

# The impact of China's vehicle emissions on regional air quality in 2000 and 2020: a scenario analysis

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**Abstract.** The number of vehicles in China has been increasing rapidly. We evaluate the impact of current and possible future vehicle emissions from China on Asian air quality. We modify the Regional Emission Inventory in Asia (REAS) for China's road transport sector in 2000 using updated Chinese data for the number of vehicles, annual mileage, and emission factors. We develop two scenarios for 2020: a scenario where emission factors remain the same as they were in 2000 (No-Policy, NoPol), and a scenario where Euro 3 vehicle emission standards are applied to all vehicles (except motorcycles and rural vehicles). The Euro 3 scenario is an approximation of what may be the case in 2020 as, starting in 2008, all new vehicles in China (except motorcycles) were required to meet the Euro 3 emission standards. Using the Weather Research and Forecasting model coupled with Chemistry (WRF/Chem), we examine the regional air quality response to China's vehicle emissions in 2000 and in 2020 for the NoPol and Euro 3 scenarios. We evaluate the 2000 model results with observations in Japan, China, Korea, and Russia. Under NoPol in 2020, emissions of carbon monoxide (CO), nitrogen oxides (NO<sub>x</sub>), non-methane volatile organic compounds (NMVOCs), black carbon (BC), and organic carbon (OC) from China's vehicles more than double compared to the 2000 baseline. If all vehicles meet the Euro 3 regulations in 2020, however, these emissions are reduced by more than 50 % relative to NoPol. The implementation of stringent vehicle emission standards leads to a large, simultaneous reduction of the surface ozone (O<sub>3</sub>) mixing ratios

and particulate matter (PM<sub>2.5</sub>) concentrations. In the Euro 3 scenario, surface O<sub>3</sub> is reduced by more than 10 ppbv and surface PM<sub>2.5</sub> is reduced by more than 10 μg m<sup>-3</sup> relative to NoPol in Northeast China in all seasons. In spring, surface O<sub>3</sub> mixing ratios and PM<sub>2.5</sub> concentrations in neighboring countries are also reduced by more than 3 ppbv and 1 μg m<sup>-3</sup>, respectively. We find that effective regulation of China's road transport sector will be of significant benefit for air quality both within China and across East Asia as well.

## 1 Introduction

Ozone (O<sub>3</sub>) and aerosols influence both air quality and climate (Shindell et al., 2008, 2009; Forster et al., 2007; Unger et al., 2006; Andreae et al., 2005), as well as having adverse impacts on health (Anenberg et al., 2009; Liu et al., 2009a; Schwartz et al., 2008; Levy et al., 2001; and Dockery et al., 1993). In addition, surface O<sub>3</sub> damages agricultural crops, resulting in reduced yields (Avnery et al., 2011; Dingenen et al., 2009; Wang and Mauzerall, 2004). Observations and modelling studies have shown a significant increase in tropospheric concentrations of these species since pre-industrial times due to anthropogenic emissions (Akimoto, 2003; Horowitz, 2006; Tsigaridis et al., 2006; Volz and Kley, 1988). Recent studies have also shown that anthropogenic emissions of ozone precursors – nitrogen oxides (NO<sub>x</sub> = NO + NO<sub>2</sub>), non-methane volatile organic compounds (NMVOCs), carbon monoxide (CO), and methane (CH<sub>4</sub>) – affect ozone concentrations on regional, continental, and inter-continental scales (Fiore et al., 2009; West et al.,



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2009). Measurements indicate that global background O<sub>3</sub> concentrations have approximately doubled from the late 19th to 20th centuries (Vingarzan, 2004).

An increase in the number of vehicles, first in developed countries and increasingly in developing countries such as China, is an important driver for rising O<sub>3</sub> concentrations (Westerdahl et al., 2009). Vehicles are a significant source of all ozone precursors, except CH<sub>4</sub>. Anthropogenic NO<sub>x</sub> emissions from Asia (including East, Southeast, and South Asia) have rapidly increased from a small fraction of global emissions in the 1970s to surpass those from Europe and North America individually by the end of the 1990s (Aki-moto, 2003). An analysis of tropospheric NO<sub>2</sub> columns over China derived from the Global Ozone Monitoring Experiment (GOME) and Scanning Imaging Absorption Spectrometer for Atmospheric Cartography (SCIAMACHY) satellite instruments between 1996 and 2004 also indicates a large increase in NO<sub>2</sub> concentrations over eastern China, especially above industrial areas (van der A et al., 2006; Richter et al., 2005). Although many countries, including developing countries, have adopted emission standards from developed countries, projected growth in the number of vehicles will have a substantial impact on the emissions and concentrations of pollutants at the local, national, regional, continental, and hemispheric scales.

China has seen explosive growth in the number of civil motor vehicles (cars, buses and trucks) since 1980. This increase in vehicles has led to substantial degradation of air quality, especially in urban areas (Cai and Xie, 2007). For example, in Beijing, vehicles accounted for 46, 78, and 83 % of total emissions of the NO<sub>x</sub>, CO, and hydrocarbons (HC), respectively, in 1999 (Hao et al., 2006). In order to reduce vehicle emissions, China implemented the European vehicle emission standards (Euro standards) in 2001, and the standards have been regularly tightened. Table 1 illustrates the evolution of implementation of the national vehicle emission standards in China. Euro standards regulate emissions of CO, HC, NO<sub>x</sub> and particulate matter (PM) with different emission limits allowed for various vehicle categories. Euro 1 is the least stringent and Euro 6 is the most stringent of existing Euro standards. China implemented Euro 3 nationally for all vehicles in 2008.

The purpose of this study is to analyze the impacts of regulating vehicle emissions in China on regional air quality. We first evaluate the influence of vehicle emissions in China on regional air quality in 2000. Next, we use two scenarios for 2020 to investigate the influence on air quality of (1) no vehicle emission regulations; and (2) all vehicles meeting the Euro 3 emission standards. By creating two possible scenarios, we aim to estimate the benefit of full implementation and enforcement of Euro 3 emission standards, relative to no regulations, on regional air quality in 2020.

**Table 1.** Implementation years and national vehicle emission standards for all sales in China.

	Year	CO	HC	HC+NO <sub>x</sub>	NO <sub>x</sub>	PM
Light-duty gasoline (g km <sup>-1</sup> )						
Euro 1	2001	3.16		1.13		
Euro 2	2005	2.2		0.5		
Euro 3	2008	2.3	0.2		0.15	
Euro 4	2011	1	0.1		0.08	
Euro 5		1	0.1		0.06	0.005
Euro 6		1	0.1		0.06	0.005
Light-duty diesel (g km <sup>-1</sup> )						
Euro 1	2001	3.16		1.13		0.18
Euro 2	2005	1		0.9		0.1
Euro 3	2008	0.64	0.56	0.56	0.5	0.05
Euro 4	2013	0.5	0.3	0.3	0.25	0.025
Euro 5		0.5	0.23	0.23	0.18	0.005
Euro 6		0.5	0.17	0.17	0.08	0.005
Heavy-duty diesel (g kWh <sup>-1</sup> )						
Euro 1	2001	4.5	1.1		8	0.612
Euro 2	2004	4	1.1		7	0.25
Euro 3	2008	2.1	0.66		5	0.1
Euro 4	2012	1.5	0.46		3.5	0.02
Euro 5		1.5	0.46		2	0.02
Euro 6		1.5	0.13		0.4	0.01

Note: The official implementation year of Euro 1 is 2000, but because of the complication related to the re-issuing of the standards, we consider 2001 to be the actual implementation year as is often considered in the literature.

The paper is organized as follows. Section 2 describes the development of emissions data for our scenario analyses. Section 3 explains the atmospheric chemistry models (WRF/Chem and MOZART) used in this study. Section 4 describes the scenarios and evaluates our baseline model simulation for 2000 by comparing observations with model results. In Sect. 5, we examine results from our 2020 simulations and describe the potential benefits for regional air quality of all vehicles meeting the Euro 3 vehicle emission controls in 2020. We present a summary of results and suggestions for future research in Sect. 6.

## 2 Emissions

### 2.1 Emissions inventories

In this study we combine four emissions inventories. For anthropogenic emissions, we use the Regional Emission Inventory in Asia (REAS) (Ohara et al., 2007). The REAS inventory includes anthropogenic emissions from combustion (industry, power, transport and domestic sectors including burning of fossil fuel and biofuel), industrial processes, agriculture (both soil and livestock) and others (waste treatment, emissions from aircraft and ships). Twenty-two countries



**Fig. 1.** WRF/Chem model domain. Blue indicates locations where the REAS emissions inventory is used. Gray indicates where the SRES A2 emissions are used. For the entire model domain, biomass burning emissions from GFED v2.1 and biogenic emissions from POET v1 are used. 14 observational sites which are used for model evaluation are also marked on the map.

are included from 10° S to 50° N latitude and from 60° E to 150° E longitude (See Fig. 1).

REAS emissions for years 2000 and 2020 are used in this study. For 2020, REAS has three scenarios for China's emissions: Policy Failed Case (PFC), Reference (REF), and Policy Succeed Case (PSC). PFC is the worst case scenario developed, where high emissions are expected due to rapid economic growth combined with a continuation of the current energy structure with increased fossil fuel combustion and the slow adoption of new emission control technologies. The REF scenario has moderate emission rates as it assumes energy consumption to be suppressed through energy conservation, clean energy and new energy technologies as well as new emission control technologies. The PSC is an optimistic scenario where emission rates are set to be low due to the implementation of environmental policies and the fast deployment of new technologies.

The new Asian emission inventory for the NASA INTEX-B mission suggests China's anthropogenic emissions of NO<sub>2</sub> to be 20.8 Tg in the year 2006 (Zhang et al., 2009). This exceeds the 2010 REAS PFC estimate of 17 Tg NO<sub>2</sub> yr<sup>-1</sup>. In addition, Klimont et al. (2009) also shows that REAS projects a moderate increase of NO<sub>x</sub> emissions for Asia, possibly resulting in underestimation even in the PFC scenario. We therefore assume that, of the REAS scenarios for 2020, the PFC scenario most closely approximates year 2020 NO<sub>x</sub> emissions from China, and use it as the basis for our 2020 scenarios. China's vehicle emissions are modified as discussed in the following section.

For the target area in our study that is not covered by the REAS emissions inventory (see Fig. 1), we use other existing

inventories. For anthropogenic emissions and aircraft emissions above the surface, we use the Intergovernmental Panel on Climate Change (IPCC) Special Report on Emission Scenarios (SRES) A2 emissions (IPCC, 2000) for the year 2000 and 2020. This is reasonable, as the A2 scenario also assumes continuously increasing economic growth as does the REAS PFC scenario. For all regions, we also use the year 2000 emissions from the Global Fire Emissions Database GFED v2.1 for biomass burning emissions (van der Werf et al., 2006). For biogenic emissions of CO, NO<sub>x</sub>, CH<sub>4</sub> and NMVOCs, we use the Precursors of Ozone and their Effect on the Troposphere (POET) v1 emissions inventory (Granier et al., 2005).

## 2.2 China's road transport sector emissions

### 2.2.1 Methodology

Road transport sector emissions of CO, NO<sub>x</sub>, BC and OC in the REAS inventory were estimated by the following equation:

$$E = N \times \text{VMT} \times \text{EF} \quad (1)$$

*E*: Emissions (g)

*N*: Number of vehicles

VMT: Annual vehicle miles travelled (km)

EF: Emission factor (g km<sup>-1</sup>)

In this study we modify emissions from China's road transport sector in the REAS inventory for years 2000 and 2020, using newly available data. For year 2020, we modify the PFC scenario to create two scenarios – No-Policy (NoPol) and Euro 3 regulations (Euro 3), while holding the emissions from all other sectors constant both within and outside of China.

We classify vehicles into six categories (motorcycles, private cars, light-duty vehicles, buses, trucks and rural vehicles) and two fuel types (gasoline and diesel) as listed in Table 2. CO, NO<sub>x</sub>, HC and PM are regulated by vehicle emission standards, and thus we calculate emissions for the following five chemical species (CO, NO<sub>x</sub>, NMVOCs, BC and OC).

Two vehicle categories (motorcycles and private cars) are assumed to be all gasoline vehicles. Rural vehicles, which are the vehicles used in rural areas for passenger and freight transport, are assumed to be all diesel in China. For the other categories, there is a mix of gasoline and diesel vehicles (see Table 2). 39%, 30% and 18% of the light-duty vehicles, buses, and trucks, respectively, are considered gasoline vehicles, the rest being diesel. The number of vehicles in year 2000 is taken from Borken et al. (2008), and the growth rate, as predicted in the study by Wang et al. (2006), is applied to estimate the number of vehicles for each category in 2020. Annual per-vehicle mileage estimates for each vehicle category in 2000 are obtained from Borken et al. (2008). Because

**Table 2.** Number of vehicles and annual mileage.

Vehicle category	2000 (million)	2020 (million)	Annual mileage (km)
Motorcycle	37.718	81.437	9000
Private Car (Gasoline)	7.219	164.232	31 200
Light-duty vehicle (Gasoline)	1.521	5.531	27 200
Light-duty vehicle (Diesel)	2.411	8.767	23 400
Bus (Gasoline)	0.397	1.191	34 000
Bus (Diesel)	0.929	2.787	34 000
Truck (Gasoline)	0.574	2.296	30 000
Truck (Diesel)	2.659	10.636	34 500
Rural vehicle (Diesel)	9.645	20.668	17 500

Source: The number of vehicles and annual mileage for 2000 are from Borken et al. (2008) and the growth rates for 2020 from 2000 are taken from Wang et al. (2003).

it is difficult to predict future driving patterns, we maintain the same per-vehicle annual mileage for each vehicle category in 2020. This assumption for gasoline vehicles is most likely an upper estimate, considering the estimated growth in the number of private cars between 2000 and 2020. For the diesel vehicles, however, this number may increase in 2020. Therefore, CO and VOC emissions may be estimated higher due to the bias in gasoline vehicles, whereas NO<sub>x</sub> and PM emissions may be estimated lower due to the bias in diesel vehicles. The values used for the number of vehicles and annual mileage for each vehicle category in 2000 and 2020 are summarized in Table 2.

### 2.2.2 Emission factors

Emission factors for 2000 are taken from Borken et al. (2008), and are listed in Table 3. For the sulphur content of the fuel, we use the numbers that are used in REAS PFC. It is 1200 ppm for gasoline and 1630 ppm for diesel (except for the Northeast China where the sulphur content is 350 ppm). For 2020, we develop two scenarios based on clear policy choices, rather than making assumptions about technological developments and fuel use. We develop a No-Policy (NoPol) scenario, which uses the same emission factors as in 2000; and the Euro 3 scenario, which uses emission factors taken from the Euro 3 standards for all vehicles except motorcycles and rural vehicles (these two classes of vehicles are assumed to remain at NoPol levels). Our 2020 NoPol and Euro 3 simulations are identical except for their emission factors. Emissions allowed under Euro 3 standards are illustrated in Table 1. China nationally implemented the Euro 3 emission standards in 2008 for all categories of new vehicles except motorcycles. Here we examine the air quality implications of perfect enforcement of these standards in China in 2020.

In trying to understand Asian emissions in 2006, Zhang et al. (2009) assumed that more than 85 % of gasoline vehicles would meet Euro 1 or higher emission standards by 2006, and 60 % of gasoline vehicles would meet Euro 2 or Euro 3

emission standards. This rapid penetration of more stringent standards in the 5 years since the first implementation of the Euro 1 standards in 2001 is a result of the rapidly growing transport sector. Following their work, we assume that all vehicles will have control technologies that meet the Euro 3 standards by 2020. We realize that some vehicles (especially in cities such as Beijing and Shanghai that adopted the Euro 4 standards in 2008 and 2009, respectively) will meet more stringent vehicle emission standards, while old vehicles that remain on the road will possibly not even meet the Euro 1 standards. Since these standards are currently enforced only for new vehicles, there are also uncertainties associated with emissions as vehicles age without sufficient in-use emission regulations. To simplify the matter, however, we assume that on average, Chinese vehicle emissions meet Euro 3 emission standards by 2020 in the Euro 3 scenario to quantify the air quality benefits of perfect implementation of these standards. Because there is no regulation adopted for motorcycle emissions and since it is not clear that rural vehicles would meet national regulations, we assume that the emission factors for motorcycles and rural vehicles stay the same as they are in the 2000 baseline simulation in both 2020 scenarios.

The emission factors for the Euro 3 scenario are calculated using the Computer Programme to Calculate Emissions from Road Transport (COPERT 4) model (European Environment Agency, 2009). COPERT 4 is a program that calculates emission factors of all major air pollutants (CO, NO<sub>x</sub>, HC, PM<sub>2.5</sub>, NH<sub>3</sub>, SO<sub>2</sub>, heavy metals) for five vehicle categories (passenger cars, light duty vehicles, heavy duty vehicles, mopeds and motorcycles) and six fuel categories (gasoline, diesel, LPG, hybrid, CNG, and biodiesel), given specific parameters for: (1) driving condition (trip length, trip time, speed); (2) meteorology (minimum and maximum temperatures, Reid Vapour Pressure); and (3) fuel information (fuel specifications such as sulphur content).

Studies have been conducted to analyze average vehicle speeds in China. For example, Cai and Xie (2007) assumed 20, 40, and 80 km h<sup>-1</sup> average speeds for urban, rural, and freeway roads, respectively. Wang et al. (2008) conducted studies comparing driving parameters for different cities. The average speed in eleven cities is calculated to be 29.1 km h<sup>-1</sup>, and thus we use 30 km h<sup>-1</sup> for all travel in this study. The minimum and maximum monthly temperatures are calculated by taking the lowest and the highest average monthly temperatures from the 29 Chinese cities. We use 3.6 °C and 23.8 °C as the minimum and the maximum monthly temperatures, respectively, and 64 kPa for Reid Vapour Pressure (RVP), which measures the volatility of gasoline. Sulphur content is taken from the Euro 3 standard, which is 15 ppm for gasoline and 35 ppm for diesel.

The COPERT 4 program is designed for calculating vehicle emissions in Europe, and therefore does not calculate emission factors for heavy duty gasoline vehicles, as they rarely exist in Europe. They are also not common in China, making up approximately 1 % of total vehicle population (see

**Table 3.** List of Emission Factors ( $\text{g km}^{-1}$ ).

Vehicle Type	CO	HC	NO <sub>x</sub>	PM	BC	OC
2000 & 2020 NoPol						
Motorcycle	12.9	3.84	0.2	0.2	0.058	0.061
Private Car (Gasoline)	8.6	0.96	1.4	0.15	0.043	0.046
Light-duty vehicle (Gasoline)	21.8	1.72	2.7	0.21	0.061	0.064
Light-duty vehicle (Diesel)	1	0.65	4.3	0.28	0.159	0.051
Bus (Gasoline)	42.2	3.65	3.8	0.35	0.101	0.107
Bus (Diesel)	5.5	3.04	13.6	1.01	0.573	0.182
Truck (Gasoline)	44.6	3.86	4	0.35	0.101	0.107
Truck (Diesel)	2.6	1.25	12	0.62	0.352	0.112
Rural vehicle (Diesel)	1.5	1.7	1.1	0.2	0.114	0.036
2020 Euro 3						
Private Car (Gasoline)	0.494	0.018	0.081	0.001	0.0003	0.0003
Light-duty vehicle (Gasoline)	3.542	0.04	0.097	0.001	0.0003	0.0003
Light-duty vehicle (Diesel)	0.407	0.091	1.081	0.058	0.033	0.0105
Bus (Gasoline)	2.1	0.08	0.13	0.06	0.017	0.0184
Bus (Diesel)	2.267	0.437	8.175	0.18	0.102	0.0325
Truck (Gasoline)	2.1	0.08	0.13	0.06	0.017	0.0184
Truck (Diesel)	1.413	0.306	5.304	0.124	0.07	0.0224
Rural vehicle (Diesel)	1.5	1.7	1.1	0.2	0.114	0.036

Table 2), but to account for these, we used the vehicle emission standards in Japan that most closely replicate Euro 3 standards for these vehicle types. The emission factors for PM and NO<sub>x</sub> for heavy duty gasoline vehicles in the Euro 3 scenario are taken from the 1994 PM/NO<sub>x</sub> Regulation. For other species, the emission factors are taken from the 2000 New Short Term Standard. The emission factors used in this study are summarized in Table 3.

### 2.3 Regional emissions

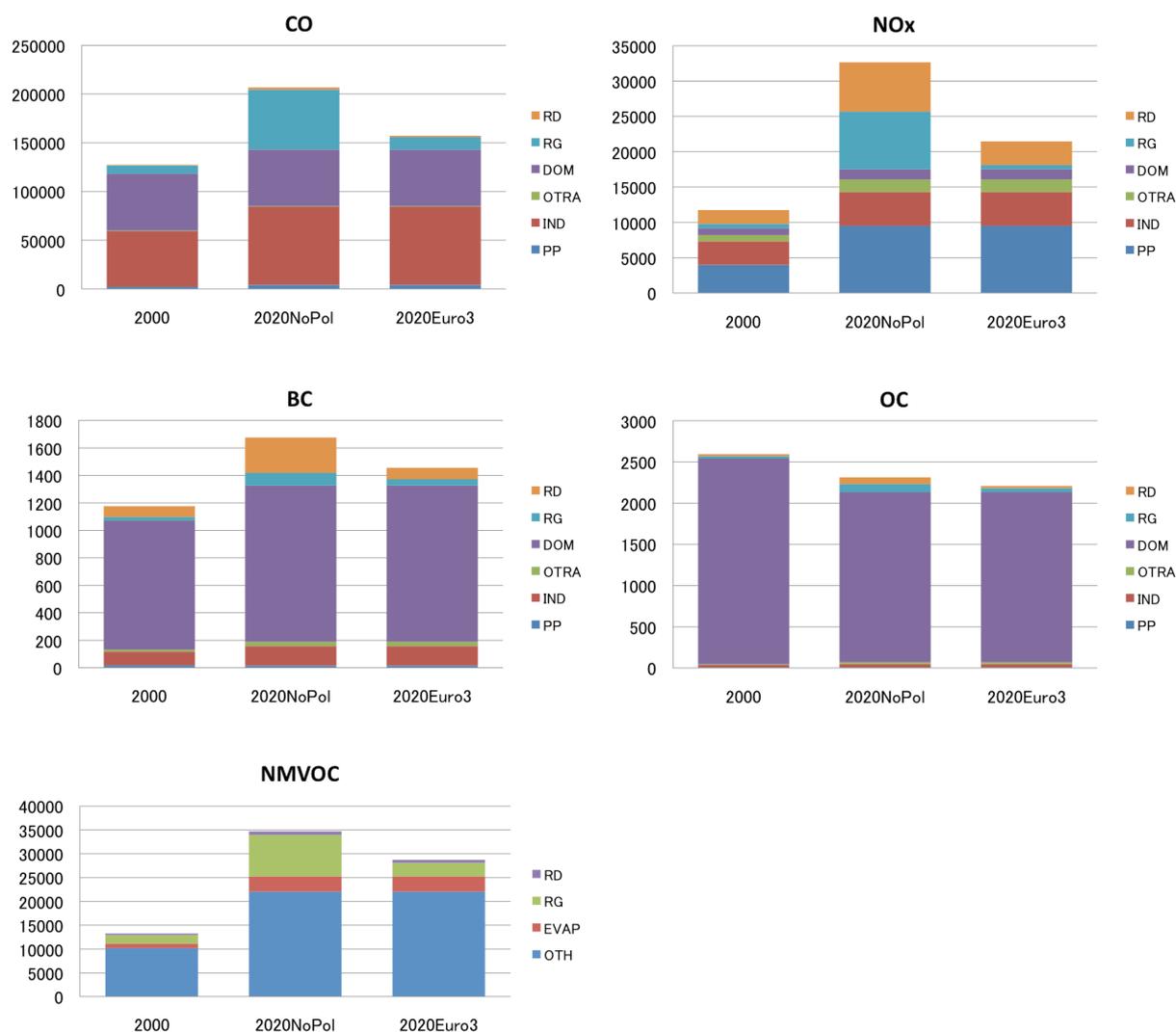
For the three scenarios, total CO, NO<sub>x</sub>, BC, OC, and NMVOC emissions from China's road transport sector along with emissions from four other sectors (domestic, other transport, industry, and power plants) included in the REAS inventory are shown in Fig. 2. All species except OC have the lowest total emissions in the 2000 scenario and the highest emissions in the 2020 NoPol scenario. In comparing the NoPol scenario with the 2000 baseline, China's total CO, NO<sub>x</sub>, NMVOC, and BC emissions from all anthropogenic sources increase by 62 %, 178 %, 162 %, and 43 % (Table 4). The difference between the 2000 and the two 2020 scenarios is due not only to changes in the transport sector, but also in all other sectors.

OC emissions decrease in the 2020 scenarios because of the expected reduction of emissions in the residential sector. OC emissions from the transport sector increase from the 2000 baseline to the two 2020 scenarios. For CO and BC, the largest increase from the 2000 to 2020 NoPol scenarios is

due to the increase in the gasoline, and diesel vehicle emissions, respectively. For NO<sub>x</sub>, we see a rapid increase in both gasoline and diesel vehicle emissions, and at the same time, the emissions from power plant sources also increase significantly. Table 4 illustrates that CO, NO<sub>x</sub>, NMVOC, BC and OC emissions from vehicles increase by 5.9, 4.9, 3.4, 2.3 and 2.4 times in the 2020 NoPol scenario with respect to the 2000 emissions, thereby increasing the proportion of emissions of these pollutants in China originating from vehicles.

There is seasonal variability in biomass burning and biogenic emissions, but we do not account for such seasonality in China's vehicle emissions. The previous research in Tianjin, China, Oliver (2008) has found that the summer CO emissions were 18 % higher than those in spring. For NO<sub>x</sub> emissions, she found a 3 % increase in the summer, and there was only a negligible difference for PM emissions. Considering the seasonal variation in CO, it would be more realistic to include seasonality in our emissions. However, there is a lack of data and Zhang et al. (2009) also notes that there is less seasonal cycle in vehicle emissions compared to other sources in China. We thus argue that, with a lack of data, including seasonality in emissions is not possible, but we believe even without including this information we do not miss an important feature of realistic vehicle emissions due to the small magnitude of the seasonal difference.

Combining with other emissions inventories we use for non-anthropogenic sources, Fig. 3 provides the spatial distribution of the total CO, NO<sub>x</sub>, BC and OC monthly emissions for 2000 baseline, 2020 NoPol and 2020 Euro 3 scenarios.



**Fig. 2.** Total emissions from China's six anthropogenic sectors (RD: Road Diesel, RG: Road Gasoline, DOM: domestic, OTRA: other transport sector (airplanes, ships, etc), IND: industry, and PP: power plants, EVAP: evaporative emissions, OTH: others – waste treatment, surface emissions of aircraft and ships) in the three scenarios (2000, 2020NoPol, and 2020Euro3) upper-left CO, upper-right NO<sub>x</sub>, middle-left BC, middle-right OC, lower-left NMVOC (Gg yr<sup>-1</sup>).

Here we provide the spatial distribution of emissions in April for the four species in the three scenarios. A large overall increase in these emissions in the 2020 NoPol scenario relative to the 2000 baseline is visible in Fig. 3. The increase in emissions from the 2000 baseline to 2020 NoPol is most visible for NO<sub>x</sub> emissions, whereas we see a decrease in the OC emissions in China except for the Beijing area. This is what we expect from the reduction in total emissions and the increase in vehicle emissions of OC, as shown in Fig. 2.

For all species, there is a significant reduction of emissions from regulation of vehicle exhaust in 2020. Table 4 shows that China's total CO, NO<sub>x</sub>, NMVOCs, BC and OC emissions are reduced by 78 %, 74 %, 63 %, 63 % and 58 %, respectively, under the Euro 3 scenario relative to NoPol in 2020. Due to this reduction in the road transport sector while

holding emissions from all other sources constant, the share of vehicle emissions in China's total emissions also decrease significantly in the Euro 3 scenario with respect to NoPol. For example, while the contribution of vehicles for total NO<sub>x</sub> emissions in NoPol is 46 %, it is reduced to only 18 % in the Euro 3 scenario. Similarly, the share is at least halved in all pollutants by perfect implementation of the Euro 3 emission standards relative to no regulations. Emission reductions from the NoPol to Euro 3 scenario are concentrated in cities and the urban periphery, where there are vehicle emissions. Even though China's total number of vehicles increases by a factor of 4.7 in 2020 with respect to 2000, CO, NO<sub>x</sub>, BC, OC, and NMVOC emissions from the road transport sector in the Euro 3 scenario increase by only 1.5, 1.5, 1.2, 1.4, and 1.6, respectively.

**Table 4.** Total anthropogenic emissions for each scenario in China (vehicle, total), Korea and Japan ( $\text{kt year}^{-1}$ ).

Species	China (vehicle)	China (total)	Korea	Japan
2000 baseline				
CO	9279	127 461	4627	2661
NO <sub>x</sub>	2574	11 745	1559	1959
NMVOC	2125	13 249	1134	1880
BC	105	1176	33	75
OC	53	2594	57	44
2020 NoPol				
CO	63 808	20 6856	6736	1846
NO <sub>x</sub>	15 130	32 655	1955	1837
NMVOC	9442	34 690	2231	2462
BC	350	1676	34	36
OC	180	2312	58	33
2020 Euro 3				
CO	14 111	157 159	6736	1846
NO <sub>x</sub>	3933	21 458	1955	1837
NMVOC	3470	28 718	2231	2462
BC	129	1456	34	36
OC	75	2208	58	33

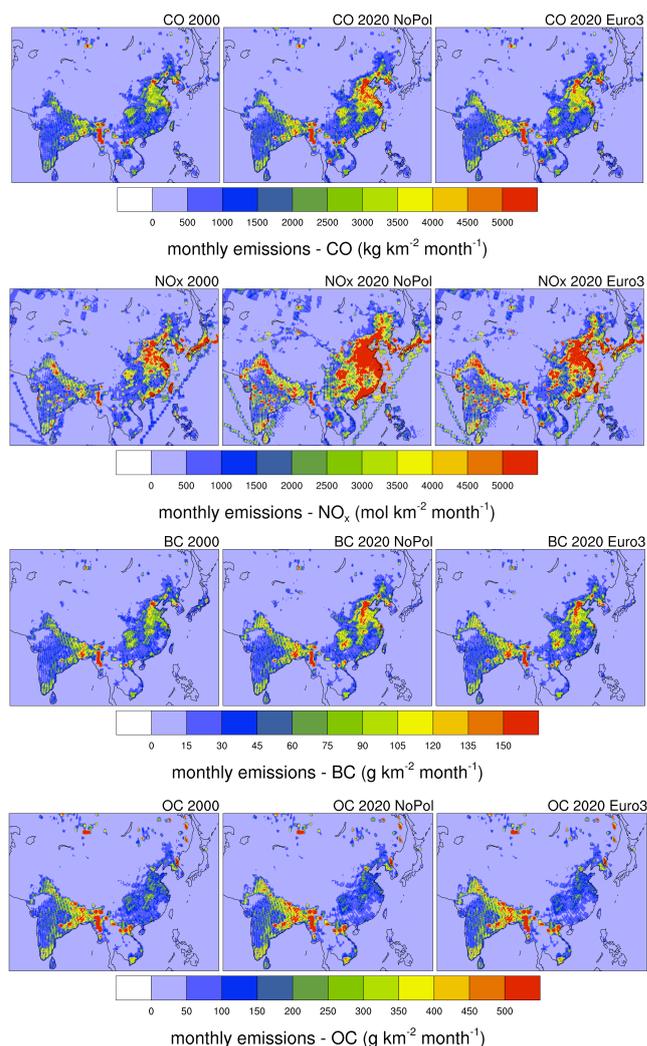
Some hotspots are also visible due to high biomass burning emissions reported in the GFED v2.1 inventory. For example, a small fire is visible in Russia, close to Mongolia and Kazakhstan border and also in Myanmar in April, as shown in Fig. 3. On these hotspots, there are high surface emissions of all species, due to forest fires. It is important to note that the same forest fire hotspots are included in 2020 as in the 2000 baseline, because the same emissions inventory is used for biomass burning in all scenarios.

### 3 The atmospheric chemistry transport models

In this study, we use the regional three-dimensional chemical transport model Weather Research and Forecasting model coupled with Chemistry (WRF/Chem) v3.1.1, with initial and lateral chemical boundary conditions taken from a simulation of the global chemical transport Model for Ozone and Related chemical Tracers (MOZART) v2.4.

#### 3.1 The WRF/Chem model

We use the fully coupled “online” regional chemical transport model WRF/Chem version 3.1.1 (Grell et al., 2005, and references therein) in this study at a spatial resolution of  $40\text{ km} \times 40\text{ km}$  with 31 vertical levels from the surface to 50 mb, the surface layer being approximately 12 m thick. The model domain is shown in Fig. 1, and covers the en-



**Fig. 3.** The monthly mean surface emissions of CO [ $\text{kg km}^{-2}\text{ month}^{-1}$ ] (top), NO<sub>x</sub> [ $\text{mol km}^{-2}\text{ month}^{-1}$ ] (second top), BC [ $\text{g km}^{-2}\text{ month}^{-1}$ ] (second bottom) and OC [ $\text{g km}^{-2}\text{ month}^{-1}$ ] (bottom) in April, used in WRF/Chem for 2000 baseline, 2020 NoPol and 2020 Euro 3 scenarios.

tire East Asia region with  $199 \times 149$  grid cells with a 40-km spacing, using a Lambert conformal map projection centered on China at ( $32^\circ\text{ N}$ ,  $100^\circ\text{ E}$ ). The grid-scale of 40 km, as compared to the much coarser grids used in global chemical transport models, allows us to more precisely analyze the impact of emission changes from the road transport sector on air quality within Asia. Finer resolution is more able to resolve spatial heterogeneities in emission strengths and better simulates the non-linearities of ozone formation and loss processes (Jang et al., 1995).

In this study, the 2000 meteorological data are obtained from the National Centres for Environmental Prediction (NCEP) Global Forecast System final gridded analysis datasets. Parameters include air temperature, surface

**Table 5.** List of WRF/Chem simulations.

Scenario	Emissions in China			Boundary
	Vehicle number	Vehicle Emission Factor	Other emissions	Condition
2000	2000	2000	2000	2000
2020 NoPol	2020	2000	2020PFC	2020 SRES A2
2020 Euro 3	2020	2020 Euro 3	2020PFC	2020 SRES A2

pressure, sea level pressure, geopotential height, temperature, sea surface temperature, soil moisture, ice extent, snow depth, relative humidity, u- and v-winds, etc. provided every six hours.

The Regional Acid Deposition version 2 (RADM2) atmospheric chemical mechanism (Stockwell et al., 1990) is used for gas-phase chemistry. This mechanism is widely used in regional atmospheric chemistry models (for examples, see Liu et al., 2008; Zhang et al., 2006). Aerosol chemistry is represented by the Model Aerosol Dynamics for Europe with the Secondary Organic Aerosol Model (MADE/SORGAM) (Ackermann et al., 1998; Schell et al., 2001). It predicts the mass of seven aerosol species (sulphate, ammonium, nitrate, sea salt, organic carbon, secondary organic aerosols, and black carbon), using three log-normal aerosol modes (Aitken, accumulation and coarse). This mechanism does not include feedbacks of aerosol concentrations on the gas-phase chemistry via heterogeneous chemistry. Photolysis rates are obtained from the Fast-J photolysis scheme (Wild et al., 2000), and cumulus physics is parameterized using the Grell-3d ensemble cumulus scheme, an update of the Grell-Devenyi scheme (Grell and Dévényi, 2002).

### 3.2 MOZART-2

The global three-dimensional chemical transport model, MOZART version 2.4 (Horowitz, 2006; Horowitz et al., 2003) is used in this study to provide initial and lateral boundary conditions for 23 species in WRF/Chem (e.g. CO, NO, NO<sub>2</sub>, and O<sub>3</sub>). The horizontal resolution of MOZART-2 is 2.8° latitude × 2.8° longitude, including 34 vertical levels from the surface to 4.3 mb. Chemical and transport processes are driven by the National Center for Atmospheric Research (NCAR) MACCM3 meteorological fields for year 2000, using the same simulations as in Horowitz (2006). The SRES A2 emissions for year 2000 or 2020 are used according to the scenarios in this study.

## 4 Simulations

### 4.1 Description of simulations

In order to simulate the present and potential future impact of emissions from China's road transport sector on surface air quality in Asia, we performed the set of 3 simulations summarized in Table 5. Each scenario was run, using WRF/Chem version 3.1.1, for four months (January, April, July and October). Considering the high computational demand of WRF/Chem, four months were selected to analyze seasonal differences. For each one-month run, we used a spin-up of 2 weeks that was not included in the analysis. MOZART-2.4 was run for 2.5 years and the January, April, July and October of the last year of the simulation (year 2000 for the 2000 simulation and year 2020 for the two 2020 simulations in all cases using year 2000 meteorology) was used as the initial and lateral boundary conditions of the WRF/Chem model simulations. The same 2000 meteorology was used for all simulations, as our focus is to understand the impact that regulatory controls of vehicle emissions have on regional air quality. We do not include an analysis of the impact of these emission controls on dynamics or climate, and do not consider inter-annual variability in air quality.

### 4.2 Comparison with measurements

WRF/Chem has been evaluated over the US (Grell et al., 2005) and Mexico City (Ying et al., 2009), but only a few studies have used it to examine the East Asian region (Lin et al., 2010; Wang et al., 2010). This study is one of the first applications of the WRF/Chem model in the region, and we also use the newly modified REAS emissions inventory as well as new initial and lateral boundary conditions from MOZART-2. We therefore evaluate our simulations for the year 2000 with observations available to us from Japan, China, Korea, and Russia.

We calculate 24-h average O<sub>3</sub> mixing ratios at each of the following 9 measurement stations in Japan for the months of January, April, July and October in 2000 for the days that have no missing values in the hourly measurements: Rishiri, Tappi, Sadoseki, Oki, Tsushima, Happo, Nikko, Hedo, and

**Table 6.** Description of observational sites.

Name	Latitude (° N)	Longitude (° E)	Altitude (m)	Type	Data
Rishiri (Japan)	45.13	141.24	40	Remote	EANET
Tappi (Japan)	41.25	140.35	106	Remote	EANET
Sadoseki (Japan)	38.25	138.40	110	Remote	EANET
Oki (Japan)	36.28	133.18	90	Remote	EANET
Tsushima (Japan)	34.23	129.28	390	Remote	EANET
Happo (Japan)	36.69	137.80	1850	Remote	EANET
Nikko (Japan)	36.78	139.52	1781	Remote	EANET
Hedo (Japan)	26.87	128.25	60	Remote	EANET
Yusuhara (Japan)	33.36	132.93	790	Remote	EANET
Lin'an (China)	30.42	119.73	132	Rural	Wang and Mauzerall (2004)
Wanli (China)	25.18	121.68	–	Remote	Chou et al. (2006)
Cheju (Korea)	33.30	126.17	72	Remote	EANET
Imsil (Korea)	37.70	127.18	–	Rural	EANET
Mondy (Russia)	51.67	101.51	2000	Remote	EANET

**Table 7.** Statistical measures for model performance evaluation for O<sub>3</sub> for the year 2000.

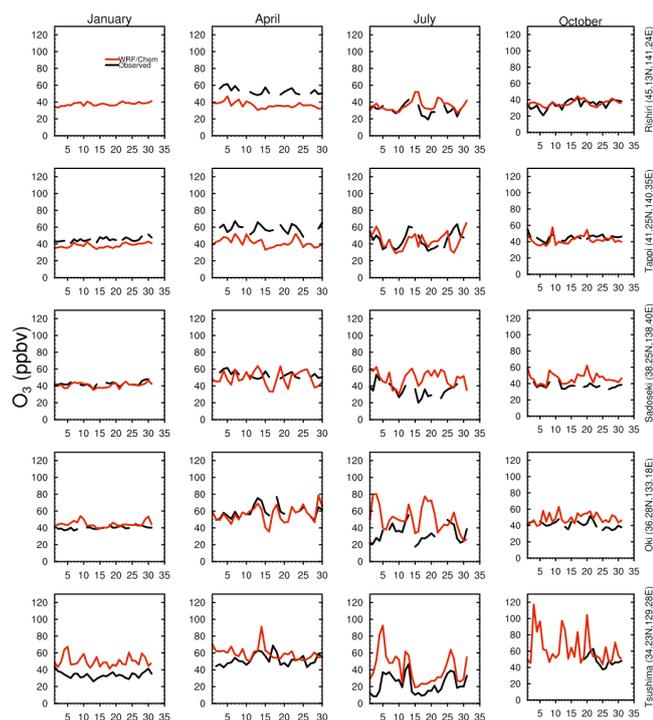
Site	obs	<i>R</i>	MB (ppbv)	ME (ppbv)	MNB (%)	MNE (%)	NMB (%)	NME (%)
Daily mean 24-h data								
Rishiri	76	0.36	−3.43	7.83	−3.10	19.34	−8.83	20.16
Tappi	105	0.22	−6.76	9.24	−12.17	18.24	−14.05	19.22
Sadoseki	96	0.41	1.80	8.42	9.70	21.44	4.08	19.07
Oki	103	0.28	7.59	10.18	25.77	30.45	17.76	23.83
Tsushima	104	0.63	13.71	14.55	53.93	55.29	36.65	38.90
Happo	90	0.39	−3.96	10.55	−4.77	20.74	−7.56	20.17
Nikko	62	0.34	5.26	9.96	14.85	24.16	11.86	22.42
Hedo	100	0.91	−1.41	5.56	1.33	17.73	−3.53	13.91
Yusuhara	76	0.64	1.21	6.59	6.55	15.88	2.65	14.38
Total	812	0.49	1.73	9.27	11.02	25.40	3.96	21.22

Notes: the definitions of the measures are as follows.

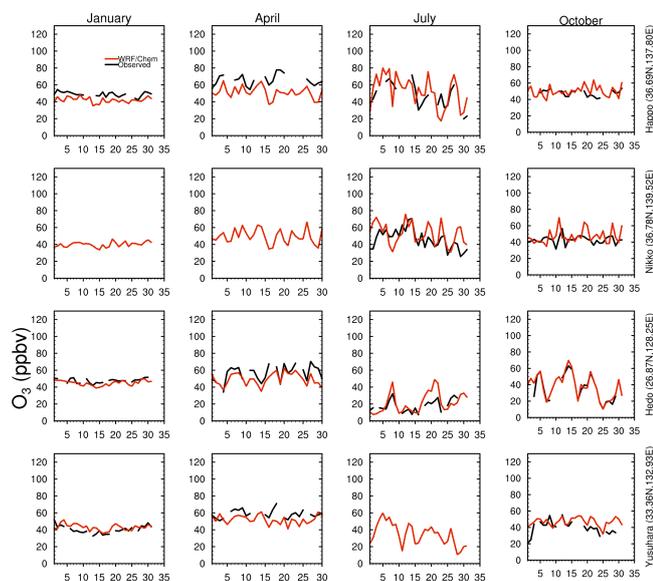
Correlation Coefficient ( <i>R</i> )	$\frac{\sum_{i=1}^n (C_{\text{mod}}(i) - \bar{C}_{\text{mod}})(C_{\text{obs}}(i) - \bar{C}_{\text{obs}})}{\sqrt{\sum_{i=1}^n (C_{\text{mod}}(i) - \bar{C}_{\text{mod}})^2 \sum_{i=1}^n (C_{\text{obs}}(i) - \bar{C}_{\text{obs}})^2}}$	Mean Bias (MB)	$\frac{1}{n} \sum_{i=1}^n (C_{\text{mod}}(i) - C_{\text{obs}}(i))$
		Mean Error (ME)	$\frac{1}{n} \sum_{i=1}^n  C_{\text{mod}}(i) - C_{\text{obs}}(i) $
Mean Normalized Bias (MNB)	$\frac{1}{n} \sum_{i=1}^n \frac{C_{\text{mod}}(i) - C_{\text{obs}}(i)}{C_{\text{obs}}(i)} \times 100 (\%)$	Mean Normalized Error (MNE)	$\frac{1}{n} \sum_{i=1}^n \frac{ C_{\text{mod}}(i) - C_{\text{obs}}(i) }{C_{\text{obs}}(i)} \times 100 (\%)$
Normalized Mean Bias (NMB)	$\frac{\sum_{i=1}^n (C_{\text{mod}}(i) - C_{\text{obs}}(i))}{\sum_{i=1}^n (C_{\text{obs}}(i))} \times 100 (\%)$	Normalized Mean Error (NME)	$\frac{\sum_{i=1}^n  C_{\text{mod}}(i) - C_{\text{obs}}(i) }{\sum_{i=1}^n (C_{\text{obs}}(i))} \times 100 (\%)$

Yusuhara (see Table 6 for descriptions of these sites and Fig. 1 for their locations). These daily-averaged mixing ratios at each site are then compared with WRF/Chem results for the 2000 baseline scenario within the grid box that contains the geographical location and altitude of the stations. Observations are taken from the Acid Deposition Monitoring Network in East Asia (EANET), which is used widely for

model evaluation (Carmichael et al., 2008; Han et al., 2008; Kurokawa et al., 2009; Wang et al., 2010). Seven statistical measures that are used to evaluate regional air quality models (Wang et al., 2010) are presented in Table 7 with their definitions. Model results that perfectly reproduce observations would lead to the following values:  $r = 1$ ,  $MB = 0$ ,  $ME = 0$ ,  $MNB = 0$ ,  $MNE = 0$ ,  $NMB = 0$ , and  $NME = 0$ .



**Fig. 4a.** Comparison of observed (black) and simulated (red) daily mean  $O_3$  mixing ratios (ppbv) at Rishiri, Tappi, Sadoseki, Oki, and Tsushima. Observed hourly values were taken from EANET data for 2000 and averaged when there was no missing value in 24-h.



**Fig. 4b.** Comparison of observed (black) and simulated (red) daily mean  $O_3$  mixing ratios (ppbv) at Happo, Nikko, Hedo, and Yushihara. Observed hourly values were taken from EANET data for 2000 and averaged when there was no missing value in 24-h.

In addition to the statistical analyses, we also compare the monthly average of the 12-h (08:00 a.m.–08:00 p.m.) and 24-h daily averages to the limited observational data available in similar years (1999–2002) at six other non-Japanese sites: Lin'an and Wanli in China; Cheju and Imsil in South Korea; and Mondy in Russia. The description of these 6 sites is summarized in Table 6 and their locations are also indicated in Fig. 1. We focus our evaluation on ozone (Sect. 4.2.1) and particulate matter (Sect. 4.2.2).

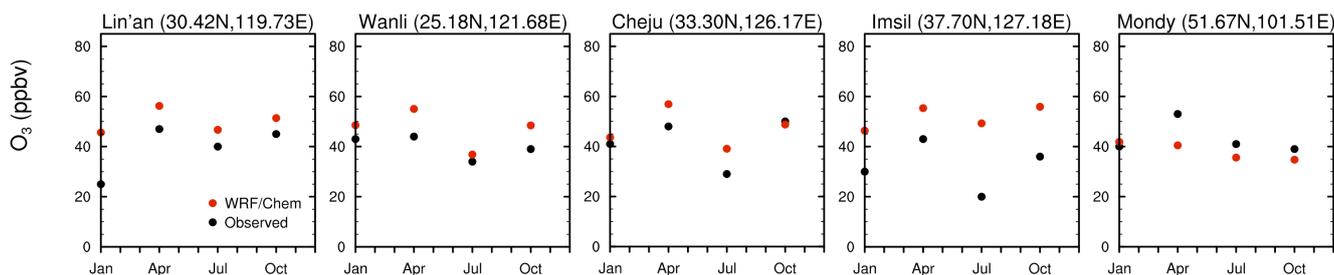
#### 4.2.1 Ozone

We compare the daily mean  $O_3$  mixing ratios of the WRF/Chem simulations with the daily mean of the hourly observations (excluding days when there were one or more missing values at a given station) at the 9 EANET sites in Japan in Fig. 4. In Table 7 we present the statistical measures evaluating model performance at the same sites. The stations are all in remote areas that most likely represent background  $O_3$  mixing ratios. Generally, the model reproduces the measured  $O_3$  mixing ratios quite well. We find that MB is overall slightly positive, and the largest overestimation of the measurements takes place in Tsushima.

The correlation coefficient ( $R$ ) of all the observed and modelled  $O_3$  daily average mixing ratios for the four months (January, April, July and October) in 2000 is 0.49, with values between 0.22 and 0.91, at individual sites. Combining all the model results give an MB of 1.73 ppbv and ME of 9.27 ppbv.

We also find seasonal differences in how well model results represent observations. The largest discrepancies between the model results and observations are found in July. The MNE is over 47.5 % and NME is 37 % for all the 207 observations in July. These are much higher compared to the values in other months, which have values lower than 20 % for both MNE and NME. The site that contributes most to this discrepancy is Tsushima, which lies on the Japanese coast facing the Korean peninsula. When the statistical values are calculated excluding Tsushima, the July MNE and NME values become 12 % and 37 %, respectively. One of the reasons for this discrepancy with observations at this site in July is a possible overestimation of Korean emissions in the REAS inventory. From the plot of  $O_3$  fluxes (appendix), it is evident that there is a large transport of  $O_3$  from the Korean peninsula to the western coast of Japan in July. Korea's  $CO$ ,  $NO_x$ , NMVOC emissions in the REAS inventory for the year 2000 (see Table 4) are 5.1, 1.4, and 1.6 times larger than the official data, and high emissions from the Korean peninsula are visible in the total  $CO$ ,  $NO_x$ ,  $BC$  and  $OC$  emissions shown in Fig. 3. Scaling the REAS inventory over Korea to agree with the official data may improve agreement between model results and observations.

In Fig. 5 we provide a comparison of the monthly average of 12-h (08:00 a.m.–08:00 p.m.) and 24-h mean mixing ratios of surface  $O_3$  calculated by WRF/Chem with observations in



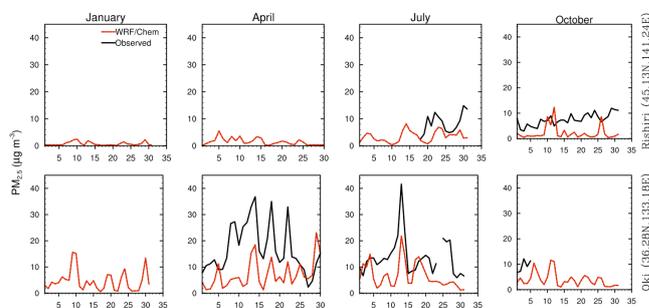
**Fig. 5.** Comparison of observed (black) and simulated (red) monthly mean 12-h daily average (08:00 a.m.–08:00 p.m.)  $O_3$  mixing ratios (ppbv) at Lin'an (China), comparison of observed and simulated monthly mean 24-h daily average mixing ratios (ppbv) at Wanli (China), Cheju (Korea), Imsil (Korea), and Mondy (Russia). Observed values from Lin'an were taken from Wang and Mauzerall (2004). The observations for Lin'an were made between August 1999 and July 2000. Observed values from Wanli were taken from Chou et al. (2006). Observed values from Cheju, Imsil, and Mondy are taken from EANET data for 2002.

China, South Korea and Russia in years between 1999 and 2002. Here again, model results tend to overestimate observations, but most of the model results lie within 10 ppbv of observations. At Lin'an, China, we find only small discrepancies in the model results with observations, and the highest overestimation is found in January where model results are 20 ppbv higher than the observed. This is similar to the seasonal biases found in other models (Kurokawa et al., 2009). On the other hand at Wanli, the model simulates observations within 10 ppbv. Lin'an is a rural site, and may be influenced by local emissions from the Yangtze River Delta region, compared to the remote site of Wanli in Taiwan (Kurokawa et al., 2009). At Imsil,  $O_3$  is overestimated by 30 ppbv in July, but at Cheju, with less influence from local emission sources, the simulated  $O_3$  mixing ratios are within 10 ppbv of observations in the same month. Overall, our  $O_3$  model results compare well with observations in remote areas.

#### 4.2.2 Particulate matter

Figure 6 displays the 24-h average  $PM_{2.5}$  observations and model results for the four months in 2000 (January, April, July, and October) at Rishiri and Oki stations in Japan. Table 8 provides the statistical measures for model performance.  $PM_{2.5}$  measurements are limited and they are only available at these two sites in Japan. The correlation coefficient between the observed and modelled daily average for the four months (January, April, July and October) in 2000 is 0.41 with 110 observations, and the MB of these total observations is  $-5.41 \mu\text{g m}^{-3}$ . In terms of error, ME is  $5.99 \mu\text{g m}^{-3}$ , with MNE of 42.3%.

Model results underestimate all observations at Rishiri with MB of  $-1.48 \mu\text{g m}^{-3}$ , but both MNB and MNE lie within an acceptable range of  $-15.74\%$  and  $15.74\%$ , respectively. Model results at Oki are also significantly underestimated most of the time, while occasionally slightly overestimated. MB and ME for  $PM_{2.5}$  are as high as  $-8.14$  and  $9.11 \mu\text{g m}^{-3}$ , respectively.



**Fig. 6.** Comparison of observed (black) and simulated (red) daily mean  $PM_{2.5}$  concentrations ( $\mu\text{g m}^{-3}$ ) at Rishiri and Oki. Observed hourly values were taken from EANET data for 2000 and averaged when there was no missing value in 24-h.

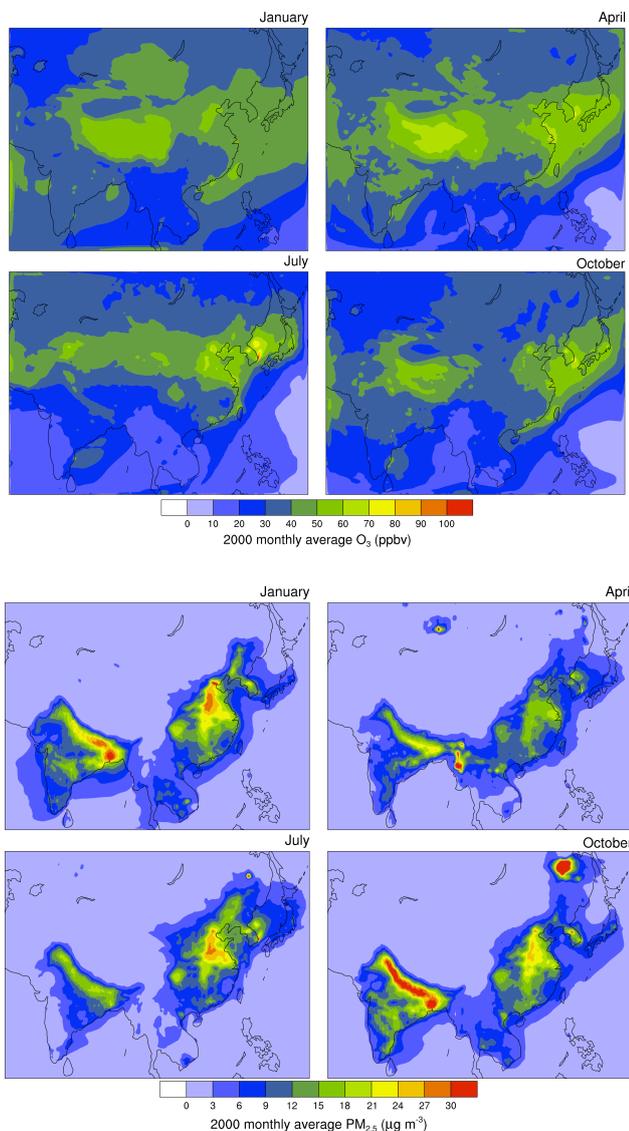
There are several reasons for the general underestimation of  $PM_{2.5}$ . First, dust emissions are not included, and only BC and OC are prescribed as primary  $PM_{2.5}$  emissions in WRF/Chem. Liu et al. (2009b) calculates that 43% of total  $PM_{2.5}$  surface concentrations in East Asia (including Mongolia, China, Korean peninsula, and Japan) comes from dust. Zhang et al. (2009) also find that BC and OC only account for 42% of primary  $PM_{2.5}$  emissions in China.

Zhang et al. (2009) further note the high uncertainty of China's carbonaceous aerosol emissions, which may explain why our model results agree better with observations at Rishiri than at Oki. Rishiri is in the northern part of Hokkaido, and is more likely representative of background conditions, while Oki is closer to the Asian continent, and is more likely to be affected by transport from China. Although both places have little local pollution, the impact of prescribing less primary  $PM_{2.5}$  emissions is expected to have a greater influence on Oki, which is more affected by transported pollution.

Second, the underestimate may also be caused by the exclusion of aqueous phase oxidation of  $SO_2$  by  $H_2O_2$  and  $O_3$  in the WRF/Chem model. Including only the gaseous phase

**Table 8.** Statistical measures for model performance evaluation for PM<sub>2.5</sub> for the year 2000.

Site	obs	R	MB ( $\mu\text{g m}^{-3}$ )	ME ( $\mu\text{g m}^{-3}$ )	MNB (%)	MNE (%)	NMB (%)	NME (%)
Daily mean 24-h data								
Rishiri	45	0.43	-1.48	1.48	-15.74	15.74	-19.07	19.07
Oki	65	0.45	-8.14	9.11	-46.70	60.69	-54.94	61.47
Total	110	0.41	-5.41	5.99	-34.03	42.30	-45.39	50.19

**Fig. 7.** The monthly mean surface O<sub>3</sub> mixing ratios (ppbv) (top) and PM<sub>2.5</sub> concentrations ( $\mu\text{g m}^{-3}$ ) (bottom) in January, April, July and October, modelled by WRF/Chem for the 2000 baseline.

oxidation of SO<sub>2</sub> could significantly reduce the production of sulphate, as aqueous phase oxidation could contribute approximately 80 % of the sulphate production (McKeen et al., 2007; Barth et al., 2000; Koch et al., 1999).

Third, the RADM2 mechanism does not include monoterpene photochemistry, and this may also contribute to the underestimation of PM<sub>2.5</sub>. Monoterpene photochemistry is a known biogenic pathway for the formation of secondary organic aerosol (SOA). An additional reason is that RADM2 has limited oxidation pathways for anthropogenic VOCs, which may affect OC concentrations as anthropogenic VOC oxidation is important for SOA production (McKeen et al., 2007). All these factors likely contribute to underprediction of PM<sub>2.5</sub> in our WRF/Chem model results.

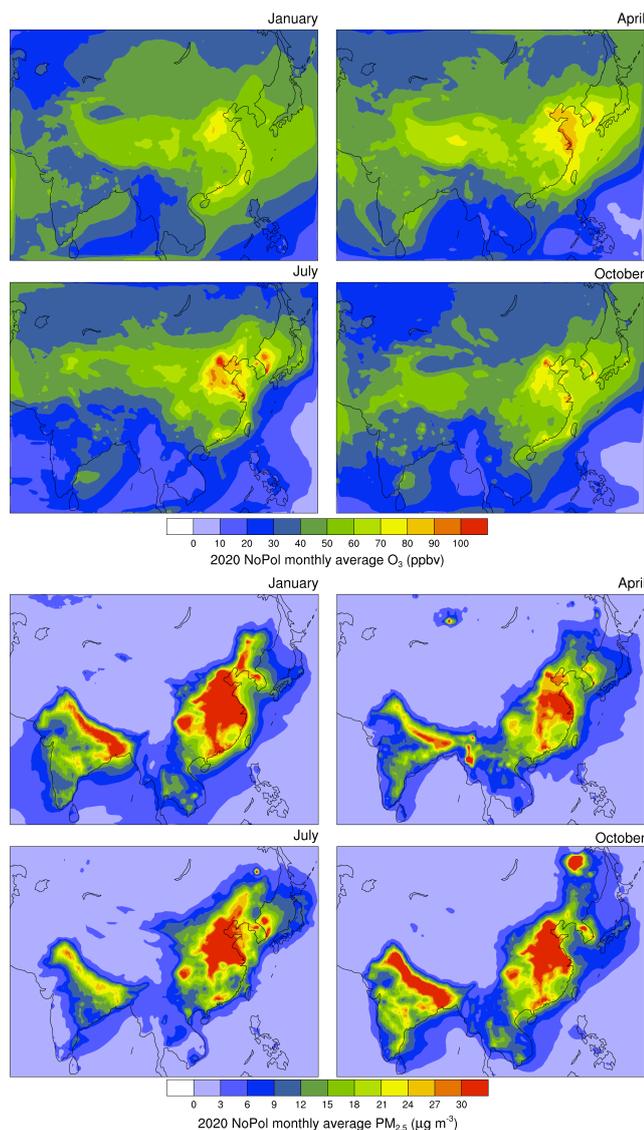
## 5 Scenario Simulations – surface air quality in 2000 and 2020

### 5.1 Surface air quality in 2000

In Fig. 7 we present monthly average surface O<sub>3</sub> mixing ratios and PM<sub>2.5</sub> concentrations as calculated by WRF/Chem for the year 2000 baseline scenario. The results show differences in seasonal and regional surface mixing ratios and concentrations in the four months we have analyzed.

We simulate approximately 50 ppbv O<sub>3</sub> in urban areas with significant vehicle emissions, especially within Northeast China. In July, O<sub>3</sub> in excess of 100 ppbv is simulated in the area near Busan, South Korea. This is most likely an overestimate as found in Imsil in July (Sect. 4.2.1), mainly due to the overestimated emissions. Also, due to high elevation, surface O<sub>3</sub> mixing ratios in the Tibetan plateau and the Himalayas are between 40–70 ppbv in most months.

PM<sub>2.5</sub> has a lifetime of 1–2 weeks and, except for biomass burning, has similar emission patterns in the model throughout the year. Seasonal variability in surface concentrations results largely from changes in meteorology. We simulate low surface concentrations in April and July, and high concentrations in January and October. There is less precipitation in January and October in 2000, and this is one of the reasons for higher surface concentrations of PM<sub>2.5</sub>, due to



**Fig. 8.** The surface O<sub>3</sub> mixing ratios [ppbv] (top), and PM<sub>2.5</sub> concentrations ( $\mu\text{g m}^{-3}$ ) (bottom) in January, April, July and October modelled by WRF/Chem for 2020 NoPol scenario.

less wet scavenging. Most parts of the continent receive less than 20 mm of precipitation in January.

Since dust emissions are not included in our simulations, PM<sub>2.5</sub> surface concentration is low in China in April, and we do not observe significant transfer of China's PM<sub>2.5</sub> to the Korean peninsula or Japan in April as is often observed. These results indicate that the exclusion of dust does have large implications in the model results, as discussed in Sect. 4.2.2. Considering that the model underestimates PM<sub>2.5</sub> surface concentrations, and we find MB of  $-5.41 \mu\text{g m}^{-3}$  and MNB of  $-46.7\%$  at Oki in April, this surface concentration is a very conservative estimate and it could be significantly larger if dust or other primary PM<sub>2.5</sub> emissions were included.

## 5.2 Surface air quality differences between 2000 and 2020 NoPol

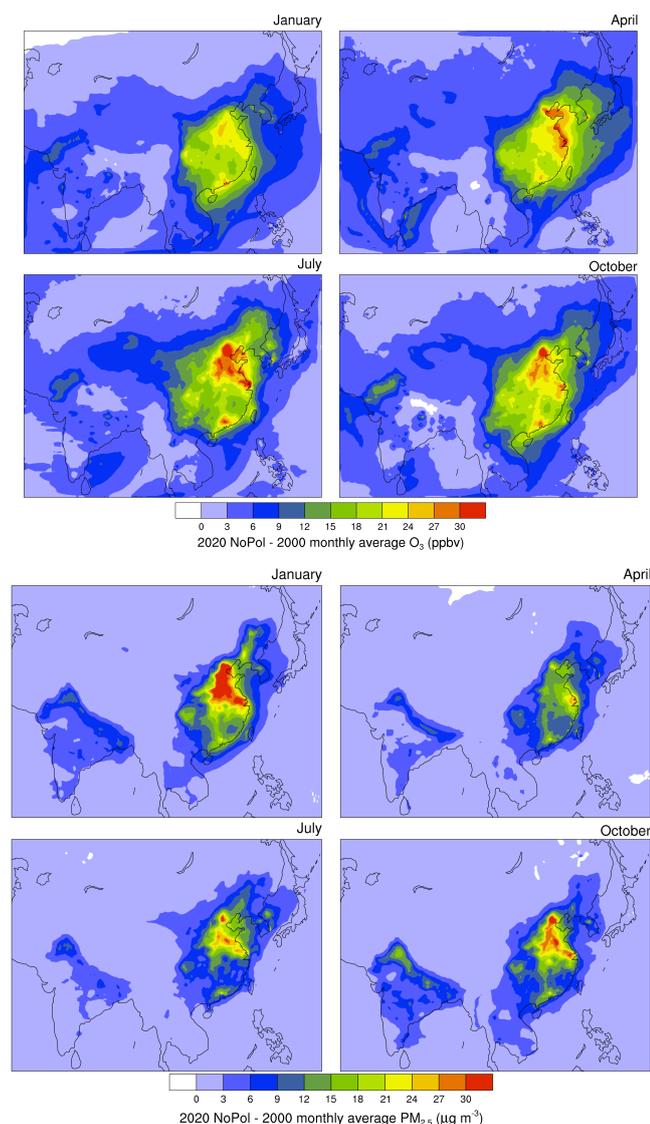
In Fig. 8, we present monthly average surface O<sub>3</sub> mixing ratios and PM<sub>2.5</sub> concentrations as calculated by WRF/Chem for the 2020 NoPol scenario. We find an excess of 100 ppbv monthly average O<sub>3</sub> within some parts of China in all months except January. The high mixing ratios are mainly located within Northeast China, but are also visible in and around the Korean peninsula. The monthly mean PM<sub>2.5</sub> exceeds  $30 \mu\text{g m}^{-3}$  in Northeast China during all 4 months. Such high-concentration hotspots are also visible in Chengdu as well as Guangzhou in Southwest and South China, respectively.

Figure 9 shows the difference in mixing ratio and concentration between the 2000 baseline and 2020 NoPol scenarios. As illustrated in Table 4 and summarized in Sect. 2.3, emissions not only increase from China's transport sector in the 2020 NoPol scenario with respect to the baseline, but also from other sectors and countries as well. We observe higher O<sub>3</sub> mixing ratios under NoPol with respect to 2000 simulations in all months in China, but the difference is especially evident in April and July. The mixing ratio increases are mainly visible in Northeast China in the greater Beijing region. Despite the reduction in China's OC emissions in the 2020 scenarios compared to 2000, PM<sub>2.5</sub> concentrations over some parts of China are still more than  $30 \mu\text{g m}^{-3}$  higher in NoPol relative to the 2000 baseline due to the increase in BC and nitrate aerosols.

In Fig. 10, we present a cumulative frequency of O<sub>3</sub> and PM<sub>2.5</sub> for the three scenarios to illustrate the differences in the spread of surface mixing ratios and concentrations at the  $1^\circ$  longitude  $\times$   $1^\circ$  latitude grid level within China. In all cases, 2020 NoPol shows the largest relative frequency for high mixing ratio/concentration levels compared to other scenarios. For O<sub>3</sub>, although the relative frequency of a monthly that is being more than 60 ppbv is 5% in April and zero in other months in the 2000 scenario, it is 10% in January and October, 20% in July, and 30% in April in the 2020 NoPol scenario. This reconfirms that April has the largest relative frequency of high monthly average concentrations among the four simulated months.

In contrast to O<sub>3</sub>, which shows different frequencies at all concentration levels for the three scenarios (i.e. higher frequency for the same concentration level in NoPol compared to the 2000 scenario), the cumulative frequencies within the lower range of PM<sub>2.5</sub> concentrations are similar in all the scenarios, and they only start to diverge in the top 40%.

More than 50% of the monthly average concentrations fall under the range that is less than  $10 \mu\text{g m}^{-3}$  PM<sub>2.5</sub> concentrations in all scenarios. There is a larger spread in the 2020 NoPol scenario compared to the baseline, and the 90th percentile in NoPol is equivalent to or higher than the highest level in the same month in the 2000 scenario. January has the highest concentration levels and 5% of the grid cells within



**Fig. 9.** Comparison of 2020 NoPol and 2000 baseline (2020NoPol–2000 baseline) surface O<sub>3</sub> mixing ratios [ppbv] (top), and PM<sub>2.5</sub> concentrations ( $\mu\text{g m}^{-3}$ ) (bottom) in January, April, July and October modelled by WRF/Chem.

China in the 2020 NoPol scenario have a January monthly average concentration higher than  $50 \mu\text{g m}^{-3}$ .

It is also important to note that populated areas are where O<sub>3</sub> and PM<sub>2.5</sub> concentrations are high. This has an important implication for adverse health impacts, as the decrease in these air pollutants leads to a reduction in human exposure.

### 5.3 Surface air quality differences between 2020 NoPol and 2020 Euro3

In Fig. 11, we present monthly average surface concentrations of O<sub>3</sub> and PM<sub>2.5</sub> as calculated by WRF/Chem for the 2020 Euro 3 scenario. In contrast to NoPol, far fewer ar-

eas in China have extremely high surface O<sub>3</sub> mixing ratios, and most places have a monthly average below 70 ppbv in all months. As for PM<sub>2.5</sub>, although the area is considerably reduced compared to the NoPol scenario, some parts of Northeast China still shows monthly average surface concentrations above  $30 \mu\text{g m}^{-3}$ .

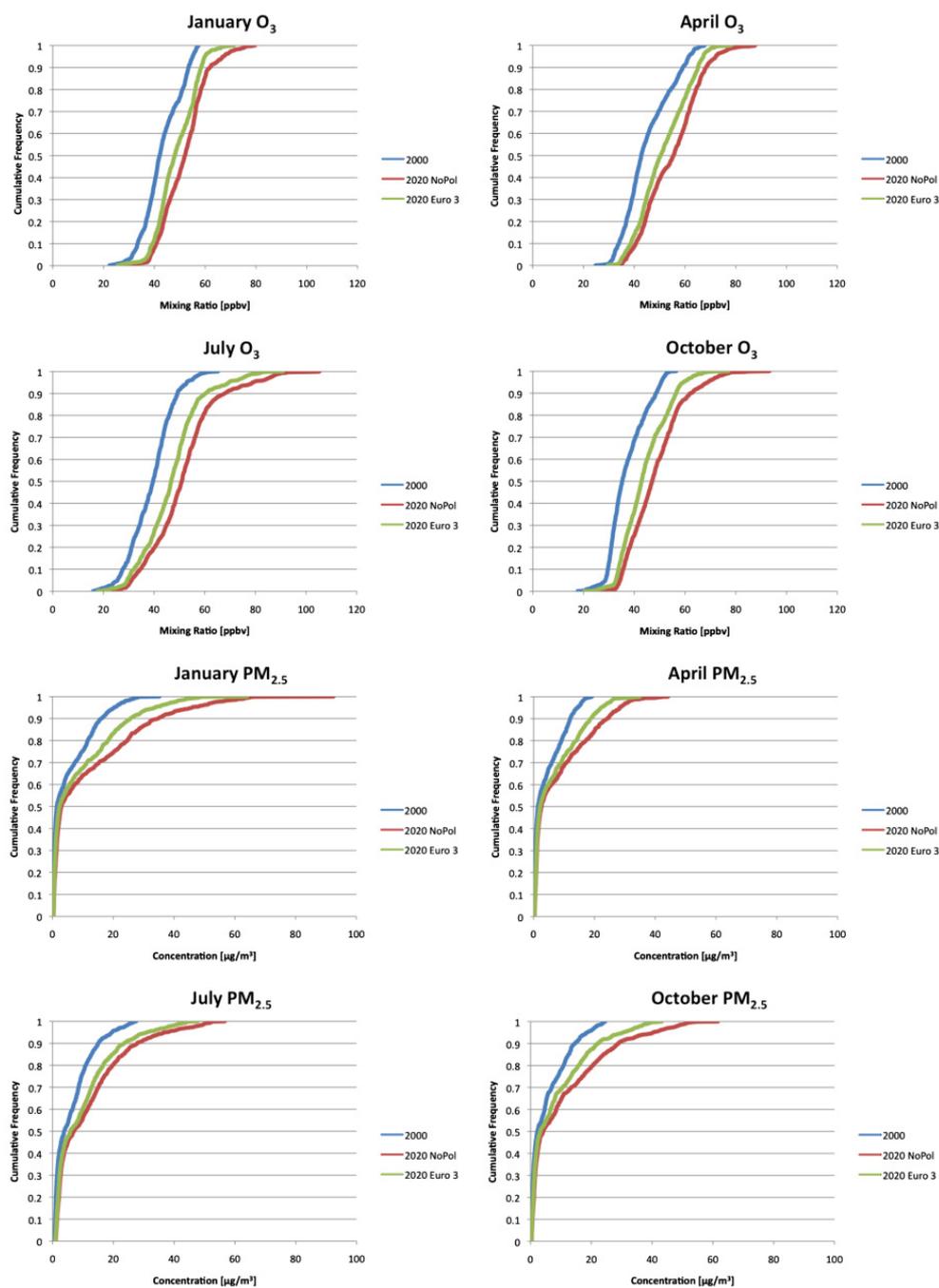
Figure 12 shows the difference in monthly average surface O<sub>3</sub> mixing ratios and PM<sub>2.5</sub> concentrations between the two 2020 scenarios. In contrast to the difference between the 2000 and 2020 NoPol scenarios (Fig. 9), the difference between the two 2020 scenarios is due only to the reduction in vehicle emissions by implementing the Euro 3 regulations; all other emissions remain the same. Therefore, the two 2020 scenarios show similar trends, but the concentrations are much higher within urban regions of China in the NoPol scenario due to greater vehicle emissions.

As the lifetime of O<sub>3</sub> is approximately one month and because of the non-linearities of O<sub>3</sub> production, the implementation of Euro 3 standards in China leads to a regional reduction of surface O<sub>3</sub>. The largest difference in O<sub>3</sub> mixing ratios between the two scenarios is found in East China, where there are projected to be a large number of vehicles. Maximum reductions in O<sub>3</sub> mixing ratios within China from NoPol to Euro 3 are 11, 15, 21, and 22 ppbv in January, April, July, and October, respectively.

The reduction of PM<sub>2.5</sub> in the Euro 3 scenario as compared with NoPol is also widely seen in East China. In the Northeast, a reduction of more than  $10 \mu\text{g m}^{-3}$  is occasionally found with the highest reductions being 31, 14, 15, and  $26 \mu\text{g m}^{-3}$  for January, April, July, and October, respectively. Considering that the maximum concentration in the 2000 baseline simulation is  $37 \mu\text{g m}^{-3}$  in January, this is a significant improvement in air quality.

Depending on the season, we also see a regional impact of China's implementation of the Euro 3 vehicle emission standards, relative to no regulations. For example, in January, the Korean peninsula, Southeast Asia, and Japan except Hokkaido experience a reduction of 2–3 ppbv or more of O<sub>3</sub> under the Euro 3 scenario relative to NoPol. In April, the Korean peninsula sees 6–7 ppbv O<sub>3</sub> reduction and even Hokkaido benefits from a 1–2 ppbv reduction. Similarly, a reduction of more than  $10 \mu\text{g m}^{-3}$  is also visible in PM<sub>2.5</sub> monthly average concentrations over the Korean peninsula in these months. In July and October, reductions are mainly found within China. Still, the Korean peninsula and Japan clearly benefit from China's implementation of the Euro 3 emission standards.

In Fig. 10, we find that there is a visible difference in the cumulative frequency of O<sub>3</sub> and PM<sub>2.5</sub> monthly average for the two 2020 scenarios within China. For example, whereas 36 % of the grid cells within China in the NoPol scenario have more than 60 ppbv O<sub>3</sub> monthly average mixing ratio in April, the relative frequency is reduced to less than 24 % with the Euro 3 emission regulations. Similarly, the relative frequency of a grid cell having more than  $30 \mu\text{g m}^{-3}$  PM<sub>2.5</sub>

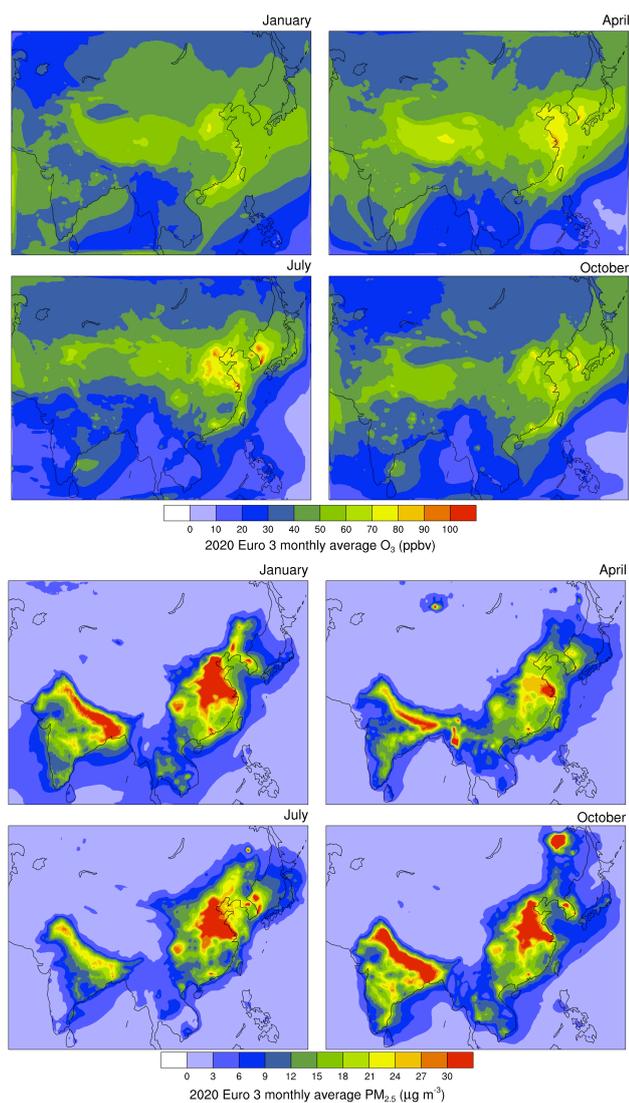


**Fig. 10.** Cumulative frequency of China's surface O<sub>3</sub> mixing ratios (ppbv), and PM<sub>2.5</sub> concentrations ( $\mu\text{g m}^{-3}$ ) in January, April, July and October modelled by WRF/Chem.

monthly average concentration decreases from 13 % in the NoPol scenario to 6 % in the Euro 3 scenario in January.

Simply by implementing the vehicle emission standards, China is more likely to be able to meet the World Health Organization's 8-h O<sub>3</sub> interim target-1 standards of  $160 \mu\text{g m}^{-3}$  (approximately 81 ppbv) and annual mean PM<sub>2.5</sub> interim target-1 standards of  $35 \mu\text{g m}^{-3}$  (World Health Organization, 2006). In Table 9, we present the number of days where the

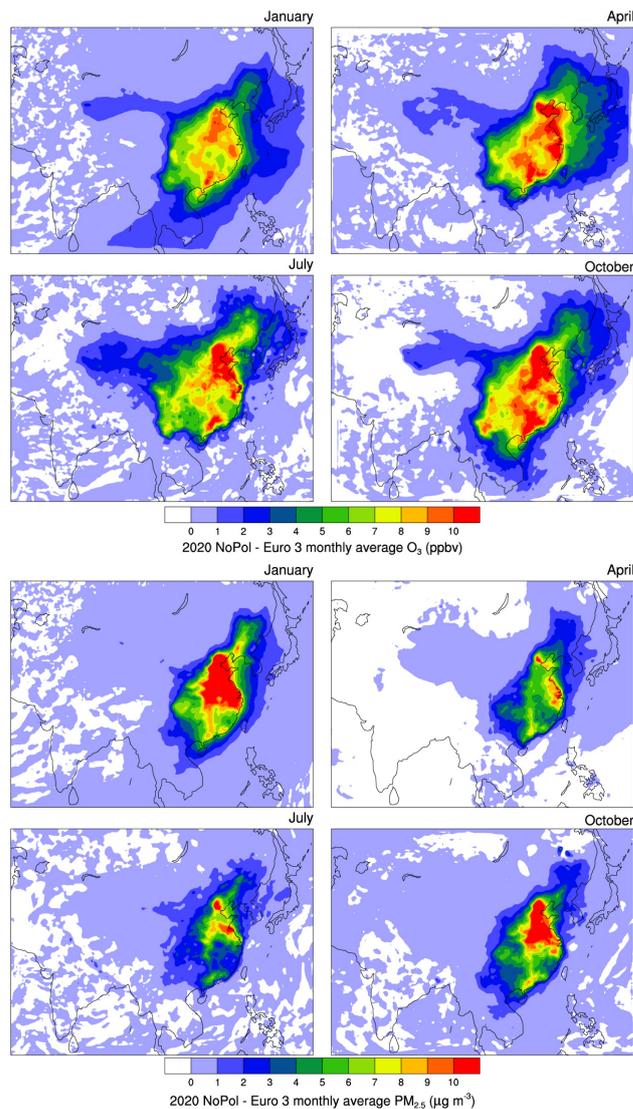
8-h average O<sub>3</sub> and PM<sub>2.5</sub> in Lin'an, Rishiri and Oki for each scenario is above 81 ppbv and  $35 \mu\text{g m}^{-3}$ , respectively. The important finding is that by implementing the Euro 3 emission standards in 2020, Lin'an is able to at least keep, if not lessen, the exceedance level of PM<sub>2.5</sub> as in 2000. Although O<sub>3</sub> mixing ratios increase quite rapidly in 2020 due to the increased emissions in all sectors, we find that implementing the Euro 3 standards leads to a reduction of 7 exceedance



**Fig. 11.** The surface O<sub>3</sub> mixing ratios [ppbv] (top) and PM<sub>2.5</sub> concentrations (µg m<sup>-3</sup>) (bottom) in January, April, July and October modelled by WRF/Chem for 2020 Euro 3 scenario.

days in July in Lin'an. Under Euro 3 the maximum 8-h O<sub>3</sub> and PM<sub>2.5</sub> average at Oki is also 1–3 ppbv and 3–7 µg m<sup>-3</sup> lower, respectively, than under NoPol. However, there are still many exceedance days in China even under the Euro 3 scenario (Table 9). These results illustrate that regulating vehicle emissions alone does not achieve targeted air quality in China, despite the significant improvement. Further regulations in other sectors in addition to the implementation of vehicle emission standards are essential to achieve this goal.

In summary, we find clear benefits of reducing vehicle emissions in China from this study. Full implementation of Euro 3 regulations in China result in the largest reductions in O<sub>3</sub> and PM<sub>2.5</sub> surface concentrations in October and January, respectively. In addition, there are large reductions in April and July for surface O<sub>3</sub>, as seen in Fig. 10. Ve-



**Fig. 12.** Comparison of 2020 NoPol and 2020 Euro 3 (2020 NoPol–2020 Euro 3) surface O<sub>3</sub> mixing ratios (ppbv) (top), and PM<sub>2.5</sub> concentrations (µg m<sup>-3</sup>) (bottom) in January, April, July and October modelled by WRF/Chem.

hicle emission regulations lead to significant reductions in both O<sub>3</sub> and PM<sub>2.5</sub>, because stringent vehicle emission regulations require reductions in the emissions of primary PM<sub>2.5</sub>, and in the O<sub>3</sub> precursor species – CO, NO<sub>x</sub>, and NMVOCs. The three largest surface concentration reductions are seen in Beijing, Shanghai, and Guangzhou areas (see Fig. 12), where we find large vehicle emissions (Fig. 3). Although the road transport sector is responsible for a small fraction of BC and OC emissions (Fig. 2), with Euro 3 regulations we find significant PM<sub>2.5</sub> surface concentration reductions, also due to less formation of nitrate aerosols from reduced NO<sub>x</sub> emissions and due to the reduced availability of excess NH<sub>4</sub>.

**Table 9a.** Days exceeding the WHO interim target-1 standard for O<sub>3</sub> (81 ppbv).

	2000	2020 NoPol	2020 Euro 3
Lin'an			
January	2	23	21
April	11	30	27
July	9	26	19
October	9	31	31
Rishiri			
January	0	0	0
April	0	0	0
July	0	0	0
October	0	0	0
Oki			
January	0	0	0
April	6	12	13
July	4	9	8
October	1	3	3

**Table 9b.** Days exceeding the WHO interim target-1 standard for PM<sub>2.5</sub> (35 µg m<sup>-3</sup>).

	2000	2020 NoPol	2020 Euro 3
Lin'an			
January	25	30	25
April	23	25	20
July	15	18	15
October	29	30	29
Rishiri			
January	0	0	0
April	0	0	0
July	0	0	0
October	0	0	0
Oki			
January	0	1	0
April	0	4	3
July	0	3	2
October	0	0	1

It is important to realize that the full implementation of the Euro 6 emissions standards (proposed within Europe for adoption in 2014) relative to the Euro 3 emission standards reduce total CO, NMVOC, BC, and OC emissions (including all sectors) only by an additional 1.6%, 0.57%, 3.0%, and 0.68%. NO<sub>x</sub> emissions are the only pollutants that see a substantial further reduction of 13.5% by the full implementation of Euro 6 with respect to Euro 3. It is likely that full implementation of the Euro 6 emission standards would lead to further reductions in O<sub>3</sub> mixing ratios relative to Euro 3. Further analysis is warranted, but unfortunately with limited computational resources available, we cannot evaluate the benefits of Euro 6 at this time.

## 6 Summary and future work

In this paper, we modify the REAS emissions inventory for China's road transport sector for 2000. We also develop two scenarios for 2020 (Euro 3 which represents implementation of existing regulation and NoPol which describes emissions as they would be without regulation), and examine the present and future impacts of China's vehicle emissions on regional air quality.

China is a major growing economy with rapidly rising number of vehicles and, as a result, increasing emissions from the road transport sector. We quantify by how much the change in emissions from one sector – road transport – in China contributes to improved air quality. We find that although China's number of vehicles is expected to continue to

burgeon (e.g. 22-fold increase in private gasoline vehicles between 2000 and 2020), the perfect national implementation of the Euro 3 standards would lead to significant improvements in air quality for both China and the region, relative to no emission regulations.

We first modified the existing REAS emissions inventory to reflect newly updated Chinese vehicle emission factors for 2000, and created emission factors for 2020 in which Chinese vehicles met the Euro 3 vehicle emission standards. Applying these emission factors, we then used the regional chemical transport model WRF/Chem, with lateral and initial boundary conditions from the global model MOZART-2, to analyze the impact of China's vehicle emissions on Asian air quality. Although available surface concentration data in this region is scarce, we have used ground-based measurements in Rishiri, Tappi, Sadoseki, Oki, Tsushima, Happo, Nikko, Heddo, Yusuhara, Lin'an, Wanli, Cheju, Imsil, and Mondy to evaluate the model results for the 2000 simulation. The model results reproduce the observations quite well for O<sub>3</sub>, but underestimate PM<sub>2.5</sub> concentrations primarily because we only include BC and OC aerosol emissions, and exclude dust in the model.

We find that a full implementation of the Euro 3 emission standards in China by 2020 would result in a significant reduction in vehicle emissions relative to no regulation (i.e., maintaining 2000 emission factors). Total Chinese vehicle emissions are reduced by 78%, 74%, 63%, 63% and 58% for CO, NO<sub>x</sub>, NMVOCs, BC and OC, respectively, by adopting the Euro 3 emission standards relative to no regulation in 2020. By using the WRF/Chem chemical transport

model at a fine resolution of  $40\text{ km} \times 40\text{ km}$ , we find that there is a regional benefit, especially within Northeast Asia, from China's implementation of the Euro 3 vehicle emission standards in comparison with no regulations. Reductions in surface concentration vary by season and also by species, with reductions of surface  $\text{O}_3$  and  $\text{PM}_{2.5}$  of 10 ppbv and  $10\ \mu\text{g m}^{-3}$ , respectively, occurring in Eastern China. Surface  $\text{O}_3$  mixing ratio reductions of 2–6 ppbv are also found outside of China, mainly within Northeast Asia.

As monthly average mixing ratios in the 2020 Euro 3 scenario illustrate, solely regulating vehicle emissions is not enough to bring China's air quality to a desirable level. However, this study illustrates the major importance of regulating vehicle emissions, allowing the simultaneous reductions of both surface  $\text{O}_3$  and  $\text{PM}_{2.5}$ . For example, the World Health Organization's air quality guideline sets  $100\ \mu\text{g m}^{-3}$  (approximately 51 ppbv) for 8-h mean  $\text{O}_3$  and  $10\ \mu\text{g m}^{-3}$  for annual mean  $\text{PM}_{2.5}$  concentrations (World Health Organization, 2006). We find that as a result of implementing the Euro 3 regulations, the relative frequency of the  $\text{O}_3$  mixing ratios in excess of 51 ppbv is reduced on average by 13.5%. Similarly, the relative frequency of  $\text{PM}_{2.5}$  concentrations in excess of  $10\ \mu\text{g m}^{-3}$  is reduced on average by 4.5%. Large reductions in both species were possible as vehicle emissions include most of the  $\text{O}_3$  precursor species as well as primary  $\text{PM}_{2.5}$ . However, to bring surface  $\text{O}_3$  mixing ratios and  $\text{PM}_{2.5}$  concentrations down further in China, it is also essential to reduce emissions from power plants and industry for the former, and from the domestic sector for the latter.

There are uncertainties associated with this study, and we have highlighted the importance of emission inventories in this paper. We expect that better data on Korean emissions and more information on China's driving patterns as well as the regional differences in vehicle types and age would significantly improve this analysis. Furthermore, as vehicle emissions vary at the regional level, more observational data at a finer scale within China is essential to evaluate and improve atmospheric chemistry models of East Asia.

Our main objective was to explore the consequences of vehicle emission regulations in China on regional air quality, but there are other important additional impacts. Considering the adverse impacts of  $\text{O}_3$  and  $\text{PM}_{2.5}$  on human health and agriculture, China's full implementation of stringent vehicle emission standards could lead to a significant reduction in premature mortality and result in increased agricultural yields (Shindell et al., 2011). Furthermore, because  $\text{O}_3$  and BC also have a positive radiative forcing, China's vehicle regulations may also lead to a reduction in climate warming. Analysis of these issues is beyond the scope of this paper, but is worthy of further investigation in the future.

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