ensured at all harvest proportions (Figure 4C). When movement occurs through
287 large-adult spill-over, yield of large adults is ensured at low movement probabilities, by preventing the
288 evolutionary switch, while at higher movement probabilities the catch collapses (Figure 4D). With decreasing
289 large-adult spill-over, the implementation of a marine reserve increases the harvest proportion at which yield is
290 maximized. In comparison to a fully fished stock, marine reserves thus lead to reduced catches only at low
291 harvest proportions. When harvest proportions are higher, the marine reserve dramatically improves catches, by
292 preventing the collapse of catch otherwise resulting from the evolutionary switch to small maturation size.

293

294 We also considered the effects of redistributed fishing effort. When a marine reserve is established, fishing may
295 intensify in the areas that are still fished to make up for reductions in the accessible area and catches. We
296 therefore assume that, for a reserve covering 50% of the total area, fishing effort doubles on the remaining
297 fishing ground. Under the (typically unrealistically high) maximum large-adult movement probability $d_4 = 1.0$,
298 evolutionary outcomes and yields are then equivalent with and without the marine reserve. However, when
299 large-adult spill-over is smaller than this maximum (assuming realistic values), with reserve implementation the
300 evolutionary switch occurs at higher harvest proportions. The lower large-adult spill-over then reduces yield
301 despite the assumption of redistributed fishing effort.

302

303 3.5 Marine-reserve model: reserve size

304 In the numerical illustrations shown so far, fished and protected areas are equal. Figure 5A shows how the
305 critical harvest proportion h_4^* of large adults at which the evolutionary switch occurs depends on reserve size r .
306 We see that the implementation of a marine reserve ($r > 0$) shifts this evolutionary switch to higher harvest
307 proportions. If the reserve is large enough, evolution towards small maturation size can be prevented altogether.
308 The critical reserve size needed to prevent the evolutionary switch for any harvest proportion differs for the
309 different movement scenarios. In general, populations with high movement probabilities require larger reserves

310 to achieve such protection. For all movement probabilities, a population with large-adult spill-over requires a
311 larger reserve than a population with juvenile export. If movement occurs only through juvenile export at low
312 probability, implementation of a small reserve (in our numerical example, requiring no more than 10% of the
313 total area) will suffice to achieve evolutionary protection. Larger reserves will be needed to protect populations
314 with large-adult spill-over or with more juvenile export. Such other movement scenarios necessitate
315 intermediate-sized reserves, here at between 20% and 40% of the total area.

316
317 To analyze the effect of reserve size on yields, we investigated catch per unit effort $CPUE = Y/h_4$, defined as
318 the ratio between yield Y and harvest proportion h_4 (Gulland, 1969). For this, we focused on the CPUE right
319 above the critical harvest proportion, $CPUE^* = \lim_{h_4 \rightarrow h_4^*} \frac{Y}{h_4}$, with $h_4^* = 1$ when $h_4 = 1$ does not induce an
320 evolutionary switch to small maturation size. If intensive harvesting induces an evolutionary switch to small
321 maturation size, the yield Y of large adult fish, as well as CPUE, will be zero, implying $CPUE^* = 0$ for $h_4^* < 1$.
322 On the other hand, if no evolutionary switch is induced, $CPUE^* > 0$ for $h_4^* = 1$. Since CPUE rises above
323 $CPUE^*$ for $h_4 < h_4^*$, $CPUE^*$ describes a worst-case scenario. Figure 5B illustrates, for different movement
324 scenarios, that $CPUE^*$ attains its maximum once the critical reserve size is reached that prevents evolution to
325 smaller maturation size. The figure also shows that implementing a reserve larger than the critical size will be
326 less profitable, by reducing $CPUE^*$ relative to its maximum.

327

328 4 Discussion

329 Here we have examined the effects of marine reserves on maturation evolution by analyzing selection pressures
330 on the probability that individuals mature at small size in stage-structured populations exposed to size-selective
331 fishing. We have shown that an evolutionary switch from large to small maturation size induced by intensive
332 fishing on large adults can be prevented by marine reserves of sufficient size. We have also demonstrated how
333 the critical harvest proportion at which the shift occurs depends on alternative movement scenarios, including
334 large-adult spill-over and juvenile export. While marine reserves with juvenile export better protect against
335 fisheries-induced maturation evolution, marine reserves with large-adult spill-over can better sustain yields of
336 large adults. To maximize catch per unit effort, intermediate harvest proportions must be combined with marine
337 reserves exceeding a critical size.

338

339 4.1 Single-population model

340 The single-population model described in section 2.1 results in density-dependent but frequency-independent
341 selection, so that the resultant evolutionary dynamics follow an optimization principle (Heino *et al.*, 1998). Our
342 evolutionary analysis of this model (Inequality 7) shows that size-selective fishing can cause an evolutionary
343 switch from maturation at large size to maturation at small size. This is in agreement with the results for an age-
344 structured model reported by Gårdmark *et al.* (2003), where a high harvest proportion of the oldest individuals
345 induced an evolutionary switch from late maturation to early maturation.

346

347 Our results show that the propensity for such an evolutionary switch depends on relative, rather than on absolute
348 values of the parameters characterizing the harvested species and its harvest regime (Equation 7). This means
349 that even species suffering from relatively low fishing mortality can undergo an evolutionary switch towards
350 small maturation size when their relative fecundities, natural mortalities, and the size-selectivity of harvesting
351 make them vulnerable to fishing. On the other hand, if, for example, the gain in fecundity with size is only small,
352 or if the survival of small individuals is disproportionately low, an evolutionary switch to small maturation size
353 may not occur.

354

355 In the numerical example using the default parameter values listed in Table 1, the evolutionary switch from large
356 to small maturation size occurs at a harvest proportion of $h_4 = 0.35$. Notice that it is possible to choose
357 parameters that favour the survival and fecundity of the large-maturing individuals in class 4 to an extent that
358 Inequality 7 is never fulfilled. For instance, using the same survival probabilities as before, but for $f_4 \geq 32$, even
359 very high harvest proportions will not induce the evolutionary switch to small maturation size. Naturally, default
360 parameter values used for illustrating the results were chosen to be plausible. For example, the annual probability
361 of natural survival was chosen to equal 0.8 (Guénette and Pitcher, 1999). The harvest proportion can vary
362 considerably depending on the commercial value of the fished species, and proportions have been estimated to
363 rise as high as 0.96 in some heavily exploited populations (Willis and Millar, 2005).

364

365 4.2 Marine-reserve model

366 The evolutionary switch to small maturation size can be prevented by reducing the harvest proportion of large
367 adults below a critical value, since this weakens the corresponding selection pressure. As it is often difficult to

368 estimate fishing mortalities accurately and to enforce corresponding limits the implementation of a marine
369 reserve may offer an alternative for reliably reducing fishing mortalities. While the implementation of a marine
370 reserve eliminates harvesting on part of a population, it also leads to increased spatial heterogeneity in the
371 selection pressures caused by fishing. As illustrated in Figure 3, this may give rise to an evolutionarily stable
372 dimorphism in size at maturation, especially when movement between the protected and fished areas is low.
373 Limited movement and strong differences in selective pressures operating in each area, in conjunction with
374 suitable frequency dependence promotes the stability of such a dimorphism (Meszéna *et al.*, 1997; Heino *et al.*,
375 1998; Kisdi and Geritz, 1999; Fox *et al.*, 2001). This potential for dimorphism has important practical
376 consequences, since it enables a population of large-maturing phenotypes to be successfully invaded by small-
377 maturing phenotypes, which will usually imply a potentially unexpected transition in population composition.
378 For organisms with sexual reproduction, a maturation dimorphism might of course be gradually eroded by
379 interbreeding among maturation strategies, unless such interbreeding is limited by some form of assortative
380 mating (Kisdi and Geritz, 1999).

381
382 High movement probabilities between protected and fished areas increase catches outside the reserve and may
383 strengthen the evolutionary pressure towards small maturation size (Figure 4). In addition, we have demonstrated
384 that the evolutionary effects of a marine reserve depend on the stage-specific movement of individuals.
385 Compared with juvenile export, large-adult spill-over from the marine reserve to the fished area causes higher
386 selection pressures on maturation size and therefore reduces the reserve-based protection from evolution to small
387 maturation size. Juvenile export, in contrast, keeps selection pressures lower but does not lead to an increasing
388 yield of large adults outside the reserve as the juvenile movement becomes more frequent (Figure 4). This
389 underscores that managing fisheries-induced maturation evolution through marine reserves requires not only
390 accounting for the differential movement probabilities of a fished species as a whole, but, more specifically,
391 must be informed also by how such movement is distributed across the species' life-history stages.

392
393 It should be noted that despite the optimistic message conveyed by Figure 4A, a marine reserve with juvenile
394 export alone may still fail to protect a fished population from an evolutionary switch to small maturation size at
395 high harvest proportions. For example, it is possible to choose parameters, such as $f_2 = 5$ and $f_4 < 10$, that
396 lower the difference in lifetime reproductive success between the two extreme maturation strategies and
397 strengthen the selection pressure on size at maturation to an extent that the evolutionary switch to small

398 maturation size occurs even for marine reserves with juvenile export alone, provided harvest proportions are
399 sufficiently high.

400

401 If we consider the redistribution of fishing effort from the marine reserve to the fished area, the harvest
402 proportion in the fished area doubles with reserve implementation when the two areas have identical size
403 (Gu nette and Pitcher, 1999; Baskett *et al.*, 2005). At maximum movement probability, the evolutionary switch
404 from large to small maturation size then occurs at exactly $h_4 = 0.7$, which is also twice the harvest proportion in
405 the fished area without redistribution of fishing effort. Recognizing that the harvest proportion in the total area is
406 half of that in the fished area after the implementation of a marine reserve, the resulting selection pressures in the
407 single-population model are then equal to those in the marine-reserve model with maximum large-adult spill-
408 over (Figure 4B). At maximum large-adult spill-over and redistributed fishing effort, marine reserve
409 implementation does not affect fisheries-induced changes in maturation size. But the degree of protection against
410 fisheries-induced evolution is likely to be higher with implementation of a marine reserve at lower movement
411 probabilities. Nevertheless, additional habitat disturbances resulting from the redistribution of fishing effort may
412 have undesirable effects (Dinmore *et al.*, 2003; Greenstreet *et al.*, 2009).

413

414 4.3 Model limitations

415 We highlight that our model includes several simplifying assumptions, some of which may be relaxed without
416 significantly changing the results. For example, individuals in class 2 may also grow to large size and attain
417 higher fecundity of large individuals. This will favour the small-maturing life-history strategy, which, relative to
418 the large-maturing strategy, then has an extra reproductive event at age 2. This reduces the costs of maturation at
419 small size for fecundity later in life so that the evolutionary switch from large to small maturation size can be
420 expected at lower harvest proportions. Similarly, if individuals in class 3 are allowed to take more than one extra
421 year to mature, their reproductive output is reduced relative to that of the small-maturing life-history strategy. As
422 demonstrated in Appendix B, both of these extensions favour the small-maturing life-history strategy. This
423 shows that, by leaving out these possible extensions, our simple model does not overestimate the selection
424 pressures towards small maturation size that result from size-selective fishing.

425

426 Our models predict an evolutionary switch in the size at maturation, resulting in a collapse of catches of large
427 adults as their harvest proportion is increased beyond a critical value. In natural systems, the pace of this collapse

428 will depend on how long it takes the evolving population to adapt its maturation strategy from large-maturing to
429 small-maturing. Even though the selection pressure on the maturation strategy changes abruptly at the critical
430 harvest proportion, it will typically take populations many generations to exhibit the full selection response to
431 such an altered selection pressure. To describe such gradual selection response accurately, one would need to
432 account for genetic variability among individuals with different maturation strategies (Baskett *et al.*, 2005;
433 Codling, 2008; Dunlop *et al.*, 2007; Dunlop *et al.*, 2009a; Dunlop *et al.*, 2009b; Enberg *et al.*, 2009; Miethe *et*
434 *al.*, 2009; Okamoto *et al.*, 2009).

435

436 Also stochastic effects and uncertainty may greatly affect results and are likely to increase the importance of
437 marine reserves for the management of fish stocks and fisheries (Mangel, 2000; Gerber *et al.*, 2003; Pitchford *et*
438 *al.*, 2007; Codling, 2008). Acknowledging the uncertainty in fishing mortality, implementing marine reserves
439 can serve as a precautionary strategy even if harvest proportions are assumed to be below critical values
440 predicted by deterministic models (Lauck *et al.*, 1998). Similarly, evolutionary dynamics may be affected by
441 demographic and environmental stochasticity. In particular, environmental stochasticity has been found to favour
442 delayed maturation, especially in semelparous organisms (Koons *et al.*, 2008).

443

444 The movement of fish tends to exhibit richer dynamics and detail than accounted for in our study. Our model
445 treats movement as a simple diffusive process. As one example of a complication encountered in nature,
446 movement that is correlated among individuals was found to lead to greater dispersal distances (Codling, 2008).
447 These may in turn imply a higher degree of population connectivity, which could affect evolutionary outcomes
448 in our model by decreasing the evolutionary protection provided by marine reserves. In our model, both
449 reproduction and movement occur once per year. Le Quesne and Codling (2009) point out that this may be
450 unrealistic. While reproduction is often a seasonal event, movement tends to occur continuously throughout the
451 year. If movement occurs more than once per year, the movement probabilities in our model must be interpreted
452 as effective annual movement probabilities, integrating over multiple movements.

453

454 Recruitment depends on the abundance or biomass of spawning stock (Myers and Barrowman, 1996). There are
455 different ways to model stock-recruitment relationships. We use a nonlinear density-dependent recruitment
456 function of Beverton-Holt type (Gårdmark *et al.*, 2003). This relationship implies a consistently high mean
457 recruitment when the spawning stock is large. In contrast, stock-recruitment functions of Ricker type, also

458 commonly used in fisheries models, describe dome-shaped relationships with negative effects of density
459 dependence increasing as the spawning stock becomes larger (Needle, 2002). As in our model the density-
460 dependent survival of juveniles of class 1 affects small-maturing and large-maturing phenotypes equally, the
461 shape of the stock-recruitment function has no impact on the evolutionary outcomes. Nevertheless, different
462 relationships need to be taken into account when fitting a model to data. Beverton-Holt stock-recruitment models
463 assume an increase in recruitment per spawner as a stock's density decreases, which may lead to overestimating
464 a stock's carrying capacity (Barrowman and Myers, 2000).

465

466 Another important simplification made in our study results from its focus on a single species. The resultant
467 model is easily understood, straightforward, and may still help achieving some ecosystem objectives (Mace,
468 2004). While fisheries management based on multi-species models is desirable, such models are still very
469 difficult to design and parameterise. It has actually been suggested that the lack of political will to implement
470 scientific advice is more important for understanding failing fisheries management than the traditional focus on
471 single-species approaches (Cardinale and Svedäng, 2008).

472

473 4.4 Stage-dependent mobility and reserve size

474 Ecological effects of juvenile movement were observed in marine reserves on the Australian Great Barrier Reef
475 reserves, where the abundance of sedentary coral-reef fish increased inside the reserve because dispersal in these
476 fish occurs only at the larval stage; no adult spill-over was observed (Williamson *et al.*, 2004). For obvious
477 reasons, adult spill-over has a stronger positive effect on the yield of adult fish. This is in agreement with a study
478 by Le Quesne and Codling (2009), who found that adult spill-over has a greater potential to improve yield than
479 juvenile export. Strong positive effects of adult spill-over on yield were observed where the protected and fished
480 areas feature the same habitat and adult fish are mobile (Russ *et al.*, 2003). As shown above, however, the
481 protection afforded by a marine reserve with adult-spill-over from evolution towards small maturation size is
482 weaker. Adult spill-over directly increases the number of large-maturing adults reaching the fished area and
483 suffering from size-selective mortality.

484

485 We have shown that juvenile export alone, or low to moderate large-adult spill-over between the protected and
486 fished areas, prevent an evolutionary switch to small maturation size (Figures 4A and 4B). This finding gains
487 extra significance when considering how the implementation of a marine reserve may result in selection for

488 shorter dispersal distances. This gradually decreases the movement out of the reserve and thereby diminishes the
489 reserve's beneficial effect on yield (Botsford *et al.*, 2001; Baskett *et al.*, 2007). Modelling the joint evolution of
490 size at maturation and mobility Miethe *et al.* (2009) confirm the gradual reduction of large-adult spill-over
491 through selection for lower dispersal within the marine reserve.

492
493 We verified that movement of only intermediately sized individuals leads to results that lie between the two
494 extreme scenarios of juvenile export and large-adult spill-over analyzed above. Moreover, movement of several
495 size classes leads to a higher connectivity between the protected and the fished area; this reduces the scope for a
496 stable dimorphism of maturation strategies and also lessens the evolutionary protection provided by the marine
497 reserve.

498
499 In the study by Baskett *et al.* (2005), reserve size did not affect evolutionary outcomes when movement was low;
500 whereas high movement resulted in decreased protection from maturation evolution. We show that reserve size
501 affects populations with low as well as high movement probability but in different ways (Figures 5A and 5B).
502 Movement processes at different life stages differ in the net transfer rates of harvestable large adults. For stocks
503 with mainly juvenile export or with very low fishing mortality, we recommend small reserves, while populations
504 with high adult movement that suffer from high exploitation require large reserves. The effects of a marine
505 reserve increase with its size and with the time since its implementation (Botsford *et al.*, 2003; Claudet *et al.*,
506 2008; Le Quesne and Codling, 2009).

507
508 For the management of mixed fisheries that include species with different movement and other life-history
509 characteristics, one reserve size will not be optimal for of those species (Sale *et al.*, 2005). We recommend
510 adapting the degree of protection, and thus the size of the considered marine reserve, to the most vulnerable
511 fished species, where a species' vulnerability should be defined in terms of its sensitivity to the demographic and
512 evolutionary effects of harvesting. While such an approach may lead to the implementation of a large reserve
513 reducing the catch per unit effort of other species, it respects the precautionary approach and will buffer the
514 fished community against uncertainty, not the least against uncertainty with regard to choosing the most
515 appropriate reserve size. Furthermore, for preventing evolutionary changes towards small maturation size it may
516 be effective to combine the implementation of a reserve with a reduction of fishing mortality outside of the
517 reserve.

518 4.5 Marine reserves as a management tool

519 Use of natural home ranges as reserve boundaries reduces spill-over and increases the resultant protection
520 (Chapman and Kramer, 2000; Topping *et al.*, 2005). A network of protected areas on spawning and nursery
521 grounds of haddock *Melanogrammus aeglefinus* and cod *Gadus morhua* off the east coast of Iceland helped to
522 increase haddock abundance and mean size without affecting species richness and composition (Jaworski *et al.*,
523 2006). Nevertheless, the positive effects were quickly reversed after reopening of the temporary closure.
524 Although marine reserves were generally found to be efficient as management tools (Halpern, 2003), there are
525 also examples of unsuccessful reserve implementation. The “plaice box” was set up to protect undersized fish on
526 the nursery grounds of North Sea plaice *Pleuronectes platessa* (Pastoors *et al.*, 2000). The observed reduction of
527 juvenile density within this reserve was attributed to changes in the spatial distribution of North Sea plaice and
528 possibly also to deteriorating feeding conditions through reduced abundance of small invertebrates resulting
529 from diminished trawling (Hiddink *et al.*, 2008). A protected area in a haddock nursery ground on the Scotian
530 Shelf, Canada, failed to protect juveniles, but benefited haddock adults as well as other local fish stocks of
531 American plaice *Hippoglossoides americanus* and winter flounder *Pseudopleuronectes americanus* (Frank *et al.*,
532 2000).

533
534 In our models, we specifically analyzed a sedentary population with a marine no-take reserve. The effect of
535 protection afforded by a marine reserve may indeed be critically affected by the occurrence of seasonal spawning
536 migrations and ontogenetic habitat shifts (Horwood *et al.*, 1998; Dunlop *et al.*, 2009a; West *et al.*, 2009). Kelly
537 *et al.* (2006) found that seasonal protection of spawning grounds, in the context of the recovery plan for Atlantic
538 cod in the Irish Sea, did not succeed, probably because some fisheries were still allowed in the area, causing cod
539 bycatch, increased fishing effort outside the protected area, and data uncertainty. Reversal of evolutionary
540 changes in life-history traits is expected to be a slow process, although lab experiments show that reversal is
541 possible (Law and Grey, 1989; Law, 2000; Swain *et al.*, 2007; Conover *et al.*, 2009; Enberg *et al.*, 2009). After
542 the collapse of Canadian cod, a fishing moratorium was declared that so far did little for demographic recovery
543 (Hutchings and Reynolds, 2004) or evolutionary recovery (Olsen *et al.*, 2004; Olsen *et al.*, 2005). Currently, only
544 a small fraction of the sea has been set aside for protection, and long-term protection is rare. Therefore,
545 evolutionary effects of marine-reserve implementation have not been documented to date.

546

547 Part of a reserve's benefit in terms of enhanced yield may accrue through improved habitat quality inside the
548 reserve, which supports larger populations and increases spill-over. Lundberg *et al.* (1999) used habitat-selection
549 theory based on the ideal free distribution to show that differences in habitat quality between a marine reserve
550 and a fished area lead to increased catches, especially at high movement. This is important in situations in which
551 fishing activity deteriorates the habitat. Gårdmark *et al.* (2006) showed that marine reserves do not increase yield
552 when density-dependent growth inside the reserve limits yield outside the reserve, despite movement between
553 the areas. Their model, however, did not account for the potential prevention of evolutionary changes towards
554 smaller maturation size through the implementation of a marine reserve.

555
556 Our model describes a life cycle with three size classes, a mean life expectancy of about 7 years, and an age at
557 maturation of about 3 years in the absence of fishing. Life expectancy and age at maturation decrease as
558 harvesting increases resulting in the life history of a relatively short-lived species. Baskett *et al.* (2005) found
559 similar patterns in the modelled effects of marine reserves on evolutionary outcomes for species with different
560 life histories, while pointing out that evolutionary protection is lower for long-lived species exhibiting high
561 movement and facing strong selection. The model by Dunlop *et al.* (2009a) confirmed the potential of marine
562 reserves to mitigate the evolutionary impacts of fishing on several life-history traits, while pointing out that for
563 the effective protection of fish stocks with spawning migrations, the reserve must be located in the stock's
564 feeding grounds, rather than its spawning grounds. Together, the results presented here and in the two
565 aforementioned studies cover a range of different life histories, indicating the robustness of the concordant
566 findings.

567
568 An evolutionary switch from large-maturing to small-maturing phenotypes as reported above has also been
569 found when an evolving population's size structure is described continuously, instead of in terms of discrete size
570 classes (Taborsky *et al.*, 2003; Gårdmark and Dieckmann, 2006). Such a switch may occur repeatedly within a
571 larger spectrum of size classes when fecundity and fishing mortality increase with size. As the largest adults
572 disappear in the wake of such a switch, fisheries then may shift their targeted size range, exploiting the next-
573 largest size class in an effort to maintain yield. This could result in an analogous evolutionary switch in that size
574 class. As these evolutionary switches cascade through the relevant size classes, the stock is sent on an ecological
575 and evolutionary death spiral, resulting in smaller sizes and lower abundances until collapse occurs. This

576 conceivable scenario is the evolutionary counterpart of the well-known phenomenon of “fishing down the food
577 web” (Pauly *et al.*, 1998) and may affect species as well as entire communities.

578

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590

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1 Appendices

2

3 Appendix A: Harvesting the intermediate size classes

4 The evolutionary switch from large to small maturation size occurs when Inequality (7) becomes fulfilled.

5 Rearranging that inequality and defining a function F leads to an equivalent condition for the evolution of
6 small maturation size,

7
$$F = \frac{f_2}{1-s_2(1-h_{2,3})} - \frac{f_4 s_3 (1-h_{2,3})}{1-s_4(1-h_4)} > 0, \quad (\text{A1})$$

8 with the switch from large to small maturation size happening at $F = 0$. To predict the evolutionary effect of
9 increasing $h_{2,3}$, we determine the slope of F with respect to $h_{2,3}$,

10
$$\frac{\partial F}{\partial h_{2,3}} = -\frac{f_2 s_2}{(1-s_2(1-h_{2,3}))^2} + \frac{f_4 s_3}{1-s_4(1-h_4)}. \quad (\text{A2})$$

11 Using the fact that $F = 0$ at the evolutionary switch point allows this expression to be simplified (at the switch
12 point) to

13
$$\frac{\partial F}{\partial h_{2,3}} = \frac{f_4 s_3 (1-2s_2(1-h_{2,3}))}{(1-s_4(1-h_4))(1-s_2(1-h_{2,3}))}. \quad (\text{A3})$$

14 Since both factors in the right-hand side's denominator are strictly positive, $\partial F / \partial h_{2,3}$ is positive if and only if

15
$$s_2(1-h_{2,3}) < \frac{1}{2}. \quad (\text{A4})$$

16 Under this condition, increasing $h_{2,3}$ close to the switch point causes F to increase and therefore inequality
17 (A1) to be fulfilled. Thus, when Inequality (A4) is satisfied, harvesting more strongly on the intermediate size
18 classes favors maturation at small size. Conversely, when Inequality (A4) is not satisfied, increasing $h_{2,3}$
19 favors maturation at large size.

20 Harvesting only mature intermediate-sized fish ($h_2 > 0$ with $h_3 = 0$) always favors maturation at large size.

21 Such a harvest regime can occur if immature fish stay in feeding grounds and move to strongly fished
22 spawning grounds only when they reach maturity, as observed, e.g., for migratory cod (Begg and
23 Marteinsdottir, 2003).

24

25

26 Appendix B: Extensions of the single-population model

27 In Equations (3a) to (3d), it is assumed that individuals maturing at large size grow directly from class 3 to
28 class 4. Instead, they could be allowed to remain more than one year in class 3 by introducing an annual
29 probability p for an individual to remain in class 3 before entering class 4. Furthermore, many fish exhibit
30 indeterminate growth, so individuals maturing at small size could be allowed to grow to large size by
31 introducing an annual probability q for an individual to remain in class 2 before entering class 4. These
32 parameters are assumed to be set at $p=0$ and $q=1$ in the models we describe in Section 2, but these
33 restrictions can be relaxed.

34 Analysis of this more general model is analogous to that leading to Inequality (7), and reveals that for $p > 0$
35 and $q < 1$ evolution favors maturation at small size when

$$36 \quad G = \frac{1}{1-qs_2(1-h_{2,3})} \left(f_2s_1 + \frac{f_4s_1s_2(1-h_{2,3})(1-q)}{1-s_4(1-h_4)} \right) - \frac{f_4s_1s_3(1-h_{2,3})(1-p)}{(1-s_4(1-h_4))(1-ps_3(1-h_{2,3}))} > 0, \quad (A5)$$

37 with the switch from large to small maturation size happening at $G=0$. As in Appendix A, we can predict
38 the evolutionary effects of allowing $p > 0$ and $q < 1$ by examining the corresponding partial derivatives of G
39 close to the evolutionary switch point. Differentiating G with respect to p gives

$$40 \quad \frac{\partial G}{\partial p} = \frac{f_4s_1s_3(1-h_{2,3})(1-s_3(1-h_{2,3}))}{(1-s_4(1-h_4))(1-ps_3(1-h_{2,3}))^2} > 0. \quad (A6)$$

41 Since this partial derivative is strictly positive, the arguments in Appendix A enable us to conclude that
42 allowing $p > 0$ makes evolution at small maturation size more likely. The evolutionary conclusions of the
43 simpler model based on Equations (3a) to (3d) are therefore conservative in this respect.

44 Differentiating G with respect to q gives

$$45 \quad \frac{\partial G}{\partial q} = -(1-h_{2,3})s_1s_2 \frac{f_4(1-s_2(1-h_{2,3})) - f_2(1-s_4(1-h_4))}{(1-s_4(1-h_4))(1-qs_2(1-h_{2,3}))^2} < 0. \quad (A7)$$

46 Since $(1-h_{2,3})s_1s_2$ and both factors in the right-hand side's denominator are strictly positive, $\partial G/\partial q$ is
47 negative if and only if

$$48 \quad \frac{f_2}{1-s_2(1-h_{2,3})} < \frac{f_4}{1-s_4(1-h_4)}. \quad (A8)$$

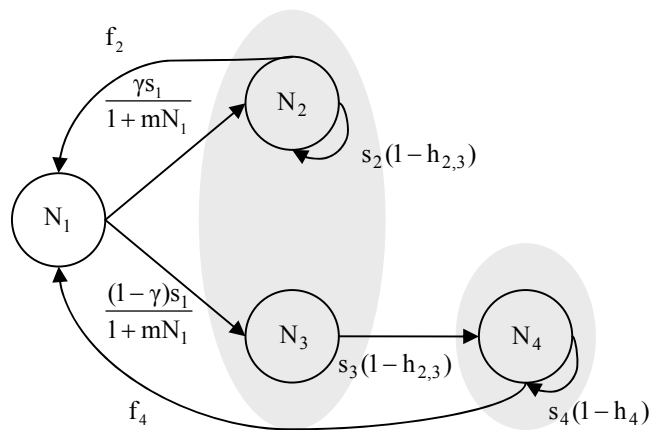
49 Using the fact that $F=0$ at the evolutionary switch point (Appendix A, Equation A1) allows this inequality to
50 be simplified (at the switch point) to

51
$$\frac{f_4 s_3 (1 - h_{2,3})}{1 - s_4 (1 - h_4)} < \frac{f_4}{1 - s_4 (1 - h_4)} \tag{A9}$$

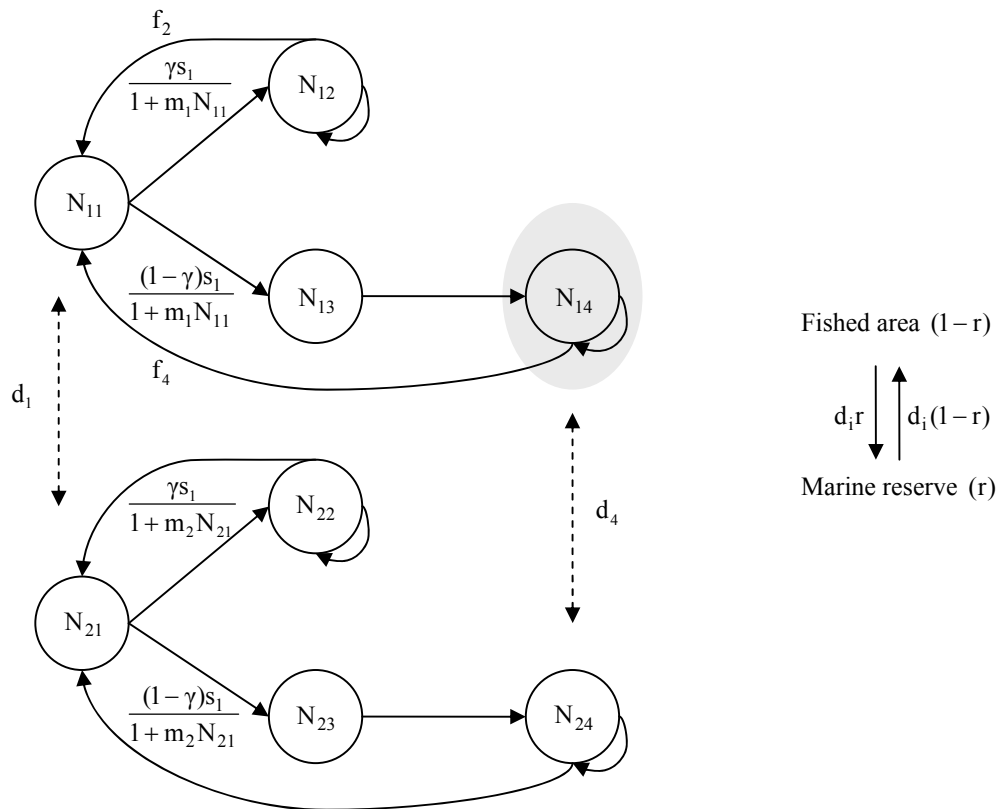
52 and hence to $s_3(1 - h_{2,3}) < 1$, which is true. We can thus conclude that decreasing q from $q = 1$ increases G ,
 53 so that allowing $q < 1$ favors (at least close to the switch point) maturation at small size. Again the
 54 evolutionary conclusions based on Equations (3a) to (3d) are conservative in this respect.

55 In summary, increasing p (allowing individuals to spend more than one year in class 3) and decreasing q
 56 (allowing individuals to move from class 2 to class 4) both cause the evolutionary switch from large to small
 57 maturation size to occur at lower harvest proportions. This implies that the simpler model we analyze in the
 58 main text does not overestimate fisheries-induced maturation evolution. Numerical results show that the
 59 effects of p and q in the marine-reserve model are analogous to their effects in the single-population model.

60
 61



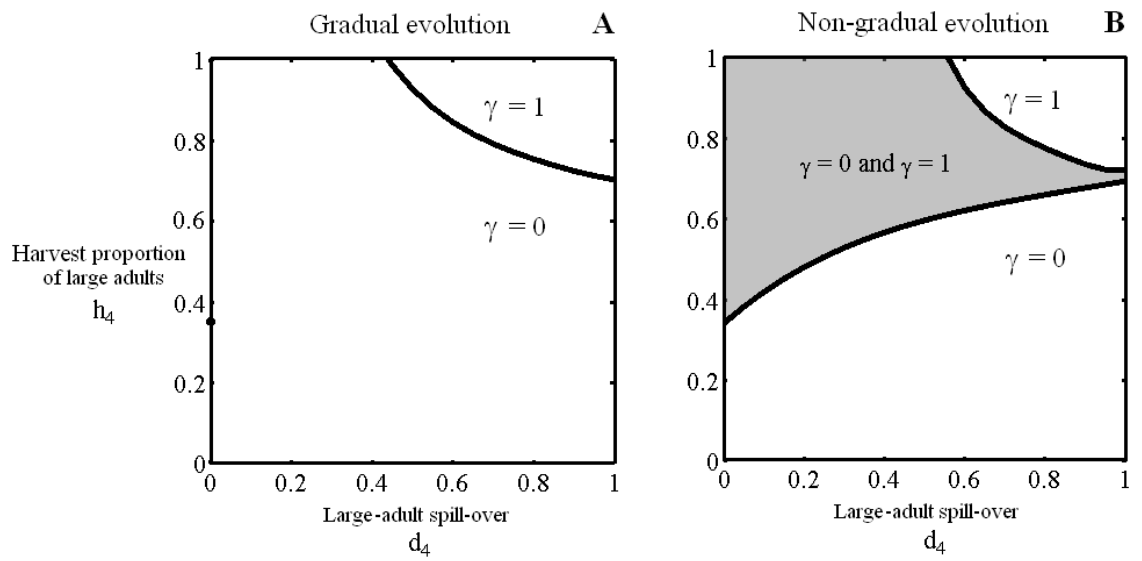
62
 63 Figure 1
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67 Figure 2

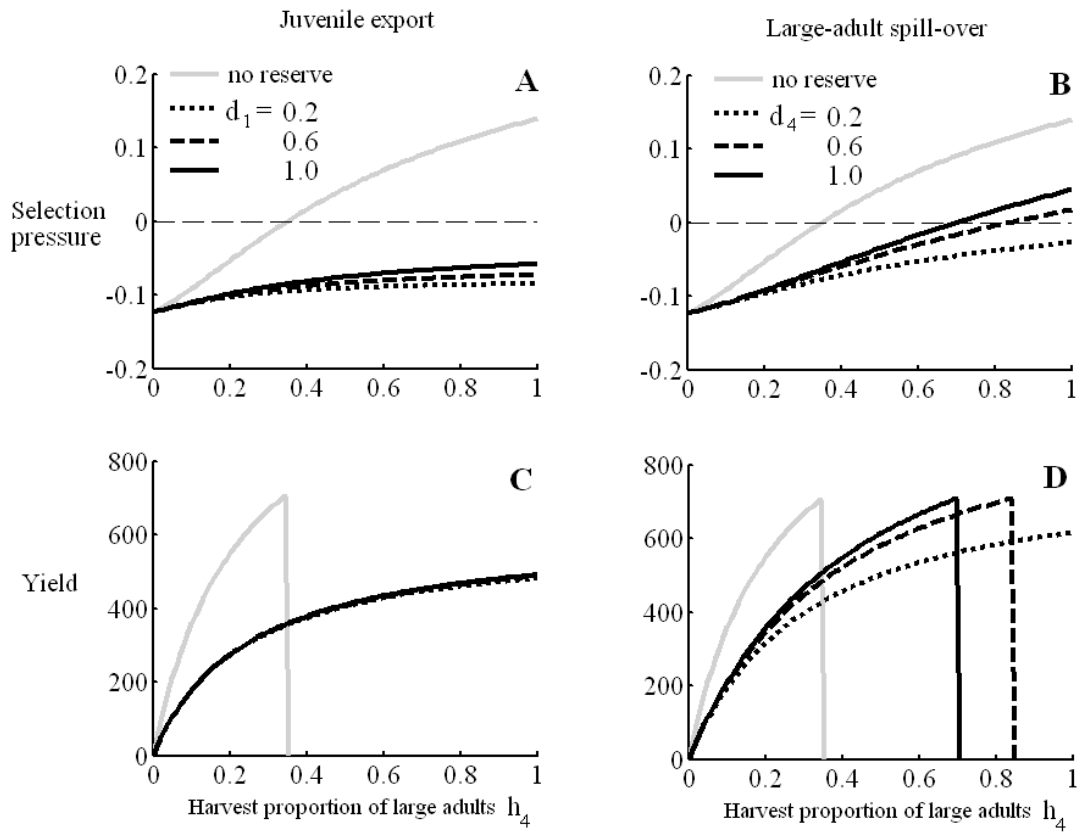
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70 Figure 3

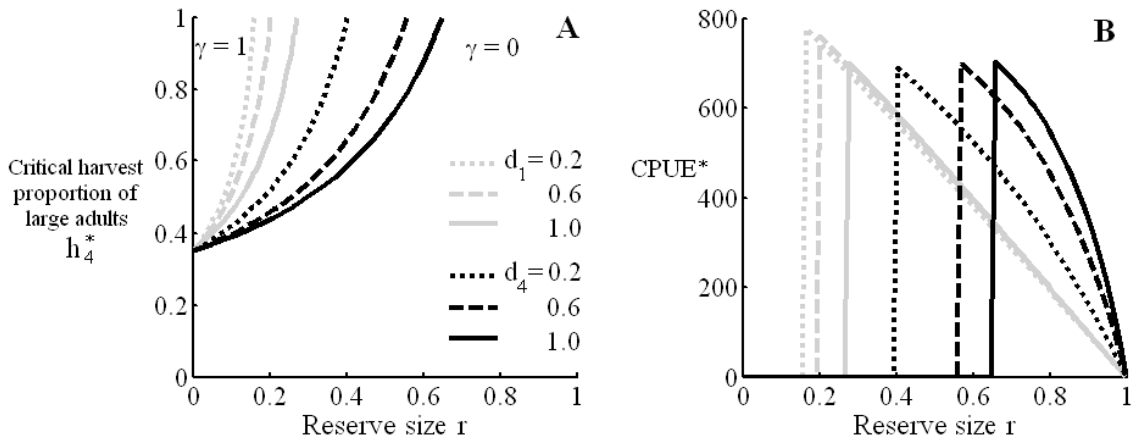
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73 Figure 4

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76 Figure 5

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81 Captions

82

83 Table 1. Parameters, their description, and their default values used for the numerical illustrations.

84

85 Figure 1. Schematic illustration of single-population model. Fish are born into class 1 (small juveniles) where
86 they experience density-dependent survival. They then grow to class 2 (small adults) with probability γ , or to
87 class 3 (large juveniles) with probability $(1-\gamma)$. Fish maturing at small size start reproduction in class 4,
88 while fish maturing at large size do not start reproduction until they reach class 4 (large adults). The evolving
89 trait γ thus describes the probability of fish to mature at small size. Due to size-selective fishing, harvest
90 proportions $h_{2,3}$ and h_4 can differ between intermediate-sized and large-sized fish, respectively. The
91 harvested classes are indicated by shading.

92

93 Figure 2. Schematic illustration of marine-reserve model. Populations inhabit two areas; one is harvested
94 (above) and while the other is protected by a marine reserve (below). Only large adults in the harvested area
95 are subject to fishing in accordance with the harvest proportion h_4 . Movement between the two areas can
96 occur in class 1 (juvenile export with movement probability d_1) or in class 4 (large-adult spill-over with
97 movement probability d_4). The per capita movement probabilities are scaled with the relative size of the
98 destination area, r for the marine reserve and $(1-r)$ for the harvested area. Other details as described in the
99 caption of Figure 1.

100

101 Figure 3. Evolutionary outcomes of maturation evolution in the marine-reserve model in dependence on the
102 movement probability d_4 of large adults and on the harvest proportion h_4 of large adults. **A)** Gradual
103 evolution through successive invasion of variant phenotypes that slightly differ from resident phenotypes.
104 When movement probabilities or harvest proportions are low, the population evolves towards maturation at
105 large size ($\gamma = 0$). When movement probabilities and harvest proportions are high, the population evolves
106 towards maturation at small size ($\gamma = 1$). The continuous line depicts the bifurcation points at which the
107 switch between the two evolutionary outcomes occurs. **B)** Non-gradual evolution through the successive
108 invasion of variant phenotypes that arbitrarily differ from resident phenotypes. The shaded area indicates the

109 conditions under which the two extreme maturation strategies $\gamma=0$ and $\gamma=1$ can coexist. Outside the
 110 shaded area, the evolutionary outcomes are monomorphic ($\gamma=0$ below or $\gamma=1$ above the shaded area).

111

112 Figure 4. Selection pressure at $\gamma=0.5$ and yield at evolutionary outcome in dependence on movement
 113 probabilities d_1 or d_4 and on the harvest proportion h_4 of large adults. $d_1 > 0$ describes juvenile export (left
 114 column), while $d_4 > 0$ describes large-adult spill-over (right column). For comparison, the results in absence
 115 of a marine reserve are shown in grey. **A), B)** Selection pressure at $\gamma=0.5$ as given in Equation (4). When
 116 the selection pressure is negative, selection favors the decrease of γ towards 0, resulting in maturation at
 117 large size. When the selection pressure is positive, selection favors the increase of γ towards 1, resulting in
 118 maturation at small size. The critical harvest rate at which the sign of the selection pressure changes is the
 119 same for different values of γ . **C), D)** Yield at the evolutionary outcome $\gamma=0$ (negative selection pressure)
 120 or $\gamma=1$ (positive selection pressure).

121

122 Figure 5. Critical harvest proportion and catch per unit effort in dependence on movement probabilities d_1 or
 123 d_4 and on the reserve size r . $d_1 > 0$ describes juvenile export (grey curves), while $d_4 > 0$ describes large-
 124 adult spill-over (black curves). **A)** Critical harvest proportion h_4^* at which the switch from large to small
 125 maturation size occurs. **B)** Catch per unit effort CPUE* right above the critical harvest proportion h_4^* .
 126 Catches of large adults collapse to 0 whenever harvesting induces an evolutionary switch to small maturation
 127 size.

128

129 Table 1.

Parameter	Description	Default value
f_2	Per capita annual fecundity at intermediate size	5
f_4	Per capita annual fecundity at large size	15
s_1, s_2, s_3, s_4	Per capita annual survival probabilities in classes 1 to 4	0.8
$h_{2,3}$	Per capita annual harvest proportion of intermediate-sized	0

individuals		
h_4	Per capita annual harvest proportion of large adults	$[0, 1]$
d_1, d_4	Per capita annual movement probability in class 1 or 4	$[0, 1]$
r	Fraction of total area protected by a marine reserve	$[0, 1]$
m	Factor to scale strength of density-dependent juvenile survival	0.001
m_1	Factor to scale strength of density-dependent juvenile survival in fished area	$\frac{m}{1-r}$
m_2	Factor to scale strength of density-dependent juvenile survival in marine reserve	$\frac{m}{r}$
